

# Spectral Correlations to Estimate Non-local Thermal Equilibrium (SCENTE)

--An alternative way to quantify NLTE radiances

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# Outlines

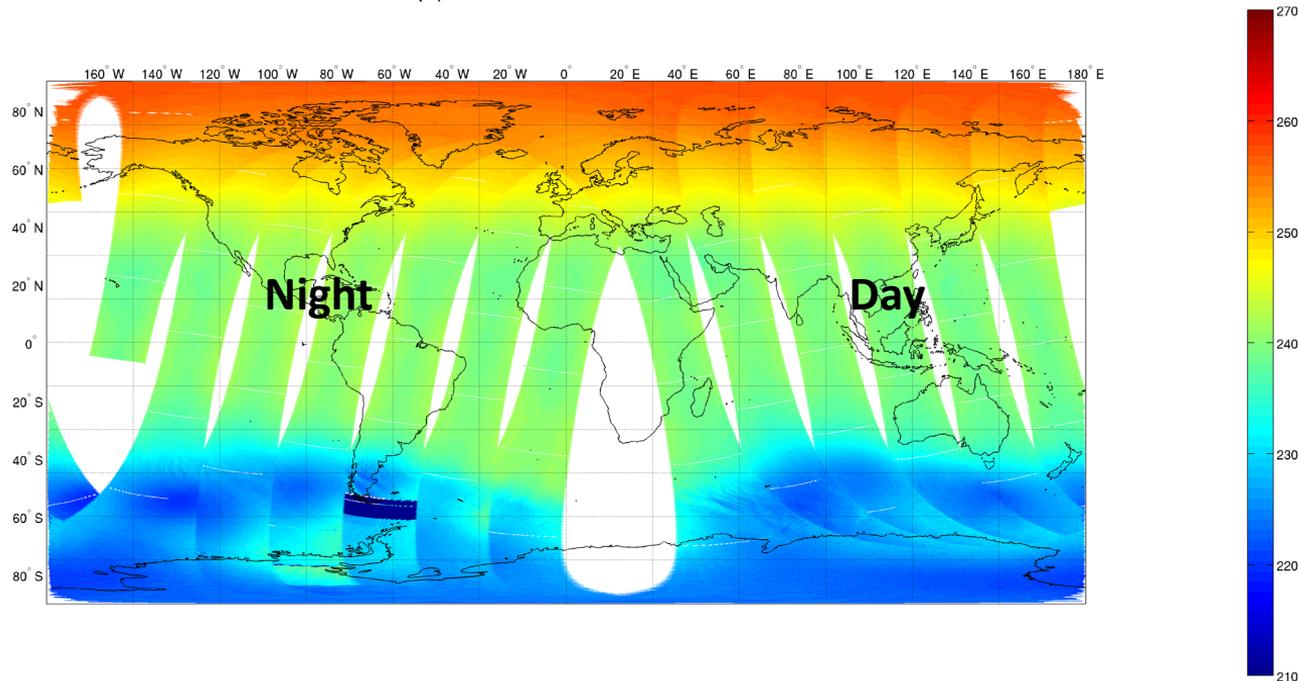
- Background and motivations
- NLTE demonstration
- Methodology of SCENTE
- Evaluation
- Summary

# Background and motivations

- Shortwave (SW) CO<sub>2</sub> band radiances are more sensitive to temperature than longwave (LW) CO<sub>2</sub> radiances
- None of the NWP centers is assimilating SW CO<sub>2</sub> radiances
- Part of the reason: 4.3 micron CO<sub>2</sub> band transitions are populated by both local thermodynamic equilibrium (LTE) and non-LTE (NLTE)
- Primary mechanism for NLTE is solar pumping; **nighttime has NLTE as well, though it is not as significant and not understood well.**
- SCENTE helps improve the applications of SW radiances from CrIS, IASI, and AIRS.
- IR CubeSat highly depends on how good the applications of SW CO<sub>2</sub> bands are

# CrIS FSR Observation of LW

Observation (K) for  $667.5\text{ cm}^{-1}$  of 7/17/2017 0000~1200 UTC

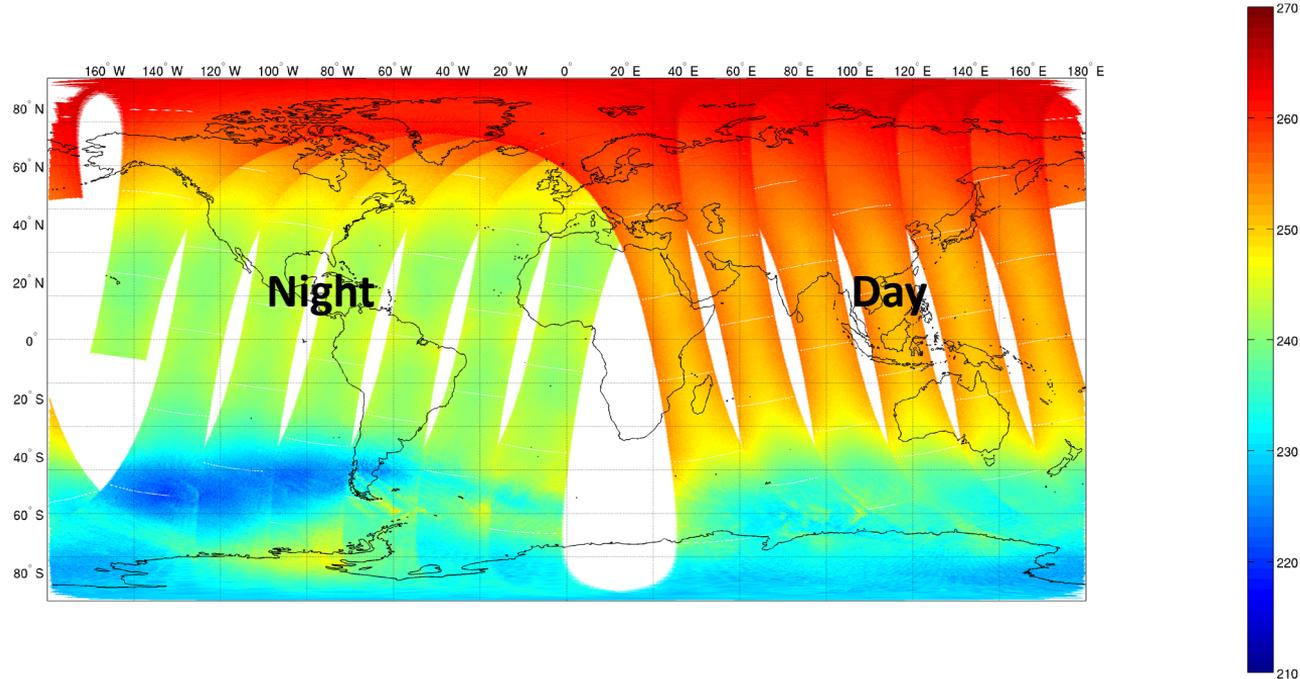


00 – 12 UTC  
07/17/2017

This CrIS FSR LW channel peaks in lower mesosphere, and covers a decent amount of stratosphere. Radiance is considered NLTE free.

# CrIS FSR Observation of SW

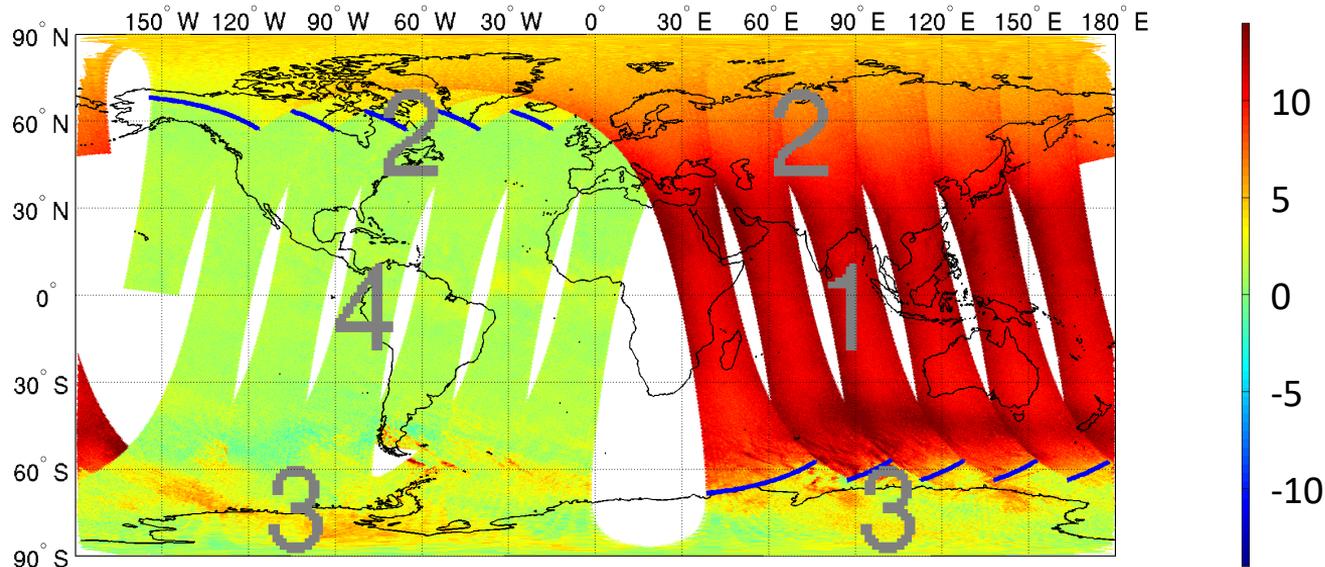
Observation (K) for  $2336.25\text{ cm}^{-1}$  of 7/17/2017 0000-1200 UTC



Similar CrIS FSR SW channel peaking around the same altitude, but affected by NLTE.

# CrIS FSR NLTE Observations : SW - LW

b) Tb Observation (K):  $2336.25 \text{ cm}^{-1} - 667.5 \text{ cm}^{-1}$

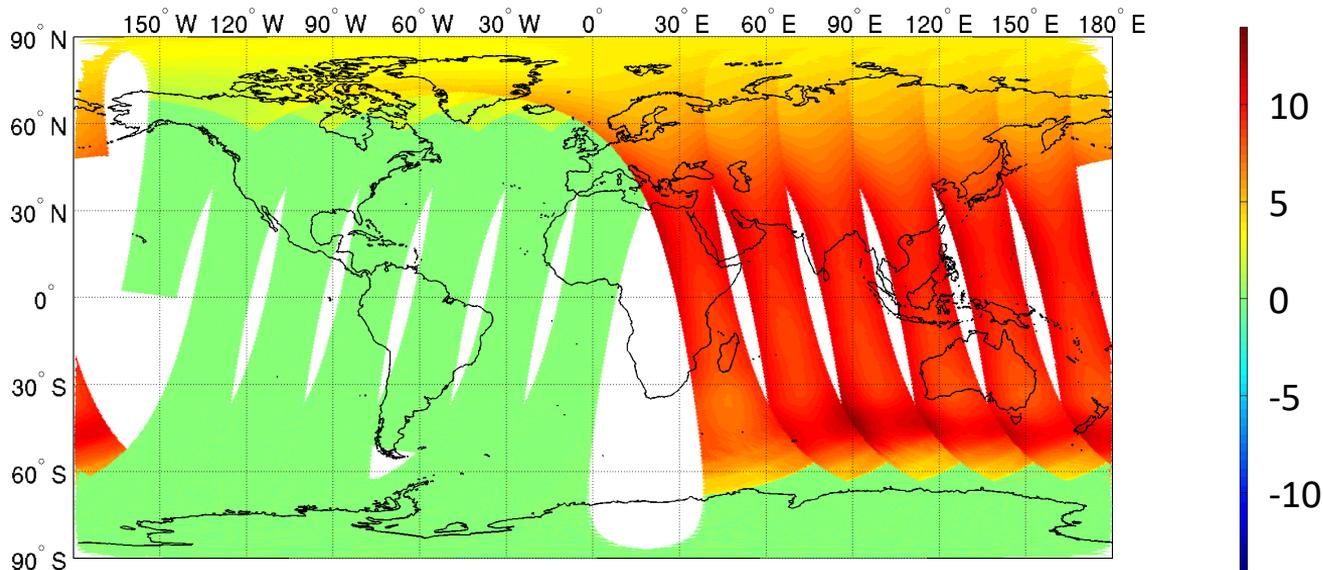


00 – 12 UTC  
07/17/2017

1. Daytime tropics and mid-latitudes: strong NLTE radiances ---- known NLTE effects
2. North pole and high latitudes: mostly daytime, NLTE gradually decrease with solar zenith angle (SZA)
3. South pole and high latitudes: mostly nighttime, many areas with positive values, not smooth around terminator ---- evidence of nighttime NLTE
4. Nighttime in tropics and mid-latitudes: no obvious NLTE

# Simulated NLTE by CRTM

a) CRTM simulated NLTE radiances (K) for  $2336.25 \text{ cm}^{-1}$



00 – 12 UTC  
07/17/2017

V 2.1.3  
ODPS

## Known NLTE features:

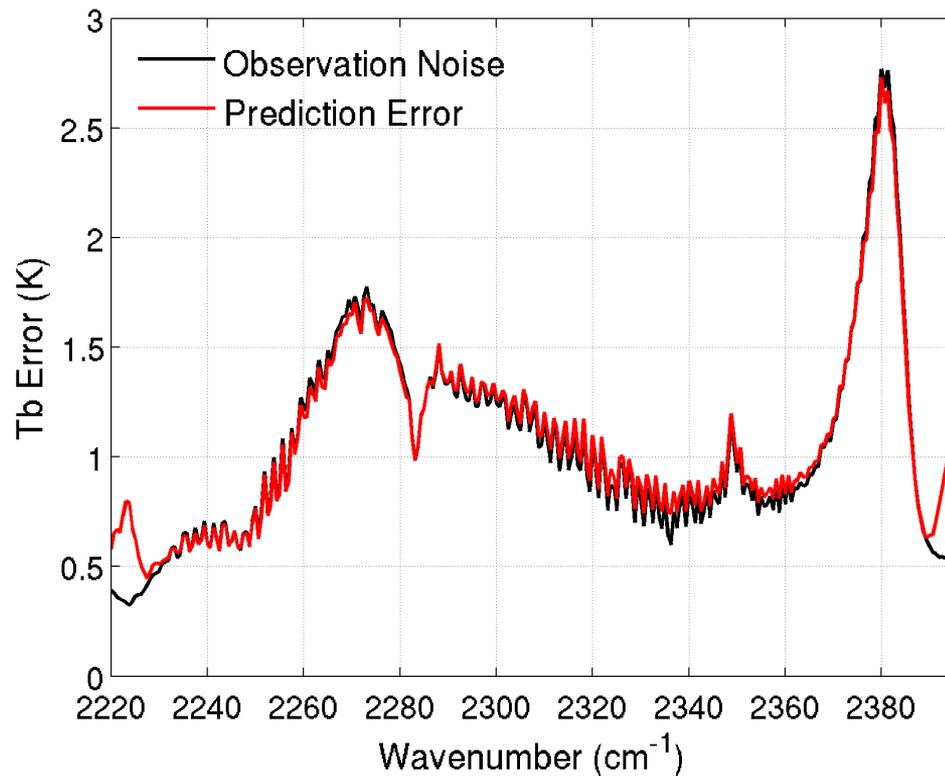
- NLTE decreases with SZA
- NLTE increases with local zenith angle (LZA)

## Questionable NLTE features:

- Immediate shut-off at terminator (SZA=90deg)
- Lack of nighttime NLTE

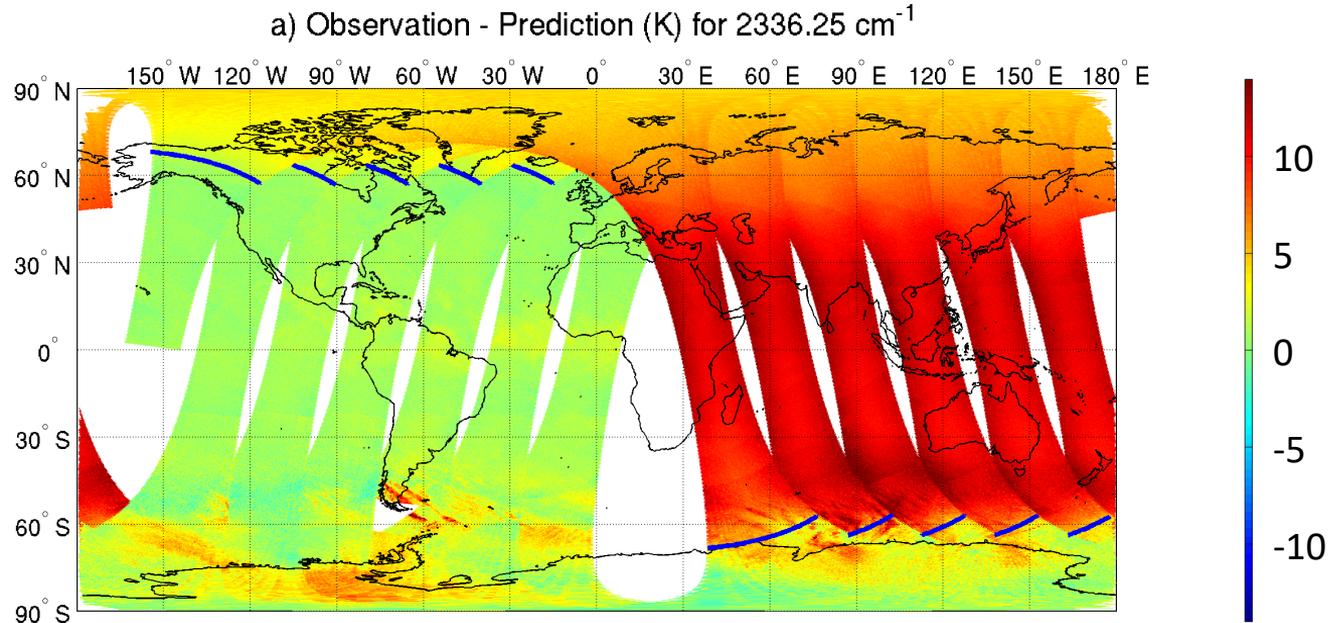
# Estimate NLTE from SW+LW

- Both SW and LW are observing the same atmosphere; they are highly correlated;
- SW radiances can be predicted from LW if there is no NLTE;
- LW is not affected by NLTE;
- The difference between the prediction and observations should be a good quantification of NLTE
- Figure on the right also shows 149 SW channels can be predicted from LW;
- Training uses simulated radiance; coefficients will be applied to real data.



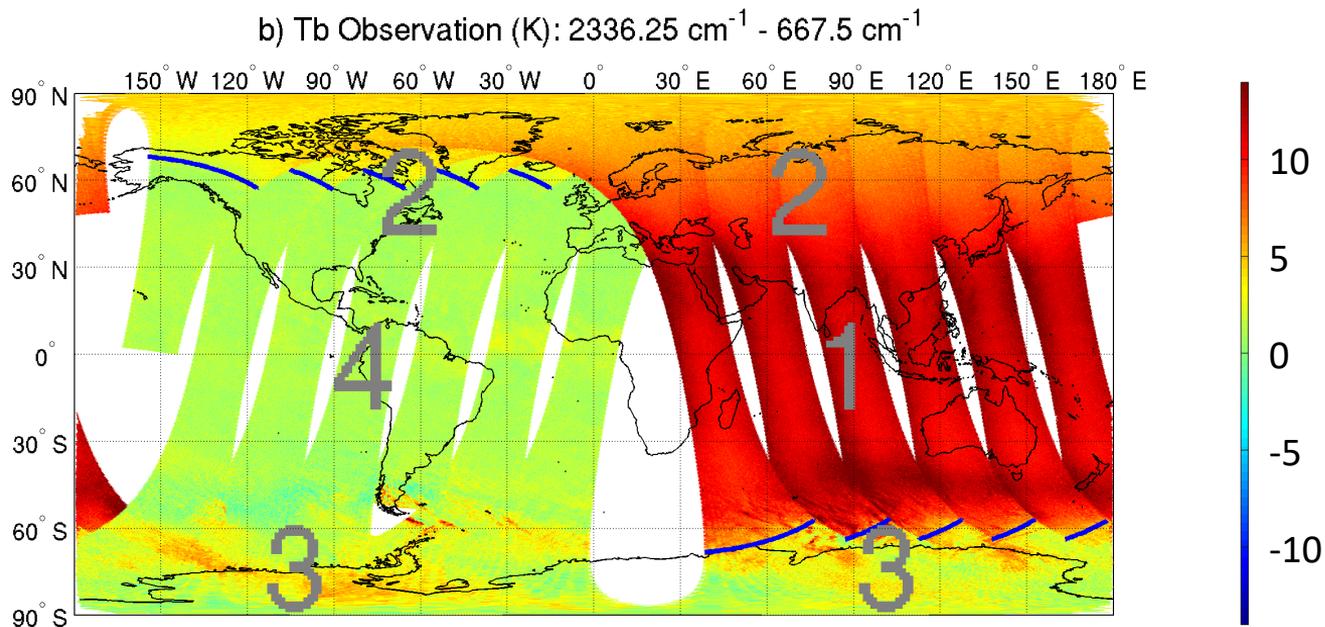
Simulation using 15704 profiles from SeeBor database

# Quantitatively Estimated NLTE by SCENTE

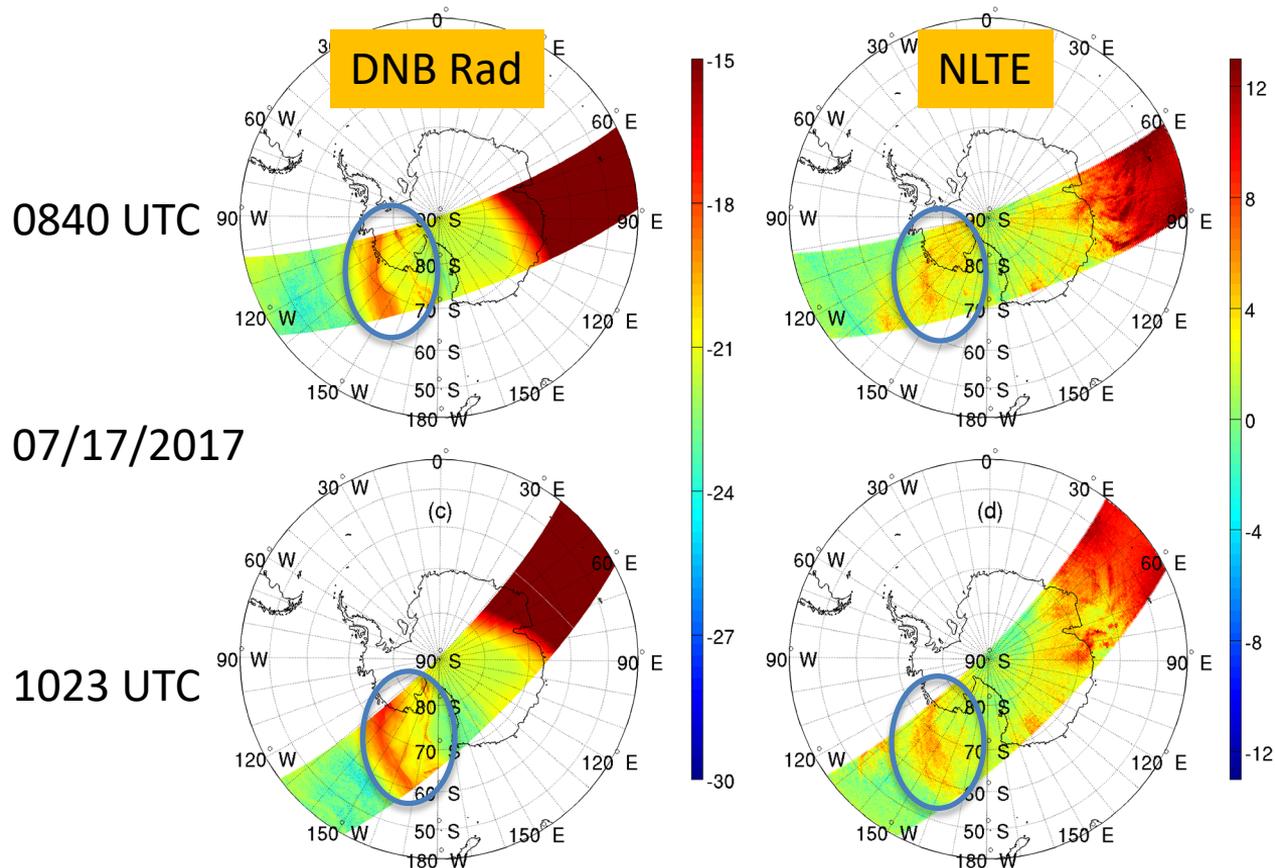


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# NLTE in CrIS Observation: SW - LW



# Nighttime NLTE and Auroras

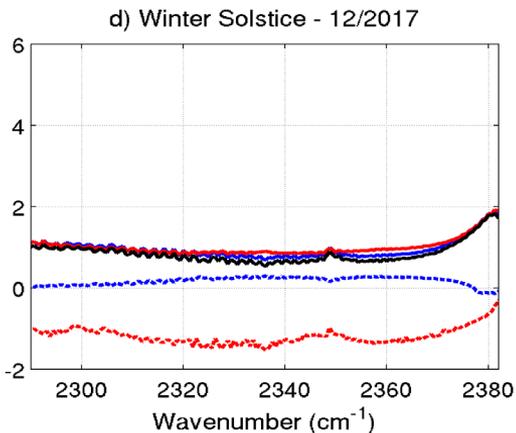
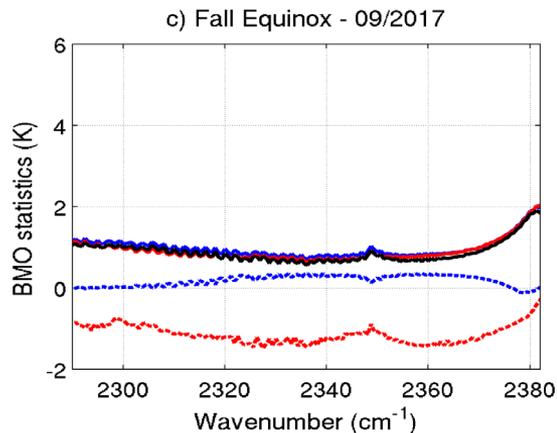
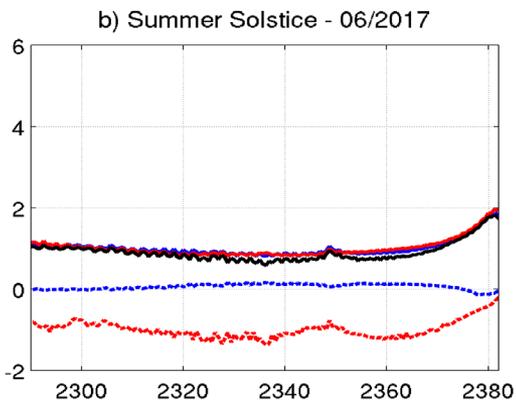
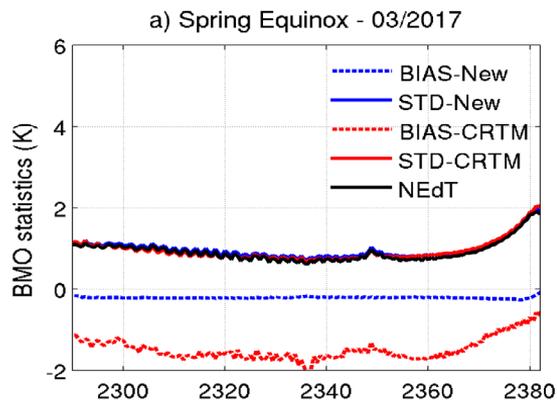


- Strong auroral activities are one type of mechanism of nighttime NLTE
- Left two panels show auroras from logarithm of VIIRS day/night band (DNB) radiances
- Right panels show SCENTE captures those strong NLTE signals related to auroras
- What caused NLTE inhomogeneous near terminator?

# Statistical evaluation strategy

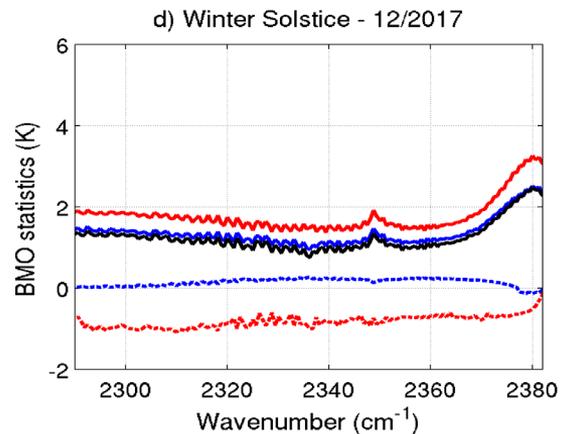
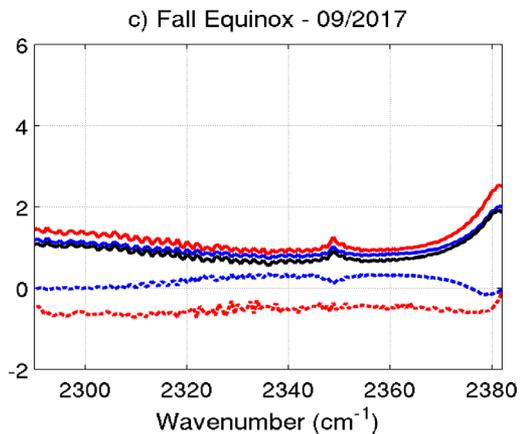
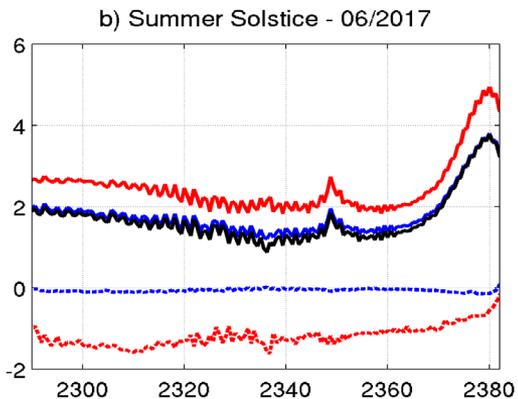
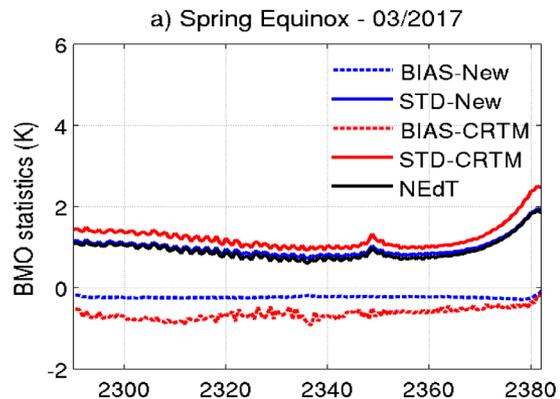
- Focus on statistics of Calculation – Observation. Calculation has two parts
  - LTE radiances from ECMWF analysis using CRTM
  - NLTE radiances (correction part) from CRTM (daytime with NLTE and nighttime without NLTE) or SCENTE
- Four datasets representing 4 seasons
  - Spring Equinox: March 21-22, 2017
  - Summer Solstice: June 21-22, 2017
  - Fall Equinox: September 21-22, 2017
  - Winter Solstice: December 21-22, 2017
- No screen out of observations except bad observations
  - Land and water
  - clear and cloudy
  - polar and non-polar
  - homogeneous and non-homogeneous
  - nadir and off-nadir

# Daytime (SZA < 90 deg)



- Both methods depict daytime NLTE well in terms of STD
- SCENTE has small biases with little seasonal variation
- CRTM has strong negative biases with substantial seasonal variation

# Nighttime (SZA > 90 deg)



- SCENTE has smaller STD than CRTM, comparable to NEdT
- SCENTE has small biases with little seasonal variation and little diurnal variation
- CRTM strong negative biases have substantial seasonal and substantial diurnal variations
- Nighttime is likely not NLTE free

# Summary

- A statistical method, the Spectral Correction to Estimate NLTE (SCENTE) is developed.
- SCENTE is applied to process four seasons of CrIS FSR data. Evaluations of Calc - Obs show that
  - SCENTE has STD close to NEdT in both day and nighttime, CRTM STD is close to NEdT in daytime, but larger in nighttime, indicating possible nighttime NLTE
  - CRTM has strong negative biases in both day and nighttime, with substantial seasonal and diurnal variations
  - Although SCENTE has small biases in O-B with little seasonable and diurnal variations, *it does have positive biases of 0.5 – 1.0 K in the estimated NLTE between 30S and 30N. That is because CRTM LTE simulation has a negative bias of 0.5 – 1.0 K.*
  - *CRTM underestimates NLTE by 0.5 to 1.0 K between 60°S and 60°N.*
  - Evidence is provided to aurora related nighttime NLTE, and daytime could also be subject to aurora related NLTE
  - Other mechanisms could also lead to nighttime NLTE, and NLTE near terminator
- *One static set of coefficients can be used to process all four seasons*
- Applications: alternative and complementary to RTM simulation; help improve the application of SW radiances in assimilation or retrievals through
  - quality control; very important for nighttime and around terminator
  - NLTE bias correction, can be applied to SW only