



## 22nd International TOVS Study Conference

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### Abstracts

#### Session 1: Direct readout (oral presentations)

##### 1.01 20 years of the NWP SAF

*Presenter: Nigel Atkinson, Met Office*

*Authors: Nigel Atkinson, Sam Pullen, James Hocking, Roger Saunders, John Eyre, Pascal Brunel, Pascale Roquet*

At the end of 2018, the NWP SAF celebrated an important milestone – 20 years since the agreement was signed for the creation of the Satellite Application Facility on Numerical Weather Prediction. Coordinated and funded by EUMETSAT, the NWP SAF was led by the UK Met Office with ECMWF, Météo France and KNMI as partners. Following a period of development, the NWP SAF became operational in 2004, and has been providing satellite data processing software and monitoring services to users ever since. In the latest phase DWD joined the SAF, replacing KNMI which consolidated its NWP activities in the OSI SAF.

The software packages AAPP and RTTOV have been NWP SAF software deliverables for the whole of that time, and evolved through many different versions to support new satellites and instruments. We have also seen the development of direct broadcast retransmission services based on AAPP, pioneered by EUMETSAT in their EARS services, and supported by NWP SAF monitoring facilities.

The talk will present some highlights of the last two decades, and will also look forward to upcoming NWPSAF developments, such as support for instruments on EPS Second Generation and Meteosat Third Generation.

##### 1.02 Status of the Direct Broadcast Network for globally coordinated real-time acquisition, processing and fast delivery of satellite direct readout data, an initiative of the World Meteorological Organisation

*Presenter: Pascal Brunel, Meteo France (for Mikael Rattenborg)*

*Authors: Mikael Rattenborg (WMO), Pascal Brunel (Meteo France) and Werner Balogh (WMO)*

The Direct Broadcast Network (DBNet) is a highly successful collaborative undertaking of the World Meteorological Organization and its Members. The DBNet system provides fast acquisition, processing and delivery of satellite products from direct readout data, primarily for Numerical Weather Prediction (NWP) applications with stringent timeliness requirements. Since about 10 years, sounding data from the ATOVS suite of instruments has been acquired by receiving stations around the globe, which has improved the availability and impact of satellite sounding data on short-term regional and global NWP. DBNet is now being extended to cover the acquisition of advanced satellite sounder data from instruments such as METOP/IASI and SNPP/CrIS.

The paper will present the DBNet status and implementation plans, with particular emphasis on the numerous areas where feedback is required from the ITSC community to guide its further development.

##### 1.03 The DBNet Cloud Service for providing low-latency sounder data to NWP centers

*Presenter: Liam Gumley, Space Science and Engineering Center, University of Wisconsin-Madison*

*Authors: Liam Gumley, Bruce Flynn*

The WMO Direct Broadcast Network (DBNet) is a worldwide group of DB antenna operators who acquire and process infrared and microwave sounder data from operational meteorological satellites in low earth orbit. The calibrated and geolocated Level 1B data are converted to BUFR format and then disseminated with low latency to NWP centers for assimilation in regional and global models.

The traditional DBNet approach requires each participating DB antenna site to install, configure, operate, and maintain the various sounder data processing packages, including AAPP, OPS-LRS, CSPP SDR, and FY3 DB. Furthermore, each site must convert the resulting Level 1B data to an approved BUFR format with GTS headers, name the BUFR files according to conventions specified for DBNet, and then disseminate the files via GTS or a retransmission service such as EUMETCAST. This approach has been successful when utilized by meteorological and satellite agencies such as Meteo France, EUMETSAT, NOAA, Met Office, IMD, NSMC, INPE, Roshydromet, and BoM.

However, it can be difficult for DB antenna operators to maintain these capabilities over the long term and ensure that they adapt to new satellites, sensors, and software packages. As DB software packages evolve and become more complex, they require more capable servers to run them and newer operating systems to host them. Some DBNet operators do not have the ability to update their hardware and operating systems to meet the requirements of new DB software package versions, with the result that even if they can receive the sounder data from a new satellite, they are not able to process it. In addition, there are many DB antenna sites that are owned and operated by other entities, such as universities and remote sensing agencies. These sites have the potential to contribute to DBNet (especially in areas where DBNet coverage is poor or non-existent) but it can be challenging for operators at these sites to install and maintain the required software systems.

The DBNet Cloud Service will provide a centralized cloud-based ingest, processing, and delivery service for infrared and microwave sounder data. The service is intended to provide an easy and convenient way for DB antenna operators to contribute sounder data to the WMO DBNet without having to install, configure, validate, operate, and maintain the latest versions of the various sounder data processing packages. It will provide a local access point for antenna operators to upload their Level 0 data files immediately after a satellite overpass (the ability to upload a file after a pass is provided by all major DB antenna vendors). Upon receipt of a new Level 0 data file, the DBNet Cloud Service will immediately start processing the data using the latest recommended version of the relevant sounder data processing software (e.g., AAPP, OPS-LRS, CSPP SDR). After Level 1B products have been created, conversion to BUFR is done using the recommended formatting, metadata, and naming conventions described in the WMO Guide to DBNet. Finally, the BUFR products will be delivered to an endpoint (e.g., NOAA, EUMETSAT) for dissemination on GTS and EUMETCAST. The DBNet Cloud Service will make it easier for existing DBNet antenna sites and operators to contribute sounder data to DBNet with low latency, high reliability, and good data quality. It will also allow new antenna sites to contribute data to DBNet with relatively little effort. The service will ensure that NWP centers receive high quality infrared and microwave sounder data from all DBNet sites regardless of site location or operator.

This presentation will provide an overview of the DBNet Cloud Service implementation, current prototype status, and benefits to the DB and NWP communities.

#### **1.04 The Utility of CSPP Atmospheric Sounding Products**

*Presenter: Kathleen Strabala, UW-Madison/SSEC/CIMSS*

*Authors: Kathleen Strabala, Liam Gumley, Elisabeth Weisz, James Davies, Geoff Cureton*

The Community Satellite Processing Package (CSPP) is a NOAA funded effort that provides portable stand alone software to create a myriad of polar orbiter meteorological satellite calibrated and level 2 science retrieval products. Although the primary goal of this effort is to support direct broadcast operational decision makers, it can also be used by students and researchers who want to process and investigate specific events. The current suite of CSPP software includes four different techniques for deriving temperature and moisture soundings. The NOAA/NESDIS/STAR Unique Combined Atmospheric Processing System (NUCAPS) algorithm combines hyperspectral and microwave instruments, CrIS/ATMS on NOAA-20 and S-NPP satellites as well as IASI/AMSUA/MHS on Metop-A and Metop-B. These retrievals are currently being used operationally by the US National Weather Service for wide ranging applications including identifying regions of destabilization in the mid-latitudes and areas of very cold air aloft in the polar regions. NUCAPS is the official NOAA sounding product for JPSS. The CSPP NOAA/NESDIS/STAR Microwave Integrated Retrieval System (MIRS) software provides microwave retrievals from 7 different instruments including NOAA-20 and S-NPP ATMS, and Metop-A and Metop-B AMSU-A and MHS. CSPP also includes the University of Wisconsin single Field-of-View (FOV) hyperspectral instrument statistical dual regression retrievals from CrIS, IASI and the AIRS instrument on the Aqua satellite. These retrievals are being used in combination with GOES-R temperature and moisture retrievals to identify regions of rapid atmospheric destabilization. Finally, the legacy International ATOVS Processing Package (IAPP) is also supported by CSPP to allow those who want to create consistent climate

quality retrievals from NOAA-15, NOAA-16, NOAA-18, NOAA-19, Metop-A and Metop-B HIRS, AMSU-A and MHS instruments. This presentation will include a number of examples on how the retrievals are being used by the environmental decision making community.

As is the case with all CSPP retrieval software, it is freely distributed, includes up to date algorithms, is pre-compiled for 64-bit Intel Linux operating systems, is designed specifically to be easy to install and operate, and runs efficiently on modern hardware.

## **Session 1: Direct readout (poster presentations)**

### **1p.01 Current status and plans of Direct-readout LEO satellite data processing in NMSC/KMA**

*Presenter: Hyunjong Oh, National Meteorological Satellite Center of Korea Meteorological Administration (for Dahye Bae)*

*Authors: Dahye Bae, Hyunjong Oh, Ahyoung Shin, Yongsang Kim*

National Meteorological Satellite Center(NMSC)/Korea Meteorological Administration(KMA) is processing various direct-readout Low-Earth-Orbit(LEO) satellite data such as Advanced TIROS Operational Vertical Sounder(ATOVS), Infrared Atmospheric Sounding Interferometer(IASI), Advanced Technology Microwave Sounder(ATMS) and Cross-track Infrared Spectrometer(CrIS) radiance data for NWP data assimilation and weather analysis.

Currently, NMSC is operating ATOVS and AVHRR Pre-processing Package (AAPP), Community Satellite Processing Package (CSPP) and International ATOVS Processing Package (IAPP) for direct readout data processing. KMA has provided the direct-readout ATMS and CrIS level 1C data of Suomi-NPP (NPOESS Preparatory Project) satellite via GTS for Direct Broadcast Network (DBNet) activity since 2018, and is working on processing the direct-readout ATMS and CrIS data of NOAA-20 satellite which will be shared via GTS too.

In this paper, we describe the current status and future plans of KMA's direct-readout LEO satellite data processing to support NWP assimilation including the quality check activities.

### **1p.02 CSPP LEO for JPSS, Metop, NOAA, and FY-3 satellites: New features and enhancements**

*Presenter: Liam Gumley, Space Science and Engineering Center, University of Wisconsin-Madison*

*Authors: Liam Gumley, Kathy Strabala, Scott Mindock, Nick Bearson, James Davies, Geoff Cureton*

The Community Satellite Processing Package (CSPP) for low earth orbit (LEO) satellites has continued to evolve with the addition of support for new satellites and sensors; updates and improvements to existing products; and support for new geophysical products. Support for the new NOAA-20 operational satellite (launched in November 2017) has been added to the CSPP LEO suite to allow creation of geolocated and calibrated sensor data records (SDRs) for ATMS, CrIS, and VIIRS. NOAA-20 support was also added to atmospheric profile retrieval software packages including NUCAPS, MIRS, and HSRTV. New geophysical product generation software packages for flood and wildfire detection (both supporting NOAA-20 VIIRS) were added to the CSPP LEO suite, and the Polar2Grid image creation toolkit was updated to fully support NOAA-20 VIIRS and Metop-C AVHRR. A new atmospheric profile retrieval system (IASI-NUCAPS) was introduced for Metop-A and Metop-B IASI. New CSPP LEO releases include

- VIIRS cloud, aerosol, cryosphere, and land surface geophysical products for SNPP and NOAA-20;
- ACSPO SST product updates to support NOAA-20 VIIRS and Metop-C AVHRR;
- CLAVR-x cloud product updates to support NOAA-20 VIIRS and Metop-C AVHRR;
- GAASP AMSR2 cryosphere products;
- Polar2Grid support for FY-3B/C VIRR and FY-3D MERSI-2 imagery

This presentation/poster will review the status of the current CSPP LEO software suite and provide examples of new capabilities and products that have been recently added.

### **1p.03 Generation of direct readout sounding data products at the Bureau of Meteorology**

*Presenter: David Howard, Bureau of Meteorology*

*Authors: David Howard, Fiona Smith, Susan Rennie, Leon Majewski, Nigel Atkinson*

The Bureau of Meteorology receives data from eight local reception stations; six on the Australian continent and two on Antarctica. We are now acquiring direct-readout data from ATOVS, IASI, CrIS, ATMS and AIRS which is processed to L1d using AAPP for assimilation in our NWP models. The data is of particular value for our six city-scale convective model configurations (see poster by Smith et al) because of its improved timeliness over global data streams. This poster will give some examples of the data in use in our city models. We will also be making this data available to the international community via DBNet during 2020.

### **1p.04 Current Status and Future Plan on direct readout activity in MSC/JMA**

*Presenter: Masami Moriya, Japan Meteorological Agency*

*Authors: Masami Moriya*

Meteorological Satellite Center (MSC) of Japan Meteorological Agency (JMA) has received and processed direct broadcast data from Low Earth Orbit (LEO) satellites for more than fifty years. In JMA, these products have been utilized not only for monitoring volcanic ash, the Asian dust, sea surface temperature and sea ice but also for numerical weather prediction (NWP) through assimilation in NWP division. On December 2018, MSC began to process direct broadcast data from NOAA20. At present, MSC processes direct broadcast data from 6 LEO satellites in total, i.e. NOAA-18, 19, 20, S-NPP and Metop-A, B. Direct broadcast data from Metop-C is also planned to be received in the near future. Besides the direct broadcast data received at Kiyose station in Japan, MSC also processes those received at Syowa station in Antarctica with the cooperation of the National Institute of Polar Research of Japan. These products are very important for JMA's meteorological operation. On the other hand, some of our products related to CrIS, ATMS and ATOVS are shared with other NWP centers via the Direct Broadcast Network (DBNet) and cooperative organization (Wisconsin/CIMSS). This contributes to meteorological operation in other countries.

This presentation will show current status and future plan of JMA/MS LEO activities.

### **1p.05 IASI cloud mask comparison between global broadcast and local processing**

*Presenter: Mathieu Asseray, CNRM/CEMS*

*Authors: Mathieu Asseray*

The comparison between global EUMETSAT cloud mask and local MAIA cloud mask applied with AVHRR projection in IASI pixel allows us to localize the main differences on cloud fraction by using the two retrievals.

The first step of the comparison consists in displaying cloud fraction in IASI pixel maps for global and local granules in order to highlight the localization of the differences for each mask. The study has been lead on many single orbits situation, in January 2019. An histogram is established for each case and present the number of different pixel according the spread global-local. After processing on the whole studied granule, the first results indicate that most of pixels present no or low differences (between -0.05% and +0.05% of cloud fraction). However the histogram shows an overestimation of the local cloud mask and the map shows some sea and land influences on the overestimation. the AVHRR cloud type from MAIA and the SEVIRI cloud type product are mapped for each situation. They are used to identify the nature of the cloud structures. These comparisons show an eventual correspondence between cloud fraction difference and cloud type, especially with low level fractional clouds. Further study will be lead in order to validate this assumption. Meanwhile these results will be run in production on the purpose of forecast and research.

The second step consists in processing monthly data and producing a similarly histogram but by using a longer period and more orbits. This work will help to understand the sea/land and day/night/twilight/sunrise effects on the cloud fraction difference. This will help also to best understand the differences between the two masks by looking at the algorithms used by each of them. The use of the independent AVHRR products will help in understanding the difference.

### **1p.06 Porting the OPS AVHRR clusters algorithm to VIIRS in CrIS footprint**

*Presenter: Pascale Roquet, Météo-France*

*Authors: Pascale Roquet*

At ITSC-XX, was first expressed a recommendation that the AVHRR cluster algorithm available in AAPP should be used for all hyperspectral sounders. In OPS, the software which processes IASI, a method called "Nuees

Dynamiques" groups the AVHRR pixels in each IASI field of regards by clusters of homogeneous scenes. In the IASI BUFR file, for each IASI FOV, the user can retrieve the numbers of pixels by clusters as well as the mean and the standard deviation of the AVHRR radiances. These information can be used in order to improve the data assimilation of IASI radiances in numerical weather prediction models. In AAPP, this method was adapted in 2018 to VIIRS and CrIS for S-NPP and JPSS NOAA20 satellites. This poster describes how this was done and how a direct broadcast user can use the cluster software for S-NPP and JPSS NOAA20.

#### **1p.07 CSPP SDR and CSPP VIIRS ASCII, Having your cake and eating it too!**

*Presenter: Scott Mindock, SSEC/CIMSS*

*Authors: Scott Mindock, Ray Garcia, Graeme Martin, Kathy Strabala, Nick Bearson, Liam Gumley, Allen Huang*

The CSPP SDR The CSPP (Community Science Processing Package) Team at SSEC/CIMSS has created the CSPP VIIRS ASCII 1.0 software to support SNPP and JPSS-1 Level 2 product creation. The CSPP VIIRS ASCII package creates Enterprise Level, Cloud, Ice, Snow, Ash and Aerosol products using NOAA/NESDIS Enterprise Level algorithms. The CSPP SDR package creates Level 1 (SDRs) from SNPP and J01 RDRs acquired from Direct Broadcast.

CSPP SDR and CSPP ASCII work together with your antenna system to create an easy to use and maintain processing system. If your mission requires CrIS and you want CSPP! If you need ATMS you need CSPP! VIIRS is yours with CSPP, and now with CSPP ASCII you can have Clouds, Snow, Ice and Aerosols, with the CSPP ease and reliability.

#### **1p.08 Polar2Grid and Geo2Grid: Open Source Software for Creating High Quality Images**

*Presenter: Kathleen Strabala, UW-Madison/SSEC/CIMSS (for David Hoese)*

*Authors: David Hoese and Kathleen Strabala*

Creating high quality images from meteorological instruments on polar orbiter and geostationary satellites poses significant challenges, including how to read the data (input formats), the type of instrument that observed the data (Imager, Sounder, etc.), what software generated the data files, and the what tool will be used to display the end product (output formats). To simplify this process, NOAA has funded the Cooperative Institute for Meteorological Satellite Studies (CIMSS) at the University of Wisconsin to create a pair of open source command line tools called the Community Satellite Processing Package (CSPP) LEO Polar2Grid and CSPP Geo Geo2Grid. These toolkits provide an easy interface for reprojecting and reformatting imager instruments and a selected number of science products into a variety of output formats including GeoTIFF, the US National Weather Service AWIPS NetCDF format, NinJo, Binary and KMZ. Commands are carried out through simple bash shell scripts that wrap underlying self-contained python code. These tools handle all of the complexity involved in this conversion including resampling to custom uniform grids or regions of interest, perceptual enhancements, atmospheric corrections, and RGB, including true color, image creation. While the tools provide simple interfaces, they do not sacrifice performance and can complete the conversions in seconds on large swaths of data by taking advantage of new open source tools such as Dask parallel processing. Polar2Grid is the toolkit currently used at direct broadcast receiving stations to provide Polar Orbiter imagery from VIIRS, MODIS and AVHRR imager to the US National Weather Service Forecast offices in the Continental United States, as well as Alaska, Hawaii, Puerto Rico, and Guam within minutes of an overpass of the satellites. Geo2Grid version 1.0 software which supports GOES-16 and GOES-17 ABI and Himawari-8 AHI was released on 1 March 2019. By default, images of all ABI/AHI imager bands plus true and false color output image files are generated at the highest possible resolution with each execution of the run script. Creation of true color imagery includes atmospheric correction, band sharpening, and the creation of a pseudo-green band for the ABI instruments as part of the default geo2grid.sh execution.

As is the case with all CSPP LEO and Geo software, it is freely distributed, is pre-compiled for 64-bit Intel Linux operating systems, is designed specifically to be easy to install and operate, and runs efficiently on modern hardware.

#### **1p.09 Community Satellite Processing Package for Geostationary Data (CSPP Geo) Level 2 Products and Image Generation for Direct Broadcast**

*Presenter: Graeme Martin, UW-Madison SSEC/CIMSS*

*Authors: Graeme Martin, Liam Gumley, Nick Bearson, Jessica Braun, Alan DeSmet, Geoff Cureton, Dave Hoese, Ray Garcia, Tommy Jasmin, Scott Mindock, Eva Schiffer, Kathy Strabala*

The Community Satellite Processing Package for Geostationary Data (CSPP Geo) project is funded by the NOAA GOES-R Program to create and distribute software allowing users to process direct broadcast data received from geostationary weather satellites. CSPP Geo software is easy to install and run, with no compilation or installation of external dependencies required. The software is provided free of cost due to the ongoing support of NOAA.

The US GOES-16 and GOES-17 satellites are supported, in addition to the legacy GOES-13 and -15 satellites and the Japanese Himawari-8 satellite. Capabilities include processing of raw GRB data received from the GOES-16 and -17, generating products from the Advanced Baseline Imager (ABI), Geostationary Lightning Mapper (GLM) and space weather instruments in real time. Level 2 geophysical products can be generated from ABI data using research versions of the operational GOES-R science algorithms, running in an application known as the NOAA Algorithm Integration Team (AIT) Framework. Level 2 products can be generated from Advanced Himawari Imager (AHI) data using adapted GOES-R algorithms running in the Geostationary Cloud Algorithm Testbed (GEOCAT). The recently released Geo2Grid software allows generation of high-quality, full-resolution single-band and composite RGB images including true color, natural color, airmass, ash, dust, fog, and night microphysics. True images contain Rayleigh correction, artificial green band and sharpening to 500m.

This presentation will focus on current CSPP Geo Level 2 product and image generation capabilities, and touch on future plans.

## **Session 1: Radiative Transfer and Community Software (oral presentations)**

### **1.05 Recent Advances in the Community Radiative Transfer Model**

*Presenter: Benjamin Johnson, JCSDA*

*Authors: Benjamin T. Johnson (JCSDA), Patrick Stegmann (JCSDA), Thomas Greenwald (JCSDA), James Rosinski (JCSDA), Emily Liu (EMC), Ming Chen (ESSIC), Andrew Collard (EMC), Tong Zhu (CIRA)*

The Joint Center for Satellite Data Assimilation (JCSDA) Community Radiative Transfer Model (CRTM) is a fast, 1-D radiative transfer model used in numerical weather prediction, calibration / validation, etc. across multiple federal agencies and universities. The key benefit of the CRTM is that it is a satellite simulator, in that it provides a highly accurate representation of satellite radiances by making appropriate use of the specific sensor response functions convolved with a line-by-line radiative transfer model (LBLRTM). CRTM covers the spectral ranges consistent with all present operational and most research satellites, from visible to microwave (L-Band). The capability to simulate ultraviolet radiances are being added over the next two years.

This talk will focus on recent advances in the ability of the CRTM to simulate satellite radiances, in particular improvements in cloudy radiance simulation, aerosol impacted radiances, improvements in surface emissivity modeling, the addition of full polarization support (experimental), updated coefficient files in support of new and upcoming sensors, L-Band support, active sensor forward modeling (space-based radar and lidar), and many other features coming online over the next year. These changes represent a significant and necessary expansion of the CRTM capabilities to allow it to continue to perform in an all-weather, all-surface, all-sensor environment.

### **1.06 RTTOV development status**

*Presenter: James Hocking, Met Office*

*Authors: Pascal Brunel, Ana Fernandez del Rio, Alan Geer, Stephan Havemann, James Hocking, Christina Köpken-Watts, Cristina Lupu, Marco Matricardi, Pascale Roquet, David Rundle, Roger Saunders, Leonhard Scheck, Olaf Stiller, Emma Turner, Jérôme Vidot*

Since ITSC-21, two new minor releases of RTTOV, v12.2 and v12.3, were made available to users. These updates include various developments. RTTOV has been extended to enable simulations in the far-infrared up to 100 microns, and in the sub-mm to support MetopSG ICI. Microwave coefficient files are now available based on measured spectral response functions. The MFASIS fast model for visible cloudy simulations has been incorporated into RTTOV enabling its use for real-time applications. New optical properties are available for cloud liquid water, ice cloud and aerosols in visible/infrared scattering simulations, and a new tool is available for users to generate custom aerosol optical property files for use with RTTOV. Updates to the microwave scattering model, RTTOV-SCATT, include new options for the liquid water permittivity parameterisation (the same options are also available in RTTOV for cloud liquid water absorption), the option to use optical

properties for non-spherical particles from the ARTS single-scattering database, and a new optional output structure is available containing the information necessary for all-sky surface emissivity retrievals. Improvements to the treatment of the surface include the new CAMEL climatology atlas (based on a multi-year climatology), a new solar sea BRDF model, and new options related to Lambertian vs specular surfaces. PC-RTTOV has been updated to enable simulations with additional variable trace gases and OPAC aerosols. The implementation of the HTFRTC fast radiative transfer model has been substantially improved and extended, for example, to enable all variable trace gases and optionally output overcast radiances. An overview of the new capabilities will be presented along with a look ahead to planned developments for the next major release, RTTOV v13, in September 2020.

### **1.07 Comparisons of ocean radiative transfer models with satellite observations from 1.4 to 89 GHz**

*Presenter: Lise Kilic, Paris Observatory*

*Authors: Lise Kilic, Catherine Prigent, Stephen English, Jacqueline Boutin, Thomas Meissner and Simon Yueh*

Satellite observations are required to monitor, understand and predict the state of the ocean and atmosphere and to quantify the energy and hydrological cycles. The oceans exchange large amounts of heat, moisture and gases with the atmosphere at time scales from days (e.g., mixing associated with atmospheric storms), to years (e.g., El Niño) and to centuries (climate change). The sea surface temperature (SST), ocean wind speed (OWS) and sea surface salinity (SSS) are fundamental variables for understanding, monitoring and predicting the state of the ocean and atmosphere. They are needed to correctly describe air-sea interactions occurring at different scales, up to oceanic mesoscale, and to drive coupled ocean-atmosphere Numerical Weather Prediction (NWP) models.

The analysis of these ocean parameters from passive microwave satellite measurements require a Radiative Transfer Model (RTM) in order to interpret the satellite Brightness Temperatures (TBs) in terms of SST, SSS, and OWS. Usually ocean RTMs are developed for a specific application and/or instruments, i.e. a selected range of frequencies and incidence angles. For the first time, with the Copernicus Imaging Microwave Radiometer (CIMR) mission (Kilic et al., 2018), 1.4 GHz (L-band) observations will be combined with 6.9, 10.6, 18.7 and 36.5 GHz (C, X, Ku, and Ka-bands) observations and will provide coincident SST, SSS, and OWS measurements. Therefore, an overview of the existing RTMs working at these frequencies and a comparison between them is needed.

In this study, we propose to compare three different ocean RTMs from 1.4 to 89 GHz to satellite observations from SMAP and AMSR2. This comparison exercise required the development of a dataset of satellite observations from SMAP and AMSR2, collocated with surface and atmospheric parameters. Consistent ECMWF ERA-Interim and Mercator reanalysis data are chosen. The database samples the global oceans over a year.

The selected ocean RTMs are (1) the LOCEAN RTM developed for the SMOS mission (Dinnat et al., 2003 and Yin et al., 2016) (2) the FAST microwave Emissivity Model (FASTEM) parameterized from a full physical model (Liu et al., 2011), (2) the Remote Sensing System (RSS) empirical model, fitted with SSM/I and WindSat observations between 6 and 9 GHz (Meissner and Wentz 2004, 2012) and with Aquarius at 1.4 GHz (Meissner et al., 2014, 2018).

The simulations were carefully compared to the observed TBs. Firstly, global systematic errors between simulations and observations were computed. The biases tend to increase with frequency, and are generally higher at horizontal than at vertical polarizations. This is partly due to the increasing effect of the atmospheric contribution with frequency (essentially undetected clouds), especially at horizontal polarization. Part of it can also stem from AMSR2 calibration issues. Secondly, the analysis focused on the accuracy of the RTMs as a function of the key ocean variables, SST, SSS, and OWS (once the global biases are subtracted).

Major discrepancies with the observations were found at frequencies above 1.4GHz, for OWS higher than 7m/s, with the LOCEAN and the FASTEM models, with differences strongly increasing with increasing OWS. Possible model improvements were discussed. The analysis tended to show that a frequency dependence needs to be added to the foam cover model or / and on the foam emissivity model. The study also stressed that these two components have to be considered consistently and jointly, all over the frequency range. Efforts should be devoted to the modeling of the foam contribution, taking into account the OWS, but also the frequency dependence, and possibly the wave dissipative energy.

Cold SSTs were also identified as a source of disagreement between the simulations and the observations, regardless of the model. This is a critical issue, especially at vertical polarization at 6 GHz which is the key channel for the SST analysis from satellite. Large uncertainties still exist in the modeling of the dielectric constants of sea water, particularly at low SSTs. New laboratory measurements of the dielectric properties of ocean water have recently been undertaken at 1.4 GHz: their extension to higher frequencies should be encouraged, insisting on the uncertainty estimation and with special attention to the 6 GHz.

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#### **1.08 Advances of the Community Surface Emissivity Models (CSEM) in Support of NWP Data Assimilation**

*Presenter: Ming Chen, CICS-ESSIC, University of Maryland*

*Authors: Ming Chen, Kevin Garrett and Yanqiu Zhu*

The Community Surface Emissivity Models (CSEM) developed at NOAA/NESDIS/STAR is a feature-rich surface radiative transfer modelling system in support of the data assimilation of surface sensitive channel observations, the quality control of sounding channels, and the physical retrieval of surface features. With the OOP design, CSEM may be coupled with multiple host models, e.g., CRTM, RTTOV, or used as a stand-alone research tool. New models and model improvements may be easily implemented as options into the CSEM algorithm repository and compared with other existing kindred models, and ultimately transferred for various operational applications (e.g., data assimilation). The first version of CSEM (CSEM V1.0.0) has been integrated with CRTM REL-2.3.x and tested in FV3 GSI. CSEM V1.0.0 includes a number of new and expanded model capabilities in addition to all the existing CRTM surface RT modules. CSEM will be released with the next major CRTM release 3.0.0.

This presentation focuses on our latest modeling efforts that have been carried out to support the microwave radiance data assimilation over non-snow land surfaces, which includes the model physics improvements to account for the thermal heterogeneity of the land covers and the underlying soil, the implementation of the tangent linear and adjoint models for sensitivity analysis and variational data assimilation of land surface skin temperature, soil moisture and essential land cover parameters. The model validation and calibration at global scale will be particularly addressed in reference to the monthly averaged emissivity retrieval atlas TELSEM and the real-time analytical emissivity retrieval from GSI. Due to the large data dimensionality at global scale, machine learning is utilized in the model parameter optimization and the quantification of model uncertainties. The model improvements may significantly reduce the model bias rooted in the model built-in parameters, e.g., leaf thickness and the dominant inclination angle of canopy leaves. With the case studies at ATMS channels, comprehensive analysis on the improved model performance in GSI will be demonstrated in the presentation.

### 1.09 How it could be possible to evaluate the spectroscopic parameters: the example of the new release of GEISA-2019

*Presenter: Raymond Armante, LMD/CNRS*

*Authors: R. Armante, N. Scott, A. Chédin, L. Crepeau*

The latest release of GEISA in 2015, including line parameters, cross-sections as well as aerosols, has been described in Jacquinet et al [1]. GEISA and associated management software facilities are implemented and freely accessible on the AERIS/ESPRI atmospheric data center website [geisa.aeris-data.fr](http://geisa.aeris-data.fr). It is used on-line in various domains like atmospheric physics, planetology, astronomy and astrophysics. The actual context of management and contents of the new release of GEISA-2019 version are independently presented in a poster by Armante et al.

With more and more sophisticated instruments like IASI, IASI-NG, CrIs, OCO<sub>2</sub>, ... and requiring higher spectral and radiometric performances, the needs in the precision of the spectroscopic parameters are more and more important. Today, the GEISA database stays the reference for current or planned TIR/NIR space missions, such as for IASI, IASI-NG, MERLIN, Microcarb.

Based on a strong experience in CAL/VAL activities at LMD, we have developed a validation chain, called SPARTE [2], aiming to compare the differences between results of model simulations and satellite observations remote data. The simulations are made with the radiative transfer algorithm 4AOP developed and validated at LMD (see dedicated poster Armante et al). For the thermal infrared, we have used the richness of the observation data provided by space born satellite instruments IASI A, B and C (2006, 2012, 2019). In the Near InfraRed, we have used all the potential of one of the highest resolved instruments called TCCON. For the first time, SPARTE has made it possible to evaluate GEISA-2015 before its public distribution via the AERIS/ESPRI atmospheric chemistry data center website.

The next version (GEISA-2019) being planned for mid-2019, we have in parallel applied the SPARTE chain to assess the quality of the new release of main contributors, in various domains like the R6 manifold of CH<sub>4</sub> (MERLIN), the 2.1, 1.6 and 0.76  $\mu\text{m}$  bands for CO<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>O (MicroCarb, OCO-2), and the 1.27  $\mu\text{m}$  of O<sub>2</sub> (MicroCarb). This presentation will be focus on the main results we have obtained in the evaluation of the spectroscopic parameters for the IASI/IASI-NG spectral intervals.

References:

[1] N. Jacquinet-Husson, et al. *J. Mol. Spectrosc.*, 327, 31-72, <http://dx.doi.org/10.1016/j.jms.2016.06.007> (2016)

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### 1.10 An alternative method to quantify NLTE radiances

*Presenter: Zhenglong Li*

*Authors: Zhenglong Li, W. Paul Menzel, James Jung, Agnes Lim, and Jun Li*

The 4.3  $\mu\text{m}$  CO<sub>2</sub> shortwave Infrared (SWIR) radiances are more sensitive to temperature than the 15  $\mu\text{m}$  CO<sub>2</sub> longwave IR (LWIR) ones. However, none of the operational centers is assimilating the SWIR radiances from hyperspectral IR (HIR) sounders, such as AIRS, IASI, and CrIS. One important reason is the Non-Local Thermodynamic Equilibrium (NLTE) impact on the SWIR CO<sub>2</sub> channels, which may contribute more than 10 K in observed brightness temperature (BT). In recent years, significant progress has been made in fast radiative transfer (RT) simulating daytime NLTE emission. Despite of the overall good agreement between observations (O) and calculations using NWP field as background (B), studies have shown unexplained large discrepancies over winter-side high latitude region, in both daytime and nighttime. This study introduces a new alternative method to quantify the SWIR NLTE radiances, the differences between the observed and the NLTE-free SWIR radiances, which can be accurately predicted from LWIR ones due to channel correlations. This technique is applied to process the CrIS full spectral resolution (FSR) radiances, and the comparisons between O and B using ECMWF analysis profiles are carried out. The results show that the new method characterizes the NLTE radiances well with comparable standard deviations (STD) of the differences between O and B as CRTM and NEdT during the daytime, and smaller STDs during night, indicating nighttime NLTE is not negligible. The new method also shows smaller biases (less than 0.3 K in absolute value) than CRTM simulation (mostly more than 1 K). Detailed analysis of the biases show that the new method overestimates the NLTE by 0.5 to 1.0 K due to CRTM LTE bias in training process, and CRTM underestimates both LTE and NLTE by 0.5 to 1.0 K. The new

alternative method can be used together with RT NLTE simulation to improve the quality of SWIR radiance assimilation.

## **Session 1: Radiative Transfer and Community Software (poster introductions)**

### **1p.10 Effects of Field of View Inhomogeneities in Radiative Transfer**

*Presenter: Xavier Calbet*

*Authors: Xavier Calbet*

The effects in radiative transfer of water vapor inhomogeneities or, equivalently, turbulence within the Field of View (FOV) of microwave and infrared sounders is explored. This effect is not negligible and should be accounted for if consistency between modelling and measurement systems is to be achieved. This physical effect is further exploited and retrievals of temperature, water vapor profiles and also turbulence are explored. Retrievals of turbulence at a global scale could be extremely useful in many application areas.

### **1p.11 CRTM Infrared Sea Surface Effective-Emissivity (IRSSE) Model Upgrade Status**

*Presenter: Nicholas Nalli, IMSG Inc. at NOAA/NESDIS/STAR*

*Authors: Nicholas R. Nalli, J. Jung, B. Johnson, T. Zhu, M. Chen, E. Liu, and L. Zhou*

For satellite IR remote sensing applications, the surface emissivity/reflectance spectrum must be specified with a high degree of absolute accuracy; a 0.5% uncertainty can result in 0.3–0.4 K error in LWIR window channels. In the mid-2000s the Joint Center for Satellite Data Assimilation (JCSDA) supported the development of an IR effective-emissivity (IRSSE) model for the Community Radiative Transfer Model (CRTM) in an effort to obtain improved agreement (over conventional emissivity models) with surface based radiance observations (viz., MAERI spectra) over the usual range of satellite zenith angles, IR wavelengths, and surface wind speeds. However, although there was a known dependence on surface temperature, it was not until recent findings of Liu et al. (2017 JCSDA Workshop) that a significant systematic bias (as much as 1 K) was revealed to occur on a global scale in cold waters (i.e., the North Atlantic and Southern Oceans). This has brought attention back to this issue, which has since led to FY19-FY20 JCSDA AOP support for model upgrades to address this problem, in addition to other upgrades (e.g., reduction in residual biases in the SWIR band). This presentation will provide an overview the CRTM IRSSE model along with the upgrade plan and progress.

### **1p.12 Evaluation of the RTTOV in the ECMWF NWP system**

*Presenter: Cristina Lupu, ECMWF*

*Authors: Cristina Lupu, Alan Geer, Marco Matricardi*

The poster gives an overview of the evaluation of the RTTOV radiative transfer model in the ECMWF system. RTTOV has been updated to version 12.1 in the IFS model cycle 45r1 (5 June 2018) and to version 12.2 in the operational model cycle 46r1 (11 June 2019). The latest NWP-SAF released version 12.3 has been also evaluated for inclusion in the next IFS model cycle. These are a broad scientific and technical upgrade which allows RTTOV to use the most accurate science possible and prepares the way for future sensors (e.g., band corrections are implemented for all microwave sensors improving accuracy of simulated microwave radiances; the scattering radiative transfer package does its radiative transfer in terms of radiance improving accuracy by several tenths of a Kelvin in some channels; simulations for infrared sensors with updated concentrations of CO<sub>2</sub> to current values in the mixed gas transmissions and a different training set of diverse atmospheric profiles are under way). An overview of the performance in the IFS will be presented along with a look ahead to future evaluation of planned RTTOV developments (e.g., new optical depth predictors).

### **1p.13 RTTOV for hyperspectral far infrared (FIR) instruments: the FORUM example**

*Presenter: Pascal Brunel, Météo-France (for Jerome Vidot)*

*Authors: Jerome Vidot, Pascal Brunel, James Hocking, Marco Matricardi and Roger Saunders*

The fast radiative transfer model RTTOV is developed in the frame of the EUMETSAT NWP-SAF project for the assimilation of satellite observations in NWP models. RTTOV is also more and more used for satellite retrievals as well as for predicted satellite imagery. In the infrared, RTTOV is currently able to simulate multi-spectral or hyperspectral instruments between 3.3 and 50 microns. However, there are scientific interests to extend the capability of RTTOV in the far infrared (FIR) up to 100 microns. This is a valuable challenge for the RTTOV team and we present here its application for the Far-infrared Outgoing Radiation Understanding and Monitoring (FORUM) hyperspectral instrument. FORUM will measure in the 100-1600 cm<sup>-1</sup> (6.25–100 micron) range at an expected spectral resolution of 0.3 cm<sup>-1</sup>. But this extension is not straightforward and as this extension cover

different topics they will all need particular attention. The first topic is related to the atmospheric transmittance calculation which is based on predictors and coefficients. The RTTOV coefficients are trained from line-by-line simulations with LBLRTM knowing the instrument spectral response function. Since LBLRTM cover the spectral region between 50 and 100 microns, the RTTOV coefficients for FORUM were calculated and the accuracy compared to current hyperspectral IR sounders. We also show the capability of RTTOV to simulate cloudy radiances and Jacobians by extending the current cloud optical properties and surface emissivity models to FIR.

#### **1p.14 Development of an active sensor module for the RTTOV-SCATT radiative transfer simulator**

*Presenter: Philippe Chambon, Météo-France*

*Authors: Philippe Chambon, Alan Geer*

Active microwave sensors are becoming widely used observations within the Numerical Weather Prediction community, either for validating model forecasts or for assimilation purposes. Like for the forward simulation of passive microwave observations, radar data simulations require to make assumptions on the scattering properties of hydrometeors. With the objective of simulating both active and passive microwave instruments within a single framework using the same radiative transfer assumptions into a widely-used tool in the NWP community, an active sensor module is currently under development within the RTTOV-SCATT software. The first simulations of the GPM/DPR instrument as well as the Cloudsat/CPR instrument with this simulator will be shown, based on the AROME model running operationally at Météo-France over five domains in the Tropics. In particular, some model biases highlighted with these first comparisons will be discussed.

#### **1p.15 Progress towards a Polarized CRTM**

*Presenter: Benjamin Johnson, JCSDA (for Patrick Stegmann)*

*Authors: Patrick Stegmann, Benjamin Johnson, and Tom Greenwald*

In this presentation we summarize the progress in the development of a polarized CRTM version for the release REL-3.0. The CRTM is a fast and accurate scalar radiative transfer model [1] specifically developed for satellite radiance data assimilation in numerical weather models. For this purpose, the CRTM includes specific tangent-linear, adjoint, and Jacobian (K-matrix) functions in addition to the baseline forward model. In order to extend the CRTM to compute a subset or all elements of the Stokes vector two new radiative transfer models currently stand in competition. The first model is a straightforward extension of the current default scalar Advanced Doubling-Adding model of the CRTM [2] developed by Dr. Quanhua Liu and the second model is a Small-Angle Approximation code [3] developed at Texas A&M University.

Other issues to extend the CRTM towards polarized radiation are the computation of Müller matrices for the hydrometeor and aerosol scattering properties and the provision of new polarized surface emissivities.

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- [2] Liu, Q., and C. Cao, 2019: Analytic expressions of the Transmission, Reflection, and source function for the community radiative transfer model. *J. Quant. Sp. Rad. Trans.* 226, 115-126.
- [3] Sun, B., and G. W. Kattawar, 2017: An Improved Small-Angle Approximation for Forward Scattering and Its Use in a Fast Two-Component Radiative Transfer Method. *J. Atm. Sci.* 74, 1959-1987.

#### **1p.16 The 4A/OP model from NIR to TIR: new developments and validation results within the frame of international space missions**

**Presenter:** Raymond Armante, LMD/CNRS

**Authors:** R. Armante, V. Capelle, N.A. Scott, A. Chédin, E. Jaumouillé, P. Lafrique, D. Jougllet, C. Pierangelo, Bojan Sic, Mahmoud El Hajj, L. Chaumat, E. Durand, N. Meilhac et J. Zeghoudi

The Automated Atmospheric Absorption Atlas (4A) is the LMD (Laboratoire de Météorologie Dynamique) fast and accurate line-by-line radiative transfer model for the computation of transmittances, radiances and Jacobians (<http://ara.abct.lmd.polytechnique.fr/index.php?page=4a>), currently covering the Near IR to the Thermal IR spectral domain. It has been developed, improved and validated by LMD, CNES and NOVELTIS. Regularly updated or extended to process more and more spectrally resolved cloud free observations as well as observations involving scattering effects by clouds or aerosols (through DISORT, LIDORT or VLIDORT), the "operational" version 4A/OP is freely distributed to registered users.

4A/OP is currently adopted by research and operational groups involved in forward and inverse radiative transfer problems for simulations covering a wide spectral range [20  $\mu\text{m}$  – 0.75  $\mu\text{m}$ ]. Extension to UV/Vis is planned for a next version.

In particular, 4A/OP is the reference model used by CNES for several in-flight (IASI/MetOp) or planned space missions (IASI-NG, MicroCarb and MERLIN). Within the frame of research and operational approaches, new developments and validation studies have been performed.

This poster will describe and discuss recently implemented additional or updated capabilities as:

- The impact of the use of the last (2019) updated version of the spectroscopic database GEISA <http://ara.abct.lmd.polytechnique.fr/index.php?page=geisa-2>
- The improvement of the modelling of the H<sub>2</sub>O, N<sub>2</sub> and O<sub>2</sub> continua.
- The new developments (as the LSI method) made to significantly reduce the computation time in the scattering mode with mastered and limited impact on the accuracy.
- The development of a more flexible 4A/OP-User interface offering a wider choice of input/output possibilities (infinite resolution versus ISRF convolved Jacobians, selection of the emissivity database, wavenumber/wavelength units,...).
- A description of the validation studies (approach, results) made on a semi-operational basis, and based on time/space collocations between the ARSA database and/or ECMWF analyses and IASI in the infrared (including MetOp A, B and C) as well as in the near-infrared using TCCON stations/observations.

#### **1p.17 Status of the new GEISA-2019 spectroscopic database**

*Presenter: Raymond Armante, LMD/CNRS*

*Authors: R. Armante, A. Perrin, N. Jacquinet, N. Scott, A. Chédin, L. Crepeau*

The accuracy of molecular spectroscopy in atmospheric research has entered in a new phase in the frame of remote sensing applications (meteorology, climatology, chemistry) with the advent of highly sophisticated and resolved instrumentations. The latest release of GEISA in 2015, including line parameters, cross-sections as well as aerosols, has been described in Jacquinet et al [1]. For the first time, the corresponding line parameters sub-database has been intensively validated using the powerful approach of the SPARTE chain [2] developed at LMD. This chain had an important impact, particularly is the release of molecules as H<sub>2</sub>O, CO<sub>2</sub> and CH<sub>4</sub>.

GEISA and associated management software facilities are implemented and freely accessible on the AERIS/ESPRI atmospheric data center website [geisa.aeris-data.fr](http://geisa.aeris-data.fr). It is used on-line in various domains like atmospheric physics, planetology, astronomy, astrophysics. Today, the GEISA database is the reference for current or planned Thermal IR/Near IR space missions, such as for IASI, IASI-NG, MERLIN, Microcarb.

We have now initiated the next release planned for the mid 2019. On this poster, we will present the status of this new GEISA 2019 release. Examples of validations we have already made for major molecules such as H<sub>2</sub>O, CO<sub>2</sub> and CH<sub>4</sub> will be presented in a dedicated poster/presentation.

Especially needed by the spatial agencies like CNES, it is important to estimate which could be the precision of the spectroscopic parameters (for a given instrument) to reach its scientific objectives. A part of this poster will be reserved to show how at LMD we have tried to answer to this question.

References:

[1] N. Jacquinet-Husson, et al. *J. Mol. Spectrosc.*, 327, 31-72, <http://dx.doi.org/10.1016/j.jms.2016.06.007> (2016)

[2] R. Armante et al, *J. Mol. Spectrosc.*, 327, 180-192, <http://dx.doi.org/10.1016/j.jms.2016.04.004> (2016)

#### **1p.18 Comparison of Two Data Resampling Algorithms for Processing of Microwave Sounding Observations**

*Presenter: Hu Yang, University of Maryland*

*Authors: Hu Yang*

For microwave sounding instrument, data resampling processing can be used to reduce the spatial resolution difference between observations from different channels and different instrument, and generate one single

data stream for NWP applications. Which is important for correctly classifying cloud-affected data in data assimilation. Currently, there are two different data resampling algorithms being developed for microwave instrument, one is based on antenna pattern B-G optimum cost function method, which has been used to generate ATMS remapping SDR dataset, another is based on Modulation Transfer Function, which is adopted by EUMETSAT AAPP package. In this work, we will evaluate the impact of two remapping algorithms on SDR data quality based on the sub-pixel high resolution simulate datasets, for both resolution downgrade and enhancement cases. Results of the study are expected to be useful for NWP user community to fully explore the benefit of microwave sounding instrument observations.

#### **1p.19 Automated Identification of Anomalous SSMIS Brightness Temperatures Using a Neural Network**

*Presenter: Eric Simon, UCAR / NRL-Monterey*

*Authors: Eric Simon, Steve Swadley*

Spurious anomalies and radio frequency interference appear with some regularity in the SSMIS K-band brightness temperature data. Various images of known incidents show that some involve just one or a few isolated scenes, some appear as narrow streaks spanning several scans, and others are larger clusters that appear regularly in specific geographic areas. Radiative transfer models can be used to find scenes where brightness temperatures differ from a background model, but it would be computationally prohibitive to employ this on a long history of data. Instead, we identified possible incidents using observed brightness temperatures which are classified as excessively high or low, as well as abnormally large departures from surrounding scenes. This technique found more than 16,000 suspected incidents from 2004-2018. Upon review, many of these were the result of temporary instrument calibration issues which we did not want to focus on, yet filtering these out using conventional methods proved challenging. So to identify the incidents a Convolutional Neural Network was trained on a random subset of manually labeled incidents, which had some initial success. Next by using uncertainty sampling, or taking the incidents of which the neural network was the least certain, the neural network was further trained, resulting in a much improved performance. Reapplying this model to the 16,000 incidents the model identified 12,000 incidents not related to calibration issues. To explain the anomalous incidents, a variety of possible trends or correlations were considered, including time of day, season of the year, satellite number, multi-year trend, look angle, and geographic region. While many of these proved insignificant, nearly all incidents exhibit look angle dependency. Additionally some locations had a multi-year trend, or persisted for multiple years but not over the whole record. Analysis based on look angle and multi-year trend offers evidence for possible causes of and relationships between some incidents, but also raises additional questions. Insights to potential causes will be presented and discussed in this study as well as the applicability to other sensors and platforms.

### **Session 2: Calibration, Validation (oral presentations)**

#### **2.01 IASI on-board METOP-C: instrument status L1 calibration/validation results**

*Presenter: Laura Le Barbier, CNES*

*Authors: Laura Le Barbier, Jordi Chinaud, Elsa Jacquette, Claire Maraldi, Laurence Buffet, Olivier Vandermarcq, Clémence Pierangelo, Antoine Penquer, Rémi Braun, Bernard Tournier, Anais Vincensini, Océane Lasserre, Yannick Kangah, Bernard Delatte*

IASI (Infrared Atmospheric Sounding Interferometer) is a key payload instrument on-board METOP satellites. It has been developed by CNES with Thales Alenia Space as industrial prime contractor, in the framework of a cooperation agreement with EUMETSAT. Three identical IASI flight models were built. The first two ones, launched on-board Metop-A and Metop-B respectively in 2006 and 2012, have been fully operational. The last instrument, was launched on-board Metop-C the 7th of November 2018 from Kourou.

The L1 Calibration/Validation (Cal/Val) activities consist mainly in the characterization of the instrument performance and the tuning of level 0 and level 1 processing parameters. IASI-C L1 Cal/Val was performed from December 2018 to June 2019. It involved the IASI Center of Expertise in CNES Toulouse with the support of EUMETSAT for the operations. The full dissemination of L1 products by EUMETSAT dedicated to numerical weather prediction, atmospheric chemistry monitoring and climate studies, started then.

In this presentation, we will give a status of IASI-C instrument and illustrate the high level of quality of L1 data reached at the end of the Cal/Val in the radiometric, spectral and geometric domains.

## **2.02 EUMETSAT Activities for IASI-C Commissioning**

*Presenter: Dorothee Coppens, EUMETSAT (for Stephanie Guedj)*

*Authors: Stéphanie Guedj, Mayte Vasquez and Dorothee Coppens*

The Infrared Atmospheric Sounding Interferometer on board Metop C (IASI-C) delivered its first spectrum on the 12/12/2019. With IASI being fully activated and in good health, the calibration and validation (Cal/Val) phases, also called the commissioning phase has been started. EUMETSAT is working together with the CNES/TEC to provide 1) a detailed performance characterisation with regard to the mission requirements, 2) an evaluation/validation of the measurement with independent instruments and 3) a tuning of the on board/ground data processing parameters.

As part of the cooperation, EUMETSAT is generating daily reports to insure the operational monitoring of IASI-C level 0 and level 1 products. It includes a wide range of information such as the instrument mode, data quality flags and gaps, housekeeping data and processing parameters related to spectral, radiometric and geometric calibration. Most of anomalies or changes along the full processing chain is reflected and characterized in these reports. Results are permanently discussed with CNES/TEC and a selection is presented in the poster.

In addition, EUMETSAT is providing rigorous statistics on inter-pixels comparison (in term of NeDT), and on the so-called OBS minus CALC. In the latter, OBServed radiances are compared with CALCulated radiances that use the RTTOV radiative transfer model and ECMWF atmospheric profiles as input. Moreover, tools have been developed to compute radiances massive average allowing the comparison between IASI-A, -B, -C and other independent instruments such as CrIS, HIRAS or even AVHRR.

As part of the Cal/Val, EUMETSAT is also analysing some valuable feedback from a selection of user partners (ECMWF, Météo-France, Met-Office, LMD, NOAA ...) that will benefit from the Early Dissemination of IASI-C, probably around mid-March 2019. The fully operational dissemination of IASI-C data will start at the end of the Cal/Val activities, most likely around June 2019.

## **2.03 Studies of the CrIS Noise and Calibration Covariances**

*Presenter: David Tobin, CIMSS/SSEC/UW-Madison*

*Authors: David Tobin, Joe Taylor*

This poster will show results of investigations of CrIS observation covariance. This will include the CrIS sensor noise and its covariance based on analysis of large ensembles of internal calibration blackbody and deep space view data, including the effects of the calibration processing and user apodization. It will also include various contributions to the CrIS calibration uncertainty and its associated covariance computed for a range of atmospheric conditions. These (relatively small) contributions to "observation" error and covariance will be compared to noise and covariance estimates computed from large ensembles of colocated clear sky CrIS observations and clear sky calculated spectra, with a goal of estimating the additional contributions due to the RT model, undetected clouds, and model representation.

## **2.04 FY-3D HIRAS Radiometric Calibration and Accuracy Assessment**

*Presenter: Chunqiang Wu, CMA*

*Authors: Chunqiang Wu, Chengli Qi, Xiuqing Hu, Mingjian Gu, Tianhang Yang, Zhongdong Yang and Peng Zhang*

The High-spectral Infrared Atmospheric Sounder (HIRAS) is a Fourier transform spectrometer onboard the fourth Polar-orbiting FengYun 3 satellite (FY-3D). The FY-3D HIRAS provides interferogram measurements of Earth view radiance spectra in three infrared spectral bands at 29 cross-track positions, each with a 2x2 array of Field Of Views (FOVs). The HIRAS level 1 radiance data covers the spectral bands from 650 cm<sup>-1</sup> to 1135 cm<sup>-1</sup> (Long-wave Band, LW), 1210 cm<sup>-1</sup> to 1750 cm<sup>-1</sup> (Mid-wave Band, MW), and 2155 cm<sup>-1</sup> to 2550 cm<sup>-1</sup> (Short-wave Band, SW) with a spectral resolution of 0.625 cm<sup>-1</sup>. The radiometric calibration algorithm and the methods of refining the nonlinearity and the polarization correction coefficients on orbit are summarized in this paper. The nonlinearity correction coefficients are derived by minimizing the spread of the responsivity functions derived from the measurements of the internal calibration target with varying temperatures. The polarization correction coefficients are derived from the cold space observations and the routine Earth scene measurements. The radiometric accuracy is assessed by comparing the HIRAS measurements to the collocated Cross-track Infrared Sounder (CrIS) observations and radiance simulations. The results show that, compared to CrIS, the radiometric differences are about 0.3 K and 0.7 K for the LW and MW bands, respectively, and 0.5 K

for the CO absorption and window regions in the SW band. The consistency of the radiometric calibration among the four FOVs is estimated to be within 0.2 K for most of the spectral domain. Some remaining issues for the FY-3D HIRAS are also discussed.

## **2.05 Checking Beam Performance of HIRS and MHS With the Moon**

*Presenter: Martin Burgdorf, Universität Hamburg*

*Authors: Martin J. Burgdorf, Thomas G. Müller, Marc Prange, and Stefan A. Buehler*

The time needed by the Moon to move through the deep space view of a microwave sounder depends on its beam size. We analysed several Moon intrusions from different instruments and calculated the FWHM of their beams for all channels with an accuracy of 0.02 degrees. Significant discrepancies of up to 20% were found to the values in reports from ground tests. We determined also the brightness temperature of the Moon in each channel and by combining these values with the beam sizes, we could put constraints on the beam efficiencies. Again we found disagreements, in this case of up to 4%, between our measurements and the results obtained on ground. We compare the brightness temperatures of the Moon between 89 and 190 GHz, calculated with our new, improved values for the beam performance, with the predictions by a widely used thermophysical model and demonstrate that it does not reproduce correctly the difference between waxing and waning Moon.

A similar investigation was carried out with appearances of the Moon in the deep space view of HIRS/2, /3, and /4 on various platforms. Different values for the diameters of the field-of-view of these instruments can be found in different documents, and we could rule out some of them on the basis of the brightness temperatures of the Moon they would imply. The size of the field-of-view of HIRS/4 differs among all satellites by less than 2 percent for channels operating in the thermal infrared.

Because of the high accuracy of the brightness temperature of the Moon that is achievable with meteorological research satellites in the thermal infrared and with microwaves, these measurements can provide unique constraints on models of the bulk composition of its regolith.

## **Session 2: Calibration, Validation (poster introductions)**

### **2p.01 HIRAS on-orbit performance and future development**

*Presenter: Chengli Qi, National Satellite Meteorological Center*

*Authors: Chengli Qi*

High spectral Infrared Atmospheric Sounder (HIRAS) is a Fourier Transform Michelson interferometer instrument, which is the first hyper spectral sounder in a series of Chinese FengYun 3(FY-3) polar orbit meteorological satellite and was launched on 15 November 2017. HIRAS provides infrared (IR) measurements of radiance spectra in three spectral bands: the long wave IR (LWIR) band from 650 to 1136  $\text{cm}^{-1}$ , middle wave IR (MWIR) band from 1210 to 1750  $\text{cm}^{-1}$ , and short wave IR (SWIR) band from 2155 to 2550  $\text{cm}^{-1}$ , with spectral resolution of 0.625 $\text{cm}^{-1}$ . There are 29 steps scan observations in each scan line and with 2x2 field of views in each observation. HIRAS was in operational status at Jan, 2019. For operational L1 products, the radiance noise levels meet the specifications, the absolute spectral frequency biases are less than 3ppm for all the three bands, and spectral bias standard deviations are less than 3 ppm in the LWIR and MWIR bands and are about 3~5 ppm in the SWIR band. The radiometric calibration uncertainties were assessed by the comparisons of the radiance spectra between HIRAS and other IR hyper-spectral sensors on different satellites. The radiance differences of the cross-sensor comparisons are in general less than 0.3, 0.7 and 1.0 K in the LWIR, MWIR and SWIR bands, respectively. HIRAS is providing a wealth of data of high accuracy and resolution on atmospheric temperature and humidity with which to improve weather prediction, and also on various components of the atmosphere to further our understanding of atmospheric processes and the interactions between atmospheric chemistry, pollution and climate.

The third batch in FY-3 series consists of four satellites and HIRAS will fly on three of them, in which the first is FY-3E on an early-morning orbit with local time of descending node is around 6:00 A.M and plan to launch on 2020. The results from the orbit simulation and the observing system experiments (OSE) indicate that the early-morning orbit satellite together with the morning orbit and the afternoon orbit satellites can provide the initial meteorological field for the numerical weather prediction (NWP) model without any blank left on the global scale every 6 hours so that the forecast period and the forecast accuracy can be improved for both the

hemispheric and the regional scales. In the new batch HIRAS will upgrade to HIRAS-II, with improvements in detectors from 2×2 change to 3×3, and the NEDT as well as calibration accuracy specifications are much improved.

## **2p.02 Radiometric and spectral intercomparison of IASI-C with other infrared sounders**

*Presenter: Jordi Chinaud, CNES*

*Authors: Jordi Chinaud, Laura Le Barbier, Claire Maraldi, Laurence Buffet, Antoine Penquer, Bernard Delatte, Jean-Christophe Calvel, Claire Baqué, Bernard Tournier, Yannick Kangah, Rémi Braun, Anaïs Vincensini, Olivier Vandermarcq, Stéphanie Guedj, Dorothee Coppens*

IASI (Infrared Atmospheric Sounding Interferometer) has been developed by CNES with Thales Alenia Space as industrial prime contractor. It is a key payload instrument on Metop satellites, a series of three polar orbiting meteorological satellites which form the space segment component of the overall EUMETSAT Polar System. IASI-A and IASI-B have been operational for years. The third and last in-flight model, IASI-C, was launched on board Metop-C the 7th of November 2018 from Kourou.

IASI-C Calibration/Validation (CalVal) activities mainly took place at the IASI Center of Expertise in CNES Toulouse from December 2018 to June 2019. At the end of the CalVal, IASI-C spectra will officially be delivered to users worldwide for numerical weather prediction, atmospheric chemistry monitoring and climate studies.

We will detail how IASI-C radiometric and spectral performances have been validated against other sounders. In particular, the radiometric intercomparison of IASI-C with IASI-A, IASI-B, AIRS and CrIS-N2O using common observations, massive averaging of spectra, or double differences will be detailed. It demonstrates the very good calibration of IASI-C data, and confirms the fact that IASI sounders are a reference in the infrared domain.

## **2p.03 Latest Improvements for CrIS Sensor Data Records**

*Presenter: Yong Chen*

*Authors: Yong Chen, Denis Tremblay, Flavio Iturbide-Sanchez, Joe Taylor, Xin Jin, Mark Esplin, and Dave Tobin*

The Cross-track Infrared Sounder (CrIS) on board the Suomi National Polar-Orbiting Partnership (S-NPP) Satellite and NOAA-20 have provided the hyperspectral infrared observations for profiling atmospheric temperature, moisture and greenhouse gases globally. CrIS is a well calibrated instrument through its excellent instrument design, well-conducted pre-launch and post-launch validations. The excellent performances of CrIS include noise below specification, high spectral and radiometric accuracy, high geolocation accuracy, as well as long-term stability. Previous studies demonstrated that the CrIS Sensor Data Records (SDRs) data not only meet calibration requirements, making it an exceptional asset for weather applications, but also very stable for climate applications. CrIS SDRs for S-NPP and NOAA-20 were declared to the validated status on February 20, 2014 and October 2, 2018, respectively. The operational CrIS SDR data quality is continuously being monitored and improved. Important algorithm improvements have been carried out recently, including the optimization of the spike detection and correction algorithm (operational implemented on October 3, 2018), optimization of the lunar intrusion detection algorithm (operational implemented on December 17, 2018), and the implementation of the polarization correction algorithm, which currently is in evaluation stage (planned operational implementation in later 2019). In this study, the improvements in the CrIS SDR data quality will be presented.

The specific areas of improvement to be covered in this work are as follows. 1) The spike detection and correction algorithm, which detects and corrects the interferograms hit by the high energy particles, and reduce the distorted earth view radiance spectra especially in the South Atlantic Anomaly (SAA) region. 2) The new lunar intrusion (LI) detection algorithm, which has the major purpose to remove the deep space (DS) spectra contaminated by lunar contribution in the calibration DS sliding window, and as a result to improve the quality of the ES radiances during lunar intrusion events. First, the new algorithm efficiently finds a contamination-free DS spectrum in the DS 30-scan calibration moving window to use as the reference spectrum. Second, based on the phase characteristics of the complex raw DS spectra during LI events, the lunar intrusion band-dependent thresholds were derived to effectively reject the contaminated DS spectra and make the valid DS window size consistent among the CrIS three bands. 3) The recently developed polarization correction algorithm, which is for correcting the calibration bias due to the instrument polarization effect for the earth radiances. Evaluation results show that polarization correction slightly reduces the brightness temperature difference between observation and simulation in numerical weather prediction models, makes

the brightness temperature difference more symmetric around nadir FORs than without the correction. These improvements in the ES radiances will have positive impacts to the downstream users for profiling global atmospheric temperature, moisture, greenhouse gases as well as monitoring the climate trending.

#### **2p.04 Implementation of a Polarization Correction for the Cross-track Infrared Sounder (CrIS) Sensor**

*Presenter: Joe Taylor, UW-SSEC*

*Authors: Joe K. Taylor, Henry E. Revercomb, David C. Tobin, Robert O. Knuteson, Michelle L. Feltz, Graeme Martin, Yong Chen, Flavio Iturbide*

The potential for polarization errors contributing significantly to the uncertainty budget of infrared remote sounding sensors has been well recognized and documented, particularly due to polarization dependent scene select mirrors and grating based instruments. The issue is equally applicable to FTS based sensors.

Rotation of a scene select mirror is typically used to direct calibration or scene radiance into a remote sensing instrument. The CrIS sensor utilizes a “barrel-roll” scene select mirror that rotates about an axis that is 45° from the mirror normal, preserving the angle of incidence at the mirror and optical axis for all calibration and scene views.

It is well known that the reflection on an inclined surface will always induce some polarization. As a first order effect, the polarization induced by the 45° scene select mirror will be constant for all scene select mirror rotation angles, since the incident angle at the scene mirror is constant regardless of rotation angle. Secondly, the polarization induced by the other components in the instrument optical chain, including the interferometer and aft-optics, is not dependent on the position of the 45° scene mirror, and is constant for all scene mirror positions. However, the plane of reflection at the scene mirror rotates with the scene mirror rotation, and it is reasonable to assume that the instrument itself will have polarization sensitivity. As a result, it can be assumed that the rotation of the reflection plane at the scene mirror will create a modulation of the signal measured at the detector. Early analysis, which only included transmission effects, prior to the launch of SNPP CrIS indicated that this was not expected to be a significant effect for CrIS. However, when the polarized emission from the scene mirror is included in the analysis, the effect becomes non-negligible for cold scenes and a correction is warranted.

A polarization correction will be added to both the NOAA and NASA CrIS processing in 2019. The model for the polarization induced calibration bias and the associated correction is presented for the CrIS instrument, along with details of the model parameter determination, and the impact of the correction on the calibrated radiances for a range of scene temperatures and types.

#### **2p.05 Progress of the Metop-C AMSU-A Lunar Contamination Correction Algorithm at NOAA/STAR**

*Presenter: Junye Chen, GST*

*Authors: Junye Chen and Banghua Yan*

The European MetOp-C satellite, launched on November 7th, 2018, carries the last one of the Advanced Microwave Sounding Unit (AMSU-A) instruments on-board a series of Polar Orbiting Environmental Satellites (POES), including NOAA-15, 16, 17, 18, 19, MetOp-A, B, C. NOAA/STAR undertakes the major cal/val work for the US instruments on-board MetOp-C, including AMSU-A. The Lunar Contamination Correction is one of the most challenging tasks in the MetOp-C AMSU-A cal/val activities.

Originally, the AMSU-A Lunar Contamination Correction algorithm was developed by Kigawa and Mo (2002), and was implemented in the NOAA AMSU-A L1-B operation system. As a calibration effort for the MetOp-C AMSU-A, the lunar contamination correction algorithm has been revisited and advanced. In this presentation, we will comprehensively review the Lunar Contamination Correction process, the algorithm improvement, and the pre-launch and post launch coefficients estimation. Emphasis will be put on the derivation of the Lunar coefficients in pre-launch phase based on antenna pattern data and in post-launch phase based on time series of deep space cold counts when lunar contamination happens. Corresponding validation and comparison of the Lunar Contamination Correction results between those based on the pre-launch coefficients and the post-launch coefficients will illustrate the big improvement from the post-launch calibration work.

## **2p.06 The common re-calibration technology for long-term FY-3 microwave sounding data**

*Presenter: Gu Songyan, National Satellite Meteorology Center, CMA*

*Authors: GU Songyan, Wang Zhenzhan, Zhang Shengwei, Guo Yang, He Jieying*

The microwave atmospheric sounding payloads of Fengyun 3 series satellite have been gave us 11 years database. The common technology of microwave sounding history data re-calibration will break through the key technologies such as composite analysis of microwave payload channel decay, multi-payload space-time and spectrum matching, the changing trend of calibration parameters and its physical mechanism of response, and the fine re-calibration of microwave historical data of long time series satellites. We will break through the coupling analysis of microwave load on-orbit antenna-feed system and the reconstruction technology of radiometer system's non-linear response, establishing the full-link and dynamic response model of microwave radiometer on-orbit and the evolution model of on-orbit time-varying characteristics, developing the reference transfer model based on cosmic background microwave radiation, and realizing multi-satellite radiation reference with SNO Technology Based on the reanalysis data.

## **2p.07 Status of S-NPP/CrIS SDR Product After the Loss of the MWIR Band**

*Presenter: Yong Chen, Global Science & Technology, Inc, NOAA/STAR (for Flavio Iturbide-Sanchez)*

*Authors: Flavio Iturbide-Sanchez, Yong Chen, Dave Johnson, Dave Tobin, Larrabee Strow, Lawrence Suwinski, Clayton Buttles, Joe Predina, Denis Tremblay, Warren Porter, Xin Jin and Banghua Yan*

On March 26, 2019, the Joint Polar Satellite System (JPSS) Interface Data Processing Segment (IDPS) stopped producing the operational Suomi National Polar-orbiting Partnership (SNPP) Cross-track Infrared Sounder (CrIS) Sensor Data Record (SDR) product, due to an identified anomaly on the Mid-wave (MW) Infra-Red (MWIR) band. The CrIS SDR product is a JPSS Key Performance Parameter (KPP) Data presently being assimilated into Numerical Weather Prediction (NWP) models by weather forecast centers, including ECMWF and NCEP. The CrIS SDR data is critical for the derivation of global sounding data, including thermodynamic parameters, and trace gas species. The loss of the SNPP/CrIS MWIR band has represented the loss of SNPP products derived by the NOAA Unique Combined Atmospheric Processing System (NUCAPS), which is the official NOAA system retrieving vertical temperature, and water vapor profiles from the processing of CrIS and ATMS SDRs. After the MWIR anomaly, the CrIS SDR Team has been working to ensure that the Long-wave (LW) and Short-wave (SW) IR bands are operating nominal, and meeting the JPSS requirements. Anomaly investigation results have helped to identify a failure on the SNPP/CrIS MW Signal Processor (SP) circuit card assembly (CCA). The SNPP/CrIS instrument has been operating using Side-1 electronics since first science data was produced on January 18, 2012. Commanding the instrument to operate using the redundant Side-2 electronics has been identified as the main option to recover the MW band, with minimal risk. The results of performing the switch to Side-2 redundant circuitry will be reported as part of this work, as well as reporting the most up-to-date status of the quality of the SNPP/CrIS SDR science data.

## **Session 2: Calibration, Validation (oral presentations)**

### **2.06 An assessment of data from the GIIRS instrument**

*Presenter: Chris Burrows, ECMWF*

*Authors: Chris Burrows, Tony McNally, Marco Matricardi, Reima Eresmaa*

GIIRS is a hyperspectral infrared sounder which is carried on the Chinese satellite FY-4A, and is the first instrument of its kind on a geostationary platform. Therefore, it is a precursor to IRS which will be part of the Meteosat Third Generation series. Full-resolution spectral data from GIIRS became available in January 2019, and this presentation will describe the results of the subsequent work of analysing these data, in particular, comparing the observations with simulations based on ECMWF model fields. Before these data can be assimilated, it is necessary to perform a careful assessment of the data, and consider aspects such as quality control, cloud and aerosol detection, channel selection etc. and the status of these assessments will be presented here.

### **2.07 Retrospective Calibration of Historical Chinese Fengyun Satellite Data**

*Presenter: Peng Zhang, National Satellite Meteorological Center*

*Authors: Peng Zhang, Xiuqing Hu, Songyang Gu, Lin Sun, Na Xu, Lin Chen*

The first Chinese meteorological satellite was launched in 1988. So far, the Chinese meteorological satellite has been continuously observed for nearly 30 years. Satellite replacement and on-board sensors upgrade make

the old and new observation data uneven in terms of accuracy, stability and consistency, and can not meet the basic needs of long-term sequence climate and environmental change research.

To enhance the capability on the space-based essential climate variable (ECV), a new National Key Research&Development Program of China was funded since 2018 to re-calibrate the historical Chinese Earth Observation satellite data including the Chinese Fengyun Meteorological Satellites (FY), the Chinese Haiyang Oceanic Satellites (HY), and the Chinese Ziyuan Resource Satellites (ZY).

In this paper, the progress on the re-calibrating the 30-years' historical Chinese FY satellites will be introduced. The historical Chinese FY satellites include thirteen meteorological satellites (FY-1A, FY-1B, FY-1C, FY-1D, FY-2A, FY-2B, FY-2C, FY-2D, FY-2E, FY-2G, FY-3A, FY-3B and FY-3C) and seven varieties on-boarded instruments (VIRR, VISSR, MERSI, IRAS, MWTS, MWHS and MWRI). The vicarious China radiance calibration site (CRCS) calibration, the pseudo invariant calibration sites (PICS) calibration, the deep convective clouds (DCC) calibration, and the lunar calibration have been considered in the procedure of the re-calibration for solar reflectance bands. New on-board calibrator models will be built for infrared and microwave bands re-calibration. In addition, some initial results for the re-calibration will be reported in this paper.

## **Session 2: Calibration, Validation (poster introductions)**

### **2p.08 NOAA-20 CALIBRATION/VALIDATION AND ALGORITHMS IMPROVEMENTS**

*Presenter: Lihang Zhou, NOAA/NESDIS/STAR*

*Authors: L. Zhou, M. Goldberg, M. Divacarla, X. Liu, T. Atkins, and S. Kalluri*

The successful launch of the Joint Polar Satellite System (JPSS) -1 (JPSS-1, now named as NOAA-20) is providing an array of atmospheric, land, and ocean data products from four major instruments: The Visible Infrared Imaging Radiometer Suite (VIIRS), the Cross-track Infrared Sounder (CrIS), the Advanced Technology Microwave Sounder (ATMS), and the Ozone Mapping and Profiler Suite (OMPS). These instruments are similar to the instruments currently operating on the Suomi National Polar-orbiting Partnership (S-NPP) satellite. Accounting to the lessons learned through S-NPP product Cal/Val and based on the characterization of the NOAA-20 instruments, the JPSS science teams have developed necessary algorithm upgrades for NOAA-20 algorithms. The science teams have also been performing the NOAA-20 Cal/Val through the Early Orbit Checkout (EOC), Intensive Cal/Val (ICV), and Long-Term Monitoring (LTM) phases according to the Cal Val plans. The Integrated Calibration and Validation System (ICVS), and the S-NPP Long Term Monitoring System (LTM) developed for S-NPP have been upgraded to the NOAA-20 for spacecraft/sensor health and satellite product display/monitoring, respectively. The JPSS science teams have completed the transition of the science algorithms to the Enterprise Algorithms, which use the same scientific methodology and software base to create the same classification of product from differing input data.

In this paper, we present an update of the NOAA-20 and SNPP Cal/Val and an overview of the algorithms' improvements for the NOAA-20 data products. In addition, the operational implementation statuses of JPSS enterprise algorithms for product generation and science data product reprocessing are also going to be briefed.

### **2p.09 Evaluation of using measured SRFs in the radiative transfer for microwave sounders at ECMWF, UK Met Office, and DWD**

*Presenter: David Duncan, ECMWF*

*Authors: David Duncan, Emma Turner, Peter Weston, Niels Bormann, Robin Faulwetter, Christina Koepken-Watts*

Measured spectral response functions (SRFs) have been gathered and implemented in RTTOV for some currently operational microwave sounders (ATMS, AMSU-A), with the goal of improving data usage by utilising more accurate radiative transfer modelling in the assimilation. The effect of using these updated SRFs, in contrast with previous 'top hat' SRFs, is assessed in the data assimilation systems of three NWP centres: ECMWF, UK Met Office, and DWD. Results are shown in terms of O-B (observed minus background) and bias correction statistics for the three centres and compared between sensors. Comparison of the statistics from different centres provides a measure of the influence of bias in the background fields in this assessment. While most SRF changes are not drastic, the impacts on simulated radiances at upper tropospheric and stratospheric

channels are significant. The resulting changes in assimilation trials can be evaluated using other observations with sensitivity in the upper atmosphere such as GPS-RO, hyperspectral IR, and radiosondes.

#### **2p.10 NOAA-20 CrIS Noise Assessment**

*Presenter: Yong Chen, NOAA/GST (for Denis Tremblay)*

*Authors: Denis Tremblay, Yong Chen, Flavio Iturbide-Sanchez, Xin Jin, Erin Lynch*

This work reports on the on-orbit performance of the CrossTrack Infrared Sounder (CrIS) that is currently flying on-board the NOAA-20 satellite that was launched into orbit on November 18th 2017. The noise equivalent differential radiance (NEdN) is one component of the CrIS instrumental performance. The operational algorithm estimates the NEdN by taking into account the calibration measurements of the internal calibration target (ICT) and the deep space (DS) views only. The ICT radiance is calculated over the sliding window, that contains 30 scans, and the NEdN is estimated by calculation the standard deviation at each frequency bins. The NEdN meet the requirements with margin to the exception of MWIR FOV9 which is borderline. An alternative noise calculation methodology uses the Principal Component Analysis (PCA) that uses only Earth scene views. The results shows that the operational NEdN is underestimated for LWIR FOV5 by 30%. The NEdN is higher for hot Earth scene compared with the operational NEdN by up to 50%. The full covariance matrix calculated with PCA shows correlated noise for off-diagonal frequencies due to the instrument line shape effects. Moreover, correlated noise was found at the 668 cm<sup>-1</sup> frequency.

Accurate noise estimates is very important for downstream products. It is used as weighting function of the various channels that are assimilated into the weather forecasting system and the retrieval of trace gases.

#### **2p.11 Calibration of NOAA-20 ATMS**

*Presenter: Quanhua (Mark) Liu*

#### **2p.12 NOAA-JPSS dedicated radiosonde database in support of satellite data calibration/validation**

*Presenter: Bomin Sun*

*Authors: Bomin Sun, Anthony Reale, Cheng-Zhi Zou, Xavier Calbet, Manik Bali, and Ryan Smith*

The Global Climate Observing System (GCOS) Reference Upper Air Network (GRUAN) is a reference observing network designed to provide fully characterized data records for upper-air climate change detection. A concerted effort to utilize GRUAN to supplement the Global Space-based Inter-Calibration System (GSICS) in the monitoring and assessment of environmental satellite sensors was initiated at the GSICS Annual meeting in 2017. Those sensors include the Cross-track Infrared Sounder (CrIS), the Infrared Atmospheric Sounding Interferometer (IASI), the High-resolution Radiation Sounder (HIRS), the Advanced Technology Microwave Sounder (ATMS) and the Advanced Microwave Sounding Unit (AMSU).

In this work, the feasibility of using GRUAN observations to monitor satellite sensor data are explored in two areas. One is to compare the GRUAN temperature observations with polar satellite microwave data in trends and inter-annual variability. The satellite microwave dataset includes calibrated fundamental Climate Data Records (FCDRs) generated by NOAA Center for Satellite Applications and Research (STAR). The second is to understand the consistency of GRUAN radiosonde humidity observations with satellite water vapor sensitive sensor data. This is achieved by using Radiative Transfer Model (RTM) simulation to convert GRUAN atmospheric profiles into the radiance space for comparison with collocated hyperspectral infrared sensor data. Collocation uncertainty and uncertainty in satellite sensor, GRUAN and RT model are taken into account in the assessment.

This work supports GSICS and GRUAN objectives to monitor microwave and infrared sensors from space based platforms including the determination of absolute accuracy of the sensors.

## Session 3: NWP Centre Reports (poster introductions)

### 3p.01 Recent updates to the ECCC Global and Regional Prediction Systems

*Presenter: Alain Beaulne, ECCC*

*Authors: A. Beaulne, J. St-James, S. Laroche, S. Heilliette, I. Mati, S. Macpherson, M. Reszka, E. Lapalme, T. Milewski, M. Deshaies-Jacques, L. Garand*

Observations from recently-launched geostationary and polar-orbiting satellites are assessed in preparation for operational implementation in ECCC (Environment and Climate Change Canada) global and regional prediction systems. More specifically, from the GOES-R series, GOES17 AMVs (Atmospheric Motion Vector) replace those from GOES15 and CSRs (Clear Sky radiance) from the three water vapour (WV) sensitive channels of GOES16 are added. Likewise, the evaluation includes observations from instruments on board the JPSS (Joint Polar Satellite System) NOAA20 satellite, in particular AMVs retrieved from VIIRS (Visible Infrared Imaging Radiometer Suite), radiances from ATMS (Advanced Technology Microwave Sounder) as well as the CrIS (Cross-track Infrared Sounder) FSR (Full Spectral Resolution) product. As for the SNPP (Suomi National Polar-orbiting Partnership) satellite, radiances from the CrIS FSR product are also considered for operational implementation as a replacement for the NSR (Nominal Spectral Resolution) product. Marine wind vectors retrieved from the ASCAT (Advanced Scatterometer) instrument on board the last satellite in the EUMETSAT (European Organisation of the Exploitation of Meteorological Satellites) Polar System programme, MetOp-C, are also examined. In addition, ground-based GPS (Global Positioning System) measurements of zenith total delay (ZTD) from the U.S. SuomiNet network provided by the University Corporation for Atmospheric Research (UCAR) are evaluated as a replacement for the previously-received NOAA observations. Cumulative effects of the above observations are assessed by examining observation-minus-background errors, as well as forecast scores against both radiosonde observations and analyses.

### 3p.02 Overview of radiance data assimilation developments at DWD since ITSC-21

*Presenter: Christina Köpken-Watts, DWD*

*Authors: Ch. Köpken-Watts, R. Faulwetter, O. Stiller, A. Walter, S. May, M. Pondrom, K. Raykova, R. Potthast*

This overview poster describes the upgrades to DWD's operational global ICON and hybrid ensemble based EnVar data assimilation system introduced over the last two years in the field of satellite radiance assimilation.

A particular focus of the last years was on using humidity sensitive radiances which could never be assimilated with benefit in the previous NWP system. Now, all current operational instruments, IR and MW humidity sounders as well as MW imagers have been technically implemented. After extensive testing, IR humidity channels from the hyperspectral IASI, and the geostationary imagers SEVIRI, GOES, AHI, ABI, as well as from the MW sounder channels from MHS, ATMS, SSMIS, GMI have been introduced operationally with a sound positive impact. Tests with MWHS-2 are ongoing and MW imagers are currently used for validation with assimilation tests about to start.

Further upgrades addressed a retuning of the horizontal thinning for several instruments, the introduction of full observation error covariance matrices and an update of cloud-screening for IASI. The new NOAA-20 and METOP-C instruments have been evaluated and results from recent data impact experiments addressing the impact of broad data categories are shown. A last section will briefly present ongoing developments that are not described in other conference contributions, e.g. concerning the retrieval of emissivity for IR and MW to enhance data usage over land.

### 3p.03 Progress and plans for the use of radiance data in the NCEP global and regional data assimilation systems

*Presenter: Andrew Collard, IMSG@NOAA/NCEP/EMC*

*Authors: Andrew Collard, Yanqiu Zhu, Haixia Liu, Emily Liu, Kristen Bathmann, Li Bi, Russ Treadon, Jim Jung, Daryl Kleist, Catherine Thomas, Xu Li, Xiaoyan Zhang and Erin Jones*

Since the last International TOVS Study Conference in December 2017, there has been one major operational upgrades to the data assimilation system at NCEP (in June 2019). This upgrade was primarily to replace the spectral dynamical core of the forecast model with the Finite Volume Cubed-Sphere (FV3) core developed by the NOAA Geophysical Fluid Dynamics Laboratory (GFDL).

The most significant data assimilation advances in this period were:

1. Upgrade ATMS to assimilate radiances in all-sky conditions

2. Include IASI humidity channels
3. Use full spectral resolution (FSR) data for CrIS including the use of humidity channels
4. Add the use of Saphir and Meteosat-11 SEVIRI radiances  
Assimilate radiances from AMSU-A, MHS and IASI on MetOp-C

The next scheduled upgrade to the Global Forecast System is in January 2021 which will be focussed on increasing the number of model levels from 64 to 127, but will include DA upgrades such as the introduction of spectrally correlated observation errors and improved use of cloudy radiances.

### **3p.04 NCMRWF NWP Status**

*Presenter: S Indira Rani, NCMRWF*

*Authors: S. Indira Rani, John P. George, V. S. Prasad, Sumit Kumar, Bushair M.T., Buddhi P Jangid, A Lodh, Gibies George, and E.N. Rajagopal*

The NCMRWF NWP Systems are used for both operational and research purposes. NCMRWF is the Analysis centre for National Weather Service, IMD. Recently updated the assimilation and forecast system and included radiances from state of the art meteorological satellites.

### **3p.05 Recent changes in the use of passive sounding data in the ECMWF NWP system**

*Presenter: Niels Bormann, ECMWF*

*Authors: Niels Bormann, Stephen English, Mohamed Dahoui, Phil Browne, Massimo Bonavita, Chris Burrows, David Duncan, Reima Eresmaa, Alan Geer, Elias Holm, Heather Lawrence, Peter Lean, Katrin Lonitz, Cristina Lupu, Marco Matricardi, Tony McNally, Kirsti Salonen, P Weston*

The poster gives an overview of the status of the assimilation of passive sounding data, and highlights recent relevant changes in the ECMWF NWP system. At the time of writing, ECMWF assimilate radiance observations from 20 MW instruments, 5 hyperspectral IR sounders, and 5 geostationary satellites. Additions since ITSC-21 have been NOAA-20, Metop-C, as well as GOES-16 and Meteosat-11.

There have been two major system upgrades since ITSC-21, cycles 45r1 (5 June 2018) and cycle 46r1 (11 June 2019). Key changes for the use of IR sounder data have been the assimilation of non-surface-sensitive infra-red (IR) channels over land (45r1), a substantial increase in the number of assimilated WV channels for IASI (46r1), updates to the aerosol detection (46r1), as well as an overhaul of the assimilation of geostationary radiances (extended disk, slant-path, correlated observation error, 46r1). Highlights for MW radiances cover inter-channel observation error correlations for ATMS (46r1), activation of constrained variational bias correction for the top-most temperature-sounding channel on AMSU-A and ATMS (45r1), update of the permittivity model in RTTOV-SCAT (46r1), and the addition of SSMIS-F17 150h GHz and GMI 166 v/h GHz channels (46r1). In addition, the RTTOV radiative transfer model has been updated to version 12 (in 45r1) and 12.2 (in 46r1), together with an update to the MW coefficient files.

Cycle 46r1 also saw the introduction of continuous data assimilation, a major reconfiguration of our data assimilation suite that allows us to assimilate more observations and increases the benefit of observations with short timeliness. Other relevant data assimilation changes include a move to 50 members in the Ensemble of Data Assimilations (EDA) which allows a better estimation of background errors, as well as the introduction of weakly coupled data assimilation for sea-surface temperature in the tropics, continuing the trend towards more coupling in data assimilation and a wider Earth System approach.

### **3p.06 Ongoing developments on satellite radiance assimilation at Météo-France**

*Presenter: Nadia Fourrié, CNRM Météo-France and CNRS*

*Authors: N Fourrié, Ph Chambon, V. Guidard, O Coopmann, Z Sassi, P Moll, D Raspaud and JF Mahfouf*

A large part of assimilated observations in the global model of Météo-France ARPEGE come from satellites radiances (mostly on polar orbiting satellites). Satellite radiances are also assimilated in the meso-scale model AROME-France but represent a smaller fraction of observations with a dominance of MSG SEVIRI. This poster intends to give an overview of the radiance usage in the French Numerical Weather Prediction models and the status of the current developments. The relative weight of each radiance type will be given in terms of degrees of signal for Freedom and the summary of recent changes in data usage in the future 2019 operational suite will be presented.

Among the various developments, highlights will be given on the use of observation error cross-correlations for infrared hyperspectral radiances from IASI and CrIS, the use of the surface temperature retrieval for the assimilation of IASI radiances over continents, the assimilation of 5 ozone IASI channels in the global model, the assimilation of all-sky SAPHIR and MHS microwave radiances.

### **3p.07 Recent upgrades in the use of satellite radiance observations within the Met Office global NWP system**

*Presenter: Chawn Harlow, Met Office*

*Authors: Chawn Harlow, Brett Candy, Nigel Atkinson, James Cameron, Fabien Carminati, Amy Doherty, Stephan Havemann, Stefano Migliorini, Stuart Newman, Ed Pavelin, David Rundle, Andy Smith, Fiona Smith, Laura Stewart, Ruth Taylor, Michael Thurlow, Simon Thompson*

Improvements in the assimilation of satellite radiance observations have led to significant performance gains in the Met Office global model over the last two years. Upgrades in the treatment of satellite radiance data have occurred at model upgrades PS40 (Feb 2018), PS41 (Sep 2018), PS42 (Mar 2019) and PS43 (anticipated Nov 2019). Highlights of these upgrades include the following:

- Significant increase in the use of AMSU-A Channels 4 and 5 due to all skies assimilation efforts. This required development of an error model for liquid water effects on radiances in these channels. Retrievals of cloud liquid water are used within the Observation Processing System (OPS) to inflate the error on these channels used in assimilation.
- Upgrade from RTTOV-11 to RTTOV-12. This included the capability to treat scattering due to cloud and precipitation in the microwave via RTTOV-SCATT which is important for future development of the assimilation of 183 GHz humidity sounding data. Interfaces with HT-FRTC and PC-RTTOV were provided to enable trialling of their schemes based on principal components.
- Use of RTTOV-12 on 70 model levels instead of 43 coefficient levels. This eliminates the need to interpolate between coefficient levels and model levels within the assimilation system and provides a more consistent treatment of model fields therein.
- Novel developments in the treatment of microwave humidity sounding data over land. This required better techniques for detecting cloud over heterogeneous land surfaces and the development of a 1dvar retrieval scheme for land emissivities within OPS.
- Introduction of new instruments including two new microwave imagers FY-3C MWRI and GPM GMI and the instruments on NOAA-20: the microwave sounder ATMS and the hyperspectral IR sounder CrIS. These instruments provide redundancy to previous instrumental systems as well as giving better spatial and temporal coverage.
- Improved quality control for cloud screening was applied to GMI, AMSR, and MWRI, based a test on the background departure at 36H plus a new one based on anomaly difference between 36 and 89 GHz.
- Assimilation of geostationary clear-sky radiances from GOES-16 ABI. Replacement for GOES-13 allows continuity of these geostationary measurements on the eastern seaboard of the US as well as added value due to seven additional channels.

These upgrades were in addition to upgrades to the assimilation of products such as AMV's, scatterometer and Radio Occultation. There were also upgrades elsewhere in the NWP system including updates to the model as well as the data assimilation scheme. The land surface scheme was upgraded to GL8 which included a new multi-layer snow scheme and improved surface drag. The data assimilation upgrades included hourly cycling of background fields in 4DVar in order to obtain a more timely first guess. There was also an ensemble upgrade which yielded better information about the background covariances which had a positive impact on the assimilation.

This poster will focus on the improvement of satellite data processing and assimilation during this period.

### **3p.08 Satellite radiance assimilation at the Bureau of Meteorology**

*Presenter: Fiona Smith, Bureau of Meteorology*

*Authors: Fiona Smith, Jim Fraser, David Howard, Jin Lee, Leon Majewski, Susan Rennie, Andrew Smith, Peter Steinle, Chris Tingwell, Yi Xiao*

The Bureau of Meteorology operates a global model, six city-scale models and a relocatable tropical cyclone model. By 2020, all of these models will include data assimilation incorporating satellite radiance data. This poster will provide an update on these systems relative to the last conference.

Satellite radiance data continue to provide significant impact in our forecast system. Our global configuration assimilates brightness temperatures from ATOVS, IASI, ATMS, CrIS, AIRS, and from 2019 we have added AMSR-2 and Himawari-8. We are currently working on the addition of all-sky microwave data assimilation, in line with the Met Office scheme. The six capital-city convective-scale model configurations and the new TC model use data from ATOVS, IASI, ATMS, CrIS and AIRS, from both global and direct readout sources. In the near future we hope to add brightness temperatures and 'GeoCloud' retrievals from Himawari to the city systems.

### **3p.09 Recent upgrades of satellite radiance data assimilation at JMA**

*Presenter: Norio Kamekawa, Japan Meteorological Agency*

*Authors: Norio Kamekawa, Hidehiko Murata, Masahiro Kazumori, Izumi Okabe*

This poster overviews recent upgrades of satellite radiance data assimilation into the numerical weather prediction (NWP) system at the Japan Meteorological Agency (JMA) since the last ITSC-21 in November 2017.

JMA began to assimilate surface-sensitive clear-sky radiance (CSR) data from Himawari-8 for areas over land and Meteosat Second Generation (MSG) data for areas over land and oceans into its global NWP system on October 18 2018. In order to assimilate surface-sensitive CSR data for areas over land, a new radiative transfer calculation method involving the use of data from the Wisconsin University land surface emissivity atlas and land surface temperatures retrieved from window-channel CSR observation data was developed.

JMA also began to assimilate surface-sensitive CSR data from Himawari-8 into its mesoscale NWP system covering Japan and its surrounding areas on March 26 2019, and the new radiative transfer calculation method used in its global NWP system was applied.

JMA has assimilated radiance data from the Advanced Technology Microwave Sounder (ATMS) and Cross-track Infrared Sounder (CrIS) onboard NOAA-20 into its global NWP system following those of Suomi-NPP since 5 March 2019. NOAA-20 data quality is similar to or better than that of Suomi-NPP, and the additional use of NOAA-20 data improved the first-guess and forecast fields.

The Suomi-NPP/CrIS radiance data was switched from NSR (Normal Spectral Resolution) to FSR (Full Spectral Resolution). ATMS and CrIS radiance data delivered from Direct Broadcast Network (DBNet) have been added to the Early Analysis of global NWP system (cut-off time 2h20m) and the available data are increased.

In future plan, GOES-16 CSR data, all-sky microwave radiance data, and ATOVS data on Metop-C will be incorporated in the JMA operational global data assimilation system in this year.

### **3p.10 Overview of Satellite Radiance Data Assimilation in NCEP FV3 Regional System**

*Presenter: Xiaoyan Zhang*

*Authors: Xiaoyan Zhang, Haixia Liu, Andrew Collard and Jacob Carley*

NOAA's next generation Numerical Weather Prediction systems are based on the concept of unifying around the Finite Volume Cubed-Sphere (FV3) dynamical core. The FV3 is used for global NWP and will be for convective-scale applications as well. For convective-scale NWP a limited area version of the FV3 dynamic core is used and is known as the Stand Alone Regional (FV3-SAR). This limited area configuration is poised to underpin the rapidly updated, convection allowing ensemble system in the NCEP production suite as the Rapid Refresh Forecast System (RRFS) in the 2022-2023 timeframe.

The early phase of testing and development with the 3 km FV3-SAR leverages a configuration similar to the current operational 3 km NAM CONUS nest which features a 6-hour long assimilation cycle, with hourly forecast/analysis components, ending with a free forecast. The assimilation is conducted using a hybrid 3D-EnVar method. The current developmental system assimilates the same satellite radiances as is done with the operational NAM, which features radiances from the following instruments onboard polar orbiting satellites: AMSUA, MHS, IASI, AIRS, and CRIS/. The performance of these satellite radiance data assimilation will be evaluated in the new FV3-SAR configuration.

However, observations that feature continuous, low-latency coverage over a high-resolution limited area CONUS domain are of particular importance in the emerging RRFS framework. Therefore radiances from the newest generation of GOES stand to be particularly valuable and hence this work also examines the

assimilation of GOES-16 radiance data. The new-generation GOES-16 geostationary meteorological satellite was successfully launched on November 19, 2016. GOES-16 carries the Advanced Baseline Imager (ABI) which observes Earth with 16 different spectral bands, including two visible channels, four near-infrared channels, and ten infrared channels. GOES-16 provides coverage over a 1000x1000km box with a temporal resolution of 30 seconds, and spatial resolution of 0.5 to 2km. Notably, there is a significant improvement in spectral, spatial and temporal resolution, each of which has benefits for data assimilation. ABI Clear Sky Radiance (CSR) data produced from GOES-16 radiance observation have been distributed to the Numerical Weather Prediction (NWP) community by NOAA/NESDIS. CSR data are box-averages of 15x15 pixels containing information on tropospheric humidity under clear-sky condition. In this study, the impact of GOES-16 CSR data assimilation in NOAA's developmental, 3km FV3-SAR system will be investigated.

### **3p.11 Progress and plans for satellite data assimilation in KMA operational NWP system**

*Presenter: Eunhee Lee, KMA*

*Authors: Eunhee Lee, Hye-Young Kim, Youngsoon Jo, EunHee Kim, Mee-ja Kim, Yong-Hee Lee*

The Korea Meteorological Administration (KMA) has recently introduced several upgrades to the use of satellite data in its Global Data Assimilation and Prediction System (GDAPS) based on Unified Model. Since the last ITSC, KMA has newly assimilated Himawari-8 CSR, MT-SAPHIR, GCOM-W1/AMSR2, FY-3C/MWHS-2, LEOGEO winds and GNSS-RO from TanDEM-X, TerraSAR-X, GRACE. MT-SAPHIR and AMSR2 data enhanced convective activities in middle and lower tropospheric layers over Tropics and they had an effect on increasing the initial specific humidity in the DA process. The impacts for global model showed slightly positive improvements in wind and humidity.

This year, the KMA has two important issues. One is the introduction of a new Korean global numerical weather prediction (NWP) model, and the other is the use of the 2nd geostationary satellite of Korea, Geo-KOMPSAT-2A (GK-2A) was launched successfully in 5 Dec. 2018, in a NWP system.

The KMA has carried out a project to develop a Korean global NWP model in order to improve the prediction accuracy of the weather phenomenon on the Korean Peninsula and to ensure the identity of meteorological technology. Since the project is scheduled to end at the end of this year, KMA has put large effort into introducing the developed Korean global NWP model as an operational model of KMA. KMA's new global model has its own data assimilation system using hybrid 4D-EnVar. The KMA has been dedicating to improve the satellite data assimilation system. The model including data assimilation system was developed for a limited period of 10 years. Therefore, much improvements and optimization is still needed. Current status and plans for this new system will be briefly introduced in ITSC-22.

The data of COMS, the first geostationary satellite of Korea, has been successfully assimilated in KMA NWP system. The GK-2A satellites will inherit the mission of COMS to observe the weather and strengthen the national capability to monitor the meteorological phenomena around the Korean Peninsula. The preliminary results from the assimilation of GK-2A CSR (Clear Sky Radiance) and AMV (Atmospheric Motion Vectors) data show a slightly positive impact on the middle and higher tropospheric humidity and wind fields, significant improvements are shown in Asia region. To make better use of the GK-2A data, quality control and data assimilation method has been investigated. The GK-2A data will be disseminated via GTS from the end of this year in near-real time.

## **Session 4: Assimilation of Geostationary Infrared Sensors (oral presentations)**

### **4.01 Assimilation of Infrared Radiances from Geostationary Satellites at NCEP**

*Presenter: Haixia Liu, MSG, NOAA/NCEP/EMC*

*Authors: Haixia Liu, Andrew Collard*

Geostationary satellites provide high temporal and spatial resolution imagery of the Earth at visible and infrared wavelengths, however, due to their data volume being too large at their original pixel level, numerical weather prediction (NWP) centers usually assimilate Clear-Sky Radiance (CSR) or All-Sky Radiance (ASR) products in their global systems. National Centers for Environmental Prediction (NCEP) has been actively assimilating the CSR data from the SEVIRI two infrared water vapor channels. We started using the data from MSG10 in August 2013 and just switched recently to the CSR from MSG11. The CSR from MSG08 has been available but not been investigated yet. The CSR from the AHI on board of Himawari8 has been available but only monitored in the operation. Since last year, to help improve the algorithm to generate the CSR from

ABI\_GOES16, we have evaluated several versions of the ABI\_GOES16 CSR data using our operational configuration. In this presentation, evaluation of these versions of the GOES-16 CSR data will be discussed. The CSR products from SEVIRI\_M08, SEVIRI\_M11, AHI\_Himawari8 and ABI\_GOES16 together form a good coverage in the tropical and middle-latitude regions. On June 12, 2019, NCEP has implemented the Global Forecast System (GFS) v15 which uses the Finite-Volume Cubed-Sphere (FV3) dynamical core and GFDL microphysics. We are going to evaluate these CSR data quality not only for the water vapor channels, but also the surface channels through studying the statistical characteristics of these data compared with the simulated model equivalence (OmF) using the newly-implemented GFS v15 model. The assimilation experiment will then be conducted using the CSR data from only the water vapor channels of all the above-mentioned instruments and results will be discussed.

In addition, the ASR data from ABI\_GOES16 has been developed along with the CSR at the University of Wisconsin and will be disseminated at NOAA/NESDIS soon. Initially the baseline version of the ASR will be implemented in the NESDIS operational data stream, but the enterprise version is available for testing purpose. Both versions of ASR BUFR data will be briefly investigated and discussed in this presentation.

#### **4.02 Research on assimilation of FY-4A AGRI radiance in GRAPES Global Forecast System**

*Presenter: Hao Wang, National Meteorological Center of CMA*

*Authors: Hao Wang*

Information about moisture distribution is very important for nowcasting and forecasting. The Advanced Geosynchronous Radiation Imager (AGRI) on board the Chinese new stationary satellite FY-4A, the second generation of geostationary imager among global meteorological observation system. The AGRI on board FY-4A has 3 visible, 3 near-infrared, and 8 infrared channels, provides high temporal and spatial resolution moisture information that useful for NWPC. Now FY-4A AGRI radiances are assimilated in GRAPES\_GFS and relevant assimilation techniques and approaches have been developed. The assimilation experiment are verified against NCEP analysis show positive impact on the vertical distribution of the root mean square error of the East Asian water vapor field. According to the anomaly correlation scores (ACC) of geopotential height, the assimilation experiment illustrate that the anomaly correlation scores of forecast 500-hPa geopotential heights are increased slightly in East Asian, significantly from day 1 to day 8. Assimilation of FY-4A AGRI radiance data has a positive impact in GRAPES\_GFS forecast.

#### **4.03 Assimilation of geostationary water vapour clear sky radiances with an Ensemble Kalman Filter**

*Presenter: Marc Pondrom, Deutscher Wetterdienst*

*Authors: M. Pondrom, C. Köpken-Watts, A. Rhodin, R. Faulwetter*

Water vapour radiances measured by satellite instruments not only contain information about the water vapour distribution in the atmosphere but also on the wind field through the displacement of the water vapour structures. This information can be exploited through the direct tracking of these movements, done e.g. in the derivation of so-called water vapour atmospheric motion vectors, but also in a data assimilation (DA) system. The impact of geostationary clear sky water vapour radiances (CSRs) of the Spinning Enhanced Visible Infra-Red Instrument (SEVIRI) on board Meteosat 8 and 10 on specific humidity, temperature and wind analyses and forecasts of the operational global ensemble variational assimilation system of the German Weather Service (DWD), has been investigated. This system consists of the global ICON model and an EnVar data assimilation using a 40 member LETKF (local ensemble transform kalman filter) to estimate the flow dependent background error covariance matrices. The study uses on the one hand a set of observing system experiments performed under idealised conditions with synthetic observations based on a model 'nature' run ("twin experiments"), and on the other hand impact experiments with real data. In both cases, the verification scores show that the assimilation of CSRs from the two water vapour (WV) channels at 6.25 and 7.35  $\mu\text{m}$  improve the forecast skills not only for humidity but also for the dynamic variables, especially in the high and middle troposphere and in the region covered by MSG 1 and 3. The different results obtained from the idealised experiments show that the improvement is due to the interaction between specific humidity, temperature and wind through the model dynamics and physics during the forecast step as well as through the improved spatial correlations and cross-correlations between variables of the updated background error covariance matrix derived from the ensemble.

#### **4.04 Impact of Geostationary Clear Sky radiances assimilation on the wind field over the Indian Ocean region**

*Presenter: M.T. Bushair, NCMRWF*

*Authors: M.T. Bushair; Buddhi Prakash Jangid; S. Indira Rani and John P. George*

Meteosat second generation (MSG-2) satellite, Meteosat-8 was relocated to the Indian Ocean Data Coverage Service (IODC) location by replacing the MSG-1 satellite Meteosat-7 on 01 February 2017. This paper analyses the impact of SEVIRI Clear Sky Brightness Temperature (CSBT) in the NCMRWF Assimilation and Forecast System. The impact of Water vapor (WV) channel derived Clear Sky Brightness Temperature (CSBT) from geostationary satellites in the 4D variational (4D-VAR) assimilation systems are vivid in the humidity field. In the variational assimilation systems, the change in one variable cannot happen in isolation; the change in humidity field can affect both temperature and wind fields as well. This paper discusses a series of Observing Simulation Experiments (OSEs) analyzing the impact of the radiance from Spinning Enhanced Visible and Infra-Red Imager (SEVIRI) onboard Meteosat-8 satellite, that provides the Indian Ocean Data Coverage (IODC) service. The assimilation and forecast system used in this study is NCMRWF's Unified Model (NCUM). NCUM operationally assimilates both SEVIRI CSBT and the AMVs from Meteosat-8 along with other conventional and satellite observations. NCUM routinely assimilates INSAT-3D sounder CSBT and the AMVs derived from INSAT-3D Imager. Since both Meteosat-8 and INSAT-3D have approximately same geographical coverage, INSAT-3D observations, both CSBT and AMVs are restrained in the OSEs to quantify the impact of CSBT and AMVs from Meteosat-8. OSEs are designed in such a way that along with other global observations, i) CSBTs (EXP1), ii) AMVs (EXP2), iii) both CSBTs and AMVs (EXP3) from Meteosat-8 and iv) no CSBTs and AMVs (EXP4) from Meteosat-8. The fourth one is considered as the baseline experiment and the impact of CSBT and AMVs are analyzed in terms of the baseline experiment in both assimilation and forecast system. Impact of SEVIRI radiance assimilation is computed in terms of different meteorological parameters like Relative Humidity, Temperature, and wind. In some experiments a positive impact on upper-level wind fields (around 200 hPa) is seen, this was mainly due to the assimilation of WV channel CSBT. A reasonable sensitivity is observed in forecast due to the assimilation of SEVIRI CSBT in NCUM system.

### **Session 4: Assimilation of Geostationary Infrared Sensors (poster introductions)**

#### **4p.01 Towards the use of a bayesian approach for the assimilation of all-sky IASI radiances**

*Presenter: Nadia Fourrié, CNRM Meteo-France and CNRS*

*Authors: Imane Farouk, Nadia Fourrié, Vincent Guidard, Philippe Chambon and Fabrice Duruisseau*

The current generation of advanced infrared sounders is one of the most important sources of observations in data assimilation systems in numerical weather prediction (NWP) models. Currently the "all-sky" assimilation for infrared sounders is underway. As a prerequisite, the evaluation and improvement of homogeneous scene detection algorithms based on the collocation of observations with other imagers was studied. Different criteria for selecting homogeneous scenes are proposed. By conducting assimilation experiments and evaluating the impact of these proposed selection criteria on the quality of long-term forecasts, one of the proposed tests stands out from the others by keeping a significant amount of clear sky observations and demonstrating neutral to slightly positive impacts on the forecasts (Farouk et al., 2019).

To address the issue of all-sky radiance data assimilation, the two-step assimilation technique, already used for radar reflectivity assimilation in AROME (Wattrelot et al., 2014), was evaluated for IASI radiances in the ARPEGE model in a case study. This method based on Bayesian inversion has recently been adapted for satellite microwave observations (Duruiseau et al., 2018). In a simplified framework, several sensitivity tests were carried out on the different parameters of the algorithm, with the objective of preparing for future work on infrared all-sky assimilation.

#### **4p.02 All-Sky Radiance Assimilation for COAMPS-TC Tropical Cyclone Track and Intensity Prediction**

*Presenter: Nancy Baker, Naval Research Lab Marine Meteorology Division*

*Authors: Dr. Nancy L. Baker, Dr. Allen Zhao, Dr. Yi Jin and Dr. Jim Doyle*

Scientists at the Naval Research Laboratory Marine Meteorology Division are partnering through an ONR-sponsored collaboration with scientists at Penn State University (PSU) to enable new data assimilation capabilities to improve the US Navy's COAMPS® and COAMPS-TC tropical cyclone and other high-impact storm forecasts. The effort has two main components, (1) implementation and testing of the PSU ensemble Kalman Filter (EnKF) data assimilation system, and (2) assimilation of all-sky radiance, together with airborne Doppler radar and other in-situ observations.

This presentation will present the data assimilation approach and initial results for COAMPS-TC<sup>®</sup> using the PSU EnKF for TC inner core assimilation of GOES-13 water vapor all-sky radiances (channels 8-10) using the Community Radiative Transfer Model (CRTM), together with airborne radar data, and other in-situ observations. The initial goal for this research is to evaluate whether this configuration can improve the COAMPS-TC intensity forecasts for Hurricane Patricia (2015). We will compare the results with the COAMPS-TC forecasts using both NAVGEM (NAVY Global Environmental Model) initial and boundary conditions, and GFS initial and boundary conditions.

COAMPS<sup>®</sup> is a registered trademark of the Naval Research Laboratory.

#### **4p.03 Assimilation of cloud-contaminated radiances in regional air quality model: a case study using GEMS synthetic radiance data**

*Presenter: Ebony Lee, Ewha Womans University*

*Authors: Ebony Lee and Seon Ki Park*

As the impact of air quality on human health and socioeconomic issues becomes more evident, we are endeavoring to make accurate air quality forecasting. Recently, many researches have focused on the assimilation of satellite observations into the air quality models since satellite data have the advantage of spatiotemporal coverage. The Geostationary Environmental Monitoring Spectrometer (GEMS) is planned to be launched in late 2019 or early 2020, with missions to monitor and provide measurements of atmospheric composition (e.g., O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, HCHO, and aerosols) in Asia. The GEMS observations are expected to contribute to improving the accuracy of the air quality monitoring and forecasting. Since the satellite-measured radiance data are deteriorated (contaminated) when clouds are present, it is common to use cloud screening to remove the deteriorated data and use only clear-sky data for assimilation. To compensate for the data loss by cloud screening, studies on the all-sky radiances assimilation have been actively conducted in numerical weather prediction. In this study, we assimilate the synthetic radiances of GEMS into WRF-Chem to investigate the characteristic aspects in the air quality prediction. The synthetic radiances are produced by a radiative transfer model, called VLIDORT, by considering the spectral and spatial resolution of GEMS. To assess the impact of the cloud contaminated data and the loss of information by cloud screening, we will compare the model results: (1) by assimilating the radiances calculated under the full clear-sky condition; (2) by assimilating the cloud-affected radiances; and (3) by assimilating the clear-sky radiances after cloud screening.

### **Session 4: All-sky Assimilation of Geostationary Infrared Sensors (oral presentations)**

#### **4.05 Evaluation and assimilation of all-sky infrared radiances of Himawari-8 in the regional and global data assimilation system**

*Presenter: Kozo Okamoto, JMA/MRI*

*Authors: Kozo Okamoto, Yohei Sawada, Masaru Kunii, Tempei Hashino, Masahiro Hayashi, Masayuki Nakagawa, Keiichi Kondo*

This study investigates the benefit of assimilating infrared radiance observations from satellites in all-sky conditions. We have been developing the all-sky radiance (ASR) assimilation for Himawari-8 in the regional and global data assimilation system, and plan to extend it to hyperspectral infrared sounders. The development includes a band selection based on observation error correlation, a cloud-dependent quality control (QC) procedure and observation error model.

The impact of ASR assimilation was compared with the CSR assimilation using a regional LETKF assimilation system. The ASR assimilation brought better fit of first-guess to radiosonde observations and more stable improvement in a severe rainfall prediction due to more secure data coverage. Testing bias correction (BC) for the ASR assimilation showed no additional positive impacts over the ASR assimilation without BC, suggesting a harmful cloud-dependent bias was mitigated by increasing observation errors with cloud effect.

The similar development of the ASR assimilation in the operational global data assimilation system is underway. As the first step, we compared ASR observations with simulations to understand the reproducibility of our global model and radiative transfer models. The minimization procedure is examined in the ASR assimilation in the operational global 4D-Var assimilation system and preliminary results will be presented.

#### **4.06 Evaluating the impact of assimilating cloud-affected infrared radiances from GOES-16 ABI on the forecast of a severe storm in the Midwest U.S.**

*Presenter: Jonathan Guerrette, NCAR, MMM*

*Authors: Jonathan (JJ) Guerrette, Zhiquan (Jake) Liu, Chris Snyder*

Constraining a convection-permitting atmospheric model around the truth requires a data assimilation approach that uses observations that resolve convection and microphysics at relevant scales and considers flow-dependent model uncertainties. In this work we use radiances produced by the Advanced Baseline Imager's (ABI) three water-vapor (WV) sensitive infrared channels onboard the GOES-16 satellite. The ABI WV channels record a new full-disk image every 15 minutes with nominal 2 km resolution at nadir. The radiances are simulated using the Community Radiative Transfer Model (CRTM) version 2.3. We use a hybrid 3D/4D/EnVar technique with 3km grid spacing and a flow-dependent model error representation in the Weather Research and Forecasting Model Data Assimilation system (WRFDA). Our study focuses on a severe storm with verified reports of tornadoes and hail on 1 May 2018, which impacted a broad region spanning central Kansas to eastern Nebraska. The storm system was initially predicted with relatively good lead-time by operational convection-permitting forecasts. We investigate whether the ABI radiances can improve the spatial positioning of the most intense regions of the storm while also maintaining or increasing forecast lead-time. In addition to hourly Global Telecommunication System (GTS) and 6-hourly Global Navigation Satellite System Radio Occultation (GNSSRO) observations, a control hourly cycling experiment (CLRSKY) assimilates ABI pixels that have passed an online IR-only cloud-detection algorithm in WRFDA. A separate ALLSKY experiment additionally uses ABI pixels affected by hydrometeors, which are currently excluded from operational forecast systems. ALLSKY uses an observation error inflation (OEI) mechanism that scales linearly with a cloudiness parameter evaluated for each pixel. OEI enables cloudy pixels to be used that would otherwise fail background error quality checks or degrade the DA analysis. Both experiments are cycled for 24 hours beginning at 00Z, 20 hours before the first reported hail and 22 hours before the first reported tornado. We will discuss the impacts of the cloud-affected radiances on forecasted spatial and phase distribution of water mass and forecast verification statistics throughout both experiments. Finally, we will assess whether these observations can improve the predictability of this North American continental severe storm.

#### **4.07 4D variational and ensemble/variational assimilation of every 10-min AHI clear-sky and all-sky radiances at convective-scale**

*Presenter: Zhiquan Liu*

*Authors: Zhiquan Liu, Yali Wu, and Dongmei Xu*

Himawari-8 AHI radiance data assimilation (DA) is implemented in NCAR's community WRF Data Assimilation (WRFDA) system, which allows the assimilation of high temporal- and spatial-resolution AHI data using various schemes such as 3DVAR, multi-resolution incremental 4DVAR (MRI-4DVAR), and hybrid-3D/4D/EnVar at convective-scale. 3DVAR and MRI-4DVAR experiments with and without AHI's three water vapor (WV) channels' clear-sky radiances were conducted to evaluate the impact on the prediction of a record-breaking (500 mm within 24 hours) warm-sector torrential rainfall (WSTR) event occurred in Guangzhou city on 7 May, 2017. WRF and WRFDA is configured with a 3-km grid spacing to better resolve this very local storm event, for which all operational centers failed to predict its timing, location, and intensity. While 3DVAR experiment with or without AHI is less skillful to predict this event, 4DVAR without AHI prominently improved convection initiation (CI) forecast and extra every 10-min AHI radiance data used in 4DVAR improved the second-stage convection evolution and precipitation forecasts. For the fractional skill scores (FSS) of 20-h accumulated precipitation forecasts, 4DVAR with 10-min AHI radiances improved 2%–4.5%, 1%–3%, 6%–20%, and 8%–24% for 5 mm, 20 mm, 50 mm, and 80 mm thresholds, respectively, comparing to 4DVAR without AHI. More recent development allows the assimilation of all-sky AHI radiances by introducing the so-called "symmetric error model" following Okamoto et al. (2014) and Harnisch et al. (2016), scattering CRTM, and cloud analysis variables. All-sky AHI radiance assimilation impact is evaluated using WRFDA's hybrid-3D/4D/EnVar techniques at 3-km grid spacing for severe storm events over China. Comparison results of hybrid-3D/4D/EnVar vs. hybrid-4D/EnVar (with 10-min AHI data) and clear-sky vs. all-sky AHI data will be presented.

## **Session 5: All-sky Assimilation for Microwave Sensors (oral presentations)**

### **5.01 Towards all-sky MHS: Observation Preprocessing and NWP Suite Design**

*Presenter: Brett Candy, UK Met Office*

*Authors: Brett Candy and Stefano Migliorini*

Currently at the Met Office we are working on extending the assimilation of microwave humidity channels into regions where there is significant scattering. For the 183 GHz channels the primary sources of scattering are cirrus clouds and rain droplets.

To account for scattering in our scheme requires both improvements to the radiative transfer model and also the preprocessing of the data, especially the 1D-Var component. In this presentation we will look at both aspects. We show that 1D-Var continues to play an important part in the quality control process and will discuss how 1D-Var has been augmented to include information on ice clouds and rain.

Another important aspect of the data assimilation scheme that has been tested in preparation for the operational use of all-sky MHS is the use of outer loop cycling within 4D-Var minimisation. We shall give an overview of our suite design and present some initial studies, including examining the role of quality control as the outer loop cycle proceeds.

### **5.02 Assimilating cloudy and rainy microwave observations within the ARPEGE global model**

*Presenter: Philippe Chambon, Météo-France*

*Authors: Philippe Chambon, Marylis Barreyat, Jean-François Mahfouf*

Within the ARPEGE 4D-Var global data assimilation system in operations at Météo-France, only clear-sky microwave observations are presently used. A new framework is currently under investigation to assimilate as well microwave observations in cloudy and rainy areas. This method is called 1D-Bay+4D-Var and corresponds to a two-step process: (i) a Bayesian inversion algorithm to retrieve profiles of temperature and humidity from the microwave radiances, (ii) the 4D-Var assimilation of these retrieved profiles. The 1D-Bay+4D-Var method is an alternative to both 1D-Var+4D-Var and direct all-sky assimilation. Within this framework, a new error model has been developed aiming at modeling radiative transfer errors in scattering conditions, based on an ensemble of forward simulations with multiple microphysical assumptions. Results from the assimilation of SAPHIR and MHS radiances in cloudy sky over a three-month period will be presented. In particular, the impacts of these microwave sounders data on tropical winds and hurricane forecasts will be discussed.

### **5.03 All-sky assimilation over land for surface sensitive microwave channels**

*Presenter: Katrin Lonitz, ECMWF*

*Authors: Katrin Lonitz, Alan J. Geer and Niels Bormann*

The all-sky assimilation of microwave radiances over ocean has a significant positive impact on forecast scores at ECMWF. Over land only channels which have small sensitivities to the surface are assimilated, that is higher-peaking 183 GHz humidity sensitive channels. The main difficulty in assimilation of surface sensitive channels is the accuracy in the simulated brightness temperatures due to uncertainties in the emissivity and skin temperature over land. All-sky assimilation adds the difficulty of separating errors in cloud and precipitation from those in the description of the surface.

In this study, we test if the current dynamic emissivity retrieval could be used to assimilate additional surface sensitive microwave sounding and imager channels (e.g. 150/166 GHz or 89/92GHz) with the aim of assessing their impact on forecast scores in the Integrated Forecast System.

Furthermore, we assess the spectral variability of emissivity within the all-sky framework depending on time of day, on cloudiness and on land cover type for conical microwave scanners. At the moment the emissivity retrievals performed for the 183 GHz channels are only used at light cloud situations; in very cloudy situations the atlas values are used. We test if a regression of one retrieval at a certain frequency can be used for most surface sensitive microwave channels in all cloud conditions.

#### **5.04 All-sky radiance assimilation over land at NCEP: Approaches and Status**

*Presenter: Yanqiu Zhu*

*Authors: Yanqiu Zhu*

Both clear-sky and cloudy radiances from AMSU-A over ocean FOVs have been assimilated operationally at NCEP since 2016. As the all-sky approach is extended to the ATMS radiances over ocean FOVs with the implementation of the FV3GFS, it is natural to extend the effort to the radiances over land. So far, only clear-sky radiances are assimilated over land and far fewer radiances are used than over ocean at NCEP. The challenges we are facing in the assimilation of surface-sensitive channels over land mainly come from the uncertainty in simulating microwave land emissivity, the uncertainties of land surface properties, and the problematic cloud detection in the clear-sky radiance assimilation quality control. To address the first two issues, our intermediate goal is to produce real-time analytical emissivity retrieval combined with TELSEM atlas (with or without filter update), and the long-term goal is to perform soil moisture and land surface skin temperature analyses with the improved community surface emissivity model (CSEM) using radiances from low-frequency (e.g. L-band) microwave satellite sensors, such as AMSR2, SMOS, GMI. Major steps towards all-sky radiance assimilation over land will be discussed and the preliminary results of analytical emissivity retrieval from GSI will be presented at the meeting.

### **Session 5: All-sky Assimilation for Microwave Sensors (poster introductions)**

#### **5p.01 All-sky assimilation of moisture-sensitive radiances at the Met Office**

*Presenter: Stefano Migliorini, Met Office*

*Authors: Stefano Migliorini and Brett Candy*

Cloud-affected radiances from AMSU-A channel 4 and 5 have been assimilated in the Met Office operational weather prediction system since September 2018. This followed from the results of trial experiments which showed RMSE reductions in 500 hPa geopotential height forecasts of about 1% up to day 2, when including non-precipitating scenes observed in these channels. The next step is to increase the amount of assimilated observations by including cloud-affected radiances from MHS that are sensitive to humidity in the 183 GHz band, both in the global and the regional version centred in the UK of the Unified Model. To this end, the all-sky radiance error model used for AMSU-A radiances, dependent on the liquid water path (LWP) estimated from its channels 1 and 2, has been modified to make it dependent on the LWP and ice water path (IWP) estimated from the 1D-Var analyses routinely performed for quality control purposes, before assimilation. Results from single-observation as well as from trial experiments are discussed in this talk. Results are also shown from a trial experiment where the benefits (as well as its additional costs) from iterating the 4D-Var procedure with updated linearization states (known as outer-loop minimization) are discussed. Finally, a strategy to extend the Met Office all-sky radiance assimilation procedure to precipitation-affected radiances is outlined.

#### **5p.02 Assimilation of AMSU-A in the presence of cloud and precipitation**

*Presenter: Niels Bormann, ECMWF*

*Authors: Peter Weston, Alan Geer and Niels Bormann*

At ECMWF, AMSU-A observations are currently only assimilated in clear-sky conditions, i.e. without taking into account the effect of cloud and precipitation on the measurements. In recent years it has been shown that assimilating humidity-sensitive microwave radiances in the presence of cloud and precipitation can lead to significant increases in forecast skill. In this poster the impact of extending the existing clear-sky assimilation of temperature-sounding AMSU-A radiances to cloudy areas is investigated.

A number of developments to the all-sky AMSU-A configuration have been researched and tested leading to a significant improvement on previous results. These developments have included changing the interpolation of model fields to observation locations, thinning refinements, additional quality control and improved bias correction. Each of these developments leads to slightly improved results but when combined they lead to a significant improvement, addressing many of the areas of degradation in previous results.

The performance of the all-sky AMSU-A configuration is now very close to the currently operational clear-sky AMSU-A configuration with improvements to extra-tropical short-range humidity and low-level wind forecasts although there are still some small areas of degradation to tropical temperature and wind forecasts. A number of possible future enhancements will also be summarised.

### **5p.03 The use of precipitation-affected MW radiances in FV3-GFS Hybrid Data Assimilation System**

*Presenter: Benjamin Johnson, UCAR/JCSDA (for Emily Liu)*

*Authors: Emily Huichun Liu, Andrew Collard, Daryl Kleist*

The operational FV3-GFS hybrid data assimilation system currently assimilates cloud-affected microwave (MW) radiances with the assumption that the cloudy scenes are overcast and the precipitation-affected observation are excluded. In preparation for assimilating precipitation-affected MW radiances, the performance of the observation operator under scattering condition by hydrometeors has been validated and improved. A two-column radiance calculation was developed in the observation operator to handle fractional cloud coverage. The validation and enhancement made for the operational operator will be described in details in the presentation followed up by the discussion on the impact of the precipitation-affected MW radiances to the analysis and the forecast.

### **5p.04 Updates from the all-sky assimilation of microwave radiances at the ECMWF**

*Presenter: Katrin Lonitz, ECMWF*

*Authors: Alan J. Geer and Katrin Lonitz*

We present some highlights from recent updates to the all-sky assimilation of microwave radiances at the ECMWF.

- Changes to consider interchannel correlation in the error model of microwave imagers. For the all-sky assimilation it has been found that a fully-specified covariance matrix that adapts with the cloud amount was needed for this purpose. We find that the tuning of the eigenvectors and the interplay with variational quality control is key to a successful assimilation considering correlated observation errors.
- In the latest ECMWF IFS model cycle SSMIS-F17 150h GHz and GMI 166 v/h GHz channels have been added. This improves medium-range humidity forecasts and decreases the additional drying through the assimilation of microwave imagers by 50%.
- RTTOV-SCATT has been updated to version 12.2 in the latest ECMWF IFS model cycle along with the rest of RTTOV. This update adds the ARTS scattering database with 16 shapes including aggregates, hail and graupel and covering frequencies from 1 to 886 GHz to support all microwave/sub-mm bands: SMOS to ICI. Furthermore, a new liquid water permittivity model (Rosenkranz, 2015) is now as part of RTTOV-SCATT.

### **5p.05 All-sky Assimilation of the MWHS-2 Observations and Evaluation the Impacts on the Forecasts of Typhoons**

*Presenter: Zhipeng Xian, Institute of Atmospheric Physics, Chinese Academy of Sciences*

*Authors: Zhipeng Xian, Keyi Chen, Jiang Zhu*

Satellite data assimilation is transitioning from clear-sky to all-sky approach at some operational forecasting centers; the all-sky approach directly assimilates observations under clear, cloudy and precipitating conditions and shows a positive impact on medium-range forecasts. To examine the impact of all-sky assimilation of the Microwave Humidity Sounder-2 (MWHS-2) data from FengYun (FY)-3C on the high-impact weather process, such as tropical cyclone, three experiments (without MWHS-2 data, with MWHS-2 data in clear-sky conditions and with MWHS-2 data in all-sky conditions) are carried out, and several typhoons (i.e., Typhoons Haitang, Nesat, Nida, Hato and Mangkut) having bad influences on Southern China are selected. RTTOV-SCATT, a fast Radiative Transfer Model for simulating cloud- and precipitation- affected microwave radiances, and a symmetric observation error model for all-sky radiance assimilation are implemented within the Weather Research and Forecasting model data assimilation system (WRFDA) and its three-dimension variational data assimilation scheme is used for all experiments. The results show that the all-sky assimilation makes the average error in track of typhoons reduced 17.9% and 9.6%, and makes a better performance on the forecast of intensity of typhoon. In addition, the forecast of heavy rainfall ( 100 mm) caused by these typhoons are improved greatly with more cloud- and precipitation-affected observations being assimilated. These encouraging results suggest that all-sky assimilation is able to improve the forecasts of typhoons.

### **5p.06 All-sky microwave radiance assimilation in the JMA global NWP system**

*Presenter: Masahiro Kazumori, Japan Meteorological Agency*

*Authors: Masahiro Kazumori, Takashi Kadowaki and Hiroyuki Shimizu*

All-sky microwave radiance assimilation has become increasingly important to produce accurate initial fields for numerical weather prediction. All-sky microwave radiance assimilation can provide new observational

information under cloudy conditions. We developed an all-sky microwave radiance assimilation scheme for the JMA global 4D-Var data assimilation system. A data screening method applied in forecast model's biased areas, observation error assignment method based on cloud amount and outer-loop iterations for trajectory updates in the 4D-Var minimization process were introduced in the system.

Microwave radiance data (e.g. microwave imager, AMSR2, GMI and SSMS, and microwave humidity sounder, MHS) were assimilated under all-sky conditions. To extend the data coverage in the analysis, data quality of unused instruments (e.g. WindSat on Coriolis, MWRI on FY-3B and 3C) were evaluated. We found MWRI had relatively larger orbit dependent biases in the FG departure (observed minus simulated radiance) statistics. For MWRI radiance data, a type of satellite orbit node (ascending or descending) as a predictor in a variational bias correction was crucial to obtain similar data quality as other microwave instruments. Addition of the new microwave radiance data in the all-sky assimilation brought further improvements in the analysis and forecasts. The all-sky microwave radiance assimilation is planned to be incorporated in the JMA operational global data assimilation system in November 2019. The details were presented in the conference.

#### **5p.07 Assessing the impact of different liquid water permittivity models on the assimilation of microwave radiances**

*Presenter: Katrin Lonitz, ECMWF*

*Authors: Katrin Lonitz and Alan J. Geer*

Permittivity models for microwave frequencies of liquid water below 0°C (supercooled liquid water) are poorly constrained due to limited laboratory experiments and observations, especially for high microwave frequencies. This uncertainty directly translates into errors in retrieved liquid water paths of up to 80%. Using the ECMWF all-sky assimilation framework we have tested how different liquid water permittivity models imprint themselves on simulated brightness temperatures.

The current permittivity model is compared with five alternatives. The largest differences occur prominently during austral winter in the storm tracks of the Southern Hemisphere and in the intertropical convergence zone with values of around 0.5 to 1.5 K. Compared to the default approach (Liebe, 1989), improved fits between observed and simulated brightness temperatures are visible for the permittivity models of Stogryn et al. (1995), Rosenkranz (2015) and Turner et al. (2016). For these models, a small mean reduction in simulated brightness temperatures of at most 0.15 K at 92 GHz can be seen on a global monthly scale.

In cycling data assimilation these newer models also give small improvements in short-range humidity forecasts when measured against independent observations. Overall, Rosenkranz (2015) is favoured due to its validity up to 1 THz, which will support future submillimetre missions.

#### **5p.08 Microphysical properties of ice particles as revealed by satellite microwave polarimetric measurements and radiative transfer modeling**

*Presenter: Victoria Galligani, CIMA-CONICET*

*Authors: V. S. Galligani, D. Wang and C. Prigent*

The understanding of cloud microphysical processes and their representation in climate models needs to be urgently improved. Frozen and mixed phase cloud processes, in particular, are the most poorly understood. The measurement of these clouds are difficult to obtain owing to the challenges involved in remotely sensing ice water content (IWC) and its vertical profile, including complications associated with multi-level clouds, mixed phases and multiple hydrometeor types, the uncertainty in classifying ice particle size and shape for remote retrievals, the relatively small time and space scales associated with deep convection.

Microwave radiometry has shown a promising ability in the characterization of frozen particles, as it is able to penetrate and provide insight into the vertical profiles of most clouds, in contrast to infrared and visible observations, which essentially sense cloud tops. Microwave observations, specially at the higher frequency channels (37GHz), are sensitive to cloud scattering signals. The higher the microwave frequency, the larger the scattering signal produced by the interaction of frozen habits with EM radiation. The knowledge regarding the microphysical properties of frozen habits responsible for such scattering (size, density, shape, orientation, composition) is key in radiative transfer and climate modelling and needs to be further discussed.

The difference in the vertically and horizontally polarized microwave measurements (TBV-TBH) at cloud scattering sensitive frequencies have been shown to contain information on ice particle shape (aspect ratio)

and orientation. The launch of the Global Precipitation Measurement (GPM) satellite in 2014, which hosts the GPM Microwave Imager (GMI), has extended the availability of microwave polarized observations at higher frequencies (166 GHz), previously only available up to 89 GHz in platforms such as AMSR-E or TMI. Scattering by frozen habits is highly (poorly) polarized in stratiform and anvil clouds (deep convection) resulting from the horizontal orientation of non-spherical frozen habits (random orientation due to turbulence and strong updrafts), as shown in a pre-GPM era by e.g., Prigent et al., 2005, Galligani et al., 2013, Defer et al. 2014, and confirmed by Gong and Wu 2017 who explored GPM's novel 166 GHz polarized channels.

In this study, we analyzed one year of GMI observations at two window channels (i.e., 89 and 166 GHz). Stratiform clouds show larger polarization (up to 10 K in average) due to the deposition and aggregation growth of snowflakes, while the convective regions show smaller (even negative) polarization, as the graupel and/or hail become randomly (even vertically) oriented due to the strong upward air motion. A robust relationship has been found between the polarization difference and vertical polarized brightness temperature for both land and ocean surfaces, and is parameterized using Hermite cubic spline interpolation which can be easily incorporated into radiative transfer models. The regional and seasonal variability has also investigated between different cloud regimes.

In order to support these statistics, sensitivity tests are performed using a radiative transfer (RT) robust modeling framework for a deep convection case study in the highly severe weather producer Southeastern South American region. The Atmospheric Radiative Transfer (ARTS) model is coupled with the Weather and Research Forecasting (WRF) model to explore the sensitivity of polarized signals to frozen habit microphysics parameters such as aspect ratio, orientation or density. The deep convection midlatitude case study exploits coincident GMI-DPR observations, as well as ground radar polarimetric data, and supports physically the relationships parametrized from GMI global observations.

## **Communication from sibling working groups (oral presentations)**

### **a.01 Research Highlights from the International Precipitation Working Group (IPWG)**

*Presenter: Philippe Chambon, Météo-France*

*Authors: Philippe Chambon, Viviana Maggioni, Ziad Haddad, Dong-Bin Shin, Vincenzo Levizzani, Ralph Ferraro*

The International Precipitation Working Group (IPWG) is a permanent International Science Working Group (ISWG) of the Coordination Group for Meteorological Satellites (CGMS), co-sponsored by CGMS and the World Meteorological Organization (WMO). IPWG provides a forum for the international scientific community to address issues and challenges related to satellite-based precipitation retrievals. Through partnerships and biennial meetings, the group promotes the exchange of scientific and operational information between the producers of precipitation measurements, the research community, and the user community. Specifically, IPWG furthers the refinement of current estimation techniques and the development of new methodologies for improved global precipitation measurements, together with the validation of the derived precipitation products with ground-based precipitation measurements. IPWG promotes international partnerships, provides recommendations to the CGMS, and supports upcoming precipitation-oriented missions. This presentation will highlight some of the latest research findings from the IPWG working groups.

### **a.02 Feedback from last two ISWG meetings**

*Presenter: Benjamin Ruston, Naval Research Laboratory*

*Authors: Benjamin Ruston*

## **Session 6: Climate (oral presentations)**

### **6.01 Establishing times series of essential climate variables from 3 successive Metop/IASI**

*Presenter: Cyril Crevoisier, LMD/CNRS*

*Authors: C. Crevoisier, R. Armante, V. Capelle, A. Chédin, N. A. Scott, C. Stubenrauch, L. Crépeau, J. Pernin*

Since its first launch onboard Metop-A in October 2006, and then onboard Metop-B in September 2012 and Metop-C in November 2018, IASI contributes to the establishment of robust long-term data records of several essential climate variables. Owing to its launches onboard 3 successive Metop platforms, IASI has the potential

to monitor the evolution of these variables over two decades, to assess potential trends, and to detect signatures of specific climate events, such as ENSO or other sources of climate variability. To fulfill these objectives, it is mandatory that each successive IASI instruments are spectrally and radiometrically well characterized individually and among themselves.

We will show that the IASI instruments display exceptional spectral and radiometric stabilities, and that the 3 instruments agree at the level required for climate monitoring. Results will be presented at both level1 and level2. For level1, we will rely on IASI radiance monitoring and intercomparison with companion instruments done in the framework of the Global Space-based Inter-Calibration System (GSICS) of WMO. For level2, we will focus on four essential climate variables that are retrieved at LMD: (i) clouds: physical and microphysical properties; (ii) greenhouse gases: mid-tropospheric integrated content of CO<sub>2</sub>, CH<sub>4</sub> and CO; (iii) dust aerosols: AOD, altitude, and radius; (iv) continental surface characteristics: skin temperature and spectral emissivity.

Use will be made on a processing chain of satellite observations that has been developed for many years at LMD and that includes: permanent validation and improvement of the GEISA spectroscopic database and of the radiative transfer code 4A (which are respectively the official database and code for IASI Cal/Val activities at CNES), development of dedicated cloud and aerosol detection schemes, retrieval processes, and validation activities.

As the suite of long time series of climate variables retrieved from IASI continues to expand, we will argue that IASI has already demonstrated that it can and will play a major role in the monitoring and understanding of climate evolution and variability.

## **6.02 Toward Improved Climate Data Record Using Stable SNPP/ATMS Observations as References**

*Presenter: Cheng-Zhi Zou, NOAA College Park*

*Authors: Cheng-Zhi Zou, Xianjun Hao, Hui Xu, and Mitch Goldberg*

Observations from the satellite microwave sounders play a vital role in measuring the long-term temperature trends for climate change monitoring. Changes in diurnal sampling over time and calibration drift have been the main sources of uncertainties in the satellite measured temperature trends. We have recently examined observations from the Advanced Technology Microwave Sounder (ATMS) that has been flying onboard the NOAA/NASA Suomi National Polar-orbiting Partnership (SNPP) environmental satellite since late 2011. The SNPP satellite has a stable afternoon orbit that has close to the same local observation time as NASA's Aqua satellite that has been carrying the heritage microwave sounder, the Advanced Microwave Sounding Unit-A (AMSU-A), from 2002 until the present. The similar overpass timing naturally removes most of their diurnal differences. In addition, direct comparison of temperature anomalies between the two instruments shows little or no relative calibration drift for most channels. Our results suggest that both ATMS and AMSU-A instruments have achieved absolute stability in the measured atmospheric temperatures within 0.04 Kelvin per decade. We have also analyzed AMSU-A observations onboard the European MetOp-A satellite that has a stable morning orbit 8 hours apart from the SNPP overpass time. Their comparison reveals large asymmetric trends between day and night in the lower- and mid-tropospheric temperatures over land.

The high radiometric stability in the SNPP/ATMS and MetOp-A/AMSU-A observations has broad impact on the climate trend observations from the microwave sounders as well as other instruments and could help resolve debates on observed differences in the climate trends. In this presentation, we use stable observations from the SNPP/ATMS and MetOp-A/AMSU-A as references to recalibrate microwave sounders onboard other satellites such as MetOp-B and NOAA POES series. We demonstrate that data quality and consistency from these satellite observations can be largely improved through recalibration. Such recalibrated data will be used to generate microwave atmospheric temperature climate data record (CDR). Improved CDR and trend accuracy are expected from such an approach.

## **6.03 Validation of the 183 GHz C3S/EUMETSAT FCDR using ERA5 simulations, SNOs and operational datasets**

*Presenter: Christoforos Tsamalis, Met Office Hadley Centre*

*Authors: C. Tsamalis, E. Good, R. King, F. Aldred, T. Hanschmann, V. O. John and R. Roebeling*

The 183 GHz measurements from microwave (MW) sounders constitute necessary humidity information for both assimilation to reanalyses and creation of Thematic Climate Data Records (TCDRs). For this reason, a new Fundamental Climate Data Record (FCDR) has been developed by EUMETSAT for the Copernicus Climate

Change Service (C3S). This FCDR has been generated based on the methodology developed by the Fiduceo project providing the observations in NetCDF files from equator to equator, thus in an easy file format to handle while avoiding the possible duplications of operational datasets. Also, it provides uncertainties per pixel in three categories: independent (or random), structured, and common (or systematic). The FCDR includes measurements from the Microwave Humidity Sounder (MHS) on board the MetOp-A and B satellites, the Microwave Humidity Sounder (MWHS-1/2) on board the FY-3A, -3B, and -3C satellites and the Advanced Technology Microwave Sounder (ATMS) on board the S-NPP satellite. It covers the period October 2006 to December 2018, thus extending over time the FCDR provided by Fiduceo and more importantly including new sounders like MWHS-1, MWHS-2 and ATMS which permit an enhanced sub-daily coverage of the Earth. The FCDR is validated using three approaches: i) ERA5 simulations, ii) Simultaneous Nadir Overpasses (SNOs) and iii) comparisons with the operational datasets. The ERA5 reanalysis brightness temperature (BT) simulations have been performed with the NWP SAF RadSim software, which constitutes an interface to the RTTOV fast radiative transfer model. The validation with ERA5 has been restricted over ocean only within 60°S to 60°N to avoid the complications with land and ice surface emissivities. It's worth noting that ERA5 assimilates the 183 GHz observations from MHS, MWHS-1/2 and ATMS. Criteria used to generate SNOs were time difference within 5 min, Field of View (FOV) distance within 5 km and satellite zenith angle differences within 5°. SNOs have been calculated for all the potential satellite pairs of the FCDR, but also with Sondeur Atmosphérique du Profil d'Humidité Intertropicale par Radiométrie (SAPHIR) MW sounder on board the Megha-Tropiques satellite, which permits an independent validation. The third approach includes the intercomparison with operational datasets provided by NOAA CLASS for MHS and ATMS and by CMA for the MWHS-1 and 2 sounders. First results indicate that MHS and ATMS have a stable performance over time and agree rather well with ERA5 simulations and between them as shown from SNOs analyses. The MWHS-1/2 instruments present some discontinuities in the time series and larger discrepancies against ERA5 simulations, and with SNOs to MHS, ATMS and SAPHIR. The first indications are that the new FCDR is more consistent than the available operational datasets.

## **Session 6: Climate (poster introductions)**

### **6p.01 Stand alone night-time sea surface temperature retrieved by the IASI/Metop suite: Toward long time series**

*Presenter: Virginie Capelle, LMD/Ecole Polytechnique*

*Authors: V. Capelle, J.-M. Hartmann, A. Chédin, R. Armante, H. Tran, N. Scott, C. Crevoisier*

SST is one of the essential climate variables for which accurate and global measurements are crucial for improving our understanding of climate evolution, as well as of numerical weather prediction. Within this framework, satellite remote sensing, by providing daily and global observations over long time series, offers good opportunities. In particular, the excellent calibration and stability of the IASI instrument and the planned long time series of observation provided by the suite of three satellites Metop A, B and C, launched respectively in October 2006, September 2012 and November 2018, is fully consistent with the quality requirement.

Here a full physical algorithm is presented to retrieve SST from IASI. The main advantage of such an approach is to provide a dataset totally independent of in-situ measurements or models. SST is retrieved at the IASI spot resolution (clear sky) for the three Metop platforms, offering so far a continuous record over more than 12 years, which is planned to be extended for at least another decade. Restitutions are compared with in-situ drifting buoys network measurements as well as with other satellite datasets. The difference between the skin surface temperature obtained by IR satellites and the in-situ surface temperature provided by the buoys is investigated and the sensitivity of this difference to the conditions of observation (wind, water vapor content, etc...) is analyzed. Finally, the stability of the restitutions among the 3 satellites is also investigated.

### **6p.02 A long time series of Metop/IASI observations of Saharan aerosols distribution using AOD-Altitude-Surface temperature triplets**

*Presenter: Virginie Capelle, LMD/Ecole Polytechnique*

*Authors: V. Capelle, A. Chédin, R. Armante, N. Scott, C. Crevoisier*

Aerosols represent one of the dominant uncertainties in radiative forcing, partly because of their very high spatiotemporal variability, a still insufficient knowledge of their microphysical and optical properties, or of their vertical distribution. Observations from space offer a good opportunity to follow, day by day and at high

spatial resolution, dust evolution at global scale and over long time series. Infrared observations allow retrieving dust aerosol optical depth (AOD) as well as the mean dust layer altitude, daytime and nighttime, over oceans and over continents, in particular over desert. Therefore, they appear complementary to observations in the visible. By its excellent calibration and stability and the expected long time series of observation, the Infrared Atmospheric Sounder Interferometer (IASI), on board the suite of European Satellite Metop A, B and C, launched respectively in October 2006, September 2012 and November 2018 is particularly suited for accurate monitoring of dust evolution. Here, observations from the three satellites have been processed pixel by pixel, using a “Look-Up-Table” (LUT) physical approach, providing a time series of more than 12 years of 10 $\mu$ m dust AOD and mean altitude.

Rigorous statistical analysis of the whole time series has been performed in order to 1) detect and estimate day-time and night-time dust AOD trends over Sahara, a region where dust aerosol emissions are frequent and often intense and 2) demonstrate the high stability between the different satellites. Taking benefit of the equal quality of morning and evening IASI measurements, we have started comparing these two sources of AOD-altitude-surface temperature retrieval triplets in order to better understand their differences in relation with the often complex meteorological situations encountered in these regions. First conclusions will be discussed.

#### **6p.03 Surface skin temperature and its trend from recent 12-year IASI observations**

*Presenter: Daniel Zhou, NASA*

*Authors: Daniel K. Zhou, Allen M. Larar, and Xu Liu*

Surface skin temperature has been retrieved from IASI measurements. Monthly and spatially-gridded surface skin temperature is produced to show some phenomena of its natural variability, which is also reflected in the surface emissivity and/or soil moisture derived from the same time series of measurements. The anomalies of surface skin temperature are used to estimate its trend. Error estimation and/or evaluation has been performed and discussed to understand the uncertainty in the trends. The trend of IASI global surface skin temperature is compared with that of NASA GISS global surface air temperature. Despite the physical differences between surface skin and air temperatures, agreement is shown between these two datasets indicating consistency and global surface warming during the past 12 years. The trend of IASI global surface skin temperature reports an approximate 0.034 $^{\circ}$ K/yr. increase has evolved during 2007–2019. This warming trend is more pronounced in the northern hemisphere. Retrieving, analyzing, and monitoring surface parameters from such advanced hyperspectral infrared sounders will continue.

#### **6p.04 RTTOV for a C3S project on early satellite data rescue**

*Presenter: Pascale Roquet, Meteo-France (for Jerome Vidot)*

*Authors: Jerome Vidot, Emma Turner, Bruna Silveira, Pascale Roquet, Roger Saunders and Pascal Brunel*

In the frame of the Copernicus Climate Change Service (C3S), the project 311c Lot1 on rescuing early satellite data has been started in order to evaluate the possibility to use the observations from these satellites in the next ECMWF ERA-6 reanalysis. In this project, we are involved in studying the capability of RTTOV to simulate some of early infrared and microwave instruments flying in space in the 70s to 90s. A first part of the project is to use the up-to-date information on the instrument spectral response function (ISRF) or channel's pass-band to calculate the so-called RTTOV coefficients. For most IR instruments (HIRS-1 to -4, MVIRI, IRIS-D, VTPR, MRIR, THIR, SSU and PMR) and MW instruments (SMMR, SSM/T2, MSU, SSM/I and SSMI/S), RTTOV coefficients already exist but some of them still need to be consolidated. For example, the ISRF of IRIS-D may be improved when comparing with observations. Additionally, coefficients for instruments such as SIRS-D will be provided. The second part of the project aims at simulating a large set of atmospheric profiles (25000) in order to independently estimate the accuracy of RTTOV coefficients versus LBL models. The third part of the project is to estimate the RTTOV errors due to different versions of LBL model.

#### **6p.05 Climate Data Records and user service of the EUMETSAT Satellite Application Facility on Climate Monitoring**

*Presenter: Nathalie Selbach, Deutscher Wetterdienst*

*Authors: Nathalie Selbach on behalf of the CM SAF team*

The EUMETSAT Satellite Application Facility on Climate Monitoring (CM SAF) generates, archives and distributes widely recognized high-quality satellite-derived products and provides services relevant for climate monitoring. The CM SAF product portfolio covers Climate Data Records (CDRs) for Essential Climate Variables

(ECV), as required by the Global Climate Observing System (GCOS) implementation plan in support of the United Nations Framework Convention on Climate Change (UNFCCC).

During the current Continuous Development and Operations Phase 3 (CDOP-3, 2017-2022), several new Fundamental-, Thematic- and Interim-CDRs are being released. They primarily describe properties of the atmospheric radiation, clouds, water vapour and precipitation over up to 40 years. Thus, users have access to many parameters of the Earth's water and energy cycle based on operational satellite instruments. The time series of the currently available climate data records range from 8 to more than 35 years with a global coverage for data based on polar orbiting satellites, while those based on geostationary satellite data have a regional coverage (currently Meteosat disk).

CM SAF is offering CDRs generated from ATOVS, AVHRR, SMMR, SSM/I and SSMIS on different polar orbiting satellites as well as from the MVIRI, SEVIRI and GERB instruments onboard the METEOSAT series and similar instruments on further georing satellites. Furthermore, CM SAF will focus on precipitation as an additional new parameter in the current project phase.

These CDRs are made available via a web user interface which also allows applying post-processing procedures, such as the extraction of sub-areas or re-projection.

This contribution will present currently available as well as new releases of CM SAF climate data records, planned for CDOP-3. It will give a general overview of the current and planned re-processing activities at the CM SAF. The concept of providing TCDRs as long term data records in connection with providing related Interim Climate Data Records (ICDRs) with a short time latency suitable for climate monitoring applications will be shown. In addition, the offered user services of CM SAF will be presented.

#### **6p.06 The EUMETSAT CM SAF Fundamental Climate Data Record of Microwave Imager Radiances**

*Presenter: Nathalie Selbach, Deutscher Wetterdienst*

*Authors: Karsten Fennig, Marc Schröder*

The satellite based HOAPS (Hamburg Ocean Atmosphere Parameters and Fluxes from Satellite Data) climatology provides climate data records of precipitation, evaporation and the resulting freshwater flux over the global ice-free ocean between 1987 and 2014. The latest version of HOAPS has been released by CM SAF and is available from the CM SAFs web user interface (<https://wui.cmsaf.eu/>; DOI: 10.5676/EUM\_SAF\_CM/HOAPS/V002).

The HOAPS climate data records are primarily based on passive microwave measurements from the SSM/I (Special Sensor Microwave/Imager) sensor family. In order to derive reliable long term trend estimates of the global water and energy cycle parameters it is strictly necessary to carefully correct for all known problems and deficiencies of the SSM/I radiometers as well as to inter-calibrate and homogenise the different instruments. Moreover, all applied corrections need to be clearly documented to provide a complete calibration traceability for a Fundamental Climate Data Record (FCDR). Following these recommendations, CM SAF released a FCDR of SSM/I brightness temperatures (DOI:10.5676/EUM\_SAF\_CM/FCDR\_SSMI/V001) in 2013, freely available from the web user interface (<https://wui.cmsaf.eu/>). This FCDR has already been used in the ESA CCI Sea ice project and also in the reanalysis ERA5.

In order to further extend the HOAPS dataset in time, the SSM/I successor instrument SSMIS (Special Sensor Microwave Imager Sounder) has to be used from 2009 onwards. CM SAF also reprocessed the SSMIS sensors onboard F16, F17, and F18 to the same standards as the SSM/I data record for the time period 2006 - 2013 and the combined FCDR was released in 2015 (DOI:10.5676/EUM\_SAF\_CM/FCDR\_MWI/V002). Amongst others, known instrument issues like sunlight intrusions, moonlight intrusions, and reflector emissivity have been accounted for and the brightness temperatures have been inter-calibrated to the SSM/I instrument series to allow a seamless continuation of existing TCDRs.

In order to extend the available FCDR to the time period before the SSM/I epoch, CM SAF has now reprocessed available brightness temperatures from the SMMR (Scanning Multichannel Microwave Radiometer) on board Nimbus-7 with the main focus on the inter-calibration of the brightness temperatures to the SSM/I series, using ERA20c as a transfer target. The re-processed data record (DOI:10.5676/EUM\_SAF\_CM/FCDR\_MWI/V003) is available in the same user friendly data format as the

existing FCDR editions. The new FCDR release also extends the SSMIS data record with two additional years. Altogether, the FCDR now spans the time period from 1978 to 2015 combining observations from three different platforms SMMR, SSM/I and SSMIS.

This presentation will focus on the inter-calibration of the SMMR and the evaluation of the combined FCDR over the complete time period. A validation of the brightness temperatures is a challenging task as there are no ground-truth reference measurements available for the microwave band. Hence, the homogeneity of the FCDR is evaluated by an analysis of the relative biases between the different instruments before and after the inter-calibration offsets are applied.

It is planned to release a new edition of the FCDR in 2020, extending the SSMIS covered time period to the end 2019. It is also envisaged to improve the uncertainty characterization and to look at new sensors like MWI and AMSR-3 for future usage.

#### **6p.07 Towards a climate data record of precipitation merging satellite observations by passive microwave sounders and imagers**

*Presenter: Nathalie Selbach, Deutscher Wetterdienst*

*Authors: Hannes Konrad, Giulia Panegrossi, Anja Niedorf, Paolo Sanò, Marc Schröder, Elsa Cattani, Anna Christina Mikalsen, Nathalie Selbach, Rainer Hollmann*

Within the Copernicus Climate Change Service (C3S), the Climate Data Store (CDS) built by ECMWF will provide open and free access to global and regional products of Essential Climate Variables (ECV) based on satellite observations spanning several decades, amongst other things. Given its significance in the Earth system and particularly for human life, the ECV precipitation will be of major interest for users of the CDS.

C3S strives to include as many established, high-quality data sets as possible in the CDS. However, it also intends to offer new products dedicated for first-hand publication in the CDS. One of these products is a climate data record based on merging satellite observations of daily and monthly precipitation by both passive microwave (MW) sounders and imagers (SSM/I/SSMIS) on a  $1^\circ \times 1^\circ$  spatial grid in order to improve spatiotemporal satellite coverage of the globe.

The MW sounder observations will be obtained using, as input data, the FIDUCEO FCDR for AMSU/MHS in a new global algorithm based on the Passive microwave Neural network Precipitation Retrieval approach (PNPR; Sanò et al., 2015) developed specifically for the project. The MW imager observations by SSM/I and SSMIS will be adopted from the Hamburg Ocean Atmosphere Fluxes and Parameters from Satellite data (HOAPS; Andersson et al., 2017), itself based on the CM SAF SSM/I and SSMIS FCDR (Fennig et al., 2017).

Here, we present the status of this product's development. We carry out a Level-2 comparison and obtain first results of the merged Level-3 precipitation fields. Based on this, we assess the product's expected plausibility, coverage, and the added value of merging the MW sounder and imager observations.

Andersson, Axel; Graw, Kathrin; Schröder, Marc; Fennig, Karsten; Liman, Julian; Bakan, Stephan; Hollmann, Rainer; Klepp, Christian (2017): Hamburg Ocean Atmosphere Parameters and Fluxes from Satellite Data - HOAPS 4.0, Satellite Application Facility on Climate Monitoring, DOI:10.5676/EUM\_SAF\_CM/HOAPS/V002, [https://doi.org/10.5676/EUM\\_SAF\\_CM/HOAPS/V002](https://doi.org/10.5676/EUM_SAF_CM/HOAPS/V002).

Fennig, Karsten; Schröder, Marc; Hollmann, Rainer (2017): Fundamental Climate Data Record of Microwave Imager Radiances, Edition 3, Satellite Application Facility on Climate Monitoring, DOI:10.5676/EUM\_SAF\_CM/FCDR\_MWI/V003, [https://doi.org/10.5676/EUM\\_SAF\\_CM/FCDR\\_MWI/V003](https://doi.org/10.5676/EUM_SAF_CM/FCDR_MWI/V003).

Sanò, P., Panegrossi, G., Casella, D., Di Paola, F., Milani, L., Mugnai, A., Petracca, M., and Dietrich, S.: The Passive microwave Neural network Precipitation Retrieval (PNPR) algorithm for AMSU/MHS observations: description and application to European case studies, *Atmos. Meas. Tech.*, 8, 837-857, <https://doi.org/10.5194/amt-8-837-2015>, 2015.

## **6p.08 The GEWEX water vapor assessment (G-VAP): final results from first phase and the future of G-VAP**

*Presenter: Nathalie Selbach*

*Authors: Marc Schröder, Helene Brogniez, Shu-peng Ho, Lei Shi, Nathalie Selbach*

A large variety of satellite and reanalyses based water vapor data records is available to date. Without proper background information and understanding of the limitations of available data records, these data may be incorrectly utilised or misinterpreted. Thus, assessments are conducted to objectively and independently evaluate and inter-compare available climate data records (CDRs) in order to point out strengths, differences and limitations and, if possible, to provide reasons for them. The GEWEX Data and Assessments Panel (GDAP) has initiated the GEWEX water vapor assessment (G-VAP) which has the major purpose to quantify the current state of the art in water vapor products (upper tropospheric humidity, specific humidity and temperature profiles as well as total column water vapor) being constructed for climate applications. In order to support GDAP and the general climate analysis community G-VAP intends to answer, among others, the following questions:

- a) How large are the differences in observed temporal changes in long-term data records of water vapor on global and regional scales?
- b) Are the differences in observed temporal changes within uncertainty limits?
- c) What is the degree of homogeneity (presence of break points) of each long-term data record?

A general overview of G-VAP will be given which includes an inventory of available water vapor data records (see also [http://gewex-vap.org/?page\\_id=13](http://gewex-vap.org/?page_id=13)) and an introduction to the G-VAP data archive (digital object identifier: 10.5676/EUM\_SAF\_CM/GVAP/V001). The focus of the presentation will be on observed inconsistencies among long-term data records (eleven TCWV data records and seven specific humidity and temperature profile data records, six of which are based on reanalysis). The inconsistencies are observed by inter-comparisons, comparisons to in-situ observations and the stability analysis. On basis of consistently applied tools major differences in state-of-art CDRs have been identified, documented and to a large extent explained. The results and the answers for TCWV are summarized as follows: On global ice-free ocean scale the trend estimates among long-term data records were generally found to be significantly different. Maxima in standard deviation among the data records are found over, e.g., tropical rain forests. These and other noticeable regions coincide with maxima in mean absolute differences among trend estimates. These distinct features can be explained with break points which manifest on regional scale and which typically do not appear in stability analysis relative to ground-based observations. Results from profile inter-comparisons will also be shown and exhibit, among others, that the observed break points are not only a function of region but also of parameter, i.e., break points observed in specific humidity data are typically not present in corresponding temperature data and vice versa.

With the publication of the WCRP report on G-VAP (16/2017, available at <https://www.wcrp-climate.org/resources/wcrp-publications>) G-VAP's first phase ends. As agreed upon at the 7th G-VAP workshop G-VAP will continue with unchanged scope, objectives, and governance under the umbrella of GDAP. Also, core activities such as PDF, consistency and stability analysis will be continued to provide a sustained service to the community. Finally, new science activities have been discussed and defined. Among others, these include the analysis of the quality of profile data records over stratus regions and the assessment and characterization of the differences between observations in all sky, cloudy sky and clear sky conditions. Answers to the science questions will be provided and first results from new analysis will be shown.

## **6p.09 The Value of Two Satellites in the Same Orbit for Nowcasting and Climate Monitoring**

*Presenter: Mitch Goldberg, NOAA*

*Authors: Mitch Goldberg, Lihang Zhou*

This paper will show the value of SNPP and NOAA-20 being separated by a half orbit in the 13:30 orbit. We believe this configuration should become a standard best practice for all satellite agencies flying polar orbiting satellites. For nowcasting, two satellites half orbit apart enables better monitoring of the changing environment in the polar regions – such as ice monitoring and even wildfires. For severe weather, (tropical storms, thunderstorms-convective environment), relying on one satellite will not provide the necessary coverage because of limb effects and orbital gaps. For climate monitoring, the difference between the two satellites (instruments, products) can be monitored on a 16 day or 32 day repeat cycle, because the global average should be identical since both satellites are observing at 13:30 and 1:30. We will show stability for ATMS, CrIS, and VIIRS radiances as well as a number of derived products such as land surface temperature, in addition to nowcasting examples.

#### **6p.10 The increase in the impact of the observations in a 40 multi-year Reanalysis on the tropical region with 41 emphasis on the Amazon basin**

*Presenter: Dirceu Herdies, CPTEC/INPE*

*Authors: Fabio L. R. Diniz, Dirceu L. Herdies and Ricardo Todling*

Conventional and non-conventional atmospheric observations are of fundamental importance to allow reliable weather forecasts and allow researchers to improve the modeling of the atmosphere to create plausible scenarios for climate studies. However, conventional observations make up a very small number of observations available for use in weather forecasts and climate studies. Satellites, the non-conventional observations, observe the Earth System almost continuously in time and generate massive amounts of data that by far dominate the observation blend. Unlike conventional observing networks, satellites observe the Earth indirectly by measuring either emitted or absorbed radiation by the Earth and the instruments they carry, this makes their use somewhat harder than using conventional observations. Assessing how these various observing systems contribute to improving weather forecasts has become an essential tool to help scientists understand how to build future and better observing systems. The present study provides a comprehensive assessment of nearly 40 years of observations used in the Reanalysis procedure, which essentially provides a mixture of model predictions and observations. This particular work examines the regional impact of observations on the Amazon basin during the period 1980 to 2017. On this relatively dense and difficult-to-access tropical forest region, certain observation systems have a particularly greater impact than they normally have when observed on a global scale, such as AMVs. The impact of the observations on short-range reanalysis forecasts has increased slightly over the course of the reanalysis. This increase is largely associated with an increase in available observations on this region.

#### **6p.11 Satellite-Derived Upper Tropospheric Humidity Datasets and Comparison with Total Column Water Vapor**

*Presenter: Lei Shi, National Centers for Environmental Information (NCEI), NOAA*

*Authors: Lei Shi, Carl J. Schreck III, Marc Schröder*

As part of the activities for the Global Energy and Water Exchanges (GEWEX) water vapor assessment (G-VAP, <http://gewex-vap.org>), the upper tropospheric humidity (UTH) datasets are inter-compared. With the recent availability of new versions and extended time series of datasets, the analyses are updated. The UTH datasets include both infrared and microwave satellite sounder measurements. The HIRS UTH dataset has also been compared to the total column water vapor (TCWV) time series focusing on their respective patterns during major El Niño events. The examination shows that the difference in UTH and TCWV patterns results in an opposite phase in the time series during a major El Niño event when a tropical average is taken. Though both UTH and TCWV are closely correlated with major climate indices, they have significantly different lag correlations with the Niño 3.4 index in both the sign (positive or negative) and lag time over tropical oceans.

#### **6p.12 EUMETSAT's Contribution of Fundamental Climate Data Records to Copernicus Climate Change Service**

*Presenter: Viju John, EUMETSAT*

*Authors: Viju O. John, T. Hanschmann, J. Onderwaater, F. Ruethrich, R. Roebeling, M. Grant, and J. Schulz*

The detection of climate change and analysis of climate variability at inter-annual and decadal scales require well-calibrated measurements that can be used to create long-term, homogeneous time series of climate data records. These data records can be utilised in direct analysis of the climate system but also within Numerical Weather Prediction (NWP) models to create a physically consistent reanalysis such as the ERA-5 generated by the C3S. EUMETSAT has been generating a wide variety of level 1 satellite climate data records, also known as fundamental climate data records (FCDR) and they include data from the following instruments: microwave humidity sounders SSM/T-2, AMSU-B, MHS, MWHS, MWHS-2, and ATMS, infrared sounders IASI and HIRS, infrared imagers MVIRI (also the visible band) and SEVIRI, radio occultation instruments GRAS, COSMIC, and CHAMP, scatterometer ASCAT, and the optical spectrometer GOME-2. All these FCDRs, except of the GOME-2 will be assimilated in the next generation ERA reanalysis.

The presentation will focus on the generation of microwave and infrared FCDRs. The microwave humidity sounding FCDRs were generated using a common algorithm for all the sensors, which is based on principles, developed in the EU Horizon-2020 FIDelity and Uncertainty in Climate data records from Earth Observations (FIDUCEO) project. A thorough analysis of the physical effects in the measurement equation, which could introduce uncertainties to the measurements, is performed, and thus we are providing not only the radiances

(brightness temperatures), but also the independent, structured, and common uncertainties which are associated with individual measurements. The latest version of the HIRS algorithm is adapted for the three generations of the HIRS instruments and the FCDRs are accompanied by the independent radiometric noise of the measurements. The MVIRI and SEVIRI infrared channel measurements have been recalibrated using IASI, AIRS, and HIRS measurements. The GRAS radio occultation data were also reprocessed by using the latest wave-optics algorithm to generate the FCDR. The methods as well as the improvements of these FCDRs over the operational radiances will be demonstrated by time series analyses and comparisons against reference measurements.

## **Session 6: Reanalysis (oral presentations)**

### **6.05 The Assimilation of Radiance Data in the ERA5 Global Reanalysis**

*Presenter: William Bell, ECMWF*

*Authors: Bill Bell, Hans Hersbach, Paul Berrisford, Andras Horanyi, Julien Nicholas, Raluca Radu, Joaquin Munoz Sabater, Dinand Schepers, Adrian Simmons & Cornel Soci*

The most recent ECMWF global atmospheric reanalysis, ERA5, covering the period 1979-2019 (soon to be extended to 1950), is now available. It uses a recent version of the ECMWF Numerical Weather Prediction (NWP) forecast model and data assimilation (DA) system to assimilate observations (87 billion for the period 1979 - 2018) to analyse the atmospheric state. Information on uncertainties in the state estimates are provided by a 10-member ensemble of data assimilations (EDA).

Satellite radiance data is a key input to ERA5. The completion of the 1979-2019 period allows us to inspect the evolution of biases evident in the satellite data over the modern satellite era, and in some cases (for example the hyperspectral IR) to compare with independent radiometric uncertainty estimates.

ERA5 also uses satellite data from the early satellite-era. Observations from the Vertical Temperature Profiling Radiometer (VTPR) have been assimilated in the production stream spanning 1972-1978 and have had a beneficial impact on the reanalysis, most notably in the southern hemisphere. Assimilation of this data has also presented some challenges, including the response of the reanalysis in the upper stratosphere (above 5hPa) and in the response of the analysed ozone fields.

In advance of the next C3S global reanalysis (ERA6, due to start 2023) ECMWF, through the Copernicus Climate Change Service (C3S), is supporting satellite data reprocessing and data rescue efforts. These efforts include datasets from operational satellites from the modern satellite era (1979-) as well as some datasets dating from the late 1960s and 1970s. As part of these, methods for the robust definition of observational uncertainties, developed as part of the EU's Horizon-2020 FIDUCEO project, are being adopted where viable. Several options being considered for the application of this uncertainty information will be described.

### **6.06 Satellite era retrospective analysis over the Indian region**

*Presenter: S Indira Rani, NCMRWF*

*Authors: S. Indira Rani, Richard Renshaw, John P. George and E.N. Rajagopal*

Satellite era retrospective analysis over India and surrounding regions is carrying out under the National Monsoon Mission (NMM) project of Ministry of Earth Sciences (MoES), India, with the collaboration among UK Met Office, NCMRWF and India Meteorological Department (IMD). The Indian Monsoon Data Assimilation and Analysis (IMDAA) spans 40 years of reanalysis from 1979 to 2018, which uses the historically archived conventional observations from IMD as well as the state of the art satellite observations viz., geostationary and polar radiances, AMVs and scatterometer winds. There is a considerable progress in the observing system during the course of this re-analysis, particularly the space-borne assimilable meteorological observations, supplemented by conventional observations. This paper describes the satellite data usage in the 4D-VAR assimilation system of IMDAA, with an emphasis to the quantity and quality. Timeline of different satellite radiances assimilated, coverage of satellite observations during the reanalysis period, variational bias correction, background and analysis fits to the satellite observation, etc. are discussed in this paper.

### **6.07 Assessing the impact of observations in a multi-year Reanalysis**

*Presenter: Ricardo Todling, NASA/GMAO*

*Authors: Fabio L. R. Diniz and Ricardo Todling*

Operational and quasi-operational weather prediction centers have been routinely assessing the contribution from various observing systems to reducing errors in short-range forecasts for a number of years now. The original technique, Forecast Sensitivity-based Observation Impact (FSOI), involves definition of a forecast error measure and evaluation of sensitivities with respect to changes in the observing system that require adjoint operators of both the underlying tangent linear model and corresponding analysis technique. The present work applies FSOI to Reanalysis and aims at providing an expanded view of the contribution of various observing systems over nearly 40 years of assimilation. Specifically, this study uses MERRA-2 given that its supporting software includes all ingredients necessary to calculate FSOI. Part of this work shows how the quality of forecasts improves over the course of the reanalysis, and examines forecast sensitivities relevant to FSOI. The assessment here finds, for example, that: conventional observations are a major player in reducing forecast error throughout the 40 years of reanalysis, even when their volume reduces from 45% in the earlier periods to about 5% in the modern era; satellite radiances, especially microwave instruments are major contributors to error reduction from the early single platform TIROS-N days to the current multi-platform scenario; infrared instruments play a secondary role to microwave but are significant still, with the peculiar result of fractional impacts contribution from modern hyperspectral instruments being roughly similar to those from early infrared instruments. The dependence of results on the chosen error measure is illustrated with further thought on the overall impact of satellite observations.

## **Session 7: Assimilation of New Hyperspectral Infrared Instruments (oral presentations)**

### **7.01 Assimilation of high temporal GIIRS radiance in GRAPES**

*Presenter: Ruoying Yin, Institute of Atmospheric Physics (for Wei Han)*

*Authors: Wei Han, Ruoying Yin, Hao Wang, Jincheng Wang, Xueshun Shen*

High spectral resolution infrared (IR) sounders from polar orbit satellites have been widely used in global and regional numerical weather prediction (NWP) models and have provided positive impact on weather forecasts. For the first time, a hyper-spectral IR sounder called GIIRS (Geostationary Interferometric Infrared Sounder) is stationed on the geostationary orbit, it is onboard the first satellite of the second generation of Chinese geostationary weather satellites - FengYun-4 series. FY-4A has the capability on providing GIIRS observations every 15 minutes for selected regions where active weather events occur. The GIIRS measurements have been calibrated, geo-located and processed for real-time applications. The RTTOV-GIIRS coefficients have been developed based on local training profiles for assimilation, GIIRS data now have been assimilated in the operational GRAPES (Global/Regional Assimilation Prediction System) global forecast model with 4D-Var assimilation system. Two months' cycle experiments show a positive impact on analyses and forecasts over East Asia. GIIRS temperature sounding channels have been operationally assimilated in GRAPES global 4D-Var since December 25 2018. An analysis on three Typhoon cases in 2018 indicates positive impact on Typhoon forecasts, especially on warm core and track forecasts, which demonstrates the potential added value for high impact weather forecast through assimilating the high temporal resolution sounding information into NWP models.

### **7.02 Information content of the Cross-track Infrared Sounder (CrIS) instrument and recent data denial experiments relevant to operational use of sounder data.**

*Presenter: Christopher Barnet, STC*

*Authors: Chris Barnet (STC), Sid Boukabara (STAR), Kevin Garrett (STAR), Kayo Ide (UMD), Erin Jones (UMD-CICS), Yingtao Ma (UMD-CICS)*

Advanced hyperspectral sounders such as the Advanced Infrared Sounder (AIRS), Interferometric Atmospheric Infrared Sounder (IASI) and the Cross-track Infrared Sounder (CrIS) all invested heavily in providing high signal-to-noise measurements in the short-wave infrared (SWIR) spectral region (defined here as from 3.8 to 5 microns) as well as the long-wave (LWIR, 15.5-9 um) and mid-wave (MWIR, 9-5 um) spectral regions.

The use of the SWIR is complicated by the need to handle solar radiation that is both reflected from the surface and also excites molecules in the upper stratosphere and lower mesosphere into non-equilibrium emission. The AIRS Science Team demonstrated how to properly use the SWIR to derive high-quality temperature, moisture, and trace gases with the launch of Aqua/AIRS in 2002. The NOAA-Unique Combined

Atmospheric Processing System (NUCAPS) operationally deployed the AIRS algorithm for the Metop-A/IASI, S-NPP/CrIS, Metop-B/IASI, and the NOAA-20/CrIS instruments since 2008, 2011, 2012, and 2018, respectively.

We will demonstrate the information content (IC) of the CrIS instrument using singular value decomposition of CrIS observations and illustrate the expected IC of various subsets of CrIS channels. This is relevant to both the use of the SWIR band in data assimilation (see presentations at this meeting by co-authors) but also in the context of the recent loss of the MWIR band on the S-NPP/CrIS instrument. We will demonstrate results from an experiment in which various CrIS and/or Advanced Technology Microwave Sounder (ATMS) data were excluded from the operational NUCAPS system. These results are also informative for new small-satellite instrument concepts that may not be able to afford having all 3 bands present.

### **7.03 Implementation and assessment of FY-3D Hyperspectral Infrared Atmospheric Sounder (HIRAS) in the Met Office system**

*Presenter: Fabien Carminati, Met Office*

*Authors: Fabien Carminati (Met Office), Xianjun Xiao (CMA), Fiona Smith (BOM), Nigel Atkinson (Met Office)*

Launched late 2017 on board FY-3D, the fourth Chinese polar orbiter of second generation in the FengYun series, HIRAS is a Michelson interferometer of 1370 channels covering three spectral bands (650-1136, 1210-1750, and 2155-2550  $\text{cm}^{-1}$ ) with 0.625 to 2.5  $\text{cm}^{-1}$  spectral resolution and 16 km nominal resolution at nadir. The instrument has 58 pixels per scan lines arranged in 2 x 2 arrays which cover a total swath of 2250 km. HIRAS processing capability has been implemented at the Met Office. Raw direct broadcast data locally received at the Met Office through the antenna based in Exeter are converted to level 1 geolocated radiances at normal spectral resolution with the China meteorological administration (CMA) FY3 software package and pre-processed with the ATOVS and AVHRR Pre-processing Package (AAPP) before being stored in the data base for later use in the NWP system. The AAPP processing includes conversion from HDF5 to BUFR and application of the channel selection that is also used for CrIS. Two months of global HIRAS observations provided by CMA are being analysed at the time of writing and will serve as a baseline to develop bias corrections for later assimilation experiments. Pending timely near real time data distribution available, HIRAS pre-operational assimilation testing phase is expected to be completed by October 2019. This submission will present the latest progress towards assimilation of this data in the Met Office NWP system.

### **7.04 New IR sounders in the ECMWF NWP system**

*Presenter: Reima Eresmaa, ECMWF*

*Authors: Reima Eresmaa*

ECMWF has assimilated NOAA-20 CrIS radiances since 11 September 2018. Two aspects are currently limiting the operational use: Firstly, due to the spectral properties differing from S-NPP CrIS in the mid-wave IR band, the active use is restricted to the long-wave IR band only. Secondly, observation error covariance is specified identically to the S-NPP CrIS, although the noise covariance is known to differ between the two CrIS's. Preparations are underway towards activating 37 water-vapour-sounding channels later in 2019: this will make use of NOAA-20-specific observation errors.

Metop-C IASI radiances have been available for monitoring and assimilation experiments since April 2019. Preliminary data quality assessment suggests similar noise performance as compared with Metop-B IASI. We expect to start the operational use of 220 channels, applying similar settings as those for Metop-A and Metop-B IASI's, during Summer 2019.

In addition to NOAA-20 and Metop-C, we recognize the gap-filling potential of Russian and Chinese satellite programs and have started exploring data acquired from Meteor-M N2 and FY-3D satellites. We find both the IKFS-2 and HIRAS sounders slightly noisier than the well-established American and European IR sounders. Nevertheless, we are looking forward to the launch of FY-3E into the early-morning orbit and expect the new IR observations to make a useful contribution to the operational system in the coming years.

### **7.05 Assessment and assimilation of observations of the hyperspectral IR sounder IKFS-2 on board the Russian Meteor-M N2 satellite**

*Presenter: Dmitry Gayfulin, Hydrometeorological Centre of Russia*

*Authors: Dmitry Gayfulin, Michael Tsyrunikov, Alexander Uspensky*

The hyperspectral IR sounder IKFS-2 is part of the payload of Meteor-M series satellites. It is a Fourier-transform spectrometer with spectral range 5-15 microns and spectral resolution 0.4-0.7  $\text{cm}^{-1}$ . Currently, data

from Meteor-M N2 satellite (flying in a morning orbit) are available. The next satellite in the series, Meteor-M N2-2, is due to be launched into an afternoon orbit in July 2019.

Observed spectra from IKFS-2 on board Meteor-M N2 were compared with a background, the NCEP 6h forecast converted to the radiance space with the RTTOV radiative transfer model. The comparison yielded encouraging results: the accuracy of the IKFS data was found comparable with the accuracy of IASI observations.

Thinned and cloud-cleared IKFS observations in atmospheric temperature channels (in the 660 – 750 cm<sup>-1</sup> spectral range) were assimilated into the data assimilation system of the Hydrometcentre of Russia. A significant positive impact on three-day weather forecasts (in the absence of IASI data) was found. The roles of channel selection, quality control, bias correction, and cloud clearing are discussed.

#### **7.06 The evaluation of GIIRS longwave temperature sounding channels using 4D-Var**

*Presenter: Ruoying Yin, IAP*

*Authors: Ruoying Yin, Wei Han, Zhiqiu Gao and Di Di*

The theory of classical variational assimilation assumes that biases are unbiased Gaussian. This paper investigates the bias characteristic estimate and the bias correction of Geostationary Interferometric Infrared Sounder (GIIRS) on board the FY-4A. Quality control procedures for GIIRS longwave temperature channels brightness temperature include cloud detection based on the Advanced Geosynchronous Radiation Imager (AGRI) and outlier removal. The mean biases for most channels are within  $\pm 2\text{K}$  after quality control except for the contaminated channels. Statistical evaluation of the differences between GIIRS observations and model simulations reveal that biases for the longwave temperature channels depend on fields of view (FOVs). The standard deviation is smaller in the principal optical axis of the observation array and becomes larger to the north/south ends, reaching maximum values in the 32nd and 96th FOVs. The latitudinal dependence of the mean biases and standard deviations are apparent (13°N, 20°N, 27.5°N, 35°N, 45°N and 60°N) due to the FOVs array observation mode. Additionally, the diurnal variation of biases is obvious, especially for the upper tropospheric channels, and the biases for high tropospheric channels are smaller than the biases for low tropospheric channels. Finally, off-line bias correction that was used in this study accounts for the field of regard (FOR) dependence and the diurnal variation bias characteristics of GIIRS. After bias correction, the results show that biases of longwave temperature sounding channels are reduced to  $\pm 0.02\text{K}$ , and the standard deviations are less than 1K except for the contaminated channels. The probability density function of the differences between observations and simulations for some common assimilation channels is closer to the unbiased Gaussian distribution.

### **Session 7: Assimilation of New Hyperspectral Infrared Instruments (poster introductions)**

#### **7p.01 Impact assessment of IASI temperature and humidity retrievals in the ECMWF system**

*Presenter: Kirsti Salonen, ECMWF*

*Authors: Kirsti Salonen, Thomas August, Tim Hultberg and Anthony McNally*

EUMETSAT is producing forecast independent statistical retrievals of atmospheric temperature and humidity from IASI radiances in preparation for future product generation from MTG-IRS. This poster summarises the key findings of the retrieval quality and impact assessment in the ECMWF system.

The overall quality of the retrievals is good as long as strict quality indicators are applied to exclude cloudy scenes. Generally the clear-sky observation minus model background (OmB) statistics indicate smaller than -0.3 K bias for temperature and small varying in sign bias for humidity. These retrieval OmB standard deviations are comparable in magnitude to similar statistics computed for radiosondes. However, it has been found that the retrieved profiles are rather smooth and typically lack important fine vertical temperature structure during inversions and around the tropopause.

Investigations reveal that the observation errors are also highly correlated between vertical levels. It is essential to take these correlations into account in data assimilation experiments. The error correlations are situation and location dependent and become increasingly stronger for cloud affected profiles.

Assimilation experiments indicate that in clear sky conditions the humidity retrievals have a positive impact on analyses and forecast quality, comparable in magnitude to that obtained when IASI radiances are assimilated. However, the results are very sensitive to the diagnosed observation error correlation that is used. The assimilation of temperature retrievals currently degrades analyses and forecasts, most likely due to smoothing of inversions and tropopause structures.

#### **7p.02 Evaluation of the performance of CrIS instrument under various assimilation scenarios**

*Presenter: Sylvain Heilliette, Environment Canada*

*Authors: Sylvain Heilliette, Stéphane Laroche*

The Cross-track Infrared Sounder (CrIS) infrared hyperspectral sounding instrument is now flying on board NPP and NOAA20 platforms. This instrument has very good radiometric characteristics in the 15 micron CO<sub>2</sub> band. At the Canadian Meteorological Centre (CMC), NPP CrIS observations have been assimilated operationally since December 2015, whereas NOAA20 CrIS observations will soon be assimilated. The goal of this study is to evaluate different configurations of CrIS assimilation and their impact in forecasts. A frequently encountered problem for this type of evaluation is that it is often difficult to see a clear signal given the necessary redundancy in the assimilated observations from various sensors. Therefore, in this study we choose to compare first the different configurations studied with respect to a reduced baseline configuration in which only conventional observations are assimilated. More specifically, we address the following questions: What is the benefit of the two CrIS instruments on top of the baseline configuration? What is the impact of the lost water vapour channels from band 2 on NPP CrIS? Is there a benefit of assimilating more temperature sounding channels in the 15 microns CO<sub>2</sub> band? Can the quality control be improved using the VIIRS cloud mask for cloud detection? Finally, the impact of the two CrIS sensors on top of all other assimilated data can also be reevaluated.

#### **7p.03 Operational use of inter-channel correlations for IASI in the DWD EnVar and investigation into the use of Reconstructed Radiances**

*Presenter: Silke May, DWD*

*Authors: Silke May, Olaf Stiller, Robin Faulwetter, Christina Köpken-Watts, Roland Potthast*

Hyperspectral infrared sounders like IASI or CrIS provide a very large number of channels which are spectrally very dense. This poster focuses on two challenges associated with this: First, observation errors display non-negligible error correlations between the channels that need to be taken into account in the assimilation system. The impact of using a full error covariance matrix  $R$  in the operational global ensemble variational data assimilation system (EnVAR) for the global ICON model system of DWD has been tested for IASI radiances. Secondly, in view of the future MTG-IRS for which data transmission is based on principal component compressed data, the use of reconstructed radiances for IASI assimilation is being tested.

Up to now, IASI radiances have been assimilated at DWD using a diagonal  $R$  matrix, diagnosed with Desroziers et al. (2005) method, but inflating diagonal elements to account for the neglected inter-channel correlations. A non-diagonal  $R$  matrix has been estimated using the Desroziers method and used in assimilation experiments ensuring it is invertible through setting a lower threshold on the size of eigenvalues. Using the non-diagonal  $R$  matrix leads to positive impact on NWP forecasts, particularly on the humidity fields visible in a much improved fit to other satellite observations sensitive to humidity. Further experiments on sensitivities of results to different parameters, e.g. applying an additional scaling factor to the  $R$  elements or a changed cut-off limit for the eigenvalues of  $R$ , are investigated.

In parallel, assimilation tests using reconstructed radiances, in an initial setup treating them similarly to raw radiances, have been run. As with raw radiances, a full  $R$  matrix has been diagnosed and introduced in experiments. As expected much larger inter-channel correlations are visible. Results of the assimilation of reconstructed radiances in comparison to raw radiances will be shown. An extension to the assimilation of CrIS FSR data using full  $R$  is ongoing.

#### **7p.04 Detection of aerosol- and trace-gas-affected IR radiances at ECMWF**

*Presenter: Reima Eresmaa, ECMWF*

*Authors: Reima Eresmaa, Julie Letertre-Danczak, Tony McNally*

NWP system diagnostics indicate towards the need for screening routines specifically to prevent aerosol- and trace-gas-affected infrared radiances from entering the assimilation. In the NWP system at ECMWF, aerosol is detected from observed brightness temperature (BT) differences at window channels both sides of the 9.6

micron ozone absorption band. Positive detection of aerosol currently leads to rejecting all channels, although it is known that the associated radiative effect is typically limited to lower-tropospheric- and surface-sensitive channels. We have recently developed a method to restrict the aerosol-related rejections to affected channels only. The method is based on converting the observed BT difference into a proxy of Aerosol Optical Depth and further into an estimate of aerosol vertical extent at each observation location. The operational implementation, foreseen in 2020, will recover a large number of stratospheric- and upper-tropospheric data. The next step will be to distinguish between volcanic ash and desert dust aerosol and, subsequently, to make the channel-specific aerosol rejections depending on the aerosol type.

In addition to presenting the recent and ongoing work on the aerosol detection, we will review the status of the trace-gas detection at ECMWF. The trace-gas detection scheme was implemented in response to the 2015 Indonesian forest fire episode, during which extra-ordinary amounts of Hydrogen Cyanide (HCN) were released into the atmosphere.

#### **7p.05 Quantifying the effects of the CrIS-FSR Radiance Polarization Corrections using the NCEP Global Data Assimilation System.**

*Presenter: James Jung, CIMSS*

*Authors: James Jung and co-authors*

The Cross-track Infrared Sounders (CrIS) have polarization effects due to the design of the instrument. These polarization effects may be significant to Numerical Weather Prediction (NWP), especially for the shortwave band. Scientists at the Space Science and Engineering Center (SSEC) have developed a theoretical model and correction for the polarization induced calibration errors. The polarization parameters required for the correction were determined using data acquired by the CrIS instrument during the February 2012 SNPP pitch maneuver. During the pitch maneuver, all of the CrIS cross-track fields of regard that normally view the Earth, were looking to deep space. In this configuration, field of regard and detector dependent differences are dominated by the instrument polarization, making this an ideal dataset for derivation of the polarization parameters. For band 3 (shortwave), the uncorrected polarization induced calibration errors can be as much as several brightness temperature (BT) degrees for cold Earth scenes.

The CrIS Full Spectral Resolution (CrIS-FSR) radiances, from both SNPP and NOAA-20, with and without the polarization correction are assimilated in the NCEP Global Data Assimilation System (GDAS) to quantify differences in assimilation statistics. We will specifically review bias and standard deviation statistics of the nine detectors for all CrIS-FSR 2211 channels. GDAS Analysis differences are also investigated and presented in this poster.

### **Session 8: Space Agency Reports (poster introductions)**

#### **8p.01 Status report of space agency: JMA and JAXA**

*Presenter: Kozo Okamoto, JMA/MRI*

*Authors: Kozo Okamoto, Misako Kachi, Kotaro Bessho*

JMA started discussing the follow-on satellites of Himawari-8/9, scheduled to launch around 2029. One of possible instruments on the follow-on satellites is a hyperspectral infrared sounder and its impact is evaluated using OSSE. JAXA operates GCOM-W/AMSR2, GCOM-C/SGLI, GPM-core/GPM and GOSAT2, and prepare for EarthCARE/CPR. Their status will be presented.

#### **8p.02 The Current EUMETSAT Polar System**

*Presenter: K. Dieter Klaes, EUMETSAT*

*Authors: K. Dieter Klaes*

This Paper provides an overview on the products and services of the EUMETSAT Polar System (EPS), highlighting the aspects related to observing and forecasting the weather. EPS is the European contribution to the Polar Meteorological Satellite Observing System. It forms a part of the Initial Joint Polar System (IJPS), formed with NOAA (National Oceanic and Atmospheric Administration). EUMETSAT is assuring the mid-morning service (9:30 AM LST, descending node), whereas the US partners are assuring the afternoon service (13:30 PM LST, ascending node). Eight meteorological instruments (among 11) are embarked on the Metop satellites (seven on Metop-C). The Metop satellites developed in co-operation with the European Space

Agency (ESA) form the space segment of EPS. Metop instrument data – in particular the sounding instruments - provide an essential contribution to global operational Numerical Weather Prediction (NWP). Climate monitoring and atmospheric composition monitoring and ocean and cryosphere observations are further application areas supported by Metop instrument data. There are three Metop satellites in the programme and fly in a sun-synchronous mid morning polar orbit with equator crossing time of 9:30 Local Solar Time (LST) for the descending node. At least 15 years of operations are foreseen to provide measurements from space. All three Metop satellites are in orbit (Metop-A launched 2006 and Metop-B in 2012), with the third, Metop-C successfully launched on the 7 November 2018 (UTC time) from Kourou. After its successful commissioning, there will be three Metop-satellites in orbit for about three years. The paper will also highlight commissioning results of Metop-C and impact already visible from the data on the quality of weather forecasts.

### **8p.03 NOAA**

*Presenter: Mitch Goldberg, NOAA*

*Authors: Mitch Goldberg*

### **8p.04 CMA**

*Presenter: Peng Zhang, CMA*

*Authors: Peng Zhang*

### **8p.05 Russian Meteorological Satellite Programs**

*Presenter: TBC*

## **Session 9: Advances in the Assimilation of Infrared Sensors (oral presentations)**

### **9.01 Assimilation of Hyperspectral Infrared Shortwave CrIS Observations in the NOAA Global Data Assimilation System**

*Presenter: Erin Jones, UMD CISESS*

*Authors: Erin Jones, C. Barnet, K. Garrett, K. Ide, Y. Ma, S. Boukabara*

Though shortwave channels on hyperspectral infrared (IR) instruments are capable of providing good temperature sounding information to users, the numerical weather prediction (NWP) and data assimilation (DA) communities have historically favored the use of longwave hyperspectral IR observations, citing issues with solar impacts on shortwave frequencies. As a consequence, shortwave hyperspectral IR observations from instruments like the Cross-track Infrared Sounder (CrIS) are not used operationally in the NOAA Global Data Assimilation System (GDAS) / Global Forecast System (GFS), regardless of the fact that the capability to simulate and assimilate them exists. Owing to the ease, compared to longwave instruments, with which shortwave instruments can be produced, their smaller size, and the lower costs associated with their manufacture, NOAA's Center for Satellite Applications and Research (STAR) is actively investigating what benefits may be garnered from assimilating CrIS shortwave observations in the NOAA GDAS/GFS, and whether these observations can be assimilated in the GDAS/GFS in place of hyperspectral IR longwave observations without discernable loss in analysis or forecast skill. To be discussed are measures taken to improve GDAS quality control procedures for hyperspectral IR shortwave observations, the specification of errors for shortwave CrIS channels, the performance of shortwave CrIS observations in the GDAS analysis, and the impact of assimilating shortwave CrIS observations on the GFS forecast in experiments denying longwave observations.

### **9.02 Enhancing the hyperspectral infrared radiance assimilation in the ECMWF system**

*Presenter: Kirsti Salonen, ECMWF*

*Authors: Kirsti Salonen and Anthony McNally*

The number of assimilated WV channels for Infrared Atmospheric Sounding Interferometer (IASI) has been recently increased from 10 to 39 channels in the ECMWF system. The additional WV channels have a positive impact on short range temperature and humidity forecasts and via the wind tracing mechanism also on the wind forecasts, especially in the tropics. With the addition of the third IASI (Metop-C) the improvements are further enhanced.

Only IASI radiances classified as cloud free are used in the ECMWF system. Currently the used observation errors and error correlations are static. At each IASI sounding location also information on statistical radiance properties within clusters of the Advanced Very High Resolution Radiometer (AVHRR) pixels occupying the IASI field of view (FOV) is available. The AVHRR radiance standard deviation provides an indication of the scene heterogeneity and increased values suggest the presence of cloud in the IASI FOV. Observation minus background (OmB) statistics indicate that especially for humidity sensitive channels of IASI the magnitude of the OmB standard deviation increases when the collocated AVHRR radiance statistics indicate increased heterogeneity in the FOV. The potential of using this information for scene dependent observations errors is investigated. The presentation will summarise the latest results of the work.

### **9.03 4D-Var assimilation of IASI ozone-sensitive radiances in operational global model ARPEGE**

*Presenter: Olivier Coopmann, CNRM, Université de Toulouse, Météo-France, CNRS*

*Authors: Olivier Coopmann*

The infrared hyperspectral sounders on board polar-orbiting satellites provide around 70% of the data assimilated in the global NWP model of Météo-France (ARPEGE), including both IASIs. Infrared measurement is sensitive to surface parameters and numerous atmospheric species. Information on temperature can be retrieved from measurements at various wavelengths (or channels) corresponding to species for which concentration is known. Most of the temperature retrievals from the infrared sensors use channels sensitive to carbon dioxide. Parts of the infrared spectrum are sensitive to ozone but they are not currently used at Météo-France. We note that, in the current versions of the radiative transfer model (RTM) used in the assimilation, concentrations of those gases are constant in space and time. A previous studies at Météo-France showed that using concentrations of ozone coming from Chemistry-transport Models (CTM) led to an improvement of the simulations for IASI. Moreover, assimilation of IASI ozone-sensitive channels allow to improve temperature and humidity analyses (Coopmann et al., 2018).

The goal of this study is to carry out a new channel selection of IASI ozone-sensitive channels to be used in the four-dimensional data assimilation system (4D-Var) by a coupling between the ARPEGE and MOCAGE models for ozone fields. The use of ozone from MOCAGE allows a better use of infrared satellite observations and has a positive impact on the quality of thermodynamic and ozone analyses but also on weather forecasts. Results of assimilation including ozone in the control variable will be presented.

### **9.04 Assimilating solar satellite channels in a convective-scale LETKF**

*Presenter: Christina Köpken-Watts, Deutscher Wetterdienst (for Liselotte Bach)*

*Authors: Liselotte Bach, Leonhard Scheck, Christoph Schraff, Christina Stumpf, Stefan Geiss, Roland Potthast*

Convective precipitation and cloud cover are among the most challenging user-relevant variables in convective-scale NWP. We see great potential in improving the representation of these processes assimilating visible channels of the SEVIRI-Instrument on MSG making use of the new fast and accurate forward operator MFASIS (Scheck et. al, 2016), which has recently been implemented into RTTOV.

To allow for accurate warnings ahead of convective precipitation and to approach the goal of a seamless transition from nowcasting to NWP, our objective is to improve the representation of convection already at the stage of its initiation. At this stage, clouds usually form at low levels and at small scales, such that they are likely not detectable in thermal IR (or MW) observations. Therefore, visible channels provide very useful additional information. Further, we explore possibilities for improving the representation of winter time low stratus in initial conditions and forecasts for which, again, reflectance observations during daytime are important complementary observations to IR sounder data.

We show results from data assimilation experiments with the new convective-scale weather prediction model ICON-LAM, currently in development at DWD, using an ensemble based data assimilation approach, the Local Ensemble Transform Kalman Filter (KENDA-LETKF, Schraff et. al, 2016). The focus is on the improvement obtained for cloud cover, precipitation and surface variables. Further, we discuss potential problems related to non-gaussian first guess departures, nonlinearity of the forward operator and ambiguities of the observations.

### **9.05 Improvements to Ozone Analyses using Hyperspectral Sounders in the 9.6 um Band**

*Presenter: Bryan Karpowicz, GESTAR/USRA/NASA GMAO*

*Authors: Bryan M. Karpowicz, Will McCarty, and Krzysztof Wargan*

Previously, hyperspectral sounder brightness temperatures assimilated in the Goddard Earth Observing System Atmospheric Data Assimilation System (GEOS-ADAS) were limited to assimilating temperature and moisture. The ozone sensitive 9.6 um region is sensed by several hyperspectral sounders including AIRS (Atmospheric InfraRed Sounder), IASI (Infrared Atmospheric Sounding Interferometer), and CrIS (Cross-track Infrared Sounder). Direct assimilation of brightness temperatures in the 9.6 um region have been operational at ECMWF for several years (Dragani and McNally, 2013; Eresmaa et al., 2017). With this study, similar improvements using the GEOS-ADAS are presented. Channels were selected from available operational subsets evaluating information content and minimizing inter-channel correlation. Additionally, information such as channel selections made by other studies, and vertical sensitivities of ozone and temperature were considered in developing the study. The analyses produced show improvements verified against ozonesondes taken from SHADOZ (Southern Hemisphere Additional Ozonesondes), and WOUDC (World Ozone and Ultraviolet Data Center). Inclusion of inter-channel correlation error to the selected ozone channels are expected to improve ozone analyses further along with improve analyses overall. It is anticipated that inclusion of these ozone sensitive channels will be used to improve NASA GMAO products in the near future.

## **Session 10: Retrievals (oral presentations)**

### **10.01 Status of regional IASI L2 products at EUMETSAT and studies in view of MTG-IRS**

*Presenter: Thomas August, EUMETSAT*

*Authors: Thomas August, Tim Hultberg, Cédric Goukenleuque, Marc Crapeau, Dorothée Coppens, Jochen Grandell*

In answer to User request, EUMETSAT has been operating since November 2017 a regional service providing atmospheric temperature and humidity sounding within 30 minutes from sensing from the Polar System EPS. The algorithm exploits in synergy measurements from IASI and from its microwave companions on-board Metop, AMSU and MHS. The satellite data are directly broadcasted at local receiving stations from the EUMETSAT Advanced Retransmission Services (EARS) system and processed with a non-linear statistical method referred to as PWLR3. The retrievals are performed in clear as well as in cloudy pixels. The products are distributed in near-real time through EUMETCast in HDF5 format. They include temperature and humidity profiles together with a series of quality control parameters and uncertainty estimates, which Users can use to perform data selection corresponding to their applications.

Building on the EARS-IASI L2 products and their integration in a growing number of weather monitoring systems, a number of studies have been initiated to explore further the support to very short range forecasts and for the early detection and monitoring of atmospheric instabilities in particular. We provide here a status summary and first conclusions of interactions with European forecasters in that perspective, which will guide the future evolution of the regional service. We discuss for instance the coarser vertical resolution of the satellite sounding as compared to e.g. radiosondes profiles and the lower sensitivity near surface inherent to hyperspectral sounders.

The operational algorithms for IASI form the basis for the Day-1 baseline of the future geostationary hyperspectral sounder IRS on-board Meteosat Third Generation (MTG) sounder platforms (MTG-S). With a much higher spatio-temporal sampling than EPS/IASI (observations every 30 minutes in contiguous pixels sampled at ~7km over Europe with MTG-IRS vs twice-a-day in sparse 12-40km pixels) MTG-IRS has been designed to the monitoring of regional weather developments. The lessons learnt with the EARS-IASI L2 products contribute to the consolidation of the MTG-IRS L2 requirements and the specification of the operational products and their processor. In addition, EUMETSAT has initiated a number of complementary studies to evaluate IRS-specific aspects like the applicability of all-sky sounding in IR-only mode (as IRS has no micro-wave companions) and the challenges and potential of atmospheric sounding from a geostationary viewing geometry. In particular, the variation off Nadir of the theoretical vertical sensitivity, resolution and sounding precision is quantitatively studied e.g. at surface and in the lower troposphere in view of instability monitoring. As a comparison, a large part of Europe will be observed in a viewing regime corresponding to the

limit of swath for IASI. We present here an overview and initial results of the IRS-specific studies, limitations and potential at high viewing angles.

### **10.02 The New NASA Multi-mission Microwave Sounder Retrieval System**

*Presenter: Bjorn Lambrigtsen, Jet Propulsion Laboratory*

*Authors: Bjorn Lambrigtsen, Mathias Schreier, Evan Fishbein*

NASA has an interest in extending the sounder data time series started with the Aqua AIRS-AMSU-HSB sounder suite beyond Aqua, to support atmospheric and climate research. NASA therefore invested significantly in the Suomi NPP mission, first with the development of ATMS and later with the formation of a broader instrument science team. That team was asked to consider whether the S-NPP sensors, including the CrIMSS sounder suite, can be used to support research beyond Aqua in lieu of a continuing series of dedicated NASA missions. The science team concluded that it is likely that operational missions, such as S-NPP and the NOAA JPSS series, can be used in such a way, but it also concluded that the operational data produced by NOAA are inadequate. NASA therefore solicited proposals to develop new data algorithms that will be used to produce NASA's own data sets from the NOAA sounders. This is particularly necessary to support climate research, since the NOAA data are intended primarily for weather prediction, where continuity and consistency over long time periods is not important, and data are not reprocessed as algorithms are updated. The NOAA data sets are therefore not optimal for research use.

Under this NASA program a new microwave retrieval system has been developed at JPL, called the "Retrieval Algorithm for Microwave Sounders in Earth Science" (RAMSES). An algorithm testbed was constructed that allows plug and play testing of various elements of the retrieval algorithm. The initial version, which is based on optimal estimation, uses a very fast radiative transfer code that is based on the Aqua-AMSU retrieval system. The new retrieval system has been operationalized at the Sounder Science Investigator-led Processing System (Sounder-SIPS) at JPL, which acts as a clearing house for new NASA sounder algorithms, and will soon be delivered to the Goddard Earth Science Data and Information Services Center (GES DISC) for production, archiving and distribution. RAMSES will be used to process all ATMS data from S-NPP and the JPSS series and will also be configured for AMSU and used to reprocess data from both Aqua and NOAA platforms going back to 1998.

We will give an overview of RAMSES and show sample data and will also discuss planned upgrades to the retrieval system, primarily accounting for precipitation by the use of a different radiative transfer code, such as the Community Radiative Transfer Model (CRTM).

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### **10.03 Continuity in Sounding Products from Multiple Platforms – examples from CLIMCAPS and NUCAPS**

*Presenter: Nadia Smith, Science and Technology Corporation*

*Authors: Nadia Smith, Chris. D. Barnett, Rebekah Esmaili*

Hyperspectral infrared sounders measure emitted radiance at the top of atmosphere. Retrieval algorithms invert these measurements into profiles of temperature, moisture and trace gas species to characterize the atmosphere's thermodynamic structure and chemical composition. Here we introduce the NASA operational algorithm, CLIMCAPS (Community Long-term Infrared Microwave Combined Atmospheric Product System), designed to achieve continuity in global sounding products across multiple decades (from 2002 onwards, starting with the launch of EOS/Aqua) to characterize climate processes. We contrast CLIMCAPS with the NOAA operational algorithm, NUCAPS (NOAA-Unique Combined Atmospheric Processing System), designed to retrieve snapshots of the atmospheric state from polar-orbiting platforms to characterize instantaneous weather and change within the diurnal cycle. Differences in instrument design, spatial sampling, spectral coverage and resolution challenge product continuity in multi-platform operational systems. We present results to characterize these effects and demonstrate how retrieval system design can affect continuity in the information content and systematic uncertainty of retrieval products.

#### **10.04 Retrieval of the radiative flux and atmospheric vertical heating rate profiles in the thermal infrared with the IASI instruments onboard the Metop platforms**

*Presenter: Yoann Tellier, Centre National de Recherche Scientifique (CNRS), Laboratoire de Météorologie Dynamique*

*Authors: Yoann Tellier, Cyril Crevoisier, Raymond Armante, Jean-Louis Dufresne and Virginie Capelle*

One of the fundamental drivers of our climate system is the balance between the net incoming solar radiation and the outgoing radiation from the Earth and its atmosphere. Outgoing Longwave Radiation (OLR) is the global long wave radiation outgoing from the Earth-Atmosphere system, integrated over all angles. An accurate estimate of OLR is thus particularly important to study the variability of Earth climate. For the past decades, several spaceborne missions aimed at measuring the outgoing radiation of the Earth system. Since the years 2000s, hyperspectral sounders have allowed the retrieval of vertically resolved atmospheric parameters.

In particular, the hyperspectral sounder IASI, launched onboard the platforms Metop-A (2006), Metop-B (2012) and Metop-C (2018) already offers more than 12 years of measurement of several essential climate variables, with the potential for offering at least two decades of observation. Owing to its coverage of a large portion of the longwave spectrum, it offers the possibility to derived Earth's outgoing longwave radiation (OLR) and vertical longwave cooling rate, and to study their variability on climatic timescales.

In this poster, we will present the retrieval of outgoing longwave radiation and vertical longwave cooling rate from IASI. For this objective, the radiative transfer code 4A has been modified to compute the OLR and the vertical sources and sinks of radiative energy. Validation of the code is performed in the context of the Radiative Forcing Model Intercomparison Project (RFMIP). Using a non linear inference scheme based on neural networks and 4A, OLR and cooling rate are retrieved from IASI observations. We will present the first results if these retrievals and analyze them by characterizing the links existing between the thermodynamic state of the atmosphere and the vertical repartition of the sources and sinks of Earth radiant energy.

#### **Session 10: Retrievals (poster introductions)**

##### **10p.01 Atmospheric profile retrieval using rapid scan observation of Geo-KOMPSAT-2A Satellite**

*Presenter: Hee-Jung Kang, NMSC/KMA*

*Authors: Hee-Jung Kang, Tae-Myung Kim, Myung-Hwan Ahn, Sung-Rae Chung, and Seonghoon Cheong*

The Advanced Meteorological Imager (AMI) on board the Geo-KOMPSAT-2A (GK-2A) launched into the geosynchronous orbit around 128.2 E on 5 December 2018, and will start operation in July 2019 after some functional and integrated performance tests completed. Over Full Disk (FD) and Extended Local Area (ELA) centered on the Korean Peninsula, AMI provides 16 channel imageries covering from the visible to the thermal infrared bands every 10 minutes and 2 minutes, respectively. GK-2A meteorological products have a lot of potential in nowcasting convective activity, tracking movement of aerosols, and improvement of the Numerical Weather Prediction (NWP) model forecasts. It is expected that AMI vertical temperature and humidity profiles will especially contribute to monitoring severe weather because it is used to calculate atmospheric instability indices.

The algorithm for AMI atmospheric profile (AAP) was developed to retrieve it over clear sky, which is identified by GK-2A cloud detection product. The algorithm is based on the one-dimensional variational (1D-VAR) method using bias corrected brightness temperatures (BTs) from AMI 9 channels (6.2  $\mu\text{m}$ , 6.9  $\mu\text{m}$ , 7.3  $\mu\text{m}$ , 8.6  $\mu\text{m}$ , 9.6  $\mu\text{m}$ , 10.4  $\mu\text{m}$ , 11.2  $\mu\text{m}$ , 12.4  $\mu\text{m}$ , and 13.3  $\mu\text{m}$ ) and simulated BTs as a first guess. The simulated BTs are generated from the KMA operational global NWP system (Global Data Assimilation and Prediction System; GDAPS) forecasts using the Radiative Transfer for TOVS (RTTOV) v12.1 with AMI coefficients.

It is demonstrated that the algorithm increases the quality of humidity information through an application of the algorithm to Advanced Himawari Imager (AHI) data, as a proxy data, over the FD area. Considering operational use after launching, optimization for the ELA is essential. Because the radiances over the ELA is more biased than radiances over the FD area, the bias correction coefficients statistically calculated from AMI observation and NWP analysis over the ELA should be applied to the algorithm. This presentation will give preliminary results of initial optimization of AAP algorithm for local area using rapid scan measurements.

### **10p.02 First results from the Metop-C IASI Level 2 cal/val**

*Presenter: Thomas August, EUMETSAT*

*Authors: Marc Crapeau, Thomas August, Tim Hultberg, Stefan Stapelberg, Anne O'Carroll, Gary Corlett*

Geophysical parameters from the IASI instruments on Metop-A and Metop-B are provided from EUMETSAT's Central Facility in near real time since 2007 for Metop-A and since 2013 for Metop-B. The EUMETSAT IASI Level 2 (L2) suite includes vertical profiles of temperature and humidity, cloud information (coverage and top height), surface skin temperature and emissivity, and atmospheric composition parameters (e.g. CO, O<sub>3</sub>, SO<sub>2</sub>, CH<sub>4</sub>). Metop-C, the third of a series of three platforms in the EUMETSAT Polar System (EPS) programme was successfully launched November 2018, 7th, bringing the number of flying IASI instruments to three. We present here validation results from the Metop-C IASI Level 2 commissioning.

In particular we provide an overview of the status of the IASI Level 2 products coming from Metop-C and compare their accuracy to the products from the other two platforms. IASI instruments on-board Metop-A and -B have shown very similar levels of performance leading to very close IASI Level 2 products and we can expect a comparable behaviour for Metop-C.

We present performance assessments of the temperature and humidity profiles retrievals from the routine monitoring against sondes measurements and against model analyses. Surface temperature retrievals are evaluated with in situ measurement for the sea surface temperature (buoys), with model analyses as well as with other satellite products (e.g. SEVERI LST). The cloud parameters (mask, top pressure and fractional coverage), which constitute a crucial information for the use of IASI L2 products, are evaluated by visual inspection of the scenes (e.g. with AVHRR) and quantitative comparison to external reference datasets.

### **10p.03 An adaptative OEM retrieval for IASI**

*Presenter: Thomas August, EUMETSAT*

*Authors: Thomas August, Tim Hultberg*

Optimal estimation, e.g. as formulated in Rodgers 2000, is popular inverse method for atmospheric sounding. It builds on Baye's theorem and requires accurate observation and a priori errors, assumed of Gaussian statistics. These errors are usually evaluated off-line of the retrieval and applied globally for a given observing system. In the context of the operational IASI L2 processor at EUMETSAT, the observation error has been defined statically as the covariance of observed and calculated radiances, using the first guess state vector and RTTOV as a forward model. Two distinct observation error matrices are defined for land and maritime scenes since the release of IASI L2 v6.3, with the aim to account for usually more accurate knowledge of surface emissivity and temperature over oceans than over continental surfaces. This lead also to more accurate humidity retrieval in the low troposphere.

In this work, we study the feasibility and advantages of dynamically applying scene-dependent observation errors to each individual retrieval instance from IASI.

First, distinct observation classes are established off-line for IASI by application of unsupervised K-mean clustering to a representative set of observations, based on their leading principal components. A different observation error matrix is computed as the covariance of OBS-CALC in each of these classes. We analyse the geographical distribution of the observation classes, their seasonal variations and the amplitude of the corresponding observation errors.

Second, to compensate for systematic differences between observations and forward modelling, a scene-dependent bias correction is regressed as a function of IASI observations and viewing geometry (e.g. satellite zenith angle, elevation).

Finally, during the online retrieval process, each individual observation is associated to its nearest class in the above clustering and the OEM retrieval is configured with the corresponding bias correction and observation error. We will compare the retrievals performed with the static and then adaptative OEM configuration to radiosondes and numerical model analyses. Their respective performances will be discussed, taking also into account the added complexity with growing observation classes.

#### **10p.04 Near Real Time Active Fires and GAASP Level-2 Products Via Direct Broadcast Using the Community Satellite Processing Package**

*Presenter: Geoff Cureton, Cooperative Institute for Meteorological Satellite Studies, UW-Madison*

*Authors: Geoff Cureton*

The Cooperative Institute for Meteorological Satellite Studies (CIMSS) has a long history of supporting the Direct Broadcast (DB) community for various low-Earth-orbit (LEO) sensors, previously with the International MODIS/AIRS Processing Package (IMAPP) for the NASA EOS polar orbiters Terra and Aqua, and currently with the Community Satellite Processing Package (CSPP) for the NOAA polar orbiters Suomi-NPP and NOAA-20. CSPP has been significant in encouraging the early usage of Suomi-NPP data by US and international weather agencies, and this situation should continue with NOAA-20 and beyond.

CSPP support for NOAA polar orbiters to date has rested upon the Algorithm Development Library (ADL) developed by Raytheon, a refactoring of the science code in the Interface Data Processing Segment (IDPS), the NOAA operational processing system. More recently various science algorithms are being provided for DB in the Delivery Algorithm Package (DAP) format. Examples of both ADL and DAP packages will be presented: the Active Fires package for S/NPP and NOAA-20, and the GCOM-W1 AMSR2 Algorithm Software Package (GAASP), for the retrieval of total precipitable water (TPW) and cloud liquid water (CLW).

#### **10p.05 Comparison of PCRTM-derived CrIS retrievals of temperature, water vapor, and trace gases (O3, CO, CH4, and N2O) with in-situ measurements**

*Presenter: Hyun-Sung Jang, NASA LaRC/NASA Postdoc Program*

*Authors: Hyun-Sung Jang, Xu Liu, and Wan Wu*

A physical retrieval algorithm, which uses a Principal Component-based Radiative Transfer Model (PCRTM), was applied on six-year (2013-2018) CrIS measurements aboard Suomi National Polar-orbiting Partnership (S-NPP) satellite to derive thermodynamic properties and trace gases profiles. PCRTM is an ultra-fast forward model that allows the retrieval algorithm to use the principal components of spectral information from all CrIS channels to find an optimal solution. Retrieval results of temperature, water vapor, and ozone are compared with those from GCOS Reference Upper-Air Network (GRUAN) data and Southern Hemisphere Additional OZonesondes (SHADOZ) data. We also used aircraft measurements from Atmospheric Tomography Mission (ATom) to validate retrieval for trace gases including CO, CH<sub>4</sub>, and N<sub>2</sub>O. In this study, we choose in-situ measurements that are temporally and spatially collocated to be within 15 km and 30 minutes of CrIS Field of Views (FOVs). Statistics of bias error, root mean square error, and standard deviation are demonstrated to assess baseline performance of the algorithm. Our results show that the PCRTM-based physical retrieval algorithm can be used for single FOV retrieval under all-sky condition.

#### **10p.06 Satellite Inter-comparison of Geostationary GIIRS and Polar-orbiting IR Sounders CrIS and IASI: Radiances, Thermodynamic Retrievals, and Stability Indices**

*Presenter: Robert Knuteson, University of Wisconsin-Madison Space Science and Engineering Center*

*Authors: Robert Knuteson, Jessica Maier, Paul Menzel, Henry Revercomb, W.L. Smith, Sr., David Tobin, and Elisabeth Weisz*

The long history of satellite remote sensing of Earth's atmospheric temperature and water vapor vertical profiles led to the development of high spectral resolution thermal infrared emission spectrometers with on-board absolute calibration. The High-resolution Interferometer Sounder (HIS) program at UW-Madison SSEC/CIMSS was started in the 1980's by NOAA and NASA as an airborne demonstration of the value of hyperspectral infrared radiance observations and thermodynamic retrievals. Subsequently the NASA Atmospheric Infrared Sounder (AIRS) was launched on the Aqua satellite in 2002, followed by the METOP series of satellites with the Infrared Atmospheric Sounding Interferometer (IASI) in 2006. The Cross-track Infrared Sounder (CrIS) joined the satellite constellation with on NASA's Suomi-NPP satellite in 2011 and most recently on the NOAA-20 operational satellite in 2018. All these satellites are contributing to the global data assimilation used by numerical weather prediction centers for medium range forecasting. The first hyperspectral IR sounder is the Geostationary Interferometric Infrared Sounder (GIIRS), which is operational aboard the Chinese Fengyun-4A satellite launched in 2016. The geostationary platform has the advantage of sampling the atmosphere over a region at multiple points in the diurnal cycle. The FY4A GIIRS satellite radiances have been routinely distributed since in 24 January 2019 by the China Meteorological Administration (CMA). FY4A GIIRS contains a focal plane array of 128 detectors which uses a Fourier transform spectrometer (FTS) to simultaneously collect 128 interferograms of the Earth scene emission. Periodic views of an internal blackbody and a deep space view are used for calibration. The operational mode of FY4A GIIRS covers the

China region with a repeat of about 2 hours. The inter-comparison of the geostationary GIIRS radiances with polar-orbiting satellites (CrIS and IASI) has been made using a matchup technique. Constraints are applied in time, space, and viewing angle. Results are presented as brightness temperature bias spectra and as spectral line shifts. These radiance (or observed brightness temperature) comparisons provide a direct assessment of the calibration accuracy of GIIRS relative to the other satellite sensors which are already routinely inter-compared. A second objective is the inter-comparison of retrieved profiles of temperature and moisture from the various sensors. This is complicated by the multiplicity of organizations and disparate algorithms used to create the vertical profiles. Finally the derived quantities of atmospheric stability (CAPE, CIN, LI, etc.) are compared. In this study, comparisons are also made between GIIRS-derived vertical profiles of temperature and humidity and local observational data from coincident radiosonde launch sites, e.g. at Shanghai. This paper describes an inter-comparison of observed radiances, thermodynamic retrievals, and derived stability indices among these various satellite sensors and derived products.

#### **10p.07 Sounding Data Products generated at NOAA/NESDIS Using High Spectral Resolution Infrared and Advanced Microwave Sounders (CrIS/ATMS)**

*Presenter: Awdhesh Sharma, NOAA*

*Authors: Dr. Awdhesh K. Sharma*

The Office of Satellite and Product Operations (OSPO) of NOAA/NESDIS has implemented innovative tools to monitor performance and data quality of the operational sounder and imager products that are being generated using the Cross-track Infrared Sounder (CrIS), in conjunction with the Advanced Technology Microwave Sounder (ATMS). Higher (spatial, temporal and spectral) resolution and accurate sounding data from CrIS and ATMS support continuing advances in data assimilation systems and NWP models to improve short- to medium-range weather forecasts and climate applications. Currently, the NOAA Unique Combined Atmospheric Processing System (NUCAPS) level 2 products from Metop-A/B/C, S-NPP, and NOAA-20 satellites include temperature and humidity profiles; trace gases such as ozone, nitrous oxide, carbon dioxide, and methane; and the cloud cleared radiances (CCR) on a global scale and these products are available to the operational user community. The OSPO tools have been extended to include the CrIS/ATMS SKEW-T (Logarithmic Pressure vs Temperature and Dew Point Temperature) sounding plots over the globe. These plots are updated every hour to show the latest sounding at each grid points (0.5 X 0.5 degrees) over the globe. The last ten soundings are retained to track the changes in the atmospheric conditions. The incorporation of these tools in the OSPO operation has facilitated the diagnosis and resolution of problems when detected in the operational environment.

This presentation will include several of these tools developed and deployed for the sounding products monitoring and data quality assurance which led to improving the maintenance and sustainment of the Environmental Satellites Processing Center (ESPC) processing systems. The presentation will include the discussion on the ESPC system architecture involving sounding data processing and distribution for CrIS and IASI sounding products. Discussion will also include the improvements made for data quality measurements, granule processing and distribution, and user timeliness requirements envisioned from the next generation of JPSS and EUMETSAT satellites. There have been significant changes in the operational system due to system upgrades, algorithm updates, and value added data products and services.

#### **10p.08 Expanding the capability of real-time temperature, humidity, and trace gas retrieval products in field campaigns**

*Presenter: Nadia Smith, Science and Technology Corp. (for Rebekah Esmaili)*

*Authors: Rebekah Esmaili, Nadia Smith, Chris Barnett, Colby Francoeur*

Intense wildfires can significantly impact regional air quality in the United States and Canada. Sounding products are useful for visualizing the long-range transport of trace gases (CO, O<sub>3</sub>, CH<sub>4</sub>, etc.), temperature, and moisture from active fires. This information is valuable for environmental situational awareness during field campaign experiments. However, satellite sounding participation in field campaigns usually occurs after the conclusion of the experiment due to data latency; operational data from polar orbiting satellites can arrive up to 3 hours after an overpass, outside of NOWCASTING requirements. However, with NUCAPS available in the CSPP suite, data latency is below 30 minutes, which allows sounding algorithms to be evaluated alongside in-situ observations. Due to reduced latency, field campaigns can now act as a real-time sounding algorithm product testbed.

Using the direct broadcast network, we show how NUCAPS products from both existing and new satellites (NOAA-20, SNPP, and the MetOp series) were utilized to provide diurnal measurements of trace gases during the FIREX-AQ campaign over North America. For the experiment, we provided direct readout via web-based tools to campaign coordinators. Parameter visualizations were tailored to the coordinators needs as the campaign progressed. This work looks beyond whether a product can meet “requirements;” rather we examine how it can be improved to facilitate real-time planning and forecasting. Through this work, we discuss if sounding products are a viable tool during field campaigns and how products can be improved to rise to the challenge.

#### **10p.09 Towards a Further Use of Satellite Observations for a Better Definition of Surface Temperature**

*Presenter: Mohamed Zied Sassi, CNRM/Météo-France & CNRS*

*Authors: Zied Sassi, Nadia Fourrié, Vincent Guidard and Camille Birman*

The land surface temperature (LST) is of major interest in surface processes understanding. However, its high variability and dependence on surface parameters make its modelization difficult over land. The satellite observations, produced by Infra-red and Microwave sensors, help defining a good quality LST by using surface sensitive channels and emissivity atlas to retrieve surface temperature.

The first part of this work provides a comparison of the MSG/SEVIRI retrieved LST to local observations from two stations included in AROME-France domain. Diurnal cycles of the local LST and the SEVIRI LST are in good agreement especially in summer period. The second part compares LST values that are retrieved from different Infrared sensors in AROME-France model. We focused on IASI and SEVIRI sensors. First results show encouraging agreement between the infrared sensors IASI and SEVIRI LSTs. A comparison during October and November 2018 under clear sky conditions shows an almost null bias and a standard deviation of about 1.6K. A better synergy is noticed during night-time giving a bias of about 0.7K and a standard deviation of less than 1K. Finally, the simulation of SEVIRI and IASI sensors brightness temperatures by using the fast radiative transfer model RTTOV with different LST is studied. Thus, different simulations have been run covering selected clear sky situations by day-time and night-time and using SEVIRI LST, IASI LST and AROME model LST. The simulated brightness temperatures were then assessed against satellite observations.

#### **10p.10 IASI L1 and L2 reprocessing status at EUMETSAT**

*Presenter: Dorothee Coppens, EUMETSAT (for Mayte Vasquez)*

*Authors: Mayte Vasquez, Stephanie Guedj, Dorothee Coppens, Tim Hultberg, Thomas August, Marc Crapeau, Alessio Lattanzio, Marie Doutriaux-Boucher*

As part of the payload of the Metop series of polar-orbiting satellites, there are currently three IASI instruments in operation: on Metop-A (launched 19 October 2006), on Metop-B (launched 17 September 2012) and on Metop-C (launched 7 November 2018). IASI instrument is designed around a Michelson interferometer and provides measurements in 8461 spectral channels between 3.6 and 15.6 microns (645-2760 cm<sup>-1</sup>). 120 spectra are acquired in the cross-track direction with a horizontal resolution of 12 km at nadir from the nominal 815 km high orbit.

In 2018, EUMETSAT has reprocessed the L1c products for IASI on-board Metop-A (IASI-A) for the period 2007-2017. The reprocessing took into account the past evolutions made in the operational IASI L1 processing chain:

- A “Day-2 evolution” algorithms linked to a product format change to extend the on-board quality flags information and to include cloud and land/sea mask;
- A change in the spectral harmonization process in February 2011.

The reprocessed data have been generated with the latest version of the IASI L1 processing chain (version 8.0) and its optimized instrument and processing tuning. It provides continuous data records (gaps have been fulfilled).

We present here the complete reprocessing cycle of IASI level 1 products.

Thanks to the homogeneous and high quality IASI level 1c data, EUMETSAT is also intending to reprocess the level 2 products for the entire period covered by IASI on Metop-A. Preliminary results will be presented using first the statistical ‘all-sky’ retrieval (PWLR3) for the entire period as well as the futures plans.

### **10p.11 An experimental 2DVAR retrieval using AMSR2**

*Presenter: David Duncan, ECMWF*

*Authors: David Duncan, Patrick Eriksson, Simon Pfreundschuh*

A two-dimensional variational retrieval (2DVAR) has been developed for the Advanced Microwave Scanning Radiometer-2 (AMSR2) sensor. Overlapping beam patterns at all AMSR2 frequencies are explicitly simulated in the forward model. This permits retrieval of near surface wind speed (WSP) and SST at finer spatial scales than individual antenna beams, with the effective spatial resolution of retrieved parameters shown by analysis of 2DVAR averaging kernels. SST retrievals achieve about 30 km resolution, with WSP reaching about 10 km resolution. It is argued that multi-dimensional optimal estimation permits greater use of total information content from microwave sensors than other methods like Backus-Gilbert. No compromises on target resolution are needed and instead various targets are retrieved at the highest possible spatial resolution, driven by the channels' sensitivities. All AMSR2 channels can be simulated within near their published noise characteristics for observed clear-sky scenes, though calibration and emissivity model errors are key challenges. This demonstrates the feasibility of 2DVAR for cloud-free retrievals, and opens the possibility of stand-alone 3DVAR retrievals from imagers and sounders that include retrieving hydrometeor fields. The results indicate that spatial oversampling can somewhat mitigate the need for larger antennas in the push for higher spatial resolution. The relevance of field of view modelling for sounding applications and the applicability of these results will also be discussed.

## **Session 11: Validation (oral presentations)**

### **11.01 Combining satellite- with ground-based measurements for near-real-time monitoring of atmospheric stability, atmospheric water vapor and liquid water.**

*Presenter: Maria Toporov, University of Cologne*

*Authors: Maria Toporov, Ulrich Löhnert, Christopher William Frank*

Short-term forecasts of current high-resolution numerical weather prediction models still have large deficits in forecasting the exact temporal and spatial location of severe, locally influenced weather such as summer-time convective storms or cool season lifted stratus or ground fog. The thermodynamic instability - especially in the boundary layer - plays an essential role in the evolution of weather events. One way to assess the atmospheric instability offer so-called forecast or stability indices (STI). The temporal and spatial resolution of radiosonde soundings, which are traditionally used for calculation of STI, is not sufficient to capture the initiation and the development of convection. Ground-based remote sensing instruments and instruments on board of geostationary satellites provide high temporally resolved information on vertical structure of the atmosphere and can be used for monitoring of atmospheric stability.

Previous studies showed that microwave profilers (MWR) are well suited for continuously monitoring the temporal development of atmospheric stability (Cimini et al. 2015). However, the vertical resolution of microwave temperature and humidity profiles is best in the lowest kilometer above the surface, decreasing rapidly with increasing height. Typical stability indices (STI) used to assess the potential of convection rely on temperature and humidity values not only in the region of the boundary layer but also in the layers above. Therefore, satellite remote sensing is expected to complement ground-based MWR and DIAL observations.

In this contribution, we present a neural network retrieval of stability indices, integrated water vapor (IWV) and liquid water path (LWP) from simulated satellite- and ground-based measurements based on the COSMO-REA2 reanalysis as truth. In order to make the approach feasible for data assimilation applications, we simulate satellite observations with the standard RTTOV model and use the newly developed RTTOV-gb (ground-based) for the ground-based microwave radiometers (De Angelis et al., 2016). Focusing on the temporal resolution and spatial coverage, the satellite-based instruments considered in the study are the currently operational SEVIRI and the future IRS, both in geostationary orbit. We show the single instrument performance and the synergy benefit in terms of correlation, uncertainty reduction, probability of detection and other forecast skill scores.

The hyperspectral geostationary IRS observations contain significantly more information on vertical humidity and temperature needed for assessment of atmospheric stability than SEVIRI measurements. Thus the error of STI retrieved from simulated IRS observations was shown to decrease by up to 50% compared to SEVIRI. The

additional ground-based MWR/DIAL measurements provide valuable improvements not only in the presence of clouds, which represent a limiting factor for infrared SEVIRI/IRS, but also under clear sky conditions.

To assess the spatial representativeness of observations of a single ground-based MWR/DIAL and to estimate the required network density the retrieval is applied to a 150\*150km reanalysis domain. The accuracy of fields of STI/IWV/LWP calculated from satellite only and from combined satellite and ground-based observations is estimated and the added value of ground-based observations in a network configuration is discussed.

### **11.02 Investigating the Comparisons of Hyperspectral IR Sounders, Radio Occultation, and Radiosondes in Radiance Space**

*Presenter: Michelle Feltz, University of Wisconsin -Madison, SSEC*

*Authors: Michelle Feltz, Lori Borg, Robert Knuteson, Dave Tobin, Hank Revercomb, Johannes Nielsen*

In recent decades the importance of ensuring continuity and consistency between meteorological satellite datasets has been highlighted. As evidence, GSICS was created in 2005 as an international effort to harmonize the quality of operational weather satellites, projects under NASA's MEaSUREs have been funded to create merged data records using pre-existing datasets, and networks like GRUAN have been created to coordinate measurements of essential climate variables.

Less focus, however, has been put on the comparisons of satellite datasets which have different measurement techniques—for example between passive and active remote sensing technologies. Though much work has been previously done to compare the active radio occultation (RO), passive hyperspectral infrared (IR) sounder, and radiosonde retrievals for temperature and water vapor profile validation purposes, less work has been done comparing them in radiance or refractivity units—where each the IR sounder and RO have much smaller uncertainties on their measurements.

This work provides a follow-up to previous studies which proved that hyperspectral IR radiances, with their prescribed uncertainties, can be used as a validation reference for RO temperature retrievals via radiative transfer for channels representing the upper troposphere and lower stratosphere. Case study matchups of hyperspectral IR sounders, RO, and radiosondes are used with radiative transfer to compare these datasets in radiance units for the purposes of 1] further characterizing and understanding their differences over the full IR spectral domain, 2] investigating the feasibility of using the IR sounder radiances as truth for channels which represent different regions of the troposphere, given the increased uncertainties of the radiative transfer algorithm for various spectral channels, and 3] prescribing methodological uncertainties to the comparisons.

### **11.03 Ground-based remote sensing network for the validation of multi-scale satellite products and numerical models**

*Presenter: Eric Pequignot, SentinAir*

*Authors: Eric Pequignot, Javier Andrey*

SentinAir was created in April 2018. It is a spin-off of CNES that benefits from its support in the framework of its “swarming program” which aims to promote space innovations and patents.

SentinAir is currently using a patent in the frame of an exclusive license provided by CNES and ACRI-ST on atmospheric tomography following WINTI studies (R&T 2008-2013 and Phase 0 2015-2016). WINTI is a 0.5-1km resolution infrared multi-spectral imager operating in LEO orbit. It performs a tomographic acquisition which consist in a scan from backward to forward limb. Retrievals of atmospheric fields are done by using a tomographic Bayesian scheme.

The objective of SentinAir is to adapt this space technology on ground by deploying and operating autonomous outdoor ground-based camera networks in order to provide retrievals of geophysical variables within the boundary layer (temperature, water vapor, aerosols, trace gases, cloud coverage) at 100 m (horizontal and vertical) with 15 min resolution. Each network would cover typically a 20 x 20 km area. The 2 components of a network are the following

- The AirCams which are autonomous devices embarking different types of state-of-the-art cameras (ultraviolet, visible and infrared). The AirCams are set up on high spots within and around the area to be observed. The AirCams are currently under definition.
- A centralized mission centre that processes the raw images acquire by the AirCams.

The main added values of such a network with respect to satellite product and numerical model validation are the following

- “HR”: a continuous measurement over a large area (20x20 km) at high-resolution (100m/15min). This is a unique tool for multi-scale and multi-orbit EO satellite data validation and inter-comparison (chemistry, meteorology and air quality).
- “Scalable product”: A product with a scale that can be adapted to different numerical model and satellite data resolution.
- “ABL”: 3D Atmospheric Boundary Layer sounding at high vertical resolution (100m)
- “2-in-1”: an opportunity to couple in the same project satellite/model validation and a service that could be useful for cities considering air quality, greenhouse gases and urban heat-island issues.

## **Session 11: Validation (poster introductions)**

### **11p.01 Extended characterisation of NWP model biases and uncertainties across the microwave and infrared domains**

*Presenter: Fabien Carminati, Met Office*

*Authors: Fabien Carminati (Met Office), Stefano Migliorini (Met Office), Bruce Ingleby (ECMWF), Heather Lawrence (ECMWF)*

With the improvement of numerical weather prediction (NWP) model skills through advances in modelling, data assimilation, and data usage, the representation of the atmosphere in analyses and forecasts is increasing in reliability. This is not only benefiting the public and private sectors through better weather forecasts and alerts, but also the scientific community that can use NWP fields to evaluate instruments sensing the atmosphere such as satellite microwave and infrared sounders. However, both analyses and forecasts remain subject to non-zero biases and uncertainties whose characterisation and traceability are fundamental steps to properly use NWP models as reference comparators. This is investigated via comparisons between radiosondes of the Global Climate Observing System (GCOS) Reference Upper-Air Network (GRUAN) and NWP temperature and humidity fields mapped to radiance space (the space used for satellite validation). Top-of-atmosphere brightness temperatures simulated from over 15000 GRUAN profiles of temperature, humidity, and pressure (and their associated uncertainties) from 11 sites in 4 geographic areas (northern latitudes, northern mid-latitudes, northern sub-tropical Atlantic, and tropical west Pacific) obtained over the period 2011-2017 and collocated NWP fields from both the Met Office and ECMWF global models have been compared at key frequencies spanning both the microwave and infrared spectrums for a selection of AMSU-A, MHS, ATMS, HIRS, CrIS and IASI channels. Preliminary results suggest biases within  $\pm 0.5\text{K}$  at microwave frequencies predominantly sensitive to temperature for uncertainty less than  $0.12\text{K}$ , and  $0.5$  to  $-1.5\text{K}$  biases at frequencies sensitive to humidity for uncertainties less than  $2\text{K}$ . Infrared frequencies are under investigation at the time of writing. Although providing an incomplete picture due to the lack of GRUAN stations in the southern hemisphere, this analysis offers the most detailed and robust estimation of model fields bias and uncertainty in radiance space to date and takes NWP models a step closer to a traceable characterisation to SI standards. Ultimately, this effort can serve to optimise the use of anchor observations in data assimilation and also enhance model evaluation efforts.

### **11p.02 Enterprise Assessment and Uncertainty Estimates for Satellite Retrievals Using Collocations with Conventional and GRUAN Radiosondes**

*Presenter: Anthony Reale, NOAA NESDIS STAR*

*Authors: Tony Reale*

Balloon-borne conventional radiosonde observations have played a critical role in the assessment of derived atmospheric sounding since the operational onset of such products in 1979. Radiosondes provide excellent characterizations of the large scale synoptic weather features and are often valuable to ascertain the overall profile shape and finer scale features in a regional and local context. Problems with conventional radiosondes include the numerous instrument types and processing available globally that can introduce systematic errors in the perceived performance of satellite derived temperature and moisture profiles. Add to this the systematic temporal mismatch arising from comparing predominantly synoptic radiosondes and sun-synchronous satellites, the time duration of radiosondes (1.5 hours to 20hPa), spatial drift (over 100km) and ambiguity of comparing point versus volume quantities. Together, these can manifest as systematic or “Time Alias” errors, however, results shown in this paper suggest such errors are small, beaten down by the very

large sample sizes of conventional observations (over 1000 per day) compared to the inherent satellite product error signal. Nonetheless, assessment of regional and/or performance in a given air-mass (or site) typically of interest to users (forecasters) remains prohibitive. A secondary objective of this paper is to address the added information on satellite product performance obtainable from supplemental use of “special” validation data sets containing Global Climate Observing System (GCOS) Reference Upper Air Network (GRUAN) radiosondes targeted (courtesy of JPSS) with (NOAA) polar satellite overpass. These data are shown to be much more indicative of regional and local (air-mass) performance and estimates of the satellite sounding uncertainty based on the fully characterized (traceable) GRUAN radiosondes. This reports ultimately traces sounding product performance signals for internationally available operational product suites (NOAA, NASA and EUMETSAT) from the global to regional scales using conventional and special radiosondes, respectively. The assessments are enterprise in that the different suites are inter-compared against the same (common) sets of radiosondes. Vertical statistics of satellite-minus-radiosonde bias (and standard deviation) and associated estimates of respective product suite uncertainty, including for numerical weather prediction profiles are compared. Impacts due to systematic errors associated with “time alias” effects are shown.

### **11p.03 Application of the fast visible radiative transfer model RTTOV-MFASIS: comparison to RTTOV-DOM and use for model cloud validation of ICON**

*Presenter: Christina Stumpf, German Weather Service*

*Authors: Christina Stumpf, Christina Koepken-Watts, Olaf Stiller, Leonhard Scheck, Liselotte Bach, Roland Potthast*

MFASIS is a novel fast radiative transfer method for the simulation of visible satellite images that is fast enough to cope with the computational constraints of operational data assimilation systems and has therefore recently been implemented into RTTOV v12.2 and v12.3. First evaluation and data assimilation experiments using MFASIS in combination with regional models have demonstrated its value by improving the representation of cloud cover and precipitation. As a further step towards using visible satellite images in operational data assimilation, we perform a detailed validation of the accuracy of MFASIS and apply it in evaluating the representation of clouds in DWD's global NWP system ICON+EnVAR in comparison to visible channel observations from the SEVIRI instruments on board MSG.

We evaluate RTTOV-MFASIS by comparing forward simulations to results from the discrete ordinate method RTTOV-DOM based on global ICON model fields which offer a large variety of atmospheric situations. This is done in a suitable test setup with controlled viewing conditions and by studying dependencies on relevant quantities, such as optical depths and cloud heights, to identify any systematic errors resulting from the approximations made in MFASIS. These investigations pave the way for further improvements to MFASIS, e.g. for an improved description of Rayleigh scattering and mixed-phase cloud situations. Additionally, we compare reflectances simulated with RTTOV-MFASIS based on ICON model fields to real visible channel observations using geostationary satellites. This aims at validating the accuracy of the model cloud fields, also in conjunction with all-sky simulations of corresponding IR channels. Here, the visible channel information is complementary especially for the analysis of the representation of low clouds.

### **11p.04 Validation of the Environmental Data Record (EDR) product suite from the SNPP/NOAA-20 NOAA Unique Combined Atmospheric Sounding System (NUCAPS)**

*Presenter: Nicholas Nalli, IMSG Inc. at NOAA/NESDIS/STAR*

*Authors: Nicholas R. Nalli, A. Gambacorta, C. Tan, L. Zhou, T. Reale, B. Sun, J. Warner, T. Wang, and T. Zhu*

This presentation overviews current status of the validation of the operational full-spectral resolution Cross-track Infrared Sounder (CrIS) and Advanced Technology Microwave Sounder (ATMS) NOAA Unique Combined Atmospheric Processing System (NUCAPS) onboard the NOAA-20 and SNPP satellites. NUCAPS is a NOAA operational retrieval algorithm designed to provide users with global atmospheric profile environmental data records (EDRs), including temperature, moisture (H<sub>2</sub>O), ozone (O<sub>3</sub>) and carbon trace gases (CO, CH<sub>4</sub>, CO<sub>2</sub>). NUCAPS EDR product assessments are made with reference to the JPSS Level 1 requirements. For the provisional maturity assessments, the baseline datasets include global ECMWF model, conventional radiosonde observations (RAOBs), limited ozonesonde ensembles (e.g., SHADOZ and WOUDC), dedicated RAOBs (e.g., ARM, GRUAN and AEROSE), and datasets-of-opportunity (e.g., TCCON, ATom, AirCore). Ongoing statistical analyses relative to these baselines have shown that the NOAA-20 NUCAPS temperature, moisture, ozone and carbon monoxide profile EDRs have favorable accuracy and global yield, thus meeting the general qualifications for JPSS provisional maturity.

### **11p.05 FIREX-AQ ER-2: A Summary of Scanning High-resolution Interferometer Sounder (S-HIS)**

#### **Observations**

*Presenter: Joe Taylor, UW-SSEC*

*Authors: Joe K. Taylor, David C. Tobin, Henry E. Revercomb, Fred A. Best, Ray K. Garcia, William Smith Sr., Elisabeth Weisz, R. Bradley Pierce, Greg Frost, Mitch Goldberg, Olga Kalashnikova, Jay Al-Saadi*

The FIREX-AQ (Fire Influence on Regional to Global Environments and Air Quality) is a joint venture led by NOAA and NASA, and provides comprehensive observations to investigate the impact on air quality and climate from wildfires and agricultural fires across the continental United States. FIREX-AQ brings together scientists from NOAA, NASA and more than 40 partners to explore the chemistry and fate of trace gases and aerosols in smoke with instrumented aircraft, satellites, UAVs and ground-based instrumentation in the northwestern and southeastern U.S. during the summer of 2019.

The overarching objective of FIREX-AQ is to provide measurements of trace gas and aerosol emissions for wildfires and prescribed fires in great detail, relate them to fuel and fire conditions at the point of emission, characterize the conditions relating to plume rise, follow plumes downwind to understand chemical transformation and air quality impacts, and assess the efficacy of satellite detections for estimating the emissions from sampled fires.

The airborne component of the FIREX-AQ effort is centered on the deployment of the NASA DC-8, with two complementarily outfitted NOAA Twin Otters, and will sample wildfire plumes from near the point of emission to downwind on a regional scale. The NASA ER-2 is also deployed for FIREX-AQ and plays a key role in the experiment. The goal for the NASA ER-2 is to serve as a bridge between in-situ and satellite datasets by using an airborne remote sensing instrument suite to help characterize fire development, emission processes, plume evolution, and downwind impacts on air quality, and evaluate and validate recently developed remote sensing approaches and algorithms.

The ER-2 component of the campaign is conducted from Palmdale, California. The NASA ER-2 payload consists of the UW-SSEC Scanning-High resolution Interferometer Sounder (S-HIS), the NASA JPL Airborne Multiangle SpectroPolarimetric Imager (AirMSPI-1), the NASA JPL Classic Airborne Visible and InfraRed Imaging Spectrometer (AVIRIS-C), the NASA GSFC Cloud Physics Lidar (CPL), and the NASA ARC/GSFC Enhanced MODIS Airborne Simulator (eMAS) for the duration of the experiment. The NASA GSFC Geostationary Coastal and Air Pollution Events Airborne Simulator (GCAS) and the NASA LaRC NPOESS Atmospheric Sounder Testbed-Interferometer (NASt-I) are also part of the payload for first half of the experiment, and are replaced with the NASA JPL Hyperspectral Thermal Emission Spectrometer (HYTES) for the second half of the experiment.

This presentation will include an overview of FIREX-AQ ER-2 campaign and present highlights of some of the most notable S-HIS observations made during the campaign.

### **11p.06 Reconciling opposing Pacific Walker circulation trends in observations and climate model projections**

*Presenter: Eui-Seok Chung, IBS Center for Climate Physics*

*Authors: Eui-Seok Chung, Axel Timmermann, Brian Soden, Kyung-Ja Ha, Lei Shi, and Viju John*

Surface pressure observations and reanalysis datasets suggest that the Pacific Walker circulation has strengthened over the satellite era (1979-present). Given the limited time period, such changes could arise from either internal variability or a forced response to anthropogenic warming. Here, we evaluate these hypotheses by analyzing satellite observations along with a large ensemble of climate model simulations forced by historical radiative forcings. While the satellite-observed changes differ noticeably from the ensemble mean changes predicted by the models, they also indicate much less strengthening of the Pacific Walker circulation than implied by reanalysis datasets. In particular, the satellite observations reveal anomalously high convective activity over the Philippine Sea and the northwestern part of the Indian Ocean, rather than over the Maritime Continent and the equatorial western Pacific as in the reanalyses. Furthermore, some members of the climate model ensemble are found to reproduce a large part of the observed changes. These findings offer compelling evidence that internal variability, rather than anthropogenic forcing, has had a dominant influence on the recent strengthening of the Pacific Walker circulation.

### **11p.07 Generating synthetic visible satellite images with RTTOV**

*Presenter: Olaf Stiller, Deutscher Wetterdienst*

*Authors: Leonhard Scheck, Olaf Stiller, Christina Köpken-Watts, Bernhard Mayer, Martin Weissmann*

Satellite images in the visible spectral range provide a wealth of information about the cloud distribution, cloud microphysical properties and cloud structure and are available from geostationary and polar orbiting platforms at high temporal and spatial resolution. Therefore, these images are seen as a promising type of observation for data assimilation and model evaluation and are in many respects complementary to infrared and microwave sounder data. While thermal infrared and microwave channels have been used for these purposes for some time and are well-supported by packages like RTTOV, sufficiently fast and accurate forward operators for visible channels have only recently become available. This is related to the fact that multiple scattering makes radiative transfer at solar wavelengths more complicated and computationally much more expensive, if standard radiative transfer methods are used. Here we describe MFASIS, a fast method for the generation of visible satellite images, which is based on a compressed look-up table and has recently been integrated into RTTOV. We discuss the basic design ideas and several recent extensions aimed at improving the accuracy of the method and the covered frequencies, including methods to account for 3D RT effects and the impact of variable water vapor content. Moreover, first results for an alternative approach are shown, in which the look-up table is replaced by a neural network.

### **11p.08 Using ensemble based diagnostics to identify sub-optimally used observations**

*Presenter: Olaf Stiller, Deutscher Wetterdienst*

*Authors: Olaf Stiller*

Observation impact diagnostics have been developed to assess the impact of subgroups of observations in the data assimilation (DA) process without the need for performing data denial experiments. These diagnostics are based on a cost function which illustrates the observation impact with respect to some verifying "truth". Here (as in Kalnay et al. 2012) such diagnostics are used for an ensemble system, the ENVAR/LETKF system of the DWD's global ICON model using observations as verifying "truth" (as in Sommer&Weissmann, 2016). While this makes a direct quantitative comparison between the impact of different observation types problematic (the result strongly depends on how well the examined observations are collocated with those used for the verification), these diagnostics allow to identify subgroups of observations which are treated sub-optimally in the assimilation chain. Such sub-optimal treatment may arise from different sources. Therefore, to get more specific insights, this work focuses on different types of consistency relationships which can be inferred from different terms into which the cost function can be decomposed. Furthermore, while the traditional diagnostics try to assess the impact related to denial experiments (what is the contribution of selected observations on the analysis in this system?), we also present an additional diagnostic type yielding the corresponding impact which the selected observations would have if they were assimilated alone (i.e., in absence of the other observations which are assimilated). This can be used as an indicator to which extent the measured impact (or lack of impact) may be related to the redundancy of observational information.

The poster will mainly present results for the impact of well established observation systems like radio sondes, radio occultations and AMSU-A radiances. It is also shown how biases in AMSU-A radiances affect these impact diagnostics. The focus is on very short forecast lead times  $t$  which is possible because observations are used for verification. A comparison between results for  $t=0$  (impact on the analysis) and  $t=3$  hours gives some insight of the role of imbalances in the analysis states (spin up/down processes).

## **Session 12: Satellite Data Impact in NWP (oral presentations)**

### **12.01 Global observing system experiments in the ECMWF assimilation system**

*Presenter: Niels Bormann, ECMWF*

*Authors: Niels Bormann, Heather Lawrence, David Duncan, Jacky Farnan*

The presentation summarises results from observing system experiments with the ECMWF system for some of the main observation types, covering 8 months over two seasons. The experiments assess the present impact of MW and IR passive sounding radiances in the wider context of impact from conventional in-situ observations, bending angles from GPS radio occultation (RO) and Atmospheric Motion Vectors (AMVs).

Results show that conventional in-situ observations and microwave radiances are presently the main drivers of headline scores, with infrared sounders adding further robustness for a wide range of geophysical variables. The strong impact of the microwave satellite radiances is aided by the availability of an unprecedented number of instruments, providing good spatio-temporal coverage. This contributes to very strong impact on wind analyses and forecasts from the MW sounders, particularly over the Southern Hemisphere. The gradual impact from adding MW sounder data from several orbits will also be discussed, further highlighting the benefits from increased spatio-temporal sampling.

### **12.02 Impact of Hyperspectral Radiances in 4D-VAR data assimilation system**

*Presenter: Buddhi Prakash Jangid, National Centre for Medium Range Weather Forecasting*

*Authors: Buddhi Prakash Jangid, Bushair M T, S. Indira Rani, and John P. George*

Accurate estimate of Numerical Weather Prediction (NWP) requires detailed knowledge of the state of the atmosphere. Satellite based observations with high temporal and spatial resolution play a crucial role in NWP. Unlike multispectral instruments onboard many satellites, the hyperspectral instruments provide wide coverage of the atmosphere with fine spatial and temporal resolutions. Different hyperspectral instruments are Atmospheric Infrared Sounder (AIRS) on-board NASA-AQUA satellite, Infrared Atmospheric Sounding Interferometer (IASI) aboard MetOp-A, B, and C, Cross track Infrared Sounder (CrIS) on-board Suomi-NPP and NOAA-20 satellites. AIRS and CrIS have 2378 and 2211 spectral channels respectively, whereas IASI has 8461. Though there are thousands of channels in the hyperspectral instruments, NWP cannot benefit the fullest of these instruments, only a few hundred channels which are very essential for NWP can be assimilated. This study presents the impact of hyperspectral instruments in the NCMRWF's Unified Model (NCUM) assimilation and forecast system. For this study two Observing System Experiments (OSEs) are designed in such a way that i) along with all other conventional and satellite observations, hyperspectral radiances are assimilated (EXP) and ii) hyperspectral radiances are denied (CNTL) for a month period, May 2018. Impact of hyperspectral radiances in the assimilation system is analyzed in terms of the improved assimilation of radiances from other infrared and microwave instruments. 5-day forecasts are produced based on 00 UTC initial condition of each day and the impact of these radiances in the forecast system are analyzed in terms of various skill scores.

### **12.03 Assessment of assimilating Metop combined retrieval L2 product in AROME-France**

*Presenter: Bruna Barbosa Silveira, CNRM/Météo-France*

*Authors: Bruna Barbosa Silveira, Vincent Guidard, Nadia Fourrié, Philippe Chambon, Pierre Brousseau, Patrick Moll, Thomas August, Tim Hultberg*

IASI L2 products from the EUMETSAT Advanced Retransmission Service (EARS) are statistical retrievals (piece-wise linear regression) which combine information from IASI and microwave sensors, these sensors are on board Metop satellites. The products are generated by EUMETSAT from direct broadcast at local acquisition centres, such as Lannion (Satellite Meteorological Centre of Météo-France) in Brittany, France. The products are available to regional users within a maximum of 30 minutes from sensing.

Applications of Research to Operations at Mesoscale (AROME)-France model is the operational convective-scale model of Météo-France since the end of 2008. This model operationally assimilates the Level 1 (L1) radiances from IASI, AMSU-A and MHS, with a positive impact. However, the more recent version of AROME has had the top model changed from 1 to 10 hPa. As a result, the quality of the simulation of channels having a strong contribution from the atmosphere above 10 hPa decreased. In this way, the amount of IASI channels assimilated in AROME changed from 123 to 44 channels.

The main objective of the study is to evaluate the benefits of assimilating Metop L2 products in replacement of L1 products (radiances) from IASI, AMSU-A and MHS into the AROME-France data assimilation system. Firstly, the L2 products observation errors and thinning were defined based on a previously L2 product evaluation. Three assimilation experiments were performed, the baseline (without L1 products from IASI, AMSU-A and MHS, but with other satellite radiances), the control experiment (with L1 product removed in the baseline experiment) and L2 experiment, this experiment assimilates the same observations assimilated in the baseline and L2 products (temperature and specific humidity profiles). The L2 products are assimilated as pseudo-sounders.

The control and L2 experiments were evaluated against the baseline experiment. The observations statistics (Observation Minus Forecast (OMF), standard deviation of OMF and number of observations) and the forecast

verification (Bias and RMSE) were assessed to measure the impact of assimilating the L2 products and the radiances from IASI, AMSU-A and MHS into AROME-France data assimilation system.

## **Session 12: Satellite Data Impact in NWP (poster introductions)**

### **12p.01 Assessment of the impact of zonal component of Radiosonde winds: A prelude to the assimilation of Aeolus winds**

*Presenter: S Indira Rani, NCMRWF*

*Authors: S. Indira Rani, Priti Sharma, Bushair M. T., Buddhi Prakash Jangid, Gibies George, Sumit Kumar, John P. George and E.N. Rajagopal*

Wind observations are very important for the better atmospheric analysis particularly over the Tropics where wind fields govern the dynamics. There is a lack of homogeneous global coverage of direct wind profile measurements in the current Global Observing System. Uniformly distributed direct wind observations are important for smaller scales and deeper atmospheric structures. Aeolus wind Lidar delivers homogeneous wind suitable for NWP assimilation. The main product from Aeolus is the Horizontally projected Line Of Sight (HLOS) wind component, a single component of wind information, approximately zonal in nature. A Pseudo Observation System Simulation Experiment (POSSE) is conducted with only the zonal component of radio sonde (RS) winds to assess the impact of single component of wind information in the NCMRWF assimilation and forecast system compared to the full vector wind. Same RS profiles are assimilated in both the experiments, but full vector in control and single vector in POSSE. Full vector is decomposed into zonal and meridional components and assimilated only the zonal component in POSSE. Single vector component assimilation reproduces approximately 75-80 % characteristics of full vector assimilation. Differences in meteorological fields like Temperature, Relative Humidity, Wind components, etc., are noticed at higher levels particularly over the tropical orographic regions.

### **12p.02 Assessment of impact of satellite radiances on analysis in KIAPS**

*Presenter: Hyoung-Wook Chun, KIAPS*

*Authors: Hyoung-Wook Chun and Hyo-Jong Song*

Quantifying the actual impact of each observation on forecast or analysis is important to verify the subset of the observation make the forecast better or worse. Forecast sensitivity to observation (FSO) methods based on adjoint sensitivity have proven to be a powerful monitoring tool. Korea Institute of Atmospheric Prediction Systems (KIAPS) has been developing an operational NWP model including own data assimilation system which is hybrid 4D-EnVar. Unfortunately, KIAPS don't have the adjoint for the forecast model so cannot use the FSO method. KIAPS keep up the effort to assessment of impact of observation with the Kalman gain in observation space. The averaged Kalman gain for the subset of observation is the slope of linear regression between 'analysis increment in observation space' and 'innovation, i.e. background departure from observation' for the given samples. Preliminary results showed that the water vapor sounding channels have larger impact than the temperature sounding channels. And mid-altitude-sensitive channels have larger impact than high-altitude-sensitive channels in KIAPS system. These results are related with the magnitude of background error covariance and inflation factor of observation error covariance.

### **12p.03 Extended Use of Humidity sensitive Radiances in the DWD System**

*Presenter: Robin Faulwetter, DWD (German Meteorological Service)*

*Authors: Robin Faulwetter*

In the last years more and more humidity sensitive radiances were introduced into DWD's global ICON+EnVar operational NWP system. Now, humidity channels from IASI, MHS, ATMS, SSMI/S, GMI, SEVIRI, GOES sounder, ABI and AHI are assimilated operationally with a positive impact. In this poster ongoing work about further extending the use of humidity sensitive radiances in the DWD operational system is presented. The introduction of more humidity sensitive radiances into the current system, e.g. additional instruments like MWHS-2, additional channels, adjustments to the overly strict cloud detection or less thinning, degrades forecast scores at least partially. I.e. the system appears to be "saturated" with respect to humidity sensitive radiances. The current assumption is that this is due to a model bias in the uppertropospheric tropical humidity and the interaction between model bias and radiance bias correction. This problem is analyzed, and possible solutions are presented.

#### **12p.04 Assessment of the FY-3D microwave instruments at ECMWF**

*Presenter: David Duncan, ECMWF (for Heather Lawrence)*

*Authors: Heather Lawrence, David Duncan, Niels Bormann*

The Chinese Feng-Yun (FY) -3D satellite was launched in November 2017, as the fourth satellite of the FY-3 series, and the second to be aimed at operational use. It carries onboard the same microwave instruments as FY-3C, including the MicroWave Temperature Sounder -2 (MWTS-2), the MicroWave Humidity Sounder -2 (MWHS-2) and the MicroWave Radiation Imager (MWRI). In this poster we present a first assessment of the quality of the data at ECMWF, and discuss implications for assimilation.

Observation minus background (O - B) statistics are assessed and results compared to similar instruments, including those on FY-3C and ATMS, MHS and AMSR-2. First results indicate that both MWHS-2 and MWRI have similar biases and standard deviation of O - B to other instruments, indicating a similar quality. For MWRI statistics are improved compared to FY-3C, with no visible orbital-dependent biases. MWTS-2 has similar global biases to other temperature sounders but a higher standard deviation of O - B, due to more prevalent striping and scan-dependent biases.

#### **12p.05 Impacts of cloud screening algorithm of the ATMS on numerical weather prediction model: Scattering index**

*Presenter: Jisoo Kim, Ewha Womans University*

*Authors: Jisoo Kim, Myoung-Hwan Ahn, Han-Byeol Jeong, Chu-Yong Chung*

The cloud detection in the pre-processing of satellite data for the numerical weather prediction plays a significant role in selecting quality controlled observation data. One of the cloud detection method used for such efforts is the use of scattering index which utilizes the differential scattering effects of large solid hydrometeors to the different channel frequencies. Here we present preliminary results of improved scattering index, estimated by using real-time clear sky brightness temperature (T<sub>b</sub>) instead of global averaged climatological clear sky T<sub>b</sub>, on the innovation statistics, analysis field, and forecast fields. Here, the real-time clear sky T<sub>b</sub> is obtained by radiative simulation with the model background fields. We apply this method to the pre-processing of the Advanced Technology Microwave Sounder (ATMS) in the Korean Integrated Model (KIM) system. The scattering index is estimated with the difference in the model first guess (FG) departures at 89 GHz and 165 GHz. This reduces the false positive of cloud detection especially over snow/ice covered region. Thereby it contributes to better utilization of ATMS observations to data assimilation system. It has neutral impact on the statistics of the FG departures of observations. Further, the analysis field is evaluated by comparison with the European Centre for Medium-Range Weather Forecasts Integrated Forecast System (ECMWF-IFS). It shows neutral or improved performance than the control system. The scattering index has larger impact on the humidity fields than on the temperature fields. Further results and analysis will be presented in the conference.

#### **12p.06 Use of microwave radiances in the MetCoOp operational HARMONIE-AROME limited-area data assimilation**

*Presenter: Magnus Lindskog, Swedish Meteorological and Hydrological Institute (SMHI)*

*Authors: Magnus Lindskog, Roger Randriamampianina, Adam Dybbroe, Ulf Andrae, and Ole Vignes*

MetCoOp is a common operational limited-area km-scale numerical weather prediction ensemble system. The cooperation is between the Nordic countries Finland, Norway and Sweden. The system consists of ten ensemble members, including an unperturbed control member. The initial states are produced by 3-dimensional variational data assimilation making use of a large amount of observations from in-situ measurements, weather radars, global navigation satellite system, advanced scatterometer data and satellite radiances from various satellites and instruments.

Here we focus on the use of microwave radiances in the operational system. The current use, restricted to MHS and AMSU-A instruments, is described with respect to data cover, current use and impact on analysis as well as forecast. In addition, the benefit from, in MetCoOp system, recently introduced microwave radiances from instruments on-board the satellites METOP-C, FY-3C and FY-3D are presented. Future plans will be described. These include on the short term introduction of microwave radiances from NOAA-20 and in on a longer term from instruments on-board planned missions of constellation of small micro-satellites. Furthermore, use of cloud affected radiances is considered important, as well as an improved use of near surface sensitive channels. Finally some plans for use of microwave radiances around, 200 GHz, to be provided

by the Ice Cloud Imager (ICI), planned on-board the next generation polar satellites to be launched by EUMETSAT, will be highlighted.

#### **12p.07 Extending use of microwave humidity data over land at the Met Office**

*Presenter: Stuart Newman, Met Office*

*Authors: Stuart Newman, Stephan Havemann, Fabien Carminati and Amy Doherty*

Microwave humidity observations have typically been assimilated over the oceans only in the Met Office global model. Recent developments mean 183 GHz humidity channels on MHS, ATMS and MWHS-2 can now be exploited over land. Key changes include:

- Extending a 1D-Var retrieval of microwave emissivity and surface temperature to include MHS frequencies.
- Revising scene-dependent observation errors as a function of surface-to-space transmittance.
- Updating quality controls used to screen out observations strongly affected by clouds and the land surface.

Relative to a full observing system control, global trials show benefits in the extratropics from assimilating the extra observations over land, including for parameters such as geopotential height and temperature fields. Improved background fits to independent humidity-sensitive observations indicate benefits to the short-range forecast. These developments have been included in the package of changes targeted at the next Met Office operational suite upgrade.

#### **12p.08 Impact of NOAA Low Latency LEO DBNet Constellation Infrared Sounder Data on NCEP GFS forecasts**

*Presenter: Youngchan Noh, CIMSS/SSEC*

*Authors: Youngchan Noh, Agnes Lim, Allen Huang, and Mitch Goldberg*

In operational numerical weather prediction (NWP) system, satellite observations that arrive later than the cut off time are not assimilated. The length of cut off time depends on the frequency of the data assimilation cycle. Thus, the timely arrival of satellite observations at the NWP centers is one of the main factors for maximum usage of satellite observations. The timing of data delivery from the NOAA DBNet depends on the data transmittance speed and the ability of the data processing system. In particular, the low-earth orbit (LEO) satellite are more sensitive to the delivering time of DBNet because the LEO satellites transmit real-time data to the ground stations spread worldwide and then its data are delivered to the GFS system from distant ground stations. Current satellite instruments that can transit via the DNet are the AMSU-A onboard NOAA-15, 18, 19, Aqua, and MetOp-A/B satellite platforms, the MHS onboard NOAA-17, 18, 19 and MetOp-A/B, the AIRS onboard Aqua, the CrIS, the ATMS onboard S-NPP and NOAA-20, and the IASI onboard MetOp-A/B.

At the National Center for Environment Prediction (NCEP), the DBNet is one of the sources where observations are received. In this study, we assess the impact of reduced data latency for LEO satellites on the forecast performance by running, three data assimilation experiments; (a) a control run (ctrl\_n20) with the operational configuration, (b) if the data latency is at 20 minutes for all LEO satellites (laten\_20m), and (c) if the data latency is at 5 minutes (laten\_5m). The trial runs were conducted from 30 June to 22 August 2018. This includes a seven-days spin-up period (30 June – 6 July 2018), using the NCEP GFS at T670. Reducing the latency time to 5 minutes increases the number of assimilated LEO satellite observations up to a maximum of about 20%, compared with the operational setup. Forecast verification shows positive impact on anomaly correlations (AC)s and root mean square errors (RMSEs) of key atmospheric variables with shorter data latency.

#### **12p.09 The Impact of FY3D-MWRI Radiance Assimilation on the Typhoon Shanshan Forecasts with GRAPES 4D-Var**

*Presenter: Hongyi Xiao, China Meteorological Administration*

*Authors: Hongyi XIAO, Wei HAN, Hao WANG, Jincheng WANG, Guiqing LIU, Changshan Xu*

The assimilation of FengYun-3D MWRI (MicroWave Radiation Imager) satellite microwave imaging data in HDF5 format is performed in GRAPES\_GFS (Global/Regional Assimilation and PrEdiction System – Global Forecast System) by 4DVAR (4-dimensional variational) data assimilation. The quality control, cloud detection and bias correction procedures are applied to the data during the period from 0300 UTC 4 August to 0900 UTC 5 August 2018. The quality of satellite data is assessed, and is verified to be improved effectively. The influence of MWRI assimilation is indicated by the analysis increment. Typhoon Shanshan (No. 13 in 2018) is selected to

evaluate the impact of MWRI assimilation on the forecasting of tropical cyclones. Compared to the experiment without MWRI assimilation, MWRI assimilation obviously improved both the track forecast and the intensity forecast of typhoon. The behavior of subtropical high is applied to interpret the mechanism that MWRI radiance data assimilation improves the typhoon forecast.

#### **12p.10 Background Fit to Satellite Observations**

*Presenter: William Campbell, NRL Monterey*

*Authors: William F. Campbell*

Millions of observations that are already monitored and assimilated are also available for verification and diagnostics of 6-hour forecasts. These observations span the globe and cover the depth of the atmosphere far better than the much more limited set of radiosondes currently used for verification in observation space. We have developed a tool to compute a quantitative measure of the fit of the 6-hour forecast (background) to these global observations, along with uncertainty estimates. This method has several considerable advantages over traditional forecast statistics and scorecards. It requires an NWP simulation of only one to two weeks to accurately evaluate whether the experiment has improved or degraded analyses and forecasts (Alan Geer, ECMWF, personal communication), a much shorter time frame than the three to six months of simulation needed with traditional forecast statistics. The savings in software development time for NWP and DA developers, and in actual compute time for expensive NWP models, is considerable. We also produce a scorecard with a decision matrix to determine whether an experiment is a win, loss, or neutral with respect to a control run.

The tool has diagnostic applications as well. For example, we might find that an experiment improves the background fit to radiances sensitive to moisture in the boundary layer, while degrading the background fit to radiances sensitive to stratospheric temperature. This very specific information can inform the experimenter's understanding of what went right and wrong, and provide guidance on how to resolve issues. The software automatically groups the plots and scorecard by atmospheric variable type and vertical location, so it does not require expertise in radiance assimilation to interpret and use the results.

#### **12p.11 Let more Polar Orbiting Satellite Data available in Regional NWP in CMA —DBNet Data, its potential, application and questions**

*Presenter: Shuang Xi, National Satellite Meteorological Center*

*Authors: Shuang Xi*

It is well proved that microwave thermometer sounding radiance from polar orbiting meteorological satellite have been taken the most important role in NWP.

At present the polar orbiting satellite data is seldom assimilated in regional NWP in China Meteorological Administration (CMA), because of little data available located in the domain and in the assimilating time windows, before the cut-off time.

There are four types of sources of ATOVS AMSU-A data available in CMA, including two DBNet data (RARS and EUMETcast) and two global data (NESDIS and EUMETSAT). There is some difference among different types of sources, such as the satellite kinds and regional coverages.

It's easy to be understood that before the cut-off time, the data collected by regional satellite receiving stations could fill some gaps between the orbits of global data, with longer delay time in receiving courses.

A quasi-real-time NWP is running in National Satellite Meteorological Center (NSMC), based on regional WRF model and WRFDA assimilation with all kinds of AMSU-A data and conventional data.

Both DBNet data and global data are put into the real-time regional assimilation system, meanwhile the data quantities, and coverages were analyzed and compared, with given assimilating time windows and NWP region.

Analysis from June to December 2018 shows that the use of DBNet data increases the total data quantities by more than 100% and the coverages by more than 50%.

It is also pointed out that there are differences in bright temperature/latitude /longitude, which implies the differences in calibration and positioning in satellite data preprocessing, either among different RARS regional stations or between RARS stations and NESDIS way.

These studies could provide useful examples and advices for applications of multi-source satellite data in NWP in CMA.

#### **12p.12 Evaluation and assimilation of MW sensors on NOAA-20 and Metop-C**

*Presenter: Niels Bormann, ECMWF*

*Authors: Niels Bormann, Pete Weston*

ATMS observations from NOAA-20 and AMSU-A/MHS data from Metop-C are the most recent additions of MW instruments assimilated in the operational ECMWF system. We report here on the data quality assessment and assimilation experiments that motivated the operational assimilation of the data.

An evaluation of NOAA-20 ATMS data against the ECMWF background shows that the data are of overall good quality with characteristics that are comparable to the S-NPP ATMS, with the advantage of lower instrument noise and less striping in the NOAA-20 observations. Assimilation trials demonstrate the benefits of adding these observations to the operationally used observing system. NOAA-20 ATMS data were activated in the ECMWF system on 22 May 2018.

A similar evaluation of Metop-C AMSU-A and MHS observations also shows acceptable data quality, with characteristics that are mostly in line with previous such instruments, with the exception of MHS channels 3 and 4 which exhibit larger noise with some striping features. Despite these limitations, assimilation trials suggest a small benefit from adding these observations, which is remarkable since this is the 3rd set of AMSU-A/MHS observations in the 9:30 orbit and the 9th and 11th MW instrument with temperature and humidity sounding capabilities, respectively. Operational assimilation of AMSU-A and MHS from Metop-C started on 14 March 2019.

#### **12p.13 Continuous Data Assimilation at ECMWF and implications for satellite observation timeliness**

*Presenter: Niels Bormann, ECMWF*

*Authors: Peter Lean, Massimo Bonavita, Elias Holm, Niels Bormann*

Satellites provide a near-continuous stream of data that can be assimilated by operational NWP centres. However, the assimilation process is both computationally intense and time consuming and, at ECMWF, by the time the analysis is complete the most recent observations that went into producing it are nearly two hours old. Here we describe a new configuration of ECMWF's 4D-Var data assimilation system which allows the analysis to benefit from more recent observations. By inserting new observations into the system after the assimilation process has begun we are able to use around one and a half hours more observations while maintaining the current product dissemination schedule. In this new, more continuous, configuration the assimilation window is extended up to the current time to allow all observations that have arrived (including valuable low latency observations at the end of the window) to be assimilated. Results will be presented to highlight how initiatives such as DBNet / EARS which improve the timeliness of satellite observations can lead to improved coverage at the end of the assimilation window and ultimately to better forecasts.

#### **12p.14 Current Use of FY-3 microwave instruments and Future Plans**

*Presenter: Brett Candy, UK Met Office*

*Authors: Brett Candy & Fabien Carminati*

The microwave humidity sounders on board the chinese satellites FY-3B and FY-3C, make an important contribution to NWP global model analyses and have been routinely assimilated at the Met Office since November 2016. We report on an initial assessment of the microwave instruments onboard FY-3D, using first guess departures from our operational global model. In addition to this we will show our latest impact studies using the MWRI on FY-3C. This instrument has a known significant ascending/descending bias and we will discuss how orbital predictors, updated via the variational bias correction scheme, have been used to account for this. Finally an initiative to improve the impact from MWRI on windspeed over the ocean will be discussed.

### **12p.15 Assimilating FengYun-3C Microwave Sounding Data over Land in the Southwest Vortex Precipitation in China**

*Presenter: Keyi Chen, Chengdu University of Information&Technology*

*Authors: Keyi Chen, Jiao Fan, Zhipeng Xian*

The European Centre for Medium-Range Weather Forecasts (ECMWF) have been assimilating the FY-3C MWHS-II (Microwave Humidity Sounders-2) data in the operational forecasting system since the April 4th, 2016. Though it is more difficult to assimilate microwave observations over land and sea ice than over the open ocean due to higher uncertainty in land surface temperature, surface emissivity and less effective cloud screening, Chen et. al. (2018) compare approaches in which the emissivity is retrieved dynamically from MWHS/FY-3B channel 1 (150GHz (V)) with the use of an evolving emissivity atlas from 89 GHz observations from the Microwave Humidity Sounders (MHS) on NOAA and EUMETSAT satellites. The assimilation of the additional data over land with the dynamic emissivity improves the fit of short-range forecasts to other observations, and the forecast impacts are mainly neutral to slightly positive over the first 5 days.

It is also important to study the impacts of assimilating microwave observations on the intense precipitating forecasts over the complex terrain, like the Sichuan Basin, which strengthens the precipitating forecast difficulties. Many cases caused by the Southwest Vortexes are studied by assimilating MWHS-2/FY-3C with emissivity atlas and with dynamic emissivity retrieved from the window channels. Two typical cases are presented here to show the impacts of the assimilation on the forecasts and concluded that the cycling experiments assimilating the MWHS-2/FY-3C observations do show improvements in the initial fields and the forecasts, especially those with the emissivity atlas. More data did be used in the experiments with the dynamic emissivity, but not in the observed precipitating area, plus the retrieved emissivity might have larger biases than the atlas over the complex terrain, which might reduce the improvements for the initial fields and the forecasts, and more work needs to be done to give detailed explanations.

### **12p.16 Unified Observation Processing**

*Presenter: Benjamin Ruston, Naval Research Laboratory*

*Authors: Benjamin Ruston, Nancy Baker, Pat Pauley, Sarah King and Eric Simon*

The amount of data assimilated by the environmental systems is growing rapidly. The strategies for using this data need to be re-examined for reusability and portability. This all aligns very well with many initiatives being undertaken across labs such as JCSDA JEDI Interface for Observation Data Access (IODA) and ECMWF ODB-C and will integrate with techniques such as continuous data assimilation. This involves modifying the current processing chain and assimilation strategy at NRL, and refactoring when necessary to abstract functionality concepts from components and allow them to be used in common for the various data types used.

Observations from in-situ and satellite platforms, and from various environmental systems like oceanic or ionospheric, all contain common traits. The handling of the ingest and the basic understanding of the measurement is required, but a focus on defining common attributes the data have in common and defining these into families is a focus going forward. When these are brought into a more common framework, actions on families of attributes can be constructed to do various operations such as data thinning and error assignment which can then be dynamic for the particular application. Environmental observations, particularly in the U.S. are increasingly reliant on commercial providers and the evolving small satellite era. These data will be large in volume, with poorly defined sources and fluctuating quality control approaches. Further we may expect format changes as companies (providers) may fail or be acquired. Moving towards this new strategy will make the system more adaptive to new data types and formats, more accessible to machine learning and artificial intelligence (AI) approaches, and systems should readily adapt to new data types and can more easily be equipped with bias and error mitigation.

### **12p.17 Operational Use of NOAA-20 ATMS and CrIS Radiance Data in JMA's Global NWP System**

*Presenter: Hidehiko Murata, Japan Meteorological Agency*

*Authors: Hidehiko Murata and Norio Kamekawa*

The Japan Meteorological Agency (JMA) began to assimilate data from the Advanced Technology Microwave Sounder (ATMS) and Cross-track Infrared Sounder (CrIS) onboard NOAA-20 into its global Numerical Weather Prediction (NWP) system in addition to those of Suomi National Polar-orbiting Partnership (Suomi-NPP) on 5 March 2019. This report outlines the impacts of the added data on the system.

The ATMS instrument is a microwave sounder with 22 channels, including temperature and humidity sounding channels. Quality control (QC) and error handling for the assimilation of NOAA-20/ATMS radiance data, such as

channel selection, thinning distance, observation errors, rain/cloud detection and bias correction (static scan bias correction and variational bias correction) follow those implemented for Suomi-NPP/ATMS data assimilation. Currently, tropospheric temperature-sounding channels (6 – 9) and humidity-sounding channels (18 – 22) are assimilated. The CrIS instrument is a hyperspectral infrared sounder with a total of 2,211 channels in full spectral resolution (FSR) mode. QC and error handling for the assimilation of NOAA-20/CrIS radiance data also follow those for Suomi-NPP/CrIS. Currently, 27 channels for temperature-sounding are assimilated. The channels are selected from the CO<sub>2</sub> absorption band in the long-wave IR band (LWIR) included in the disseminated 431 channel dataset.

Observing system experiments covering periods in each of boreal summer 2018 and winter 2019 were performed to evaluate the impacts of NOAA-20 instruments on the NWP system. The standard deviations of the first-guess (FG) departure (i.e., the difference between observed and calculated brightness temperature), which are used as an indicator of data quality, were similar to or smaller than those of Suomi-NPP. Against baseline experiments in which the focusing radiance data of both satellites were not assimilated, the impacts of Suomi-NPP and NOAA-20 on FG and forecast-field were similar.

A test assimilation experiment with the addition of NOAA-20/ATMS and CrIS data together was performed. Experiments for individual instruments were also performed to determine their specific contributions. Decreases in the standard deviation of the FG departure of the AMSU-A and MHS microwave sounders, which imply the improvements of temperature and water vapor of FG field, were observed. These improvements with the temperature sounding channels (AMSU-A/ch4-8) and humidity sounding channels (MHS) are mainly attributable to the assimilation of NOAA-20/ATMS data, and those with the stratospheric temperature sounding channels (AMSU-A/ch9-14) are attributable to the assimilation of NOAA-20/CrIS data.

Improvements in geopotential height forecasts, especially for the mid-latitudes, were observed in the test experiment in which NOAA-20/ATMS and CrIS data were assimilated.

## **Session 13: Advances in Satellite Data Assimilation (oral presentations)**

### **13.01 Implementation of slant-path radiative transfer in Environment Canada's Global Deterministic Weather Prediction system**

*Presenter: Maziar Bani Shahabadi, Environment and Climate Change Canada*

*Authors: Maziar Bani Shahabadi, Mark Buehner, Josep Aparicio, and Louis Garand*

In the process of radiance data assimilation, vertical profiles of the trial fields have been so far horizontally interpolated to the location of the radiance observations projected at the surface. In a recent study (Bani Shahabadi et al., *Mon. Wea. Rev.*, 2018), horizontal gradients of atmospheric variables were used offline to approximate the slant line of sight and thus improve the forward operator, especially for high peaking channels. In this approach, analysis increments were still computed assuming vertical columns. Positive impacts were shown for forecasts up to four days.

In a recent development, the slant path interpolation is done inline, i.e. within the assimilation procedure, and is applied for all radiances. Both the trial fields and analysis increments are horizontally interpolated directly onto the slant profile. The procedure is computationally affordable as the size of control vector does not change, and it can be used for any observation type, including radiance and radar observations. This generalized procedure is presented along with results demonstrating the impact on analyses and forecasts.

### **13.02 Impact of the mid-loop for satellite radiance on a hybrid data assimilation skill**

*Presenter: Hyo-Jong Song, Korea Institute of Atmospheric Prediction Systems*

*Authors: Hyo-Jong Song, Ji-Hyun Ha, and Hyoung-Wook Chun*

Since 1979 so called the satellite data assimilation era, the quality of numerical weather prediction (NWP) is in the process of continuously improving innovatively. Especially, the data assimilation (DA) skill in the southern hemisphere has been approaching the skill score in the northern hemisphere nearly in this period. In the DA procedure, a proper simulation of radiance data using efficient radiative transfer operator such as Radiative Transfer for TIROS Operational Vertical Sounder (RTTOV) is essential to make the effectiveness of the satellite radiance sounding to the maximum. For this, re-calculation of RTTOV is conducted with an improved guess for the radiance data in the middle of iteration for the cost function minimization, which is called 'mid-loop.'

Korea Institute of Atmospheric Prediction Systems (KIAPS) has been developing an operational NWP model, Korean Integrated Model (KIM), which includes its own DA system. It is a shape of hybrid variational-ensemble DA, hybrid 4D-EnVar. This study will be a trial for investigating a role of the re-calculation of RTTOV, an observation forward operator for satellite radiance data, in the hybrid 4D-EnVar.

Another issue that needs to be considered in this study is the role of re-checking the quality of the brightness temperature during the cost-function minimization. The change in the availability of using the satellite sounding data every mid-loop is expected to yield a significant modification to the resultant analysis increment. In the situation of a semi-operational configuration involving the KIM forecast system, it is investigated the way and the magnitude how the application of mid-loop that includes the re-calculation of RTTOV and re-checking the satellite data affects the hybrid DA skills.

### **13.03 Observation selection for variational bias correction**

*Presenter: Ruth Taylor, UK Met Office*

*Authors: R.B.E. Taylor*

Variational bias correction (VarBC) has been used for all satellite radiances assimilated by the Met Office global NWP system since March 2016, and by the UK regional NWP system since June 2017. The introduction of VarBC led to significant improvements in global forecast scores and the system has proved robust and stable [Cameron and Bell, 2018]. The original implementation of VarBC was such that every observation assimilated also influences the bias correction. Here we describe an approach for selecting the radiances which determine the bias correction, according to the circumstances of the observation. This capability becomes particularly desirable as we seek to extend our use of observations to more complex conditions (for example, by introducing radiances affected by cloud or a wider variety of underlying surfaces). The biases of such observations with respect to modelled equivalents are less well understood and they may have an adverse effect on the derived bias correction, possibly to the extent that positive impacts from their introduction are negated. The results of some initial experiments using observation selection for bias correction in the variational framework will be presented.

Cameron, J. and Bell, W. (2018). The testing and implementation of variational bias correction (VarBC) in the Met Office global NWP system. Met Office Forecasting Research Technical Report 631.

### **13.04 Surface Dependent Correlated Infrared Observation Errors in the FV3 Framework**

*Presenter: Kristen Bathmann, IMSG @NOAA/NCEP/EMC*

*Authors: Kristen Bathmann, Andrew Collard*

Research with correlated satellite observation error has been ongoing at NCEP and has primarily focused on estimating and accounting for inter-channel error correlations in AIRS and IASI observations over sea surfaces only. In the Global Forecast System (GFS), accounting for error correlations in IASI observations had a slightly positive forecast impact, whereas results with AIRS have proven to be neutral. This presentation will discuss the assimilation of infrared satellite observations with correlated error in the Finite Volume Cubed-Sphere (FV3) dynamical core. Error correlations over sea surfaces and land surfaces are computed and treated separately. In addition, these experiments adopt stricter quality control that depends on the smaller, diagnosed observation errors, resulting in improved detection of cloud contaminated data. The forecast impact during a two month period in winter 2018-2019 is examined, and compared to previous experiments with the GFS.

### **13.05 Understanding the link between satellite radiance thinning and observation error variance inflation in global 4D-EnVar**

*Presenter: Joël Bédard, Environment and Climate Change Canada*

*Authors: Joël Bédard, Alain Beaulne, Mark Buehner, and Patrice Beaudoin*

The model and data assimilation components for a new global numerical weather prediction (NWP) system with 15 km grid spacing are currently being developed and tested. The data assimilation component is based on 4D-EnVar and uses background error covariances partially obtained from a global ensemble Kalman filter with 256 members at 39 km grid spacing. In Environment and Climate Change Canada's currently operational data assimilation systems, all assimilated radiance observations are thinned to a 150 km horizontal spacing. This is justified by the fact that errors associated with dense satellite observations can have significant spatial correlations and assimilation algorithms generally assume spatially uncorrelated observation errors. However, the recent increase in horizontal resolution of the analysis grid should allow for the assimilation of denser observation networks. Within this context, various strategies are being explored to increase the density of

assimilated radiance observations for initializing global forecasts. Results from idealized one-dimensional experiments show that analyses can be improved by increasing the density of observations with spatially correlated errors, while still assuming uncorrelated errors within the data assimilation algorithm. However, results also show that when increasing the density of such observations, the observation error variance must be inflated to avoid over-fitting the large scales, which is often overlooked in the literature. The link between observation error variance inflation and spatial thinning is revisited in the context of this new higher resolution global NWP system. Results will be discussed from examining the spectral variances of 1) the hybrid background error covariances and 2) the impact of satellite brightness temperature observations on the analyses for a range of values for the spatial thinning and error variance inflation applied to these observations. Results from fully cycling assimilation and forecasting experiments in a near operational context will also be presented.

### **13.06 Surface skin temperature for satellite data assimilation at ECMWF**

*Presenter: Cristina Lupu, ECMWF*

*Authors: Cristina Lupu, Antony McNally*

An accurate specification of the surface temperature is important to the assimilation of radiances providing information on temperature and humidity in the lower troposphere. The surface skin temperature produced by NWP models can have large uncertainties and biases and there is no independent information for what absolute size of error should be assigned to the model's estimate of the surface skin temperature seen by satellites. It is recognized that further progress with the assimilation of surface-sensitive channels in the ECMWF system will require considerable revision of the methods used to specify the skin temperature.

This presentation highlights work towards improving the handling of skin temperature for satellite data assimilation. Given that in the current hybrid 4D-Var, an Ensemble of Data Assimilations (EDA) is used to generate situation-dependent background errors for the high-resolution deterministic forecast it is proposed here to replace the constant values of the background errors standard deviation for surface skin temperature with estimates from the EDA. The new system introduces the spatial and temporal variability to the assumed skin temperature errors, with the overall scaling of the variance optimised on the basis of trial and error. Results illustrating the impact on analyses (e.g., improved first guess fit to radiosonde temperature data) and forecasts will be presented along with a look ahead to planned developments for evolution towards coupled models and coupled data assimilation.

## **Session 13: Advances in Satellite Data Assimilation (poster introductions)**

### **13p.01 Local Unscented Transform Kalman Filter for Highly Nonlinear System**

*Presenter: Kwangjae Sung, Korea Institute of Atmospheric Prediction Systems*

*Authors: Kwangjae Sung*

The LUTKF algorithm can estimate the state of high-dimensional dynamic systems with a small number of ensemble members by combining both the unscented transformation (UT) by Julier and Uhlmann (2004) and the localization method used in the LETKF. Unlike the LETKF, which determines the ensemble members by random sampling at the initial time, the samples (ensemble members) in the LUTKF algorithm are selected deterministically using the UT method in every time step. Hence, the LUTKF algorithm can estimate the state of a system using a smaller ensemble size compared to the LETKF. In the LUTKF algorithm, a minimal set of  $2n$  samples for an  $n$ -dimensional system is used to propagate the state estimate and its error covariance (uncertainty) through nonlinear transformations. While the LETKF has an estimation accuracy up to a first order term in a Taylor series of the true value due to linearization for a nonlinear system, the LUTKF can guarantee accuracy up to a third order term in the Taylor expansion by using the UT.

### **13p.02 Impact of SSMIS BC method considering background-error in KIAPS DA system**

*Presenter: Jeon-Ho Kang, Korea Institute of Atmospheric Prediction Systems (KIAPS)*

*Authors: Jeon-Ho Kang, Hyo-Jong Song, Hyoung-Wook Chun, and In-Hyuk Kwon*

The SSMIS lower atmospheric sounding (LAS) radiances had been assimilated on the Hybrid 4D Ensemble-Variational (Hybrid-4D-EnVar) data assimilation system in KIAPS. We know that there are some biases in the background field as well but it is not easy to separate them within the first-guess departure (O-B) which is used to figure out the biases of the observation. For the accurate bias correction of the SSMIS data to maximize the assimilation impact in the forecast a new method considering the background error for the orbit angle based

bias correction was implemented. And assimilating channels are re-selected or newly added in DA system. It would be presented a new strategy designed to reduce the effects of background biases expected to attenuate the accuracy of the bias correction with their impacts on the KIAPS DA cycle experiment.

### **13p.03 Development and Progress of High Resolution CMA Land Surface Data Assimilation System**

*Presenter: Shuai Han, National Meteorological Information Center (NMIC)*

*Authors: Shuai HAN, Chunxiang SHI, Bin XU, Lipeng JIANG, Shuai SUN, Tao ZHANG*

This paper reviews the development of HRCLDAS, a high-resolution land data assimilation system, focuses on the important progress and breakthroughs in HRCLDAS research and development, and summarizes the contribution of these developments to HRCLDAS operation. And the paper mainly introduces the 1km resolution meteorological data by using multi-grid variation analysis technique. Based on the data of 1km visible channel, high-resolution terrain and surface albedo of FY-2 satellite, the ground Radiation product quality and spatial resolution has been improved. Simulation of ground solar radiation using hybrid model and ground station sunshine hours, air temperature and so on, and the use of multiple grid variation analysis technology to achieve the integration of these information; to achieve the East Asia satellite integrated precipitation products (EMSIP) and 4 million automatic stations observation. Aiming at the characteristics of high terrestrial simulation resolution and large data volume, a parallel calculation scheme of block parallel and mode is designed to realize efficient soil moisture simulation. HRCLDAS promotes the meteorological departments at all levels to carry out related operation applications.

### **13p.04 Evaluation of Variational Bias correction using an iterative bias correction against analysis**

*Presenter: In-Hyuk Kwon, Korea Institute of Atmospheric Prediction Systems (KIAPS)*

*Authors: In-Hyuk Kwon, Hyoung-Wook Chun, Yujin Juhn, Ji-Hyun Ha, Hyo-Jong Song and Hanbyeol Jeong*

The bias correction (BC) is one of the most important processes in the satellite data assimilation (DA). The Korea Institute of Atmospheric Prediction systems (KIAPS) has developed an operational DA system for the cubed sphere grid global model called Korea Integrated Model (KIM). It assimilates most satellite radiance observations used in the Korea Meteorological Administration (KMA) DA system. The KIAPS has built their own observation processing system called KIAPS Package of Observation Processing (KPOP) to provide qualified real-time observations for the DA system. To remove observation bias, the KPOP performs an adaptive BC method that calculates the BC coefficients against the background at the analysis time rather than using static BC coefficients. This method can make the difference between observation and background (O-B) almost zero. However, the adaptive BC method is likely to push observations to the background too much. A systematic model bias was found in the KIM, which is warm and dry bias around 850 hPa. In this case, we should avoid sending the observation too close to the background. Otherwise, it reinforces the model bias.

Recently the Variational BC (VarBC) method is implemented in the KIAPS DA system, so the bias parameters are updated jointly and simultaneously with the control variables during the minimization in Variational DA system. The VarBC is less affected by model bias. This is because the VarBC tries to fit the observation into the analysis rather than the background. Therefore, it is expected that the VarBC prevents over-fitting the corrected observation with a background.

To better understand the VarBC method in the KIAPS data assimilation system, we have developed an iterative bias correction against analysis. This method minimizes the difference between observation and analysis (O-A) which is the same concept as VarBC. It performs the adaptive BC and DA iteratively. The DA uses the same background, but the adaptive BC uses updated analysis instead of the background. This method is relatively straightforward. This study investigates O-A for each iteration. The iterative bias correction requires a lot of computation time, so it is hard to use in an operational system. However, it will be a useful tool for evaluating VarBC.

### **13p.05 Leveraging Modern AI techniques in NWP and Enhancing Satellite Data Exploitation**

*Presenter: Sid Boukabara, NOAA*

*Authors: S. A. Boukabara, E. Maddy, N. Shahroudi and R. Hoffman*

Artificial Intelligence (AI), machine/deep learning techniques (including deep neural networks, DNNs) have advanced considerably in recent years across a number of areas and applications: in medicine, self-driving cars, social media, the finance industry, etc. The astonishing increase in accuracy and applicability of AI has been significant in the private sector, driven by the ease, efficiency, cost-effectiveness, speed and auto-learning features of AI. Significant advances have also been made in application of AI in different areas of

meteorology and oceanography. However, until recently, far fewer AI applications were developed in the area of environmental data exploitation of satellite data, high-level information extraction in the area of Numerical Weather Prediction (NWP), data assimilation and forecasting, as well as for extreme weather prediction and nowcasting. There have been encouraging signs that AI is increasingly considered for these applications, with promising results – including predictive skills – and this trend is expected to continue with the ever-increasing volume of satellite data and the increased societal reliance on improved forecasting accuracy and resolutions. The increase of data volume comes from higher resolution satellites and sensors, from a growing list of new sensors (traditional as well as smallsats/cubesats), and from an explosion of new virtual observing systems made possible by the internet of things (IoT). Exploiting all these data sources is expected to present major challenges, and AI has emerged as a potentially transformational and mitigating technology, especially because of the potential of what might be called meta-Transfer Learning – the transfer of knowledge and expertise from field in which AI has been firmly established to NWP and related environmental sciences. This study will present recent results obtained in using AI for satellite data calibration, simulation through radiative transfer, inversion, data assimilation and fusion, as well as for post-forecast correction, including for extreme weather events.

### **13p.06 The assimilation of the IASI full spectrum using reconstructed radiances**

*Presenter: Marco Matricardi, ECMWF*

*Authors: Marco Matricardi*

Work has continued at ECMWF on the assimilation of Principal Component (PC) based IASI data shifting the focus from the direct assimilation of PCs to the assimilation of radiances reconstructed from truncated principal components (hereafter RR). Although at a theoretical level the assimilation of PCs or RRs can be considered equivalent, the latter have the advantage of being able to exploit existing science and infrastructure developed for raw radiance assimilation, in particular cloud and aerosol screening. To this end, the ECMWF 4D-Var data assimilation system has been modified to allow the assimilation of a selected number of 400 IASI reconstructed radiances which effectively encapsulate the information content of the full number of IASI channels in the long-wave band-1 (2221 temperature, surface, and ozone sounding channels) and the mid-wave band-2 (3201 water vapour sounding channels). The latest version of the RR system makes use of new humidity background errors, a modified cloud detection scheme and a fully revised observation error covariance matrix for the IASI reconstructed radiances where different weights have been applied to observations in different IASI spectral regions. Ten months of assimilation trials show that background forecasts produced by the RR system fit radiosonde and satellite observations better than background forecasts produced by the operational system, especially for water vapour. Regarding the quality of the medium range forecasts launched from the analyses produced by the assimilation of reconstructed and raw radiances, the RR system demonstrates an impressive performance advantage over the operational system, especially in the Southern Hemisphere, where 500hPa geopotential scores are improved up to 2.5% in the medium-range.

### **13p.07 Quantifying the Sensitivity of NCEP's GDAS/GFS to CrIS Detector Differences**

*Presenter: Agnes Lim, UW-Madison CIMSS/SSEC*

*Authors: Agnes Lim, Sharon Nebuda, James Jung, Dave Tobin and Mitch Goldberg*

Design of Infrared (IR) sounding instruments has advanced to use large arrays of detectors to make simultaneous observations. Different bias, noise and correlation properties between detectors can result in the need to treat observations from each detector differently. Having to treat each detector as an independent instrument is not desirable for Numerical Weather Prediction (NWP) centers. Current radiometric uncertainty and noise-equivalent changes in radiance (NE<sub>dN</sub>) requirements for IR sounder instruments do not fully address or constrain the noise characteristics between detectors. Detector properties, such as quadratic nonlinearity and detector noise, will contribute to inter-detector bias. No systematic studies have been conducted to determine the degree of match needed between detectors in an array used for IR sounding instruments that support NWP. The goal of this work is to understand what level these inter-detector biases begin to affect NWP analysis and forecast systems and help define the inter-detector design requirements. Instrument providers will need this information to assure that all instrument field-of-views (FOVs) are matched well enough to support NWP radiance assimilation.

A single detector is perturbed with several different detector quadratic nonlinearity parameter characterizations to determine their effect on analyses. Clear sky CrIS spectra radiances are simulated from the NASA GEOS-5 analyses assuming an aqua planet. Instrument noise is then added to these radiances. We will

focus on one CrIS surface channel. A control run will be generated. It assimilates conventional data, microwave satellite radiances and simulated CrIS observations with constant instrument noise on all FOVs. The FOV 5 detector (center of a 3x3 array of detectors) NEdN will be used. Experiments will be conducted with the same configuration as the control but will assimilate perturbed CrIS observations at FOV 7. The magnitude of the perturbations will be multiples of the FOV 5 NEdN. Statistics such as FOV selected, O-B bias and RMS, will be determined with respect to each detector and presented.

### **13p.08 A land data assimilation study based on LIS with FY3C land surface temperature and microwave brightness temperature**

*Presenter: Chunxiang Shi, National Meteorological Information Center (NMIC), CMA*

*Authors: Shi Chunxiang, Jia Binghao, Sun Shuai, Zhang Shuai, Liang Xiao, Jiang Lipeng*

Due to the spatiotemporal limitations of ground observations and the large uncertainties associated with atmospheric forcing and land surface parameterizations, land data assimilation has become an effective way of synthesizing complementary information from measurements and land surface models (LSMs) into a superior estimate of geophysical fields of interest (e.g., soil moisture). As a new-generation Chinese polar orbiting meteorological satellite, the FY-3 series consists of two experimental (FY-3A/B) and at least four operational satellites (FY-3C/D/E/F). However, few studies investigated the simultaneous assimilation of microwave brightness temperature (T<sub>b</sub>) and land surface temperature (LST) retrievals from FY-3C. This study carried out the first experiment to simultaneously assimilate the microwave T<sub>b</sub> and LST retrieval derived from FY-3C into a land surface model, the Noah-MP model. And this study using CLDAS (CMA Land Data Assimilation System) forcing data. Assimilating experiments demonstrate the reasonability of the assimilation scheme developed in this study. It also suggests that the simultaneous assimilation of FY3C LST and T<sub>b</sub> has the potential to estimate soil moisture with higher accuracy than the individual T<sub>b</sub> observations.

Foundation:

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## **Session 14: Preparation for New Hyperspectral Instruments**

### **14.01 MTG-IRS: scientific improvements for a user-friendly mission**

*Presenter: Dorothee Coppens, EUMETSAT*

*Authors: Dorothee Coppens, Bertrand Theodore, Thomas August, Tim Hultberg, Cedric Goukenleuque, Jochen Grandell*

The Meteosat Third Generation (MTG) series of future EUMETSAT geostationary satellites consists of two types of satellites, the imaging (MTG-I) and the sounding (MTG-S) satellites. The Infrared Sounder (IRS) is one of the two instruments hosted on board MTG-S. It is an imaging Fourier transform spectrometer with a spectral resolution better than 0.754 cm<sup>-1</sup> in two spectral bands, the Long-Wave InfraRed (LWIR, 700–1210 cm<sup>-1</sup>) and the Mid-Wave InfraRed (MWIR 1600–2175 cm<sup>-1</sup>). After its launch in 2023, it will perform measurements over the full Earth disk with particular focus on Europe (that will be revisited every 30 minutes), with a spatial resolution of 4 km at nadir. With such measurements characteristics, the IRS mission is expected to massively impact both numerical weather prediction and nowcasting applications.

In order to enable users to make the most of IRS products, EUMETSAT strives to adapt them to all applications. This includes:

- **Timeliness:** IRS Level 2 processing has been fully reconditioned, building on the IASI experience to provide atmospheric profiles on the entire disk within 30 minutes after acquisition. IRS Level 1 processing has also been optimized so that calibrated spectra are available in less than 15 minutes;
- **Compression:** due to the huge amount of data, the IRS spectra will be disseminated as principal component scores. A hybrid approach, in which global PC scores are supplemented by a small number of local PC scores, has been designed to capture possible new spectral characteristics not included in global PCs;
- **Information content:** the radiances will be uniformised for ease of use and spectral sampling optimized to about 0.6 cm<sup>-1</sup> to keep the instrument sampling and avoid introducing harmful artefacts

related to resampling. In addition, an evaluation of the cloud fraction and the heterogeneity of the scene within each IRS pixel will be available in contrast to what had been planned in the past;

- User awareness: Users are associated to all decisions regarding the IRS processing through the mission advisory group. Test data are being prepared to train future users of the IRS.

This paper will go through the various improvements brought to the IRS level 1 and level 2 processing, the current results, limitations and the future plan.

#### **14.02 IASI-NG Program: General Status Overview**

*Presenter: Francisco Bermudo, CNES*

*Authors: F. Bermudo, E. Jurado, F. Bernard, C. Lefèvre, A. Deschamps, S Guibert*

CNES will develop the Infrared Atmospheric Sounding Interferometer New Generation (IASI-NG), a key payload element of the second generation of European meteorological polar-orbit satellites (METOP-SG), dedicated to operational meteorology, atmospheric composition, and climate monitoring. IASI-NG will continue and improve the IASI mission in the next decades (2020-2040) with notable improvements on performances. The performance objective is mainly a spectral resolution and a radiometric error divided by two compared with the IASI first generation ones.

For the IASI-NG program, a cooperation agreement is implemented between CNES and EUMETSAT. Under this agreement, CNES has oversight responsibility for the development and procurement of the instruments, the definition of instrument in flight operations, the Level 1C data processing software (L1C POP) and the IASI NG Technical Expertise Centre (IASTEC) in charge of the in-flight calibration, validation and continuous performance monitoring. EUMETSAT is in charge of developing the EPS SG System and operating, archiving and distributing IASI-NG data to the users.

The paper reports on latest status of IASI NG program, with specific focus on these new highlights.

The instrument measurement technique is based on wide field Fourier Transform Spectrometer based on an innovative Mertz compensated interferometer to manage the so-called self-apodisation effect and the associated spectral resolution degradation. Further 2 years of instrument definition consolidation and engineering models activities, IASI-NG program will reach an important milestone in fall 2019 with the completion of the Instrument Critical Definition Review(CDR) with Airbus D&S. The design of the instrument, the development status of the main units, critical technologies and sub-systems with the first test results performed on engineering models will be presented. The status of the most recent CNES activities will be also provided: CNES achieved the definition of the Level 1 C data Processing algorithms and started the development of the L1C Operational processor with PDR milestone successfully reached end of 2018. The IASI-NG System Critical Definition review focused on mission performances, validation activities and IASTEC definition is planned in Q1 2020 to conclude the ongoing definition activities.

#### **14.03 IASI-NG L1 processing: how to estimate the instrument response function in real-time?**

*Presenter: Adrien Deschamps, CNES*

*Authors: A. Deschamps, C. Luitot, F. Bernard, E. Baldit, A. Penquer*

The development of the IASI-NG System, under responsibility of CNES, includes the development and delivery of IASI-NG instruments and Level 0 Processor (ICPU) to be flown on the Metop-SG A Satellites, the development of the Level 1 Processor (L1 POP) as part of the EPS-SG ground segment, and the development of a Technical Expertise Centre (IASTEC) in charge of the in-flight calibration, validation and continuous performance monitoring.

The IASI-NG instrument presents a technological gap compared to the IASI Fourier transform spectrometer. In order to be able to deliver data with both a twice lower radiometrical noise and a twice better spectral resolution, the IASI-NG interferometer design is based on the Mertz principle and uses movable prisms to compensate the so-called self-apodization effects. In order to deliver spectrally consistent data, the instrumental spectral response function (ISRF) of the spectrometer is continuously estimated on-ground and removed by the level 1 processing.

This paper presents the algorithms that have been developed to estimate this ISRF taking benefit from both an instrumental model and observable parameters coming from metrology beams, a Fabry-Perot interferometer

or absorption features in the atmospheric spectra. We will describe the two main parts of this algorithmic chain dedicated on one part to the estimation of the spectral shift and on the other part the estimation of the instrumental shape error. The correction of these two effects are corrected simultaneously in the on-ground processing by local deconvolution. The estimated ISRF is then removed and replaced by a perfect Gaussian function. This algorithm is applied to each interferogram and for each wavenumber because of the high chromatic effect (i.e. the variation of the spectral shift with the wavenumber) due to the instrumental concept.

The first validation studies on the ISRF estimation module that have been conducted by the IASI-NG L1 team will be shown. According to these results, the challenging mission requirement to have a residual spectral shift lower than  $10^{-6}$  within any single orbit should be met. An overview of the status for the other main IASI-NG performances will be finally given.

#### **14.04 Development and Verification challenges of the IASI-NG system**

*Presenter: Eric Jurado, CNES*

*Authors: Eric Jurado, Clémence Le Fevre*

IASI-NG system, developed under CNES responsibility, aims at producing data for meteorological and atmospheric chemistry user's community. It is part of the EUMETSAT EPS-SG system.

It is composed of a space segment and a ground segment. The space component is the IASI-NG instrument, one model flying on each of the three METOP-SG A that will be launched between 2022 and 2036. The ground segment, consists in the IASI-NG Level 1 Processor, the so-called L1CPOP, which is integrated in EPS-SG Payload and Data Acquisition Processing ground segment, and in the IASI-NG Technical Expertise Center (IASTEC), in CNES premises, dedicated to performance monitoring of both space and ground segments, anomaly investigation, and development of improved processing software.

In the frame of the cooperation agreement with EUMETSAT for IASI-NG, CNES is responsible for ensuring the functionality and performances of both the IASI-NG instrument flying aboard METOP-SG and the L1C products disseminated through EUMETSAT system.

The IASI-NG system verification approach has to deal with three main challenges: its complex and innovative instrument, the ambitious scientific performances required, and the integration within the EPS-SG system. The validation of performances is reached by analysis or tests considering models with an increasing level of complexity and representativeness, first at instrument level, then with the instrument integrated on-board the satellite, and finally in interface with the EPS-SG ground segment. Several tests campaigns are required to cover all technical topics such as optical, mechanical, thermal, electrical, functional and operational qualification. An important issue is also the validation of the scientific algorithms implemented on-ground within the L1CPOP. In this perspective the Infra-Red Interferometer Simulator (IRIS) is developed to both simulate the instrument behavior and optimize the scientific post-processing.

This presentation will address both the logic and the technical challenges of the development and verification of the performances of this complex system.

#### **14.05 Evaluation of a first IASI-NG channel selection for Numerical Weather Prediction**

*Presenter: Francesca Vittorioso, CNRM, Météo-France, CNRS*

*Authors: Francesca Vittorioso*

In the framework of the EUMETSAT Polar System-Second Generation (EPS-SG) preparation, a new generation of the Infrared Atmospheric Sounding Interferometer (IASI) instrument has been designed. The IASI-New Generation (IASI-NG) will measure at a doubled spectral resolution compared to its predecessor and with a signal-to-noise ratio reduced by a factor 2. Measurement precision will be improved as well.

The high amount of data arising from IASI-NG will present many challenges in the areas of data transmission, storage and assimilation and the number of individual pieces of information will be not exploitable in an operational Numerical Weather Predictions (NWP) context. For these reasons, an appropriate IASI-NG channel selection is needed, aiming to select the most informative channels for NWP models.

The work has been carried out on a database of simulated observations [Andrey-Andrés et al. (2018)], produced with the specific purpose to serve as a support to the channel selection, in addition to one-dimensional variational (1D-Var) experiments to evaluate temperature and humidity retrievals.

The standard iterative channel selection methodology, which is based on the optimal linear estimation theory [Rodgers (1996), Rabier et al. (2002)], has been applied to a subset of these simulated data. However, the procedure has been adjusted so as to allow spectrally correlated errors to be properly evaluated [Desroziers et al. (2005)].

The entire simulated IASI-NG spectrum has been investigated, finally focusing the channel selection procedure on the wavelength ranges the most interesting for the assimilation.

Methodologies and results on retrieval skills will be more carefully described during the course of the presentation.

#### **14.06 Ice cloud properties, an information content analysis from high spectral resolution measurements in the thermal infrared: Application to IASI and IASI-NG**

*Presenter: Lucie Leonarski, Université de Lille - LOA*

*Authors: Lucie Leonarski, Laurent C-Labonnote, Jérôme Vidot, Anthony J. Baran, Philippe Dubuisson*

Ice or liquid cloud columns and profile properties retrieval from passive and active measurements respectively help us in reaching a better understanding of climate processes. If the information provided by the latter is complete, it suffers from spatial coverage compared to passive measurements. It is therefore important to better characterize cloud properties from passive measurements by using, for example, high spectral resolution instruments. Besides their strong contribution to weather forecast improvement through data assimilation in clear-sky conditions, thermal infrared sounders on board polar orbiting platforms are now playing a key role in monitoring changes in atmospheric composition. However, it is notoriously known that clear sky observations are only a small part of the entire set of measurements, the remaining part being poorly used as they are contaminated by either aerosols and/or clouds.

The present study aims to quantify the potential for retrieving ice cloud properties and more specifically, the Ice Water Path (IWP) together with layer position, from thermal infrared sounders such as IASI and the future IASI-NG. To characterise the observing system, we used different ice cloud profile coming from a global database (see <https://www.nwpsaf.eu/site/software/atmospheric-profile-data/>) where profiles are chosen to encapsulate normal conditions, typical variability and the extremes of the model's behavior. An information content analysis (ICA) based on Shannon's formalism has been used to determine the level and the spectral repartition of the information about the ice cloud properties. Based on this ICA a retrieval algorithm has been developed and tested over the previously defined database.

In this ICA we took into account the Signal-to-Noise ratio of each specific instrument and the inherent non-retrieved atmospheric and surface parameter errors. The forward model used is the fast radiative transfer model RTTOV (Saunders et al., 1999, Matricardi 2004), which has been developed for satellite data assimilation in Numerical Weather Prediction (NWP) models. For operational requirements RTTOV is fast, accurate and stable. The ice cloud microphysical model used in this study is based on the ensemble model (Baran and Labonnote, 2007), where the bulk ice optical properties has been parametrized as a function of the IWC and in cloud temperature.

Results show that this observing system provides information on IWP as well as layer position, and should therefore be well retrieved with expected errors that decrease with cloud opacity until the signal saturation is reached. The number of degrees of freedom for the water vapor profile is significant, leading to the possibility of retrieving humidity profile in case of measurements contaminated by ice clouds. The study of multilayer profiles (i.e. ice cloud above liquid cloud) shows that the information above the liquid cloud is sometime greater because the latter reduces the influence of the surface.

## Session 15: Polar Regions (oral presentations)

### 15.01 Arctic Observing System Experiments at ECMWF for the APPLICATE project

*Presenter: Heather Lawrence, ECMWF*

*Authors: Heather Lawrence, Niels Bormann, Irina Sandu, Jonathan Day, Jacky Farnan, Peter Bauer, Linus Magnusson*

In this talk we present a study carried out in the framework of the APPLICATE Horizon-2020 project, to assess the impact of different Arctic observing systems on short and medium range weather forecasts at ECMWF. Observing System Experiments were performed removing different observation types from the full observing system north of 60 degrees, and the impacts on short and medium range forecasts were analysed. The analysis is supported by a comparison to results of Global OSEs, and to Forecast Sensitivity to Observation Impact diagnostics.

All Arctic observations were found to have a positive impact on forecast skill in the Arctic region with the largest impacts on tropospheric forecasts due to microwave sounding data in the summer and in-situ observations in the winter. Observations in the Arctic were also found to have a positive impact on forecasts in the mid-latitudes at longer lead-times. The lower relative impact of microwave sounding data in winter is likely due to difficulties assimilating the data over snow and sea-ice, but there is also the suggestion of an increased importance of conventional data in winter, and other factors may also play a role.

### 15.02 Impact of observations on the AROME-Arctic regional model

*Presenter: Zheng Qi Wang, Norwegian Meteorological Institute (for Roger Randriamampianina)*

*Authors: Roger Randriamampianina*

In the frame of the Applicate project (<https://applicate.eu>), ECMWF (European Centre for Medium-Range Weather Forecasts) is running several observations denial experiments. Having access to the results of these experiments opens a good opportunity for us to fulfill our obligation with the Alertness project to study the impact of Arctic observations on our operational Arctic regional model AROME-Arctic.

In our presentation, we will show in details the impact of different observations on the analyses and forecasts of the AROME-Arctic. The peculiarity of our experiments is that almost each observation denial experiment will be driven by similar experiment using the ECMWF global model. This is very different from what we usually do, where all regional observation denial experiments are driven by the operational ECMWF model using full set of observations. Since ECMWF was kindly providing to us 2 sets of observing system experiments (OSE) – Arctic and global – we are able to check the impact of different observation on our regional model analyses and forecasts through data assimilation and also through lateral boundary conditions (LBCs). Furthermore, we are able to check the impact of non-Arctic observations on our regional model. We will be happy to present the results of this unique opportunity at ITSC-22.

### 15.03 Continuous observation of high latitudes from space: a review of medium Earth orbit (MEO) and highly elliptical orbit (HEO) options

*Presenter: Louis Garand, ECCO*

*Authors: A. P. Trishchenko, L Garand, and L. D. Trichtchenko*

Still today, polar regions are not observed from meteorological satellites at high temporal resolution (10 min or less), that is with similar refresh rates to those currently available from geostationary (GEO) satellites. The number of satellites required to get such refresh rates from Low Earth Orbit (LEO) satellites is prohibitively high (more than 30 at 60 N/S). Several studies have shown the potential to fill the polar gap from a constellation of, at a minimum, two satellites per polar area in a highly elliptical orbit (HEO), or four satellites in medium Earth orbit (MEO, circular). These options are here reviewed. HEO orbits between 12-h and 16-h are considered. In the case of MEO, the 24-h orbit is preferred, characterized by a height very similar to that on GEO (~35,800 km). Most studies based their coverage requirement assuming a maximum viewing angle (VZA) of 70 deg. For several applications, a VZA limit of the order of 62° is more appropriate. As well, an overlap with GEO down to a latitude of about 45° is desirable. With a third satellite in the HEO constellation for each polar area, or two more satellites in the MEO constellation (total of 6), that coverage requirement is met and the pixel resolution is comparable to that achieved from GEO. Criteria other than coverage and resolution must be considered, such as complexity of data processing and potential exposure to ionizing radiation. Overall, both MEO and HEO constellations can achieve continuous imaging of polar regions.

Extended sounding capability could be envisioned beyond that available from water vapor sensitive imaging channels.

## **Session 15: Polar Regions (poster introductions)**

### **15p.01 PRECISE – Production of a regional Reanalysis for Europe within the Copernicus climate change Services**

*Presenter: Zheng Qi Wang*

*Authors: Zheng Qi Wang, Semjon Schimanke, Per Undén, Martin Ridal, Lars Berggren, Patrick Le Moigne, Eric Bazile, Roger Randriamampianina*

PRECISE (Production of a regional Reanalysis for Europe within the Copernicus climate change Services) is a Copernicus service that will be launched under summer 2017. The goal of the service is to provide a regional reanalysis (RRA) for the atmosphere, which will be updated in near real-time. In this presentation, we will give an overview on what can be expected from the service within the next years.

In the first phase, PRECISE products will be based on the model system developed in the pre-operational project UERRA. While UERRA produced data sets for the period 1961-2015, PRECISE will fill the gap throughout 2016 and early 2017. This will be followed by monthly updates in near real-time. Hence, PRECISE will offer a consistent RRA from 1961 to near real time. The onset of the operational monthly updates can be expected in late 2017. All data will be saved with hourly resolution and will be freely available via the Copernicus Data Store (CDS). Details of the production system as well as challenges of producing a RRA operational in near real-time will be discussed.

In the second phase, it is planned to switch to a more advanced system which will be developed while the operational production of the RRA carries on during phase one. The new PRECISE reanalysis system will be built on using and extending the UERRA system in several ways: The horizontal resolution of the data assimilation system will be enhanced from 11 km to 5.5 km and also the vertical resolution will be increased from 65 to about 90 levels. The top of the model will be raised to allow assimilation of satellite radiances. Moreover, the number of layers in the boundary layer will be increased. The MESCAN surface analysis of temperature and humidity will be integrated in the reanalysis production since no downscaling will be necessary. New input data sets will be tested and integrated. Further, a number of new observation types or instruments will be tested. The lateral boundary will be forced by the global ERA5 reanalysis. The onset of the production with the new system ushers the second phase of the service in, which is scheduled for 2019. The RRA dataset will start in the early 1980's and will be updated operational in near real-time. Details of the advanced system as well as the time schedule will be discussed.

### **15p.02 The Arctic Regional Reanalysis of the Copernicus Climate Change Service**

*Presenter: Zheng Qi Wang, Norwegian Meteorological Institute (for Harald Schyberg)*

*Authors: Harald Schyberg, Heiner Körnich, Roger Randriamampianina, Eivind Støylen, Xiaohua Yang*

We will present status and plans for the Arctic regional reanalysis of the Copernicus Climate Change Service (C3S). The project aims at producing an Arctic regional reanalysis over two Arctic subdomains of interest for change processes and economic activities. The reanalysis will cover the period 1997 - 2021 with a horizontal resolution of 2,5 km. Additionally a proof-of-concept for a pan-Arctic reanalysis will be provided for a period of one year.

The system to be used is based on the HARMONIE-AROME Numerical Weather Prediction (NWP) system, with additions and configuration choices for reanalysis purposes with that system. Global reanalysis data from ERA5 will be used for lateral boundaries. The Arctic reanalysis will add value versus the global reanalysis by providing higher-resolution and by using regional data not available in the global reanalysis system.

Developments to adapt the system for reanalysis purpose included modifications in the assimilation setup, 3D-Var background error statistics and uncertainty estimation. The upper air assimilation uses conventional observations and, since there are gaps in the conventional observing system, has emphasis on using satellite datasets which have good coverage in the Arctic. This includes important parts of the satellite observing system such as microwave and infrared radiances, atmospheric motion vectors, scatterometer winds and

GNSS radio occultation data. Handling of “cold surfaces” in the surface scheme, such as snow, sea ice and glaciers, which are important in the Arctic, has also received special attention with the aim to give a better representation than in the global reanalysis.

In addition to the development of the system itself, we present the present status of its production and we give an assessment and analysis of the quality of the reanalysis products.

### **15p.03 Impact of Terrestrial and Satellite Observations over the Polar Regions on the ECCC Global Weather Forecasts during the YOPP Special Observing Periods**

*Presenter: Stephane Laroche, Environment and Climate Change Canada*

*Authors: Stephane Laroche and Emmanuel Poan*

One goal of YOPP is to make recommendations to WMO and meteorological centers on the future configuration of the observing system in polar regions. With the growing human activities and the implementation of new METEAREAs to provide weather information and forecasts in the Arctic, it is indeed relevant to examine the value of in situ and satellite observations in high-latitude. The role of the various types of observations over the globe in numerical weather prediction at mid-latitude is now well understood. The impact of observations in the Arctic is less clear since terrestrial observations are sparse and satellite observations are affected by ice and snow for which the emissivity properties can be difficult to estimate. Furthermore, there are very few aircraft reports over the polar regions, which are now an important source of observations in the northern hemisphere mid-latitude. YOPP provides a good opportunity to examine the relative importance of the various satellite and terrestrial observations, the forecast skill in the Arctic with respect to that in mid-latitude and the impact of observations in high-latitude on the forecast skill at mid-latitude.

Similar projects were undertaken earlier by ECMWF and a few European meteorological centers under the EU-funded APPLICATE Work Package 4 (WP4). ECMWF carried out a series of Observing System Experiments (OSEs) poleward of 60 degrees over the North and South Poles for the three four-month periods: December to March 2016 and June to September 2016 and 2018. The selected data denied poleward of 60 degrees are the following: microwave radiances, hyperspectral infrared radiances, conventional observations, GPS-RO and AMVs. A few additional OSEs were also conducted to examine the relative impact of temperature and humidity sensitive microwave radiances and the impact of the additional radiosondes and buoys that were launched during the YOPP special observing periods. At ECCC, we carried out the same OSEs as proposed by ECMWF for the two four-month periods of 2018, in collaboration with WP4 partners. This joined effort enables the comparison of OSEs results from the participating weather centers and a deeper investigation of the role of the various observing systems over the Arctic and Antarctic regions.

In this presentation, we focus on the OSE results obtained at ECCC. The impacts of denying the various observing systems on short to medium-range forecasts using different metrics are shown. The comparison of impacts on 24-h forecasts from the OSEs and FSOI over the Arctic regions is also presented. A particular attention is given to the summer 2018 period (second YOPP SOP) during which a larger volume of additional observations were deployed.

## **International (oral presentations)**

### **b.01 Communicating the value of passive bands used by TOVS-heritage microwave instruments in the context of radio frequency interference and spectrum allocation**

*Presenter: Stephen English, ECMWF*

*Authors: S English, R Kelley, M Dreis, E Daganzo-Eusebio, V Nozdrin, N Bormann, A Collard, R Faulwetter, C Köpken-Watts, M Kazumori, J Mahfouf, C Harlow, Brett Candy, J Eyre, M Banks, M Buehner, C Tingwell, F Smith, I Kwon, R Randriamampianina, S Swadley, et al.*

Many applications need radio frequency spectrum. Meteorology and earth system modelling and prediction is just one. In the past telecommunication applications have generally operated in low frequency bands (e.g. P, L, C and X band), where atmospheric attenuation is low. However as their bandwidth requirements increase, and as the amount of available bandwidth at lower frequency is very small, they are increasingly looking to bands at higher frequency, despite the stronger attenuation. For example 5G has requested bands adjacent to the frequencies used by sounders such as AMSU-A, ATMS, MWTS-2, MTVZA-GY and SSMIS at 23.6-24 GHz, 50.2-

50.4 GHz and 52.6-53 GHz. It is therefore more important than ever that the value of all passive bands used in meteorology and earth system science and prediction is documented and communicated. It is also important to assess and outline the effect interference would have on the exploitation of the measurement data.

To this end and in response to an action from ITSC-21 ECMWF organised a workshop in 2018 involving many leading Numerical Weather Prediction (NWP) centres and spectrum managers from Europe and the USA, as well as the ITU. The workshop focussed exclusively on the value of the bands in NWP. The workshop presentations showed consistent results from the NWP centres indicating that passive microwave bands are the most important source of observations for meteorology, providing 30-40% of all forecast skill from observations. Furthermore it was shown that their exploitation is becoming ever more sophisticated, being assimilated in all weather conditions over both land and ocean surfaces.

In order to assess the socio-economic impact of a degraded NWP capability, a number of studies were presented at the workshop. These employ different techniques and look at a broad range of countries and economies, but all these studies conclude that there are huge weather forecast benefits to societies both in terms of public safety and also direct monetary benefits. As the quality of satellite data and the forecast systems themselves improve, this benefit will further increase.

It is important that the information collected and reported at this workshop is regularly updated and complemented with more evidence to support agreed interference thresholds in passive bands. Although the workshop organised by ECMWF focussed on NWP applications it is important that all applications of the data are captured in these reports (e.g. climate). ECMWF is committed to contributing to the organisation of regular workshops on NWP applications but encourages ITWG to coordinate a holistic approach to the topic.

#### **b.02 Update to World Radiocommunication Conference 2019 and WRC-23 items of interest**

*Presenter: Rich Kelley, Alion Science for DOC/NOAA/NESDIS*

*Authors: Fred Mistichelli, Rich Kelley*

The dates of the next World Radiocommunication Conference will span those of ITSC-22. Therefore WRC outcomes will be unknown. This talk will review and update items of interest during WRC-2019 and discuss possible items for the WRC in 2023.

#### **b.02 WMO Space Programme Update**

*Presenter: Heikki Pohjola, WMO*

### **Session 16: Future Missions**

#### **16.01 Combined Polar Hyper-spectral and Geo-multispectral Data - Demonstration of the Need For Geo-Hyper-spectral Sounder**

*Presenter: William Smith, SSEC*

*Authors: W. Smith Sr., R. Knuteson, H. Revercomb, E. Weisz, and Q. Zhang*

Polar hyperspectral sounding and geo-multi-spectral imagery data are now routinely combined to provide a high spatial and temporal resolution soundings similar to those that could be provided by a geo-hyperspectral sounding instrument. The combined vertical profile retrieval product accuracy is generally good when the geographical regions observed and the observation times of Polar-hyperspectral and Geo-multispectral data are relatively close, dependent upon the atmospheric condition. It is shown that accuracy of analyses of soundings derived from combined high vertical resolution Polar hyperspectral and high horizontal and temporal resolution geo-multispectral sounding imagery, is usually superior to that based only on the relatively poor horizontal space and time resolution polar hyperspectral sounding retrievals. This result clearly demonstrates the importance of the high horizontal and temporal resolution sounding information that is provided by a geostationary satellite instrument.

However, the combined sounding product accuracy can degrade significantly due to rapid localized changes in atmospheric conditions, such as those associated with convective weather development. The dependence of the combined satellite product accuracy on both space and time differences of the two sets of data is quantified with respect to the atmospheric condition being observed. The potential impact of these errors on forecast accuracy is discussed. The results strongly support the need for a geo-hyperspectral sounder to

improve the accuracy of forecasts achievable from today's polar hyperspectral and geo-multispectral radiance data.

### **16.02 Hyperspectral Imaging Infrared Sounding from geostationary orbit**

*Presenter: Joe Taylor, UW-SSEC/CIMSS (for Hank Revercomb)*

*Authors: Hank Revercomb, Bill Smith, Bob Knuteson, Dave Tobin, Joe Taylor, Fred Best, Jon Gero*

Measurements from an advanced Hyperspectral Imaging IR Sounder (HIIS) over the US would form the basis for severe weather warnings with significantly longer advance times than currently possible. When combined with independent surface data, HIIS observations would provide a unique ability to detect rapid changes in atmospheric stability and the moisture flux convergence that serves both as a triggering mechanism for initial storm development and a fuel source for continued storm growth. The geostationary orbit allows for rapid repeat times (as high as 5-15 minutes) and opportune spatial sampling; the advanced imaging IR sounder is unmatched in its ability to sense vertically resolved temperature, moisture, and cloud/moisture-tracked wind vectors with spatial resolutions as high as 2-4 km horizontal and 1 km vertical.

Many of these important capabilities of the GEO advanced sounder have been demonstrated by the highly positive impact on global weather prediction of high spectral resolution infrared sounders in polar orbit (IASI on MetOp spacecrafts and CrIS on Suomi NPP and JPSS). This demonstration of the impact of vertical information on the global scale makes a strong case for what will be possible from GEO observations that combine the same type of detailed vertical profile information with rapid time sequencing. Vertical profile information well beyond the capability of GEO imagers is needed to exploit the next frontier offered by rapid sampling data for forecasting high impact severe weather events including tornadoes and hurricanes.

As will be summarized, technological feasibility has been well proven in the US by previous studies funded by NASA and NOAA dating back to 2006, and innovative approaches have been defined to make reasonably rapid implementation possible. We will also show results from the first inflight proof of concept by China (on FY4-A in late 2016) that are encouraging for the future flights with significantly upgraded capability planned for the next several years. Also, as is well known, EUMETSAT will fly the IRS with a very sophisticated capability on MTG in 2022-23.

To help satisfy WMO requirements for a global constellation, and to protect our own citizens, the US should put high priority on providing this capability as soon as possible.

### **16.03 Accelerating Toward NOAA's Next-Generation Observing Architecture**

*Presenter: Karen St. Germain, NOAA/NESDIS*

*Authors: K. St. Germain, F. Gallagher, M. Maier, D. Spencer*

NOAA has begun planning for the future observation architecture that will augment and eventually replace the JPSS and GOES-R program capabilities. In support of this effort, NOAA recently completed a future architecture analysis to examine space capability options in the 2025 to 2050 time period. Informed by this analysis, NOAA is weighing different combinations of spatial, spectral, and temporal resolution for atmospheric sounding capabilities. We seek to optimally balance mission performance, cost, and agility in the future atmospheric sounding architecture. This paper will discuss NOAA's current thinking and seek feedback from the ATOVS community on how to weight and optimize these options.

#### **16p.01 EUMETSAT Plans**

*Presenter: K. Dieter Klaes, EUMETSAT*

*Authors: K. Dieter Klaes*

This paper will summarize EUMETSAT's current status and the plans of the future programmes. This will include the current and future mandatory programmes in LEO and GEO orbits, EPS, MSG as well as EPS-SG and MTG. Third party and optional missions, including Copernicus Missions will be addressed as well, and also the ground segments.