



# Using rainfall and ASCAT observations to verify the SMAP Level-4 soil moisture analysis in Australia

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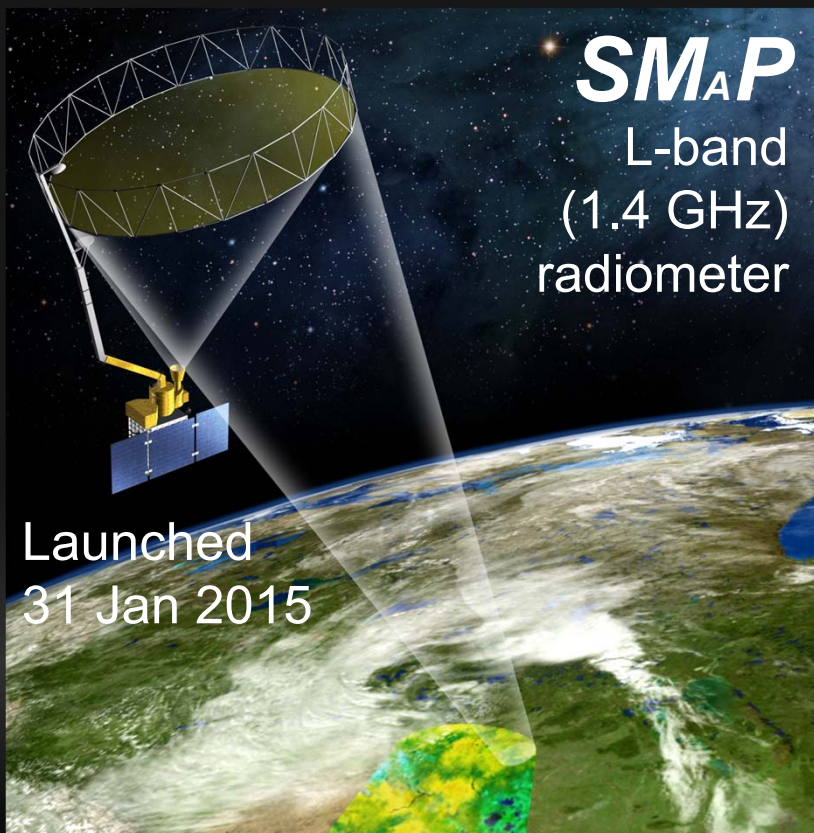
## Motivation

Status of SMAP...

*Key Objectives of the  
Level 4 Surface & Root-Zone Soil Moisture*

*(L4\_SM) product:*

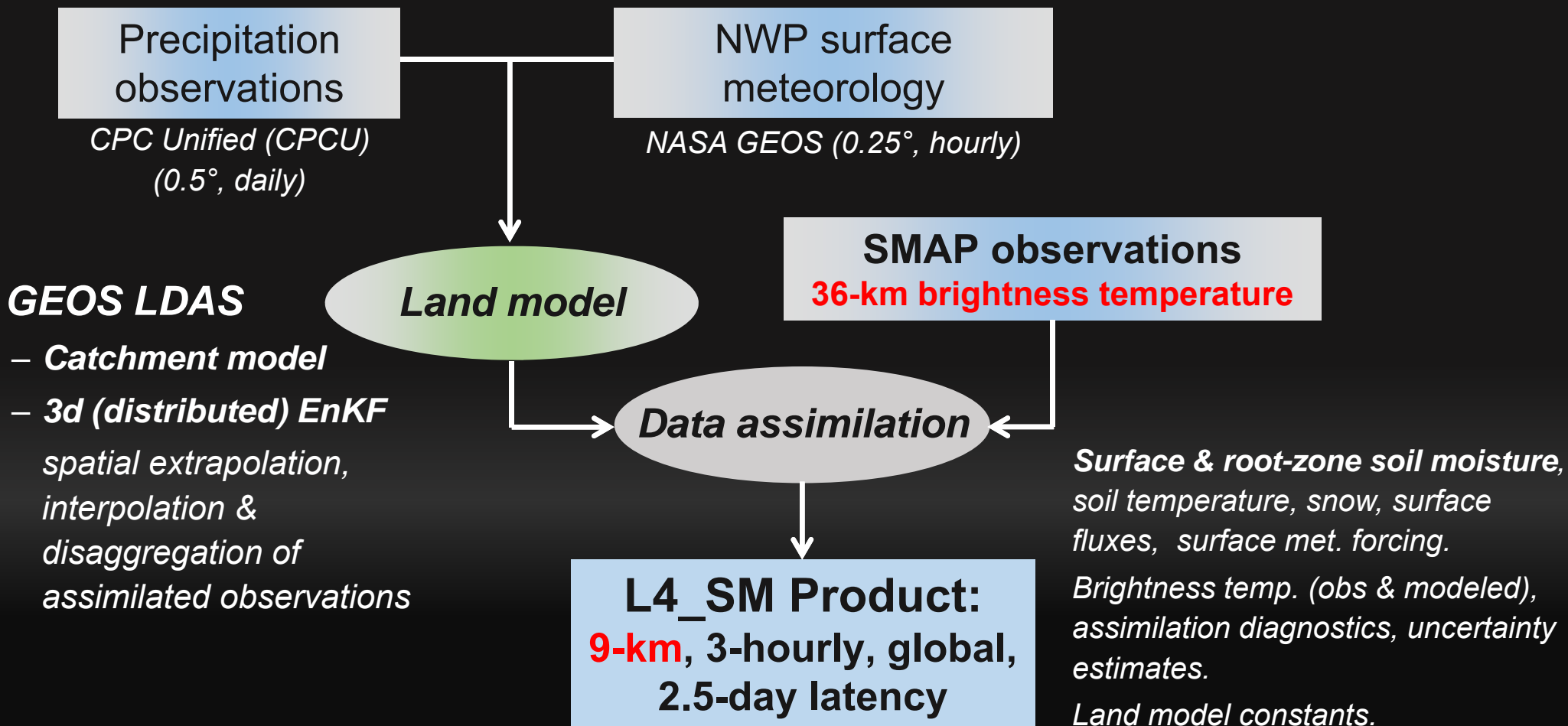
- 1. Root-zone soil moisture (0-100 cm)**
- 2. Spatially & temporally complete**



**Sensitive only to surface  
soil moisture (~0-5 cm)**

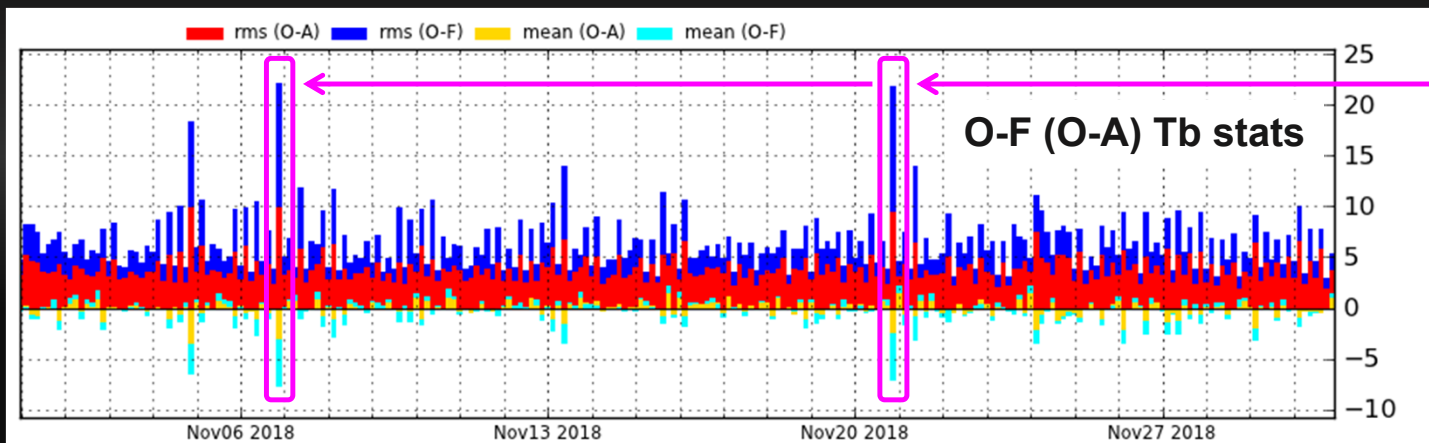
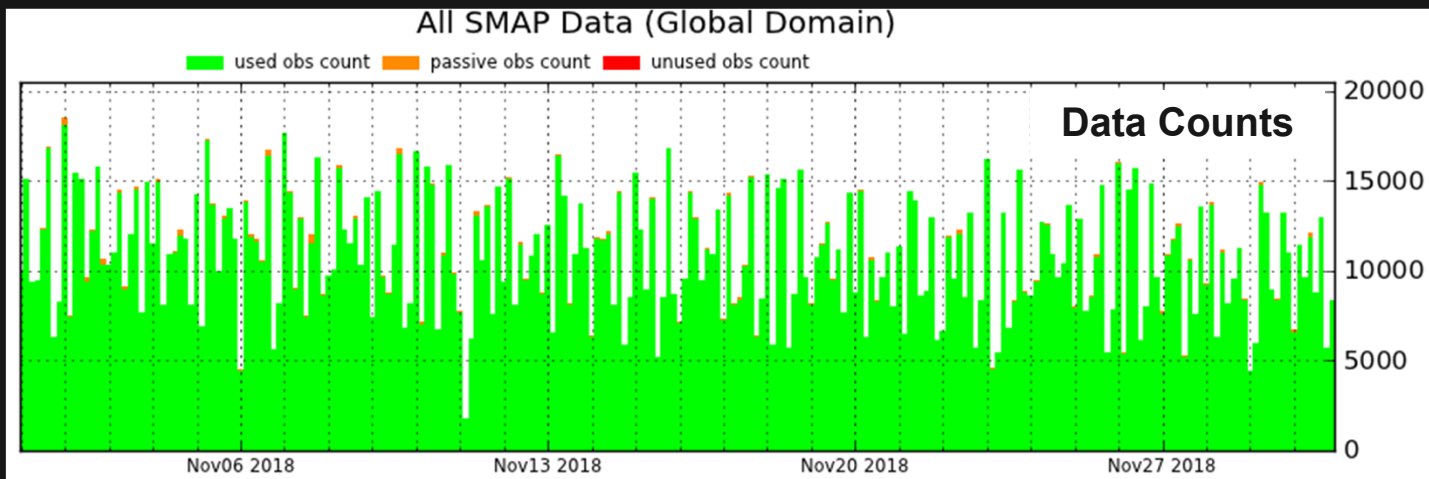


# L4\_SM Algorithm Overview





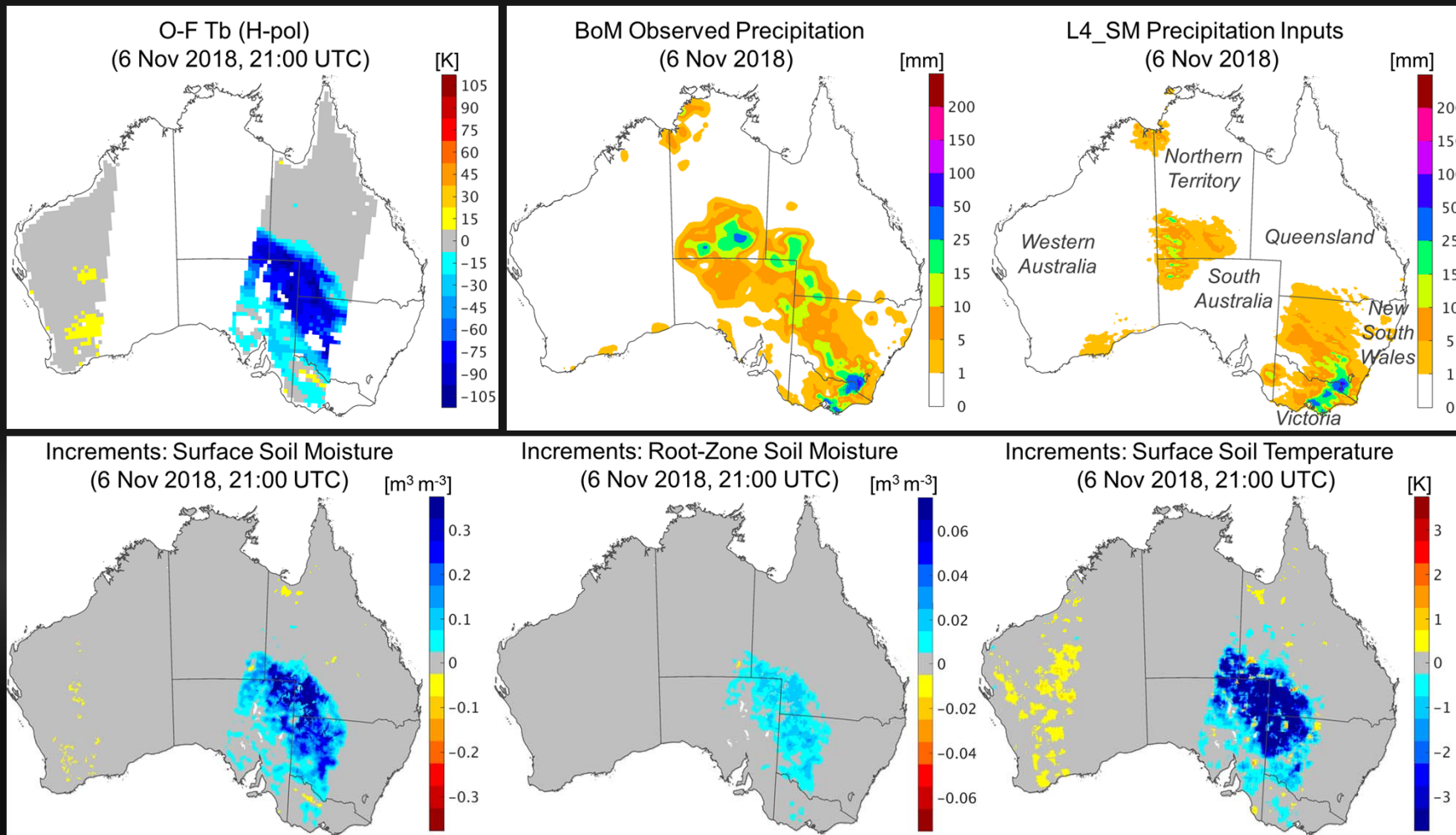
# L4\_SM Monitoring (Nov 2018, Vv4030)



RMS(O-F) > 20 K:  
21z on 6 Nov 2018  
21z on 20 Nov 2018

System prevents operators from exporting L4\_SM data until approved by system engineer or scientist.

# Precipitation Errors in Australia (6 Nov 2018, 21z)



A similar case for May 8, 2016 is discussed in Reichle et al. 2017 [doi:10.1175/JHM-D-17-0130.1](https://doi.org/10.1175/JHM-D-17-0130.1)

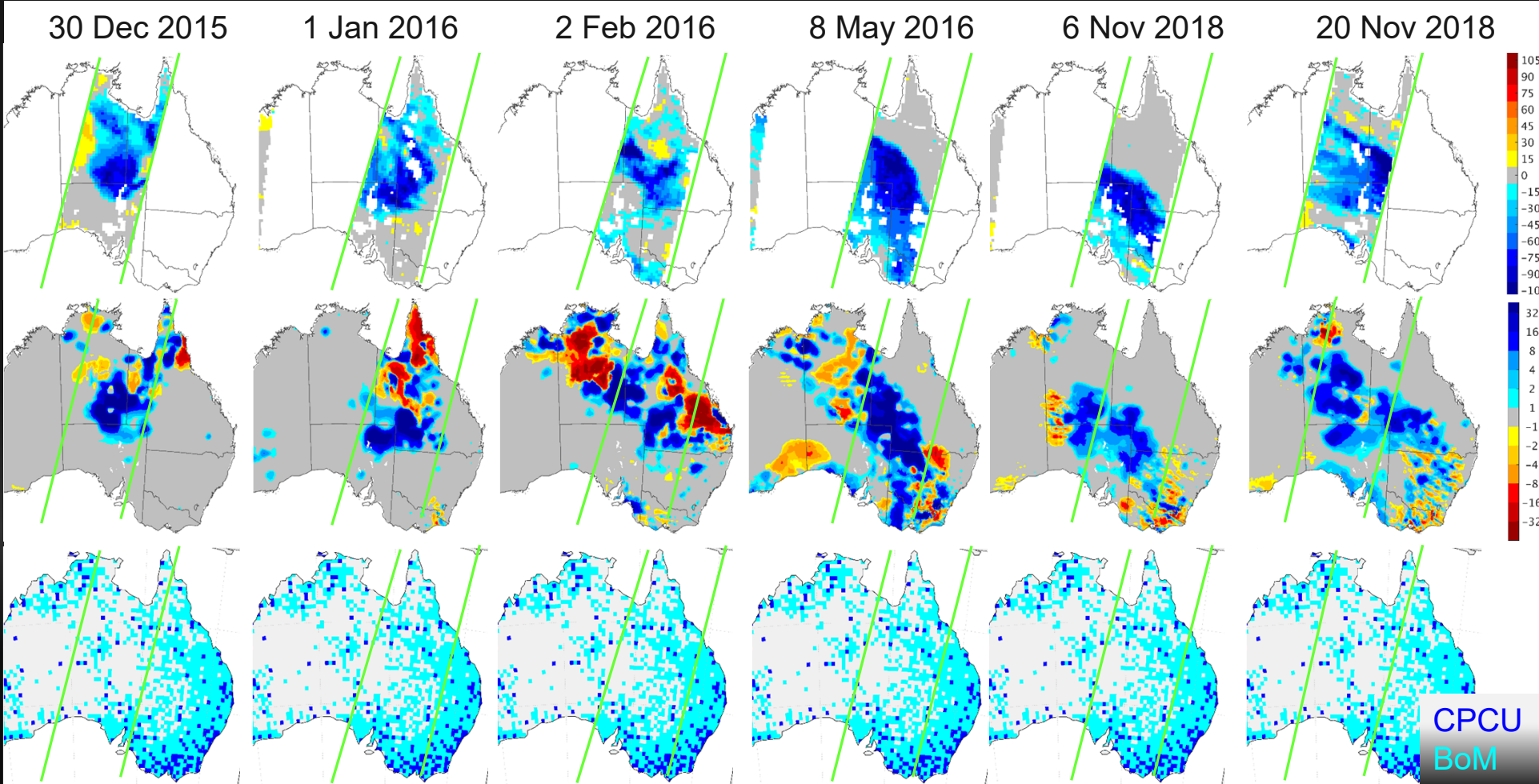
# Events with $\text{std-dev}(\text{O-F}) > 20 \text{ K}$ (through Dec 2018)



O-F Tb  
(H-pol)  
[K]

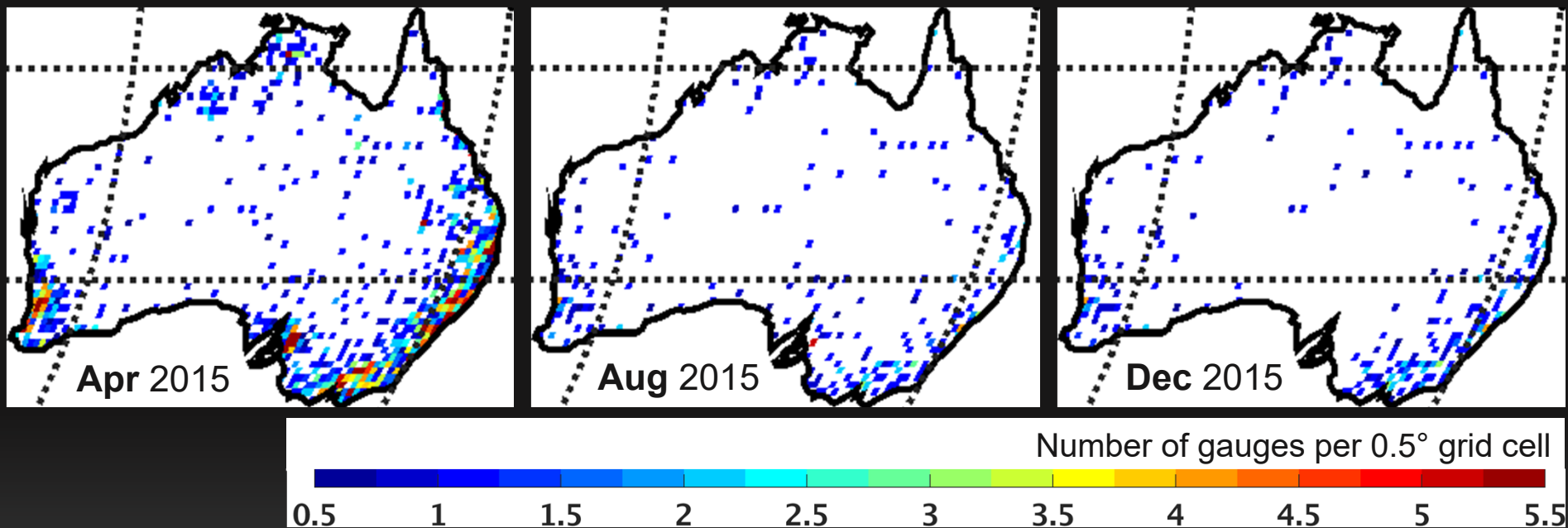
BoM  
minus  
L4\_SM  
precip  
[mm]

Precip  
gauges  
(8/2015-  
7/2018)



CPCU  
BoM

## Disappearing CPCU Gauges



During the first few months of SMAP, there was a considerable drop in the number of gauges that contribute to the CPCU product.

Subsequent analysis is for Aug 2015 to Jul 2018.

# From Case Study to Systematic Investigation

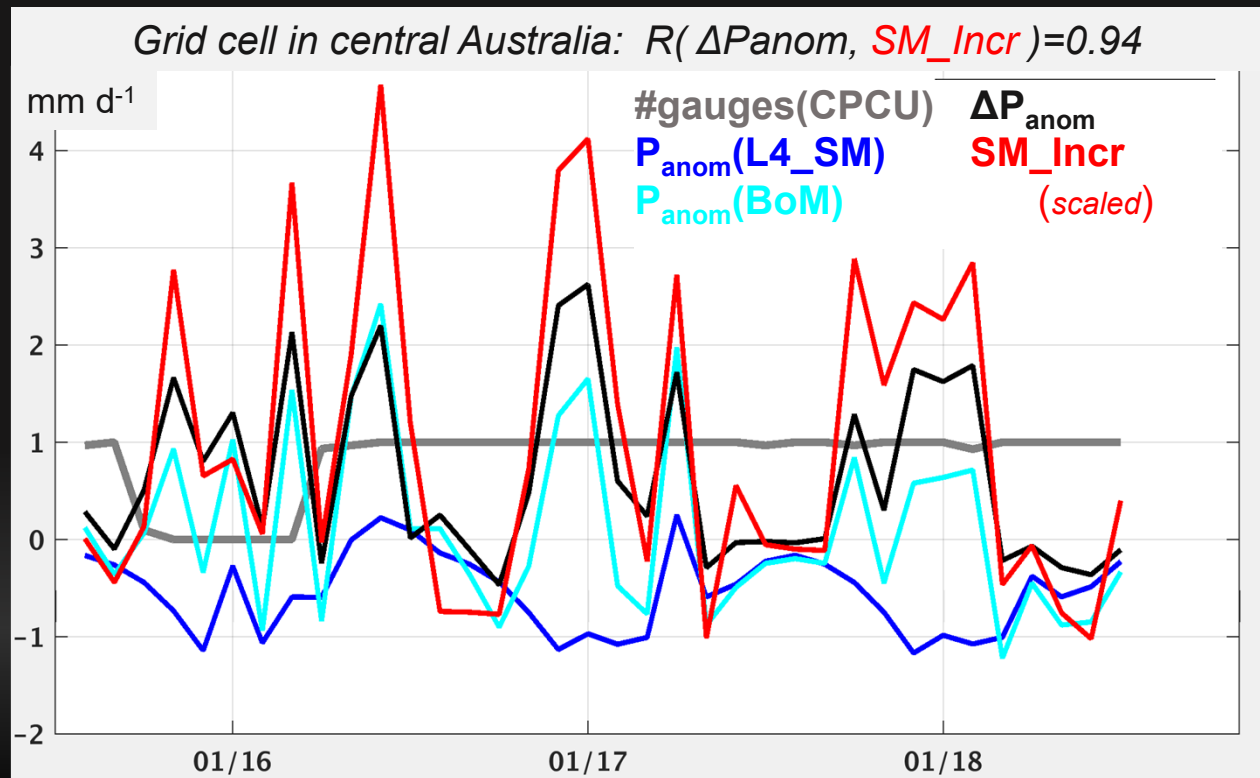
## Objective:

Quantitatively relate soil moisture analysis increments to precip errors.

## Assuming that

- 1) BoM precip is correct and L4\_SM precip is wrong,
- 2) soil moisture errors result *only* from precip errors, and
- 3) seasonally varying *climatological* bias in L4\_SM precip does *not* result in soil water increments (b/c of L4\_SM calibration):

→ L4\_SM soil moisture increments should be correlated with errors in L4\_SM precip *anomalies* (w.r.t. BoM).





# From Case Study to Systematic Investigation



## Objective:

Quantitatively relate soil moisture analysis increments to precip errors.

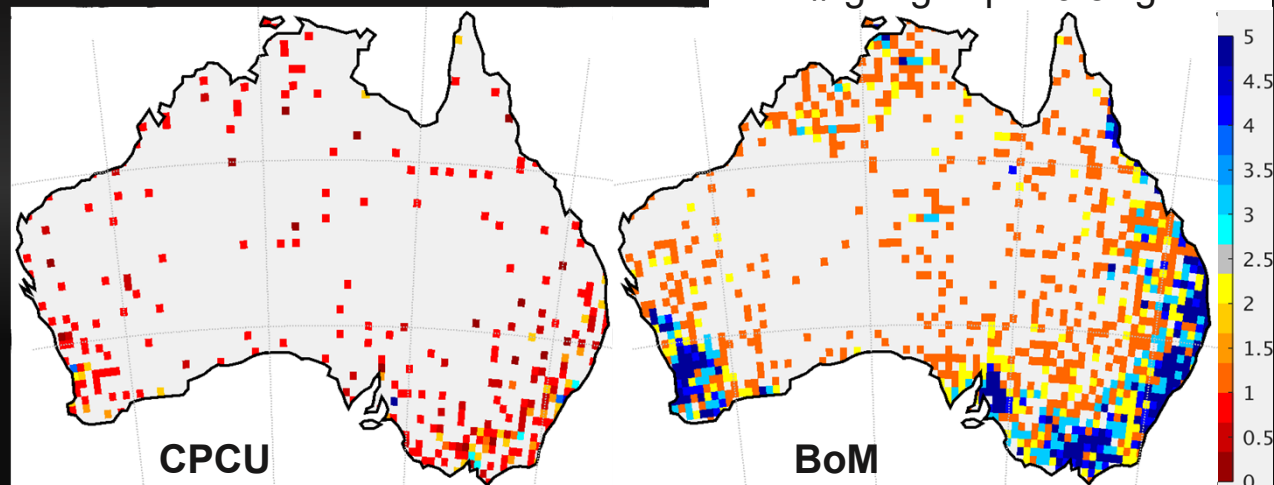
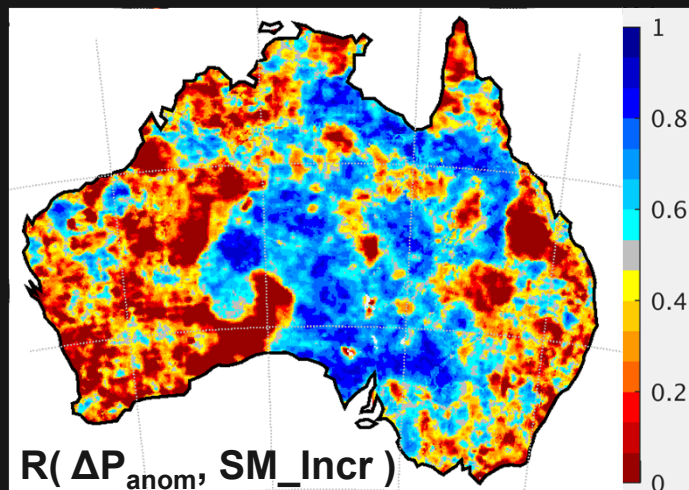
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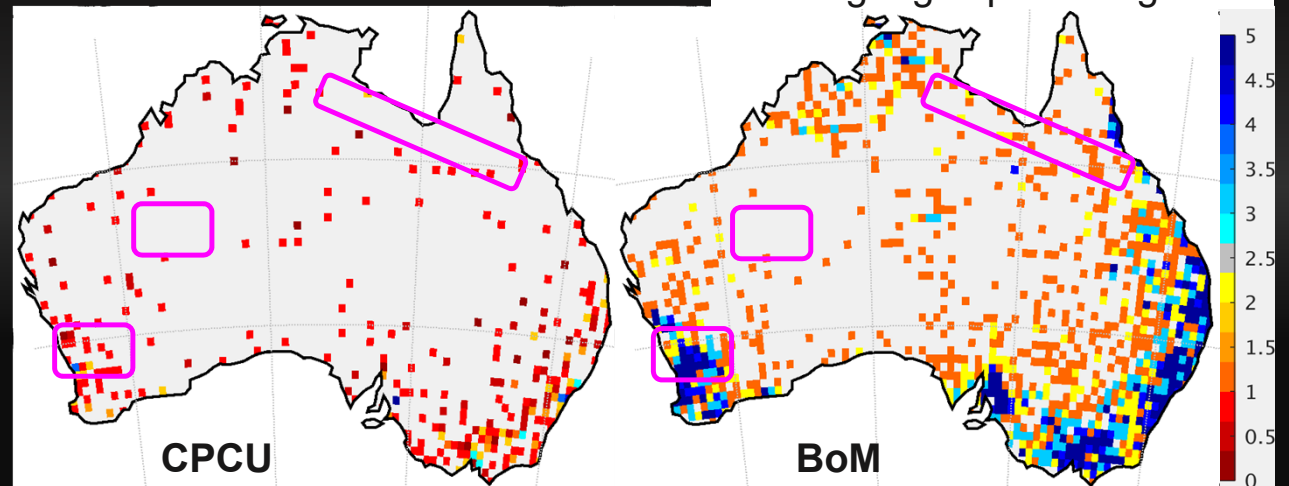
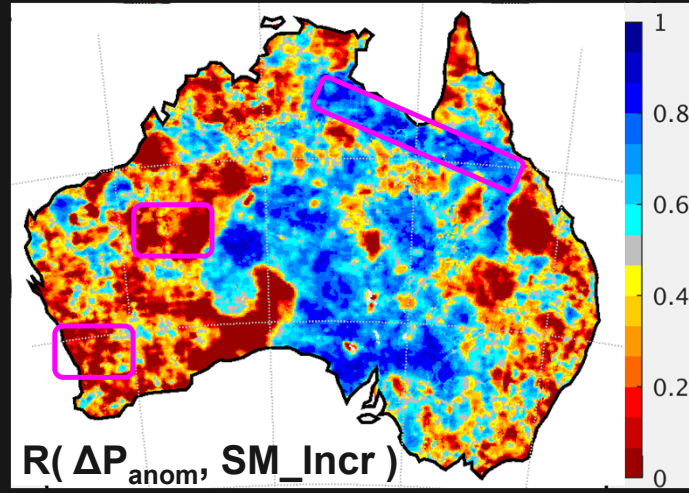
→ L4\_SM soil water increments should be correlated with errors in L4\_SM precip *anomalies* (w.r.t. BoM).



## From Case Study to Systematic Investigation

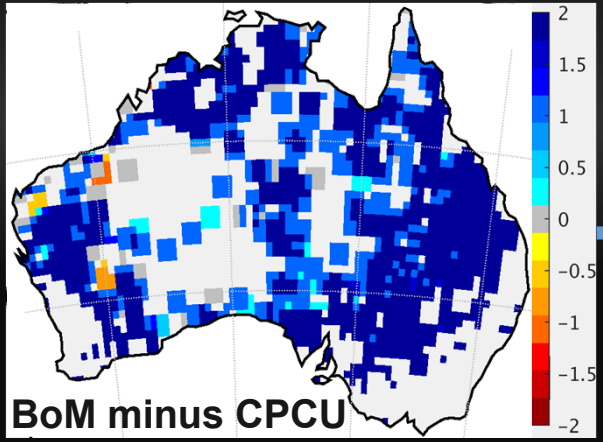
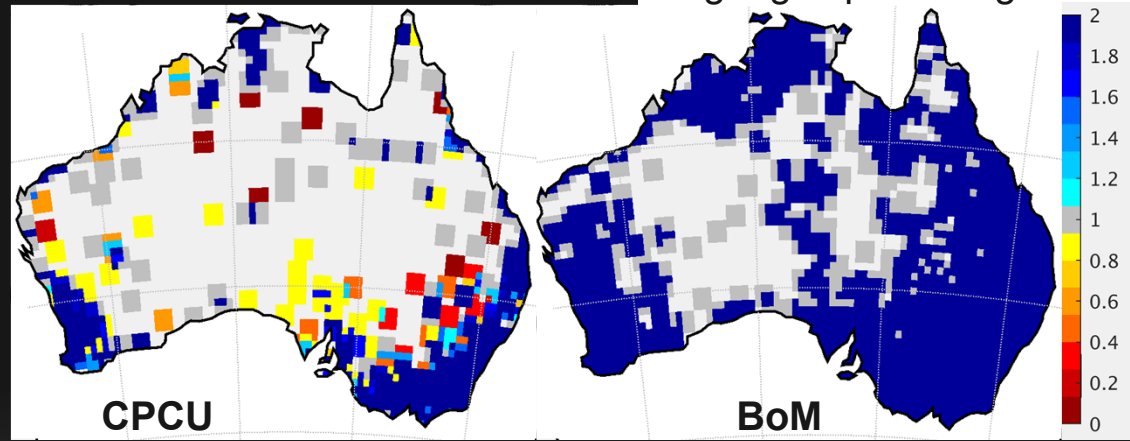
Expect **high** correlation where BoM has good gauge coverage and CPCU has little or none.

Expect **low** correlation where both CPCU and BoM have sufficient gauges or both do not have gauges.



# How Can We Explain the Correlation Pattern?

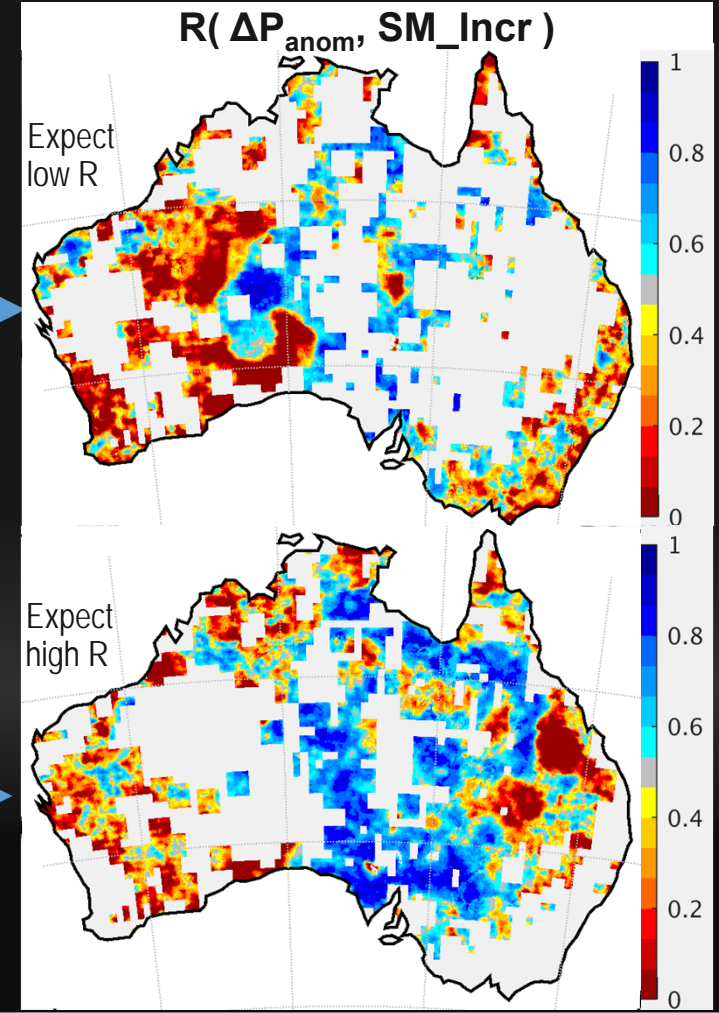
Can we use gauge density to determine whether correlation is high or low? **Not really...** ☹️



Gauge density difference

<0.5

>0.5



## How Can We Explain the Correlation Pattern?

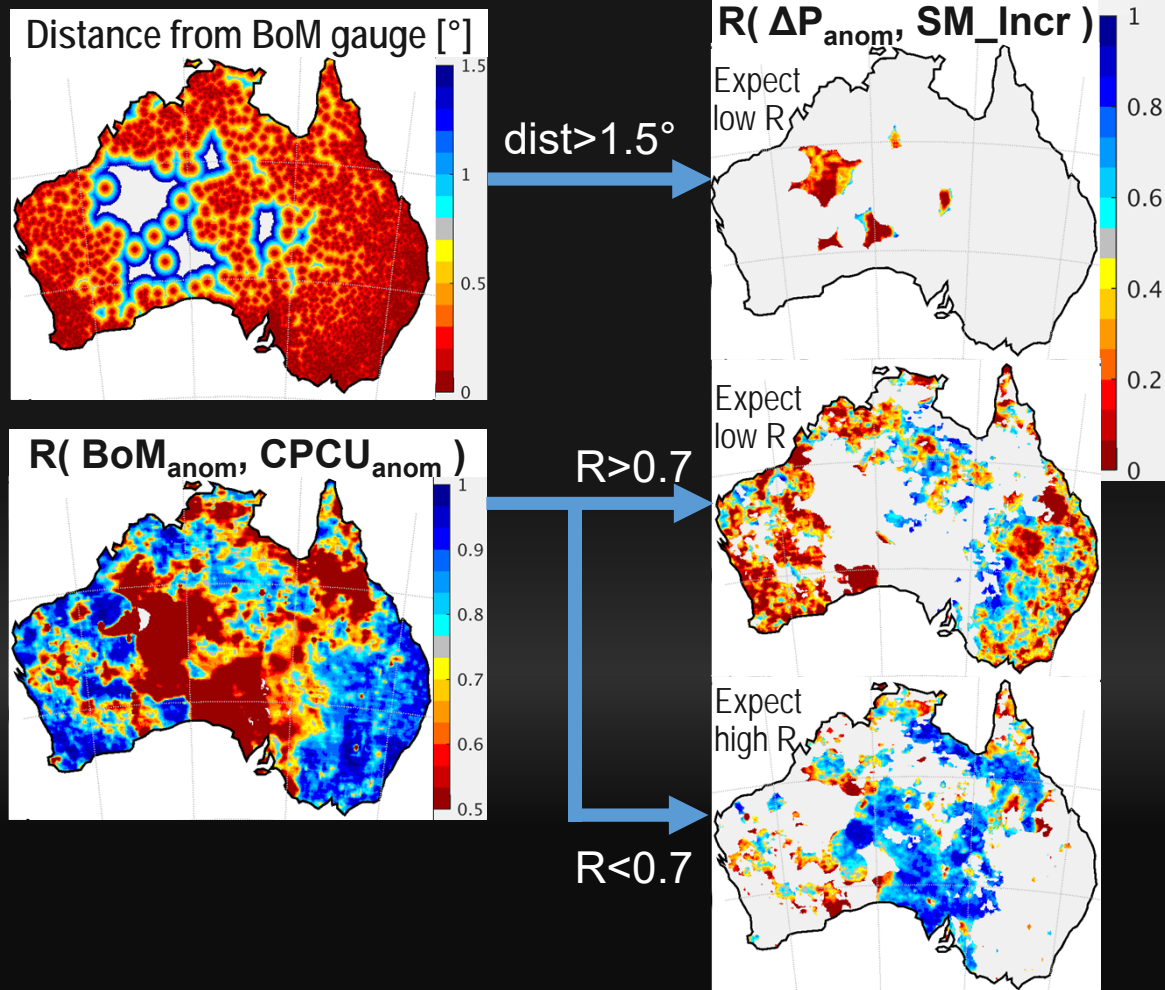
### New approach:

- BoM precipitation is bad if distance from nearest gauge  $> 1.5^\circ$

- L4\_SM precipitation is ok where there is agreement with BoM:

$$R(\text{BoM}_{\text{anom}}, \text{CPCU}_{\text{anom}}) > 0.7$$

→ SMAP soil moisture analysis increments are consistent with known errors in L4\_SM precipitation forcing.



# Evaluating L4\_SM Using ASCAT Soil Moisture Retrievals



- Triple collocation (TC) can estimate the (anomaly) skill of a soil moisture product (w.r.t. unknown truth), provided two independent products are available.

Typical triplet: Model / Passive / Active

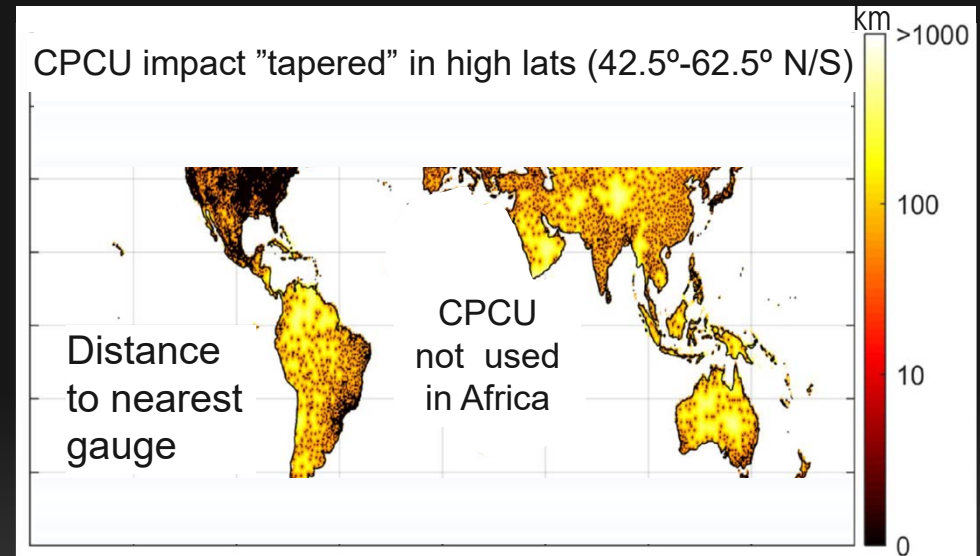
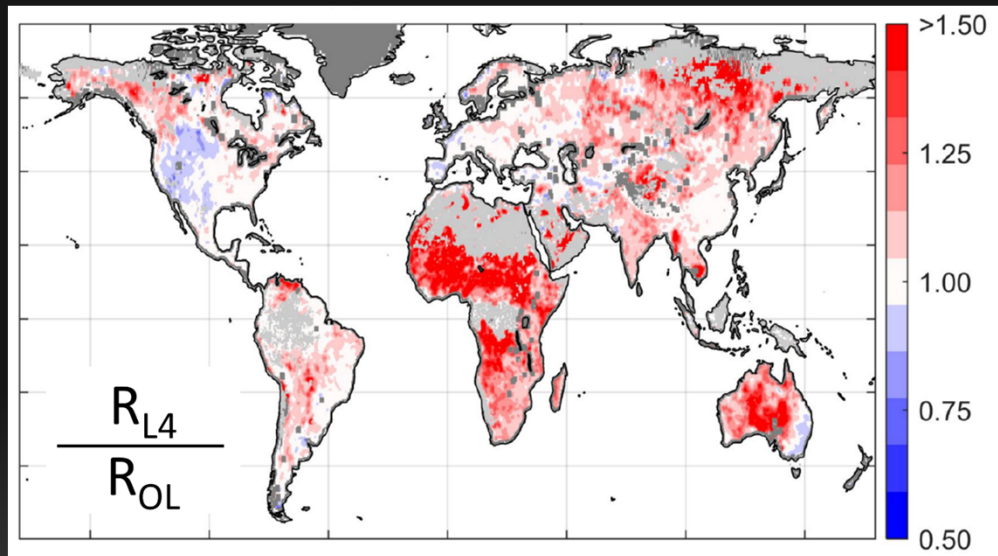
- However, L4\_SM merges modeling and passive microwave observations.
- Dong et al. (2019), GRL, introduced a method to compute skill improvement using only one independent product (e.g., ASCAT):

$$R\_ratio \quad \equiv \quad R_{L4,\theta} / R_{OL,\theta} \quad (\text{ratio of L4 and OL skill vs. truth } \theta)$$

$$(\text{after some math}) = R_{L4,ASC} / R_{OL,ASC} \quad (\text{ratio of L4 and OL skill vs. ASCAT})$$

where R is the anomaly correlation coefficient and OL is a model-only simulation.

# Skill Improvement from SMAP Data Assimilation



Greatest skill improvement from SMAP assimilation in otherwise data-sparse regions.

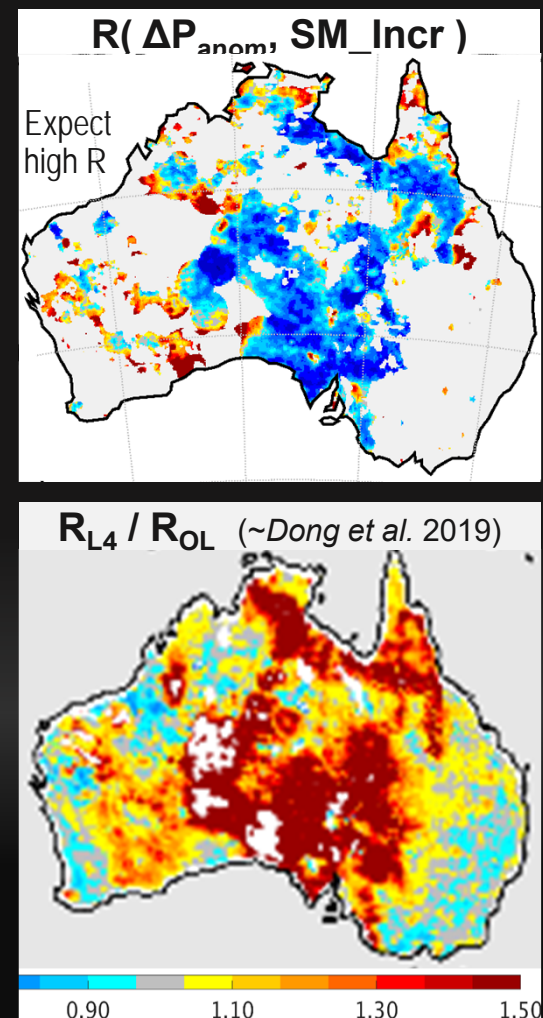
Verification with in situ measurements suggests that ASCAT-based metric underestimates true skill improvement (not shown).

## Summary

Using independent BoM precipitation data, we find that SMAP assimilation corrects known errors in L4\_SM precipitation forcing in Australia.

Using independent ASCAT soil moisture retrievals, we find that soil moisture skill improvement from SMAP assimilation is greatest in otherwise data-sparse regions.

The patterns of corrections/improvements are highly consistent.

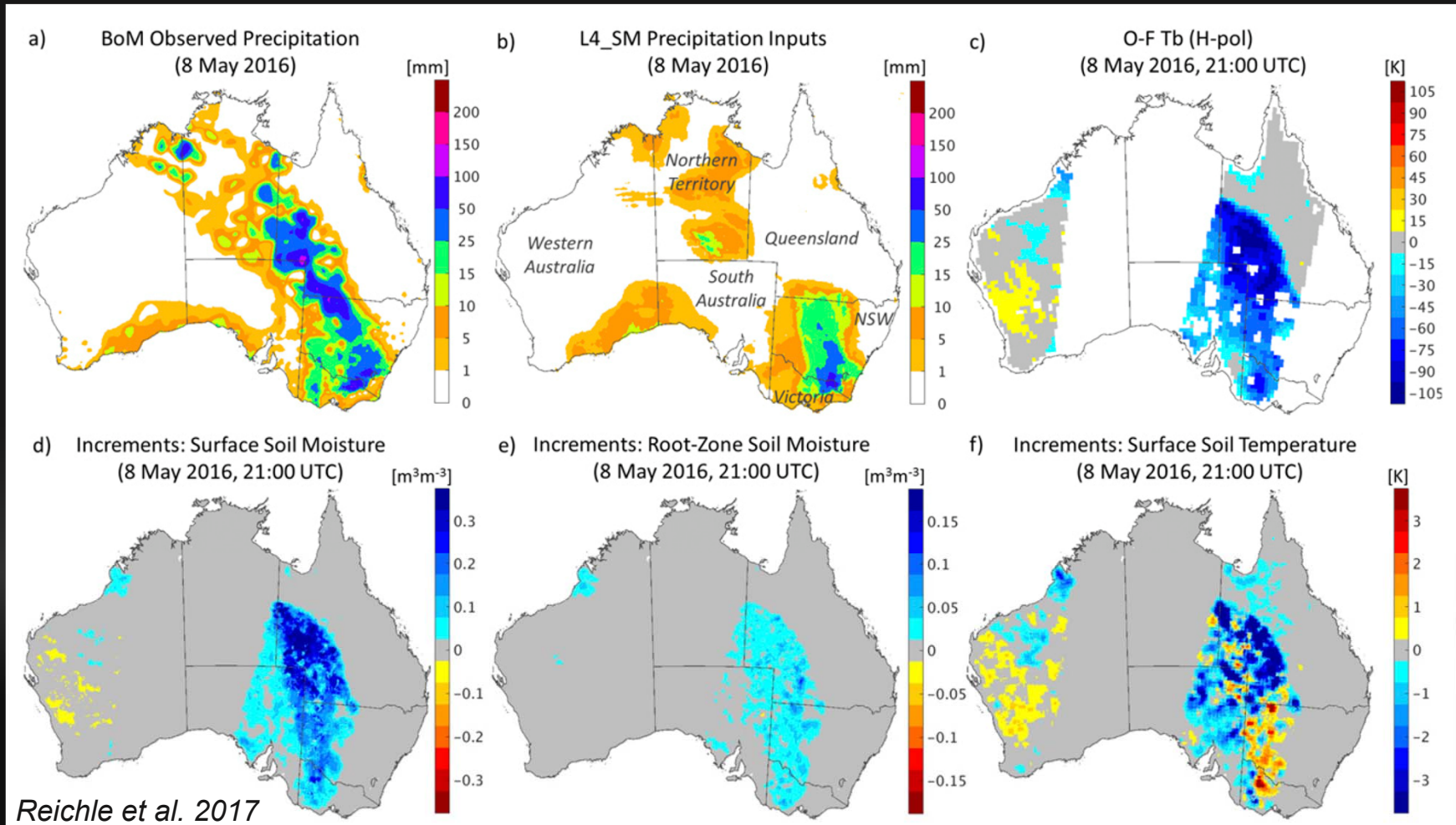




# EXTRA SLIDES



# Precipitation Errors in Australia (8 May 2016, 21z)



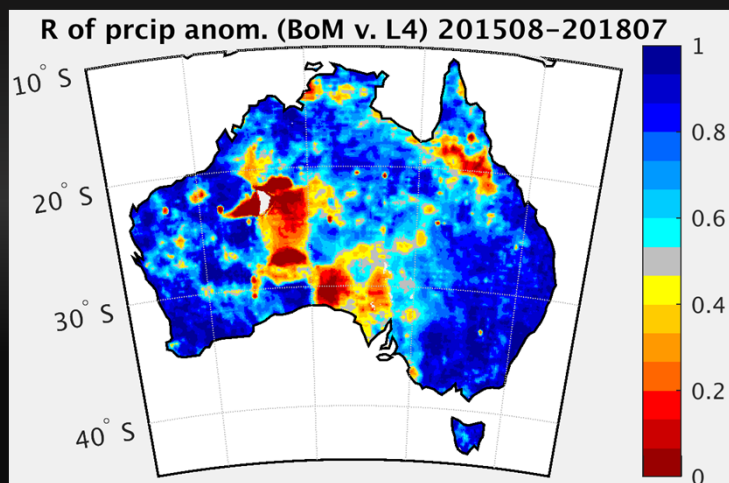
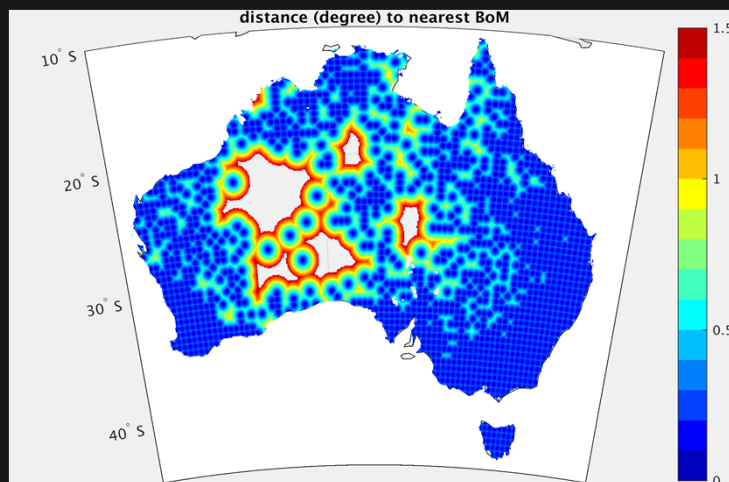
# From Case Study to Systematic Investigation



So far limited success.

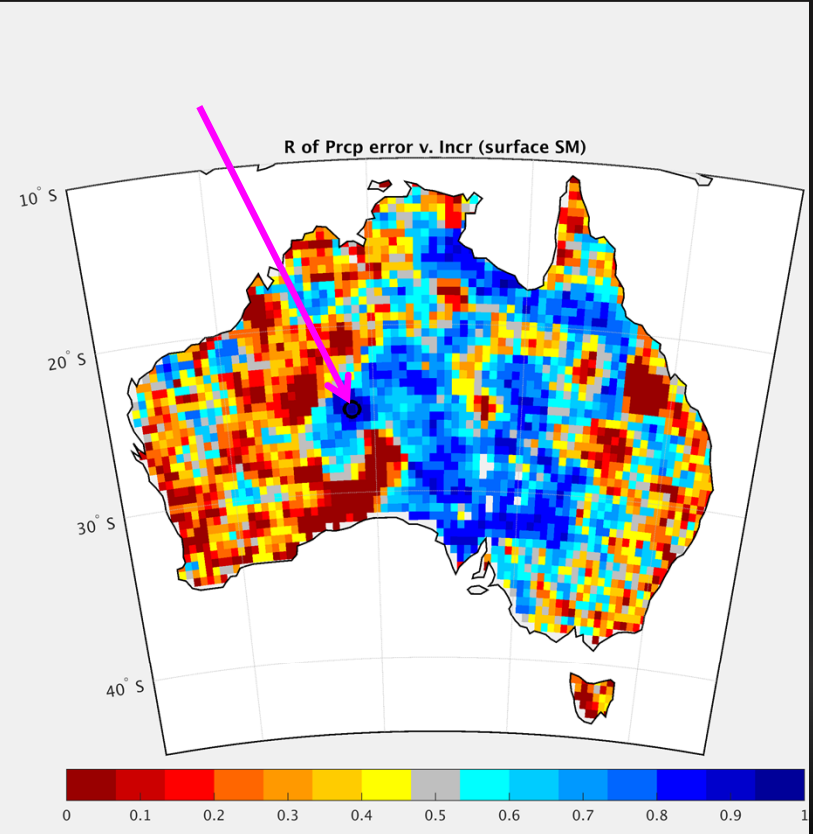
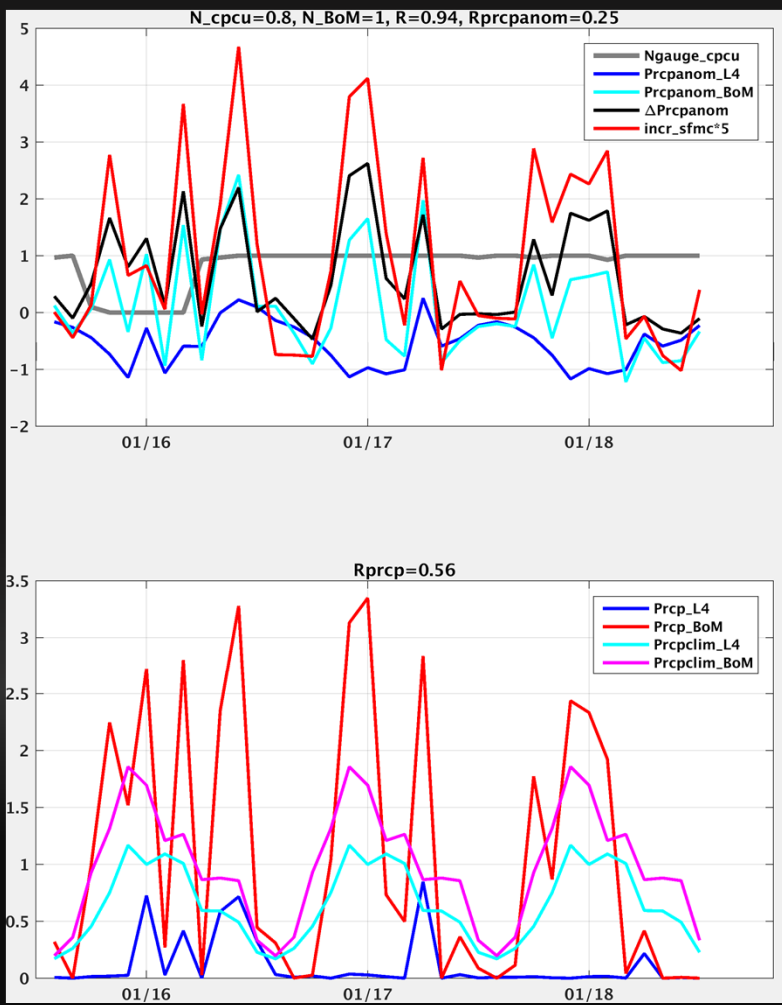
Other factors to consider:

- 1) Distance from nearest BoM gauge → weed out areas where BoM is not backed by gauges.
- 2) Agreement of BoM and CPCU precip → weed out areas where CPCU product is in agreement with BoM (i.e., reliable).



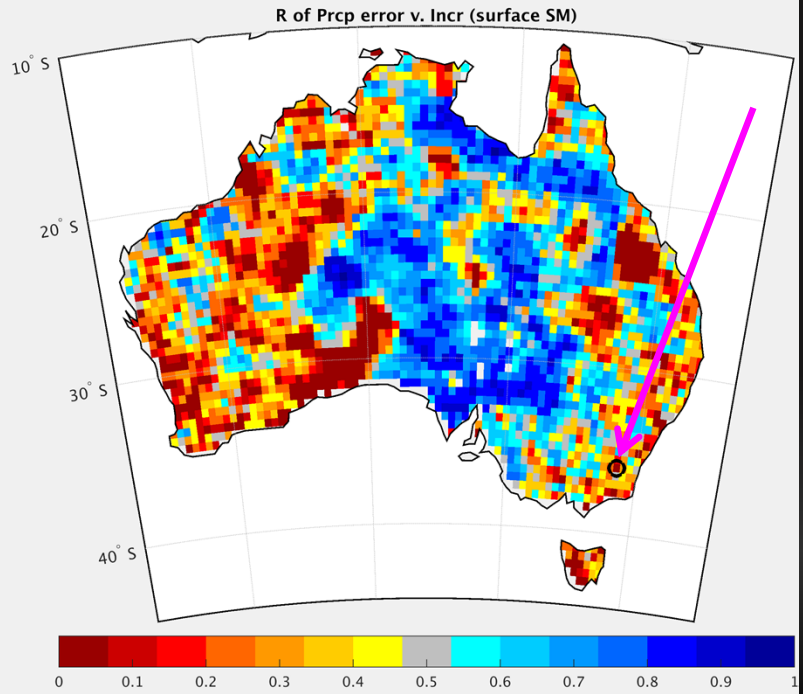
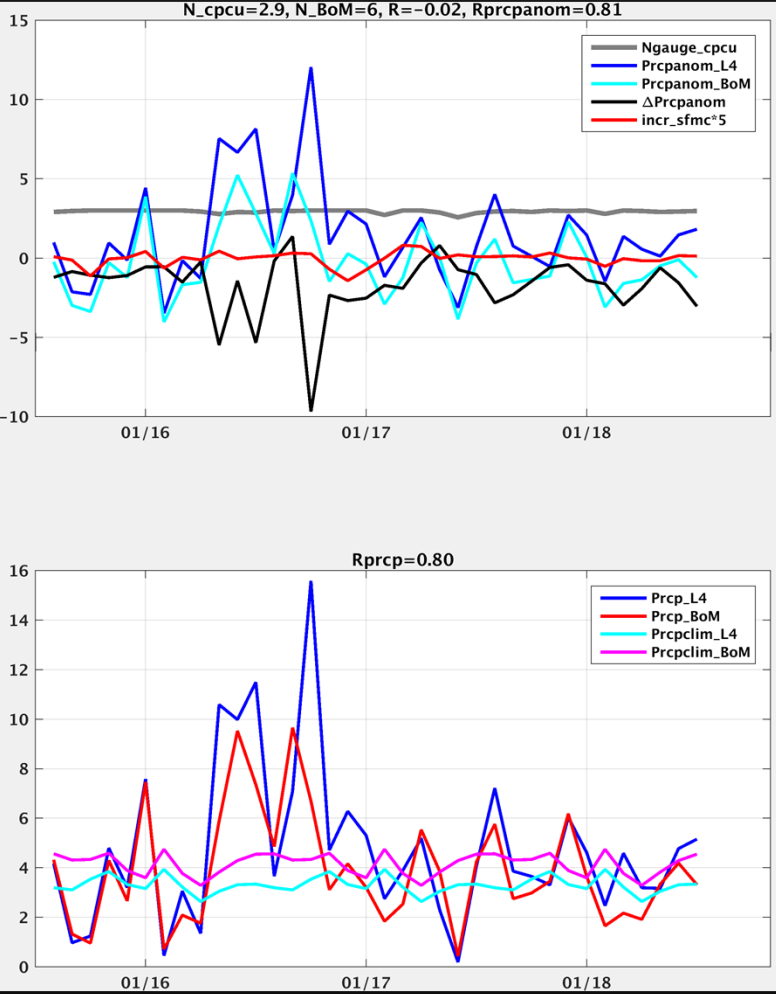


# Good BoM and Bad L4 Precipitation





# Good BoM and good L4 precip





# Bad BoM and bad L4 precip

