

# **Intro to High Spectral Resolution Infrared Satellite Measurements**

## **Part 1: Understanding the Spectra**

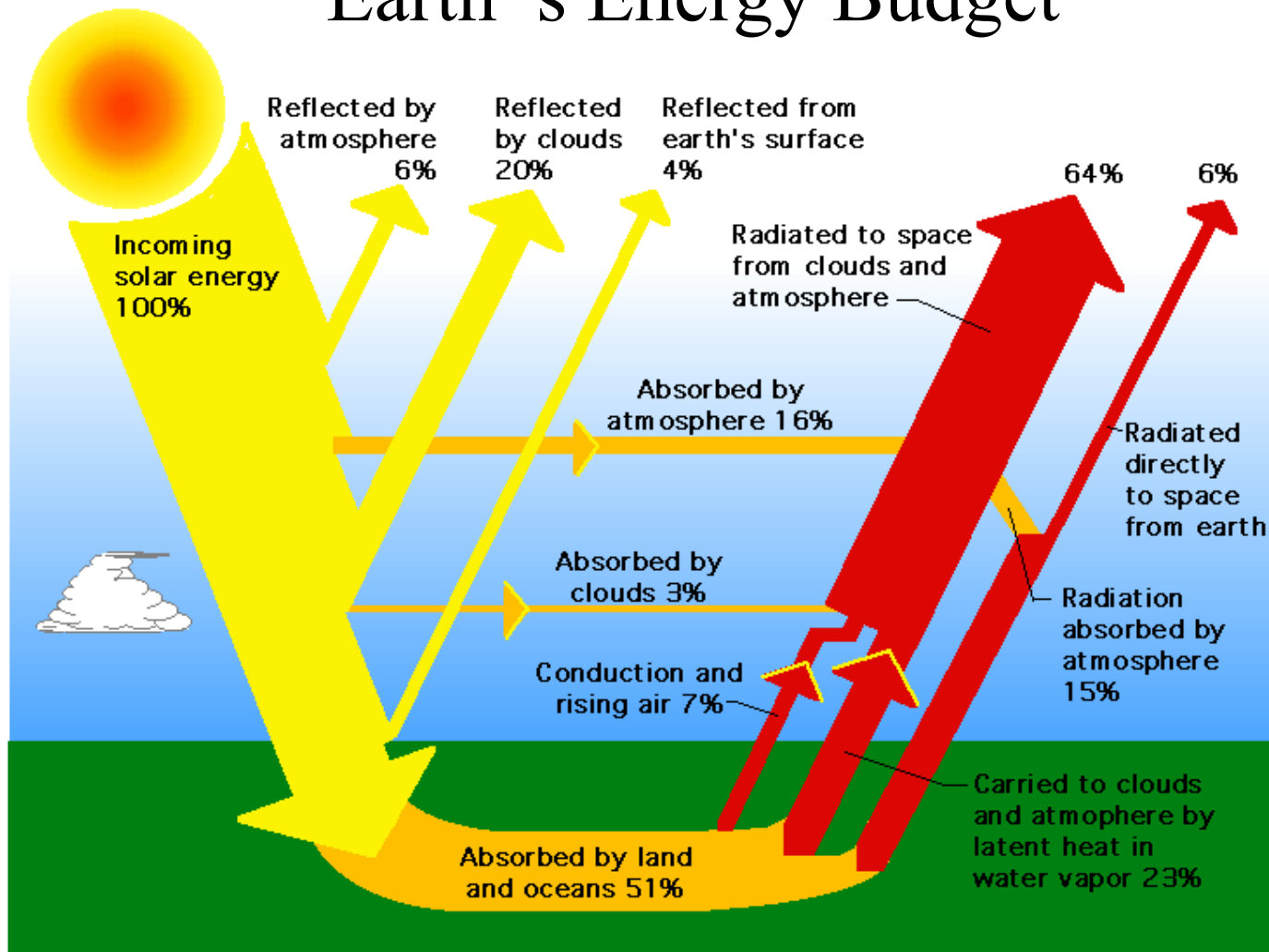
Hampton University

8 June 2017

Dave Tobin

UW/CIMSS/SSEC

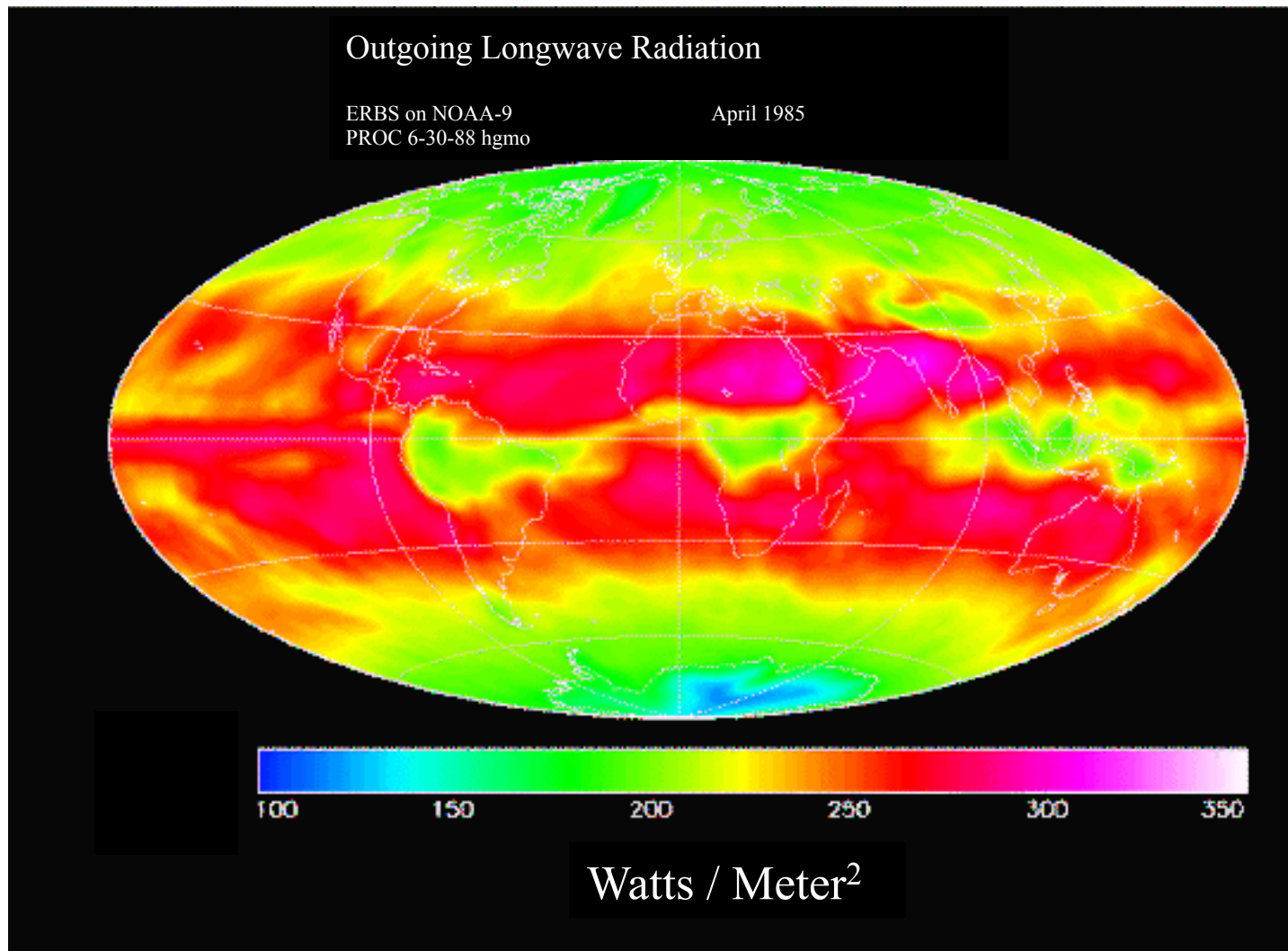
# Earth's Energy Budget



Balance between the incoming (solar) radiation and the outgoing (reflected solar and emitted infrared) radiation

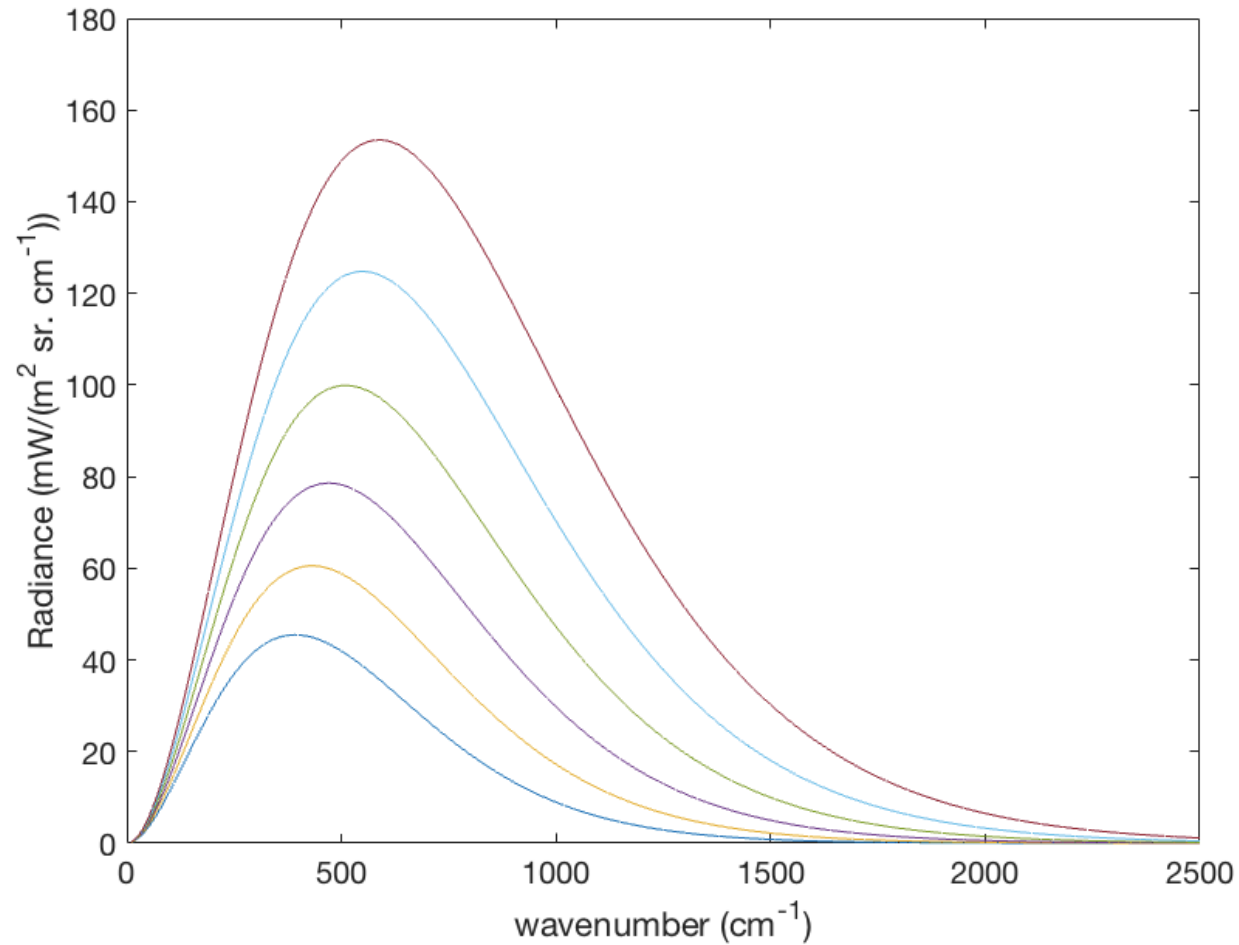
# Outgoing Longwave Radiation (OLR) example

Mean OLR observed by the Earth Radiation Budget Satellite (ERBS) for April 1985

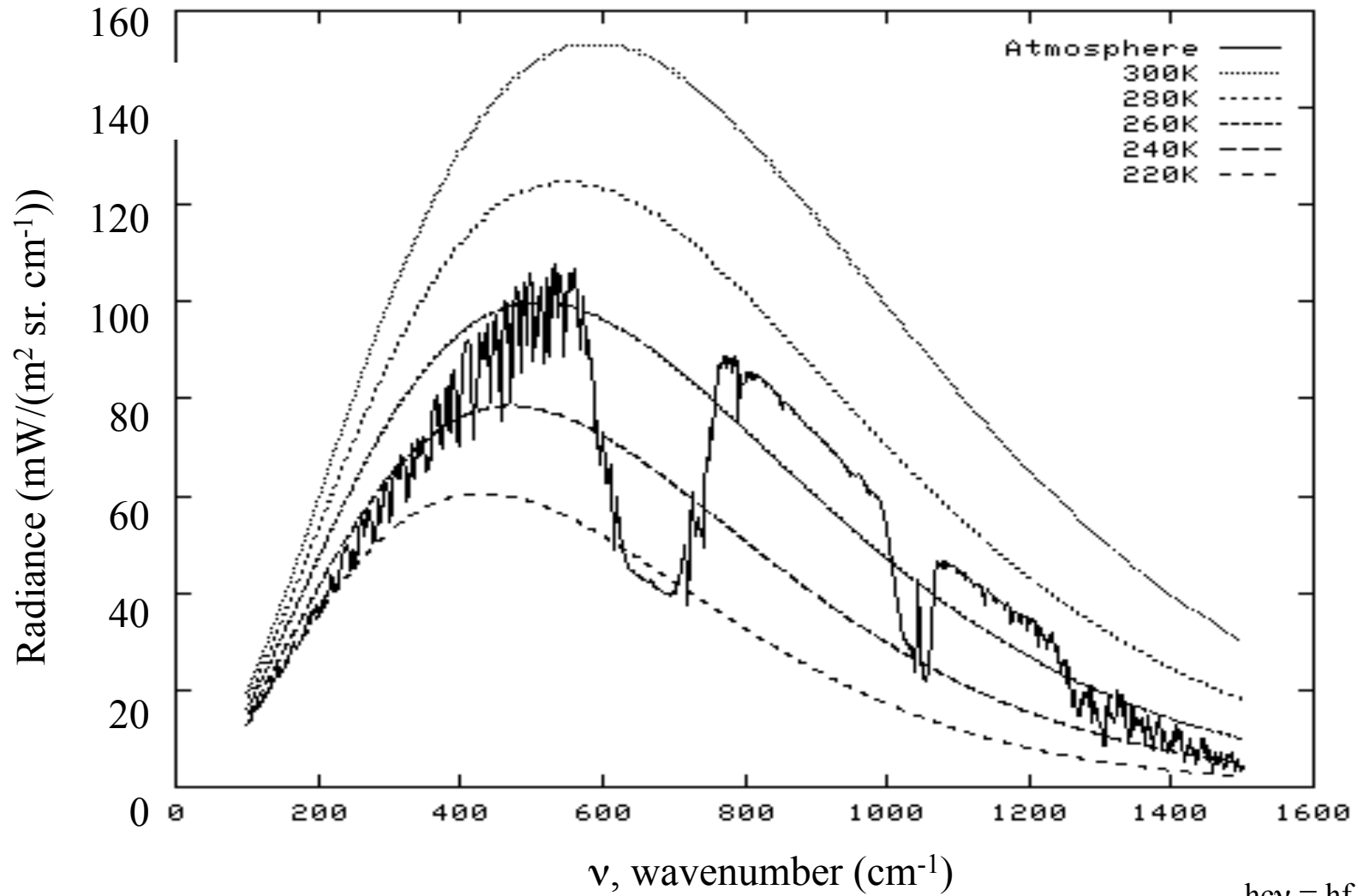


# Planck's Law of Blackbody Radiation

$$B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$$

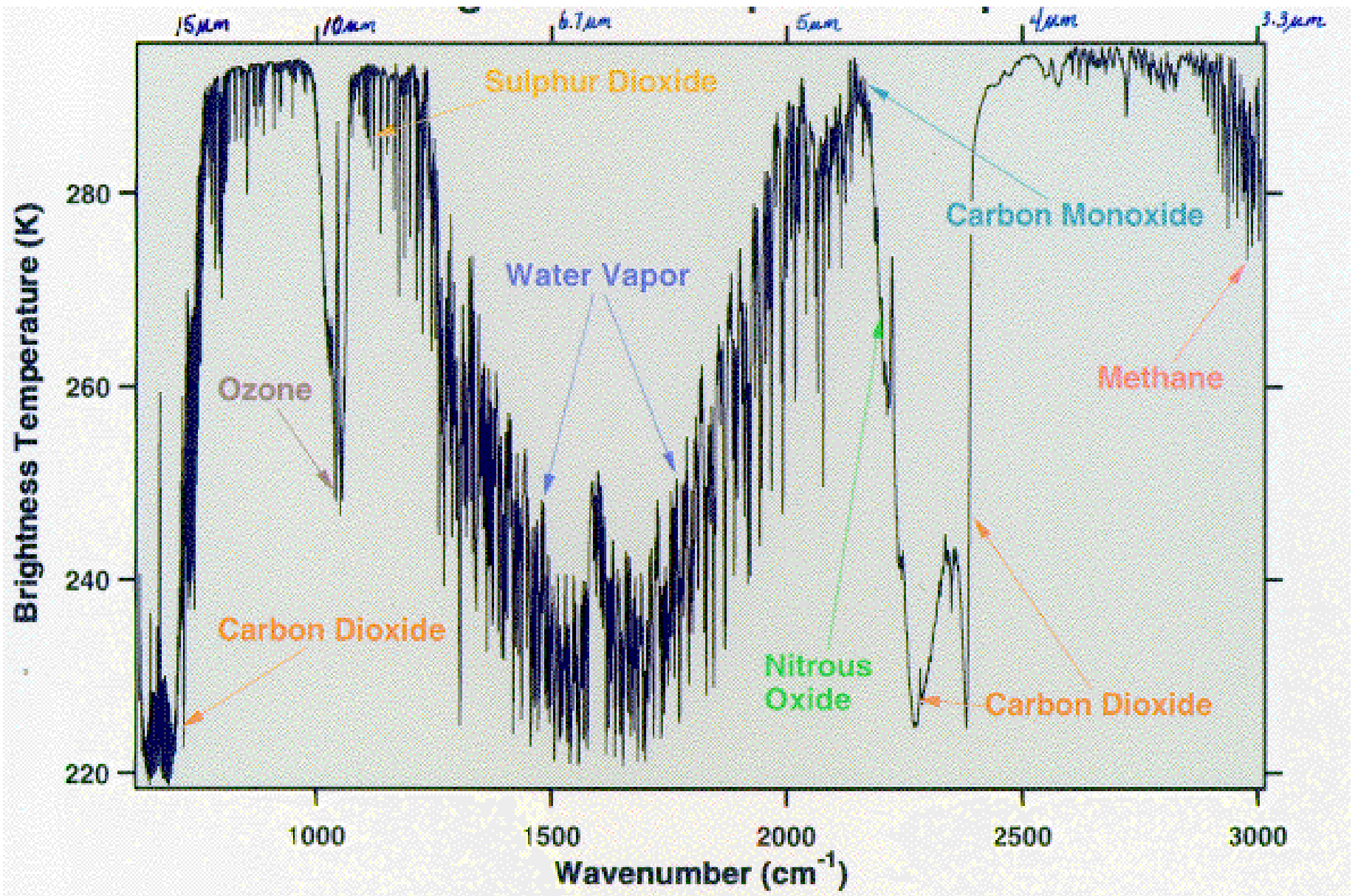


# Top-of-Atmosphere Radiance Spectrum, Clear sky example



$hc\nu = hf = hc/\lambda$   
 $\nu$ , wavenumber  
 $f$ , frequency  
 $\lambda$ , wavelength

# Top of Atmosphere Brightness Temperature Spectrum

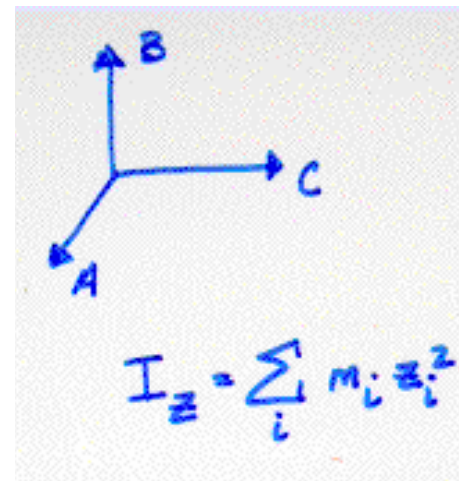
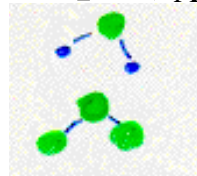
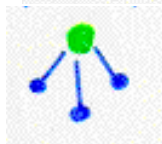
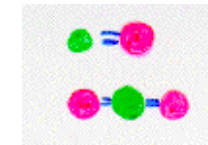


# Outline

- Molecular Spectroscopy
- Absorption and Emission
- Radiative Transfer
- Various Spectra and Spectral Signatures

## Types of molecules:

- Linear molecules ( $I_C = 0, I_A = I_B$ )
  - CO, diatomic
  - CO<sub>2</sub>, triatomic
- Symmetric tops ( $I_C \neq 0, I_A = I_B$ )
  - NH<sub>3</sub>
- Spherical symmetric tops ( $I_A = I_B = I_C$ )
  - CH<sub>4</sub>
- Asymmetric tops ( $I_A \neq I_B \neq I_C$ )
  - H<sub>2</sub>O
  - O<sub>3</sub>



$I_z$ , moments of inertia

The molecular structures define the allowable energy levels, which then determines the positions of the absorption lines in the spectrum:

$$h\nu = E_{\text{final}} - E_{\text{initial}} = \Delta E$$

Plank' s constant

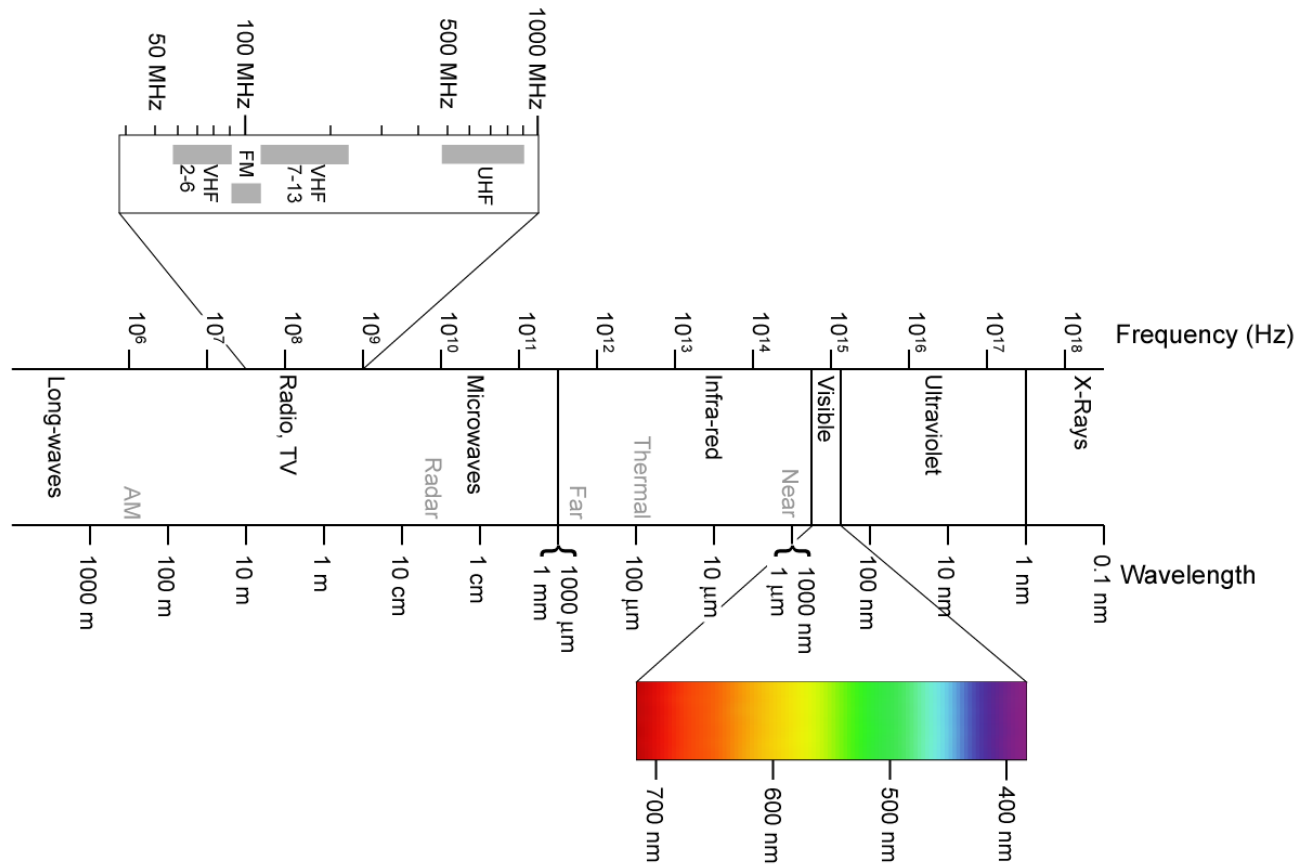
frequency of the absorbed and/or emitted light

Change in the molecules internal energy



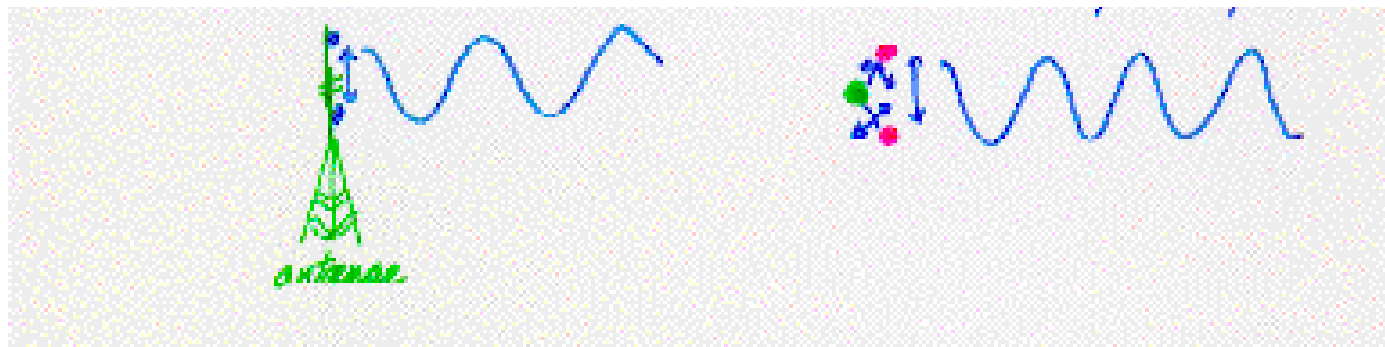
# Degrees of freedom:

- nuclear
  - electronic
  - vibration
  - rotation
  - kinetic
  - spin
- } relevant in the infrared



# Dipole Moments:

- There must be a change in the molecule's net charge distribution for light to be emitted/absorbed (Stephens, p 88)



Water vapor:



Large dipole moment in equilibrium configuration

Carbon Dioxide:

No dipole moment in equilibrium configuration, but ...



Vibration/rotation can induce a dipole



# Rotational Energy:

- Rigid Rotor Approximation
  - Classically:

$$\begin{aligned} \text{angular momentum} &= \vec{L} = I \vec{\omega} \\ &\quad \begin{array}{l} \text{moment} \\ \text{of inertia} \end{array} \quad \begin{array}{l} \text{angular} \\ \text{velocity} \end{array} \\ \text{rotational energy} &= E_r = \frac{\vec{L}^2}{2I} = \frac{1}{2} I \omega^2 \\ &\quad \text{+ } L \text{ is continuous +} \end{aligned}$$

- Quantum Mechanics:

$$\begin{aligned} \vec{L} &= \hbar \sqrt{J(J+1)} \quad \text{with } J = 0, 1, 2, 3, \dots \\ E_{r,J} &= B J(J+1) = B, 2B, 6B, 12B, \dots \\ &\quad \text{"rotational constant"} = \frac{\hbar^2}{8\pi^2 c I} \end{aligned}$$

- Non-Rigid Rotor (i.e. stretchy):

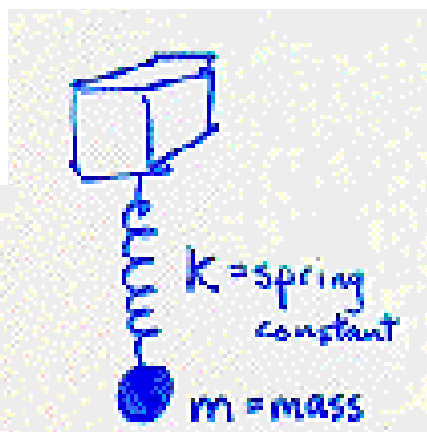
$$E_J = B J(J+1) - \underbrace{D [J(J+1)]^2}_{\text{centrifugal distortion term}} \quad \text{with } D \approx 4B^3/\omega^2$$

# Vibrational Energy:

- Classically:

$$\nu' = \text{resonant vibration frequency}$$

$$= \frac{1}{2\pi} \left( \frac{k}{m} \right)^{1/2}$$



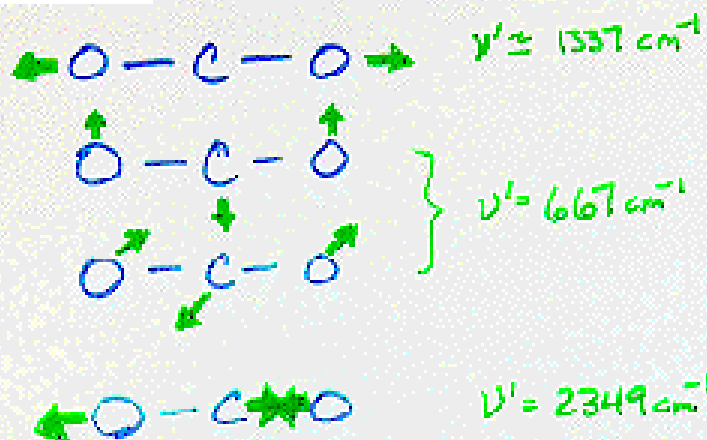
- CO2 example:

$$\nu' = \text{resonant frequency of a SHO}$$

$$= \left( \nu + \frac{1}{2} \right) \nu' \quad \text{with} \quad \nu = 0, 1, 2, 3 \dots$$

$$E_{\text{vib}} = h \nu = \left( \nu + \frac{1}{2} \right) h \nu'$$

## 4 vibrational modes



## Selection rules

not infrared active  
(no change in dipole)

$$\Delta J = 0, \pm 1$$

$$\Delta J = \pm 1$$

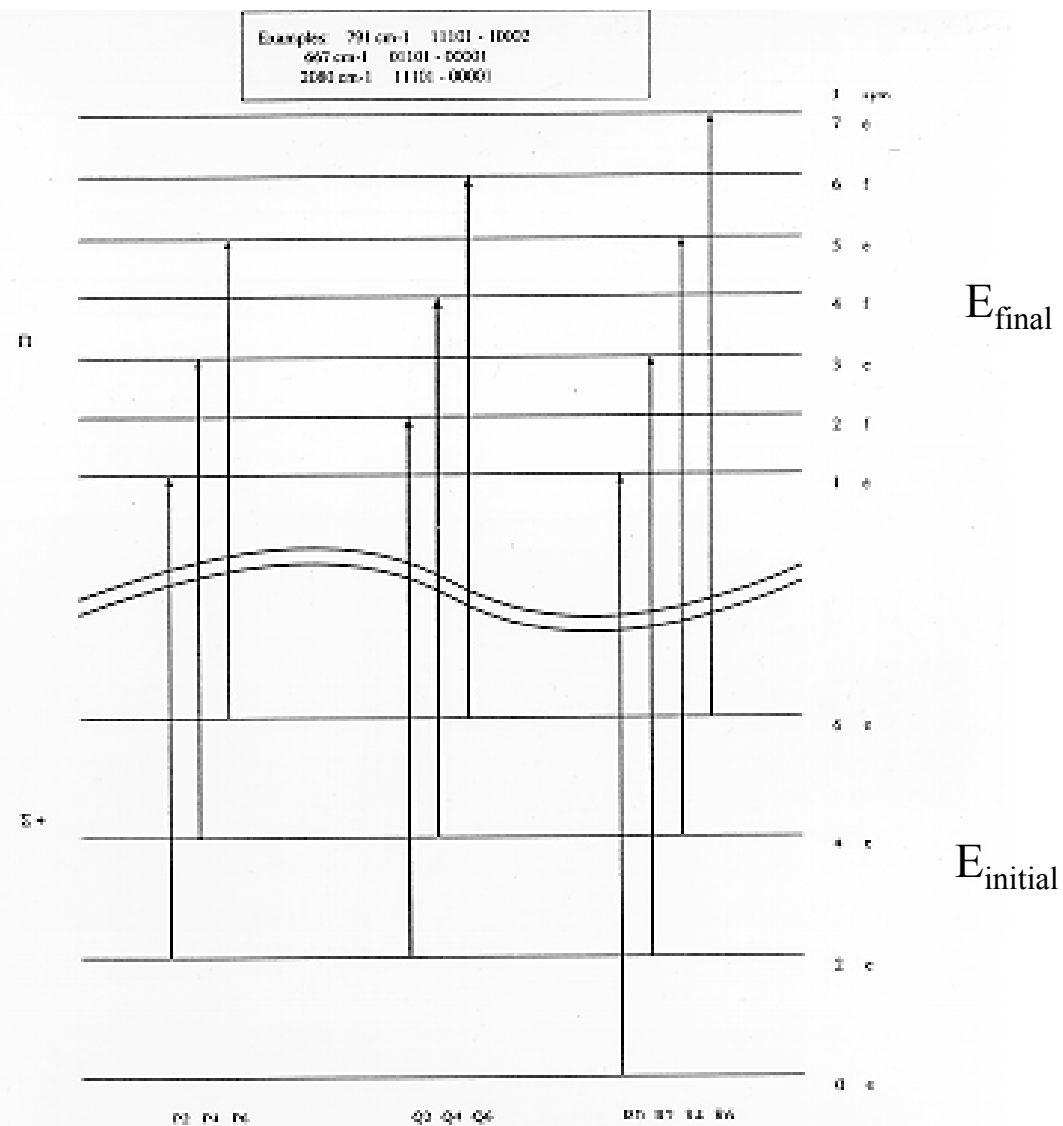
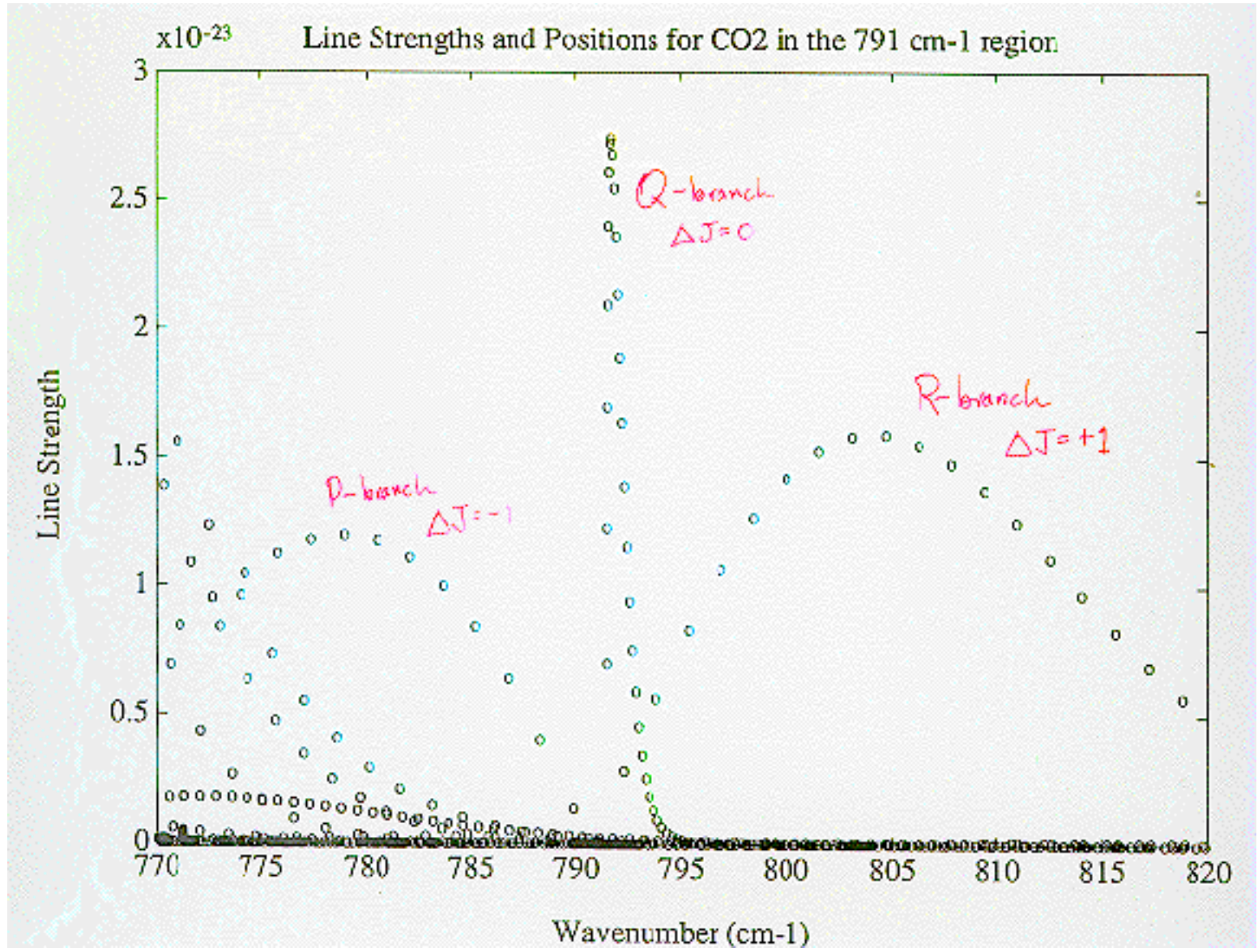


Figure A.6: The energy levels and transitions of a  $\Pi \rightarrow \Sigma$  transition. In the  $\Sigma$  state, there is no vibrational angular momentum ( $\ell = 0$ ) and therefore no  $\ell$ -type doubling. The vibrational wavefunction is symmetric so the only remaining rotational energy levels are those of even  $J$  values. In the  $\Pi$  state,  $\ell = 1$ , resulting in  $\ell$ -type doubling. Because the vibrational wavefunction is asymmetric, every symmetric  $\ell$ -type doubled level does not exist, leaving one rotational level for each  $J$  value. Note that there is no  $J = 0$  level because  $\ell = 1$ .



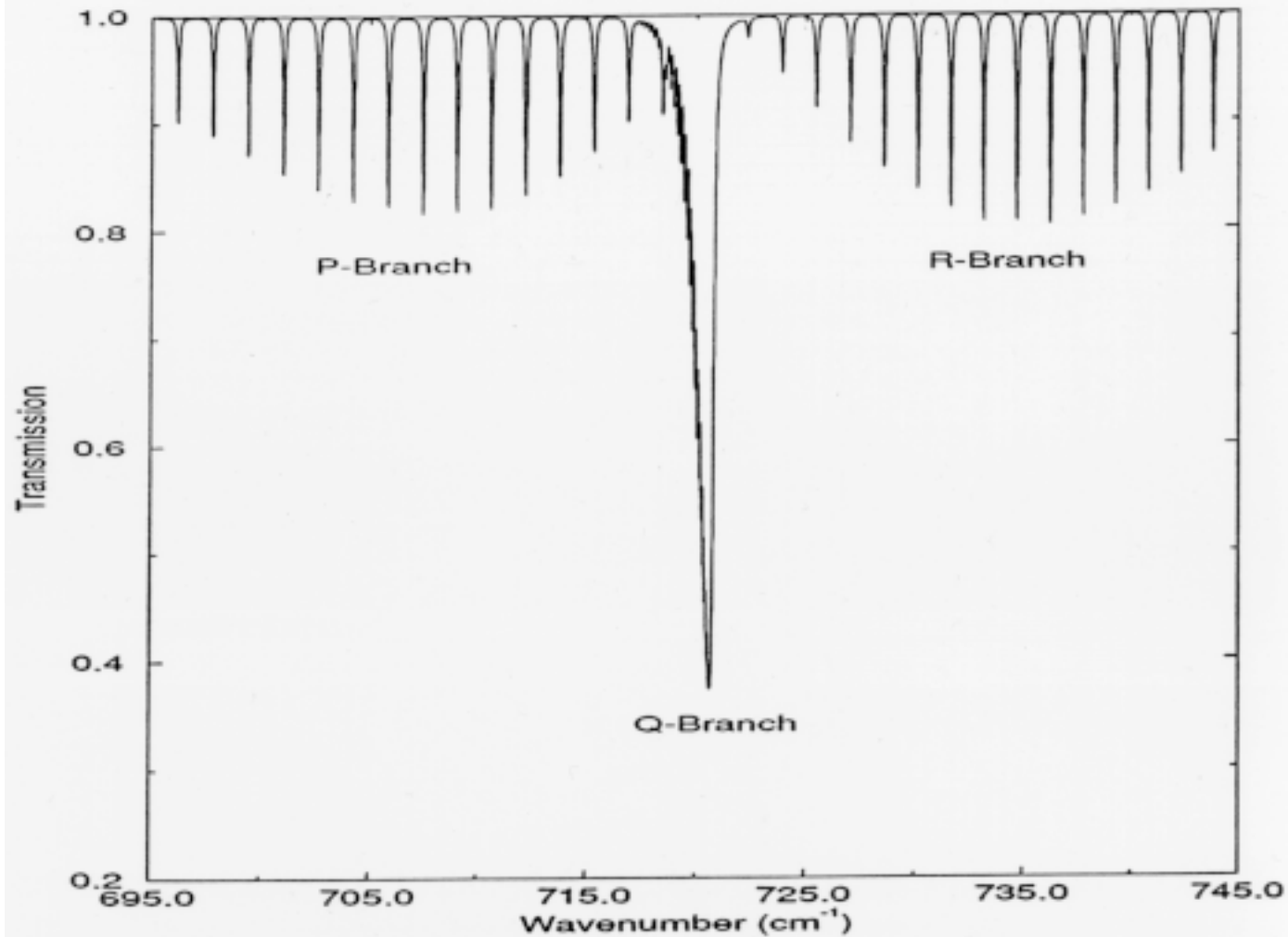
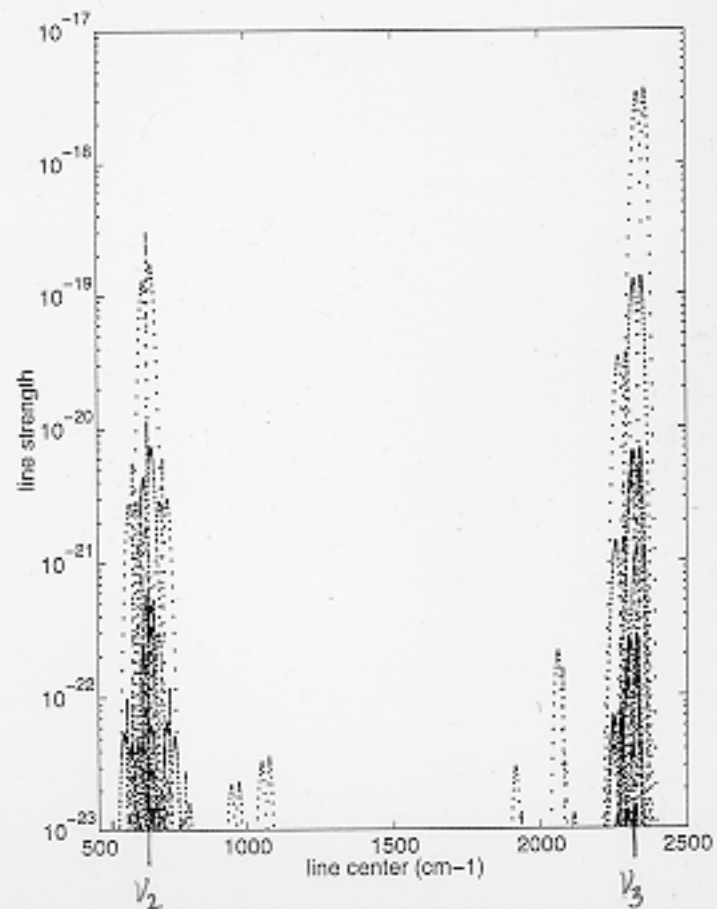
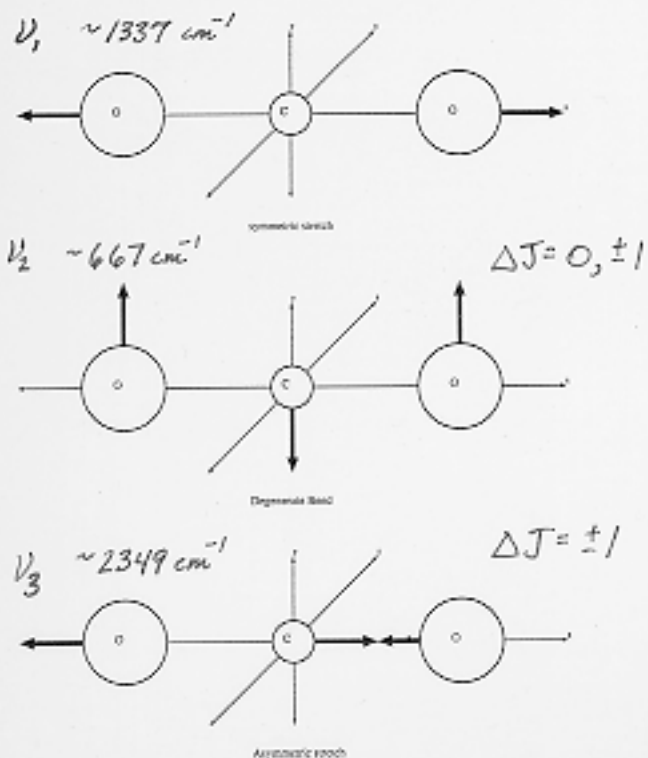


Figure 3: A Typical Perpendicular Band: the  $10001 \leftarrow 01101$  Transition. The P and R-branches are similar to those of a parallel band. The Q-branch is positioned at the vibrational frequency of  $720 \text{ cm}^{-1}$  and is not just one spectral line, but many positioned right next to each other.

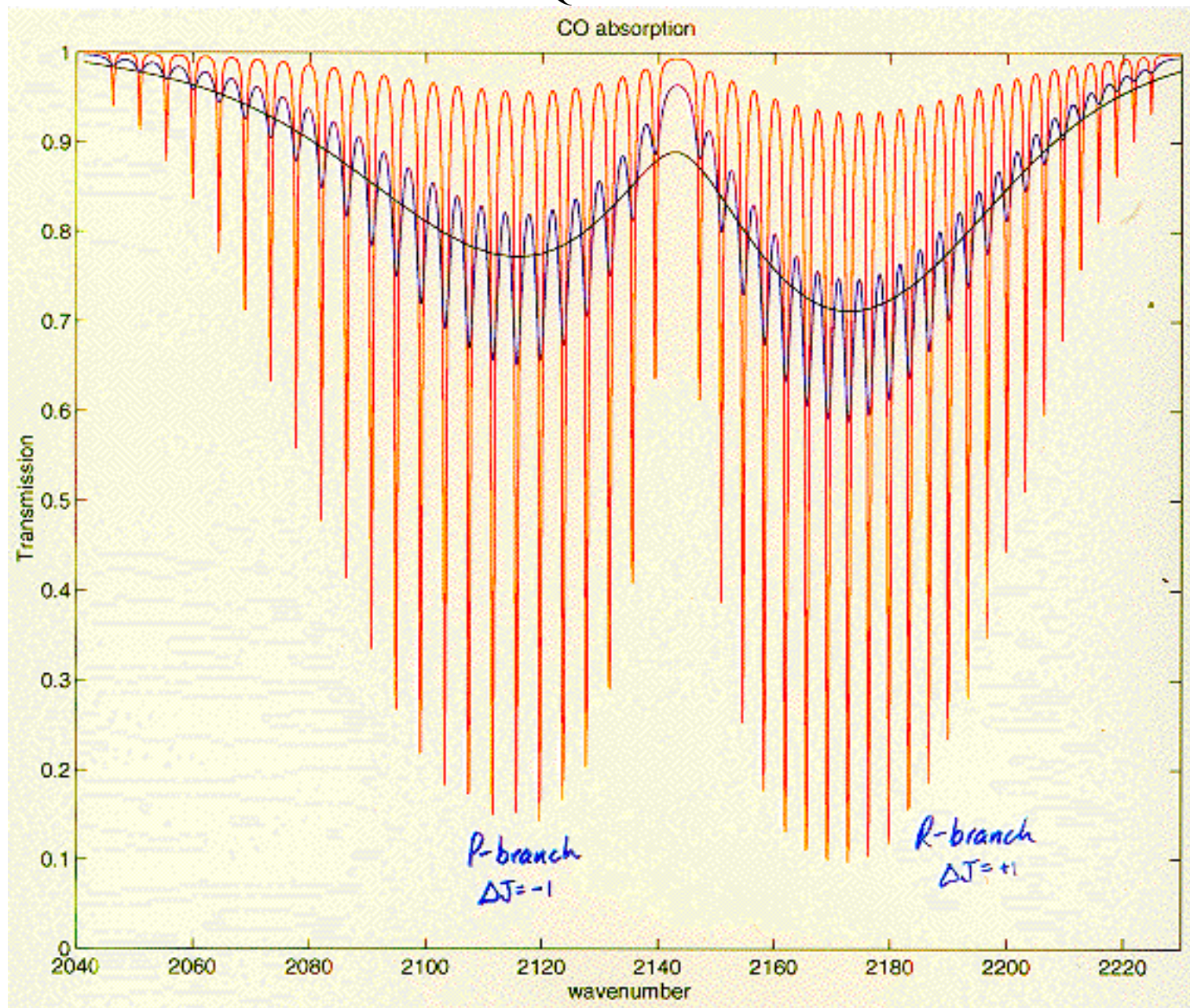
## CO<sub>2</sub> Vibration - Rotation Spectra

$$E(v, J) = \underbrace{hv\left(v + \frac{1}{2}\right) - xhv\left(v + \frac{1}{2}\right)^2 + \dots}_{\text{vibration}} + \underbrace{B_v[J(J+1) - \ell^2] - D_v[J(J+1) - \ell^2]^2 + \dots}_{\text{rotation}}$$





# CO: No Q-branch



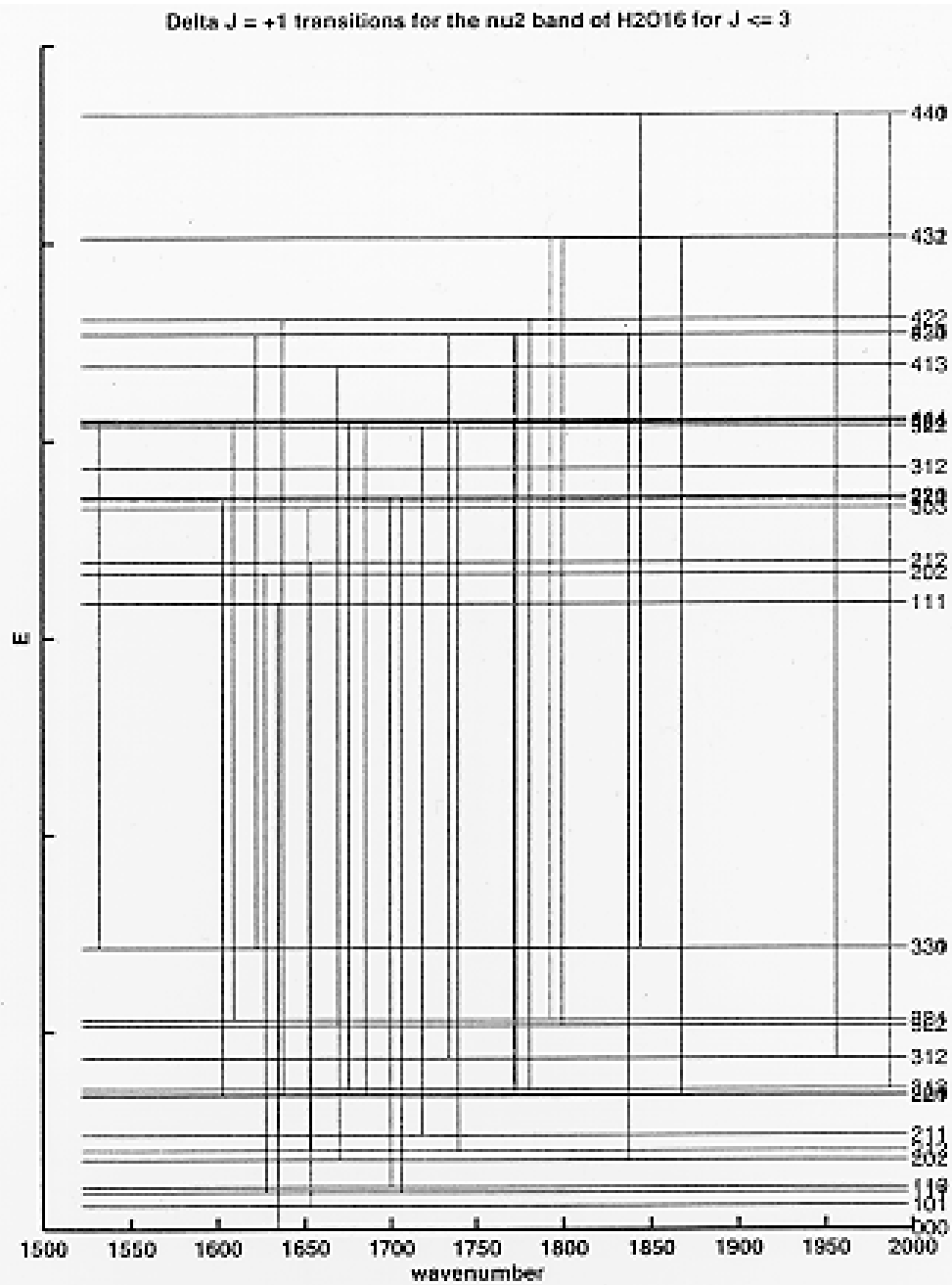
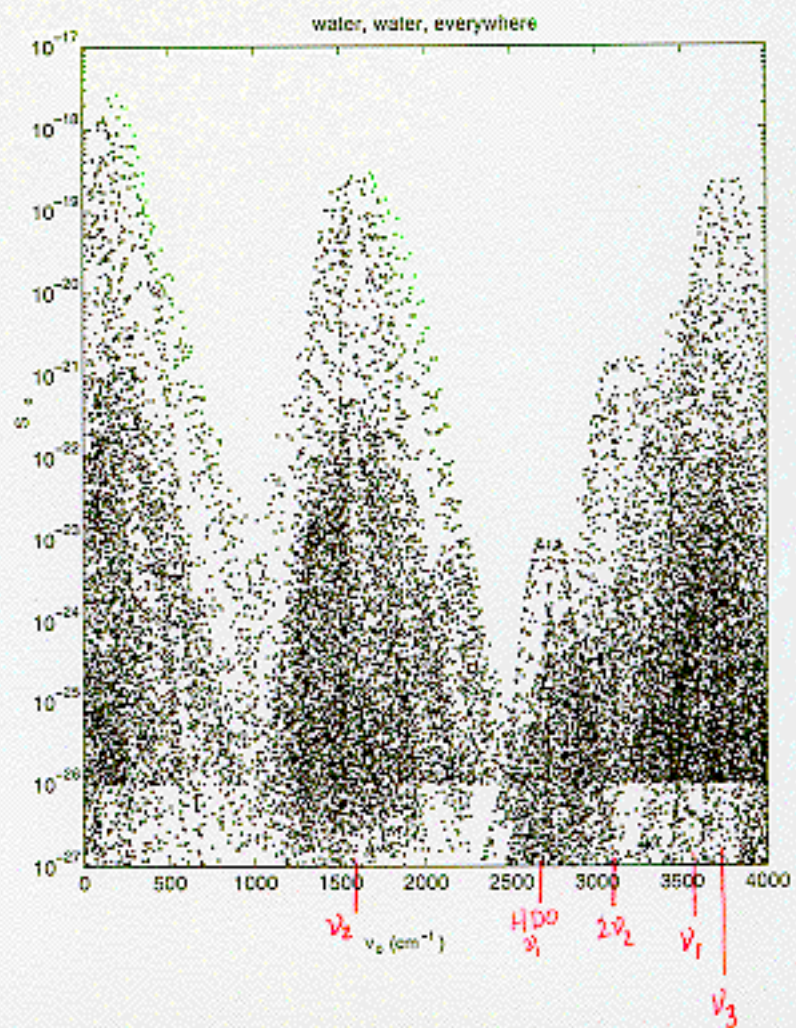
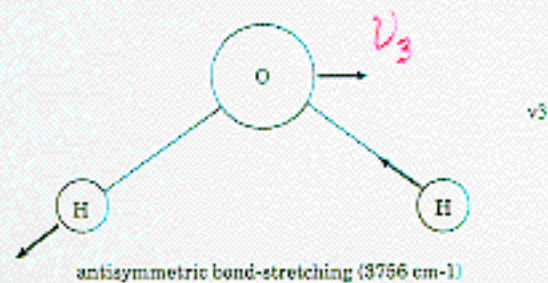
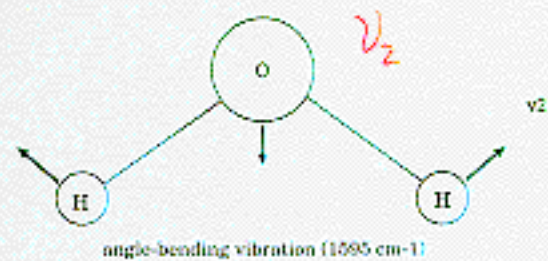
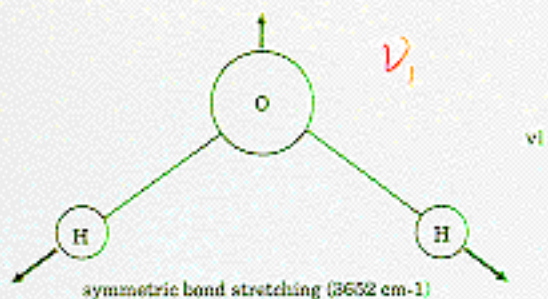
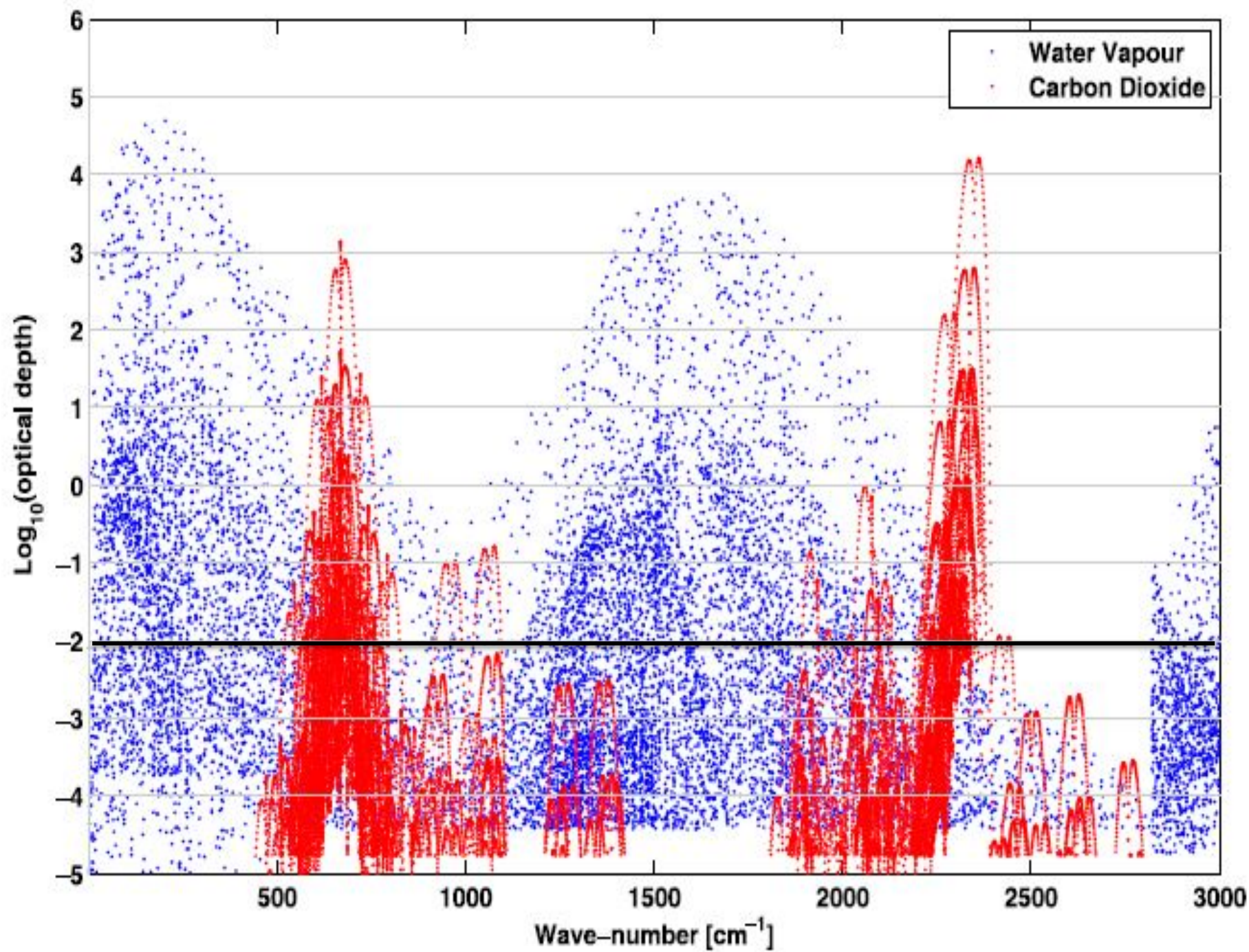


Figure D.3: Fundamental  $\nu_2$   $\text{H}_2^{16}\text{O}$  transitions for  $\Delta J=1$  and  $|J| \leq 3$ .

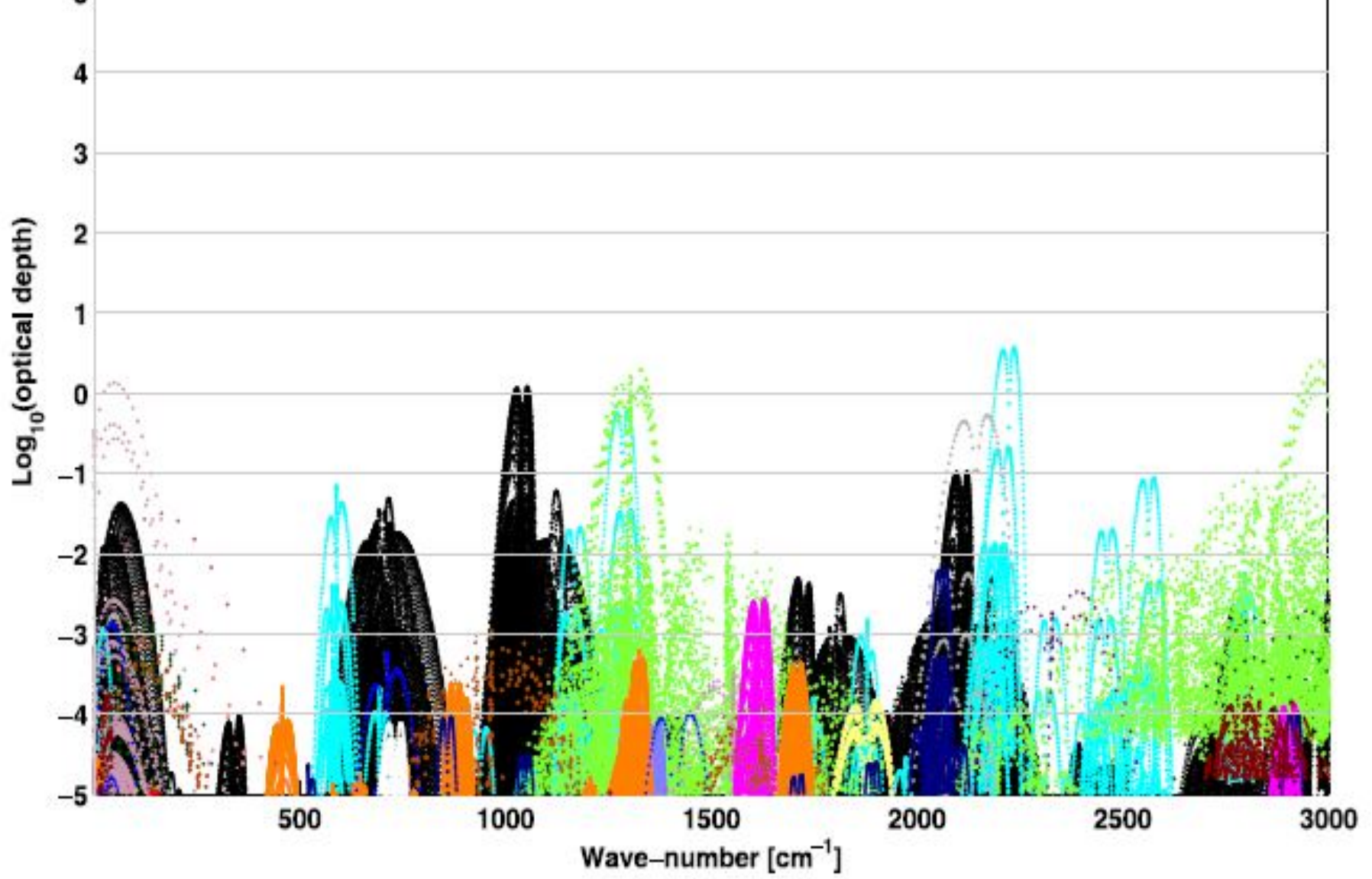
### H<sub>2</sub>O Vibration - Rotation Spectra



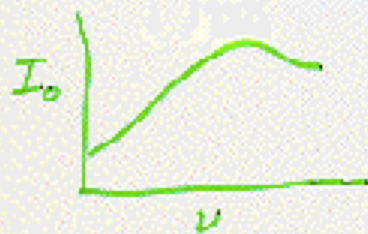
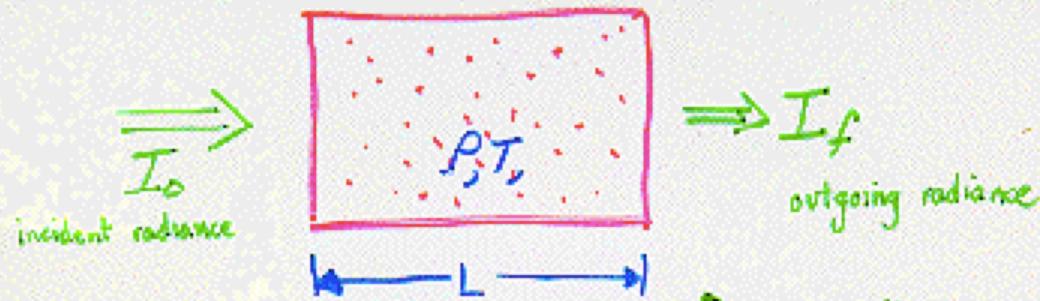




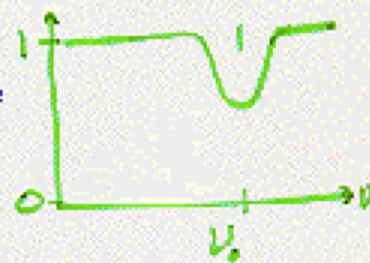
O<sub>3</sub> N<sub>2</sub>O CO CH<sub>4</sub> O<sub>2</sub> NO SO<sub>2</sub> NO<sub>2</sub> NH<sub>3</sub> HNO<sub>3</sub> OH HF HCl ClO OCS H<sub>2</sub>CO HOCl N<sub>2</sub>  
HCN CH<sub>3</sub>Cl



# Beer's Law

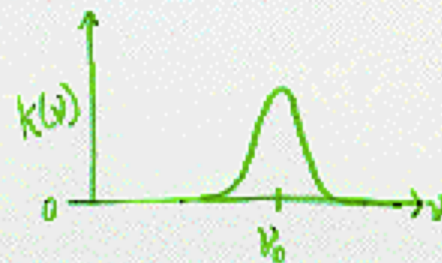


$$T = \text{transmission} = \frac{I_f}{I_0} =$$



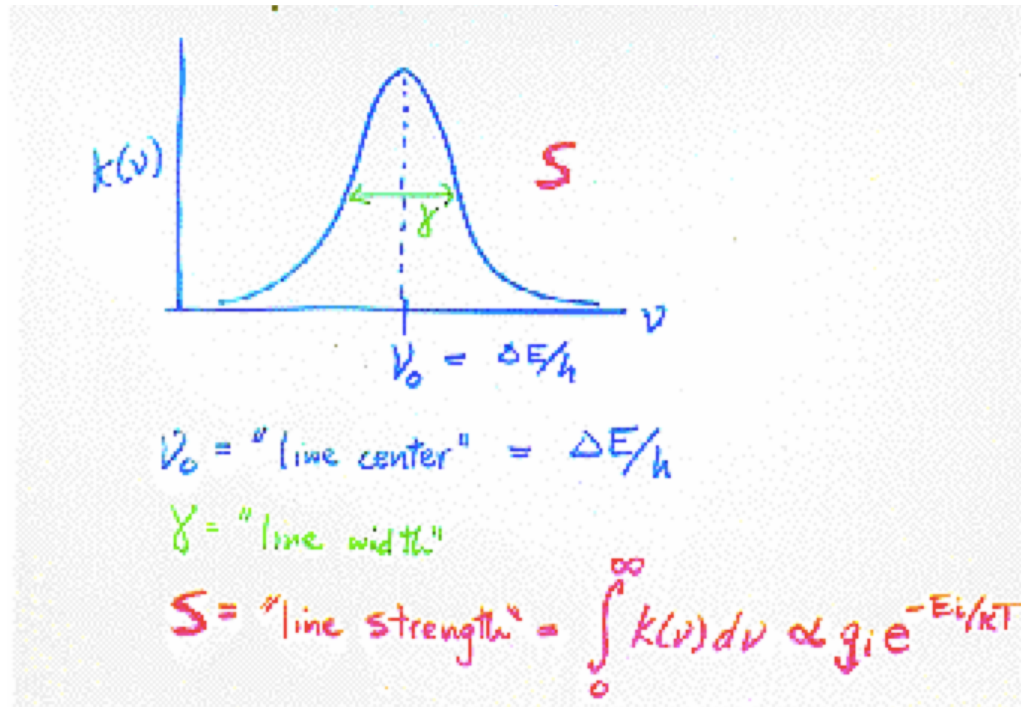
$$= e^{-k(\nu)\rho L}$$

absorption coefficient



## Spectral Lines:

- Line parameters



- Common Lineshapes:

- Doppler:

$$k(\nu) = \frac{S}{\gamma_D} \sqrt{\frac{\ln 2}{\pi}} e^{-\ln 2 \left( \frac{\nu - \nu_0}{\gamma_D} \right)^2}$$

effect

- Lorentz:

$$k(\nu) = \frac{S}{\pi} \left( \frac{\gamma_L}{(\nu - \nu_0)^2 + \gamma_L^2} \right)$$

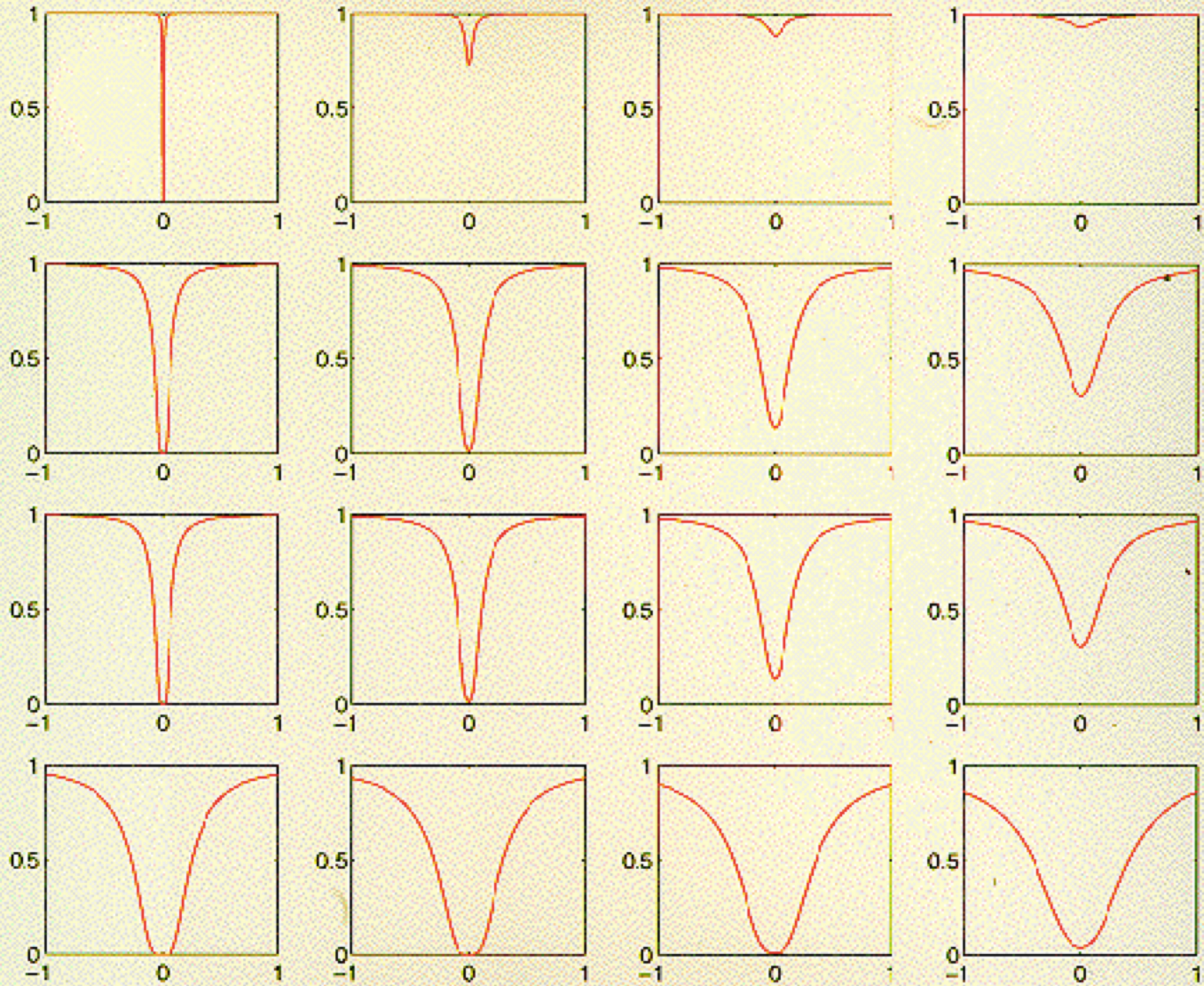
collisions

$$\gamma_L = \rho_{\text{absorber}} \gamma_a + \rho_{\text{broadener}} \gamma_b$$

$$\gamma_L \propto \gamma_{296K} \left( \frac{T_0}{T} \right)^{1/2} \left( \frac{P}{P_0} \right)$$

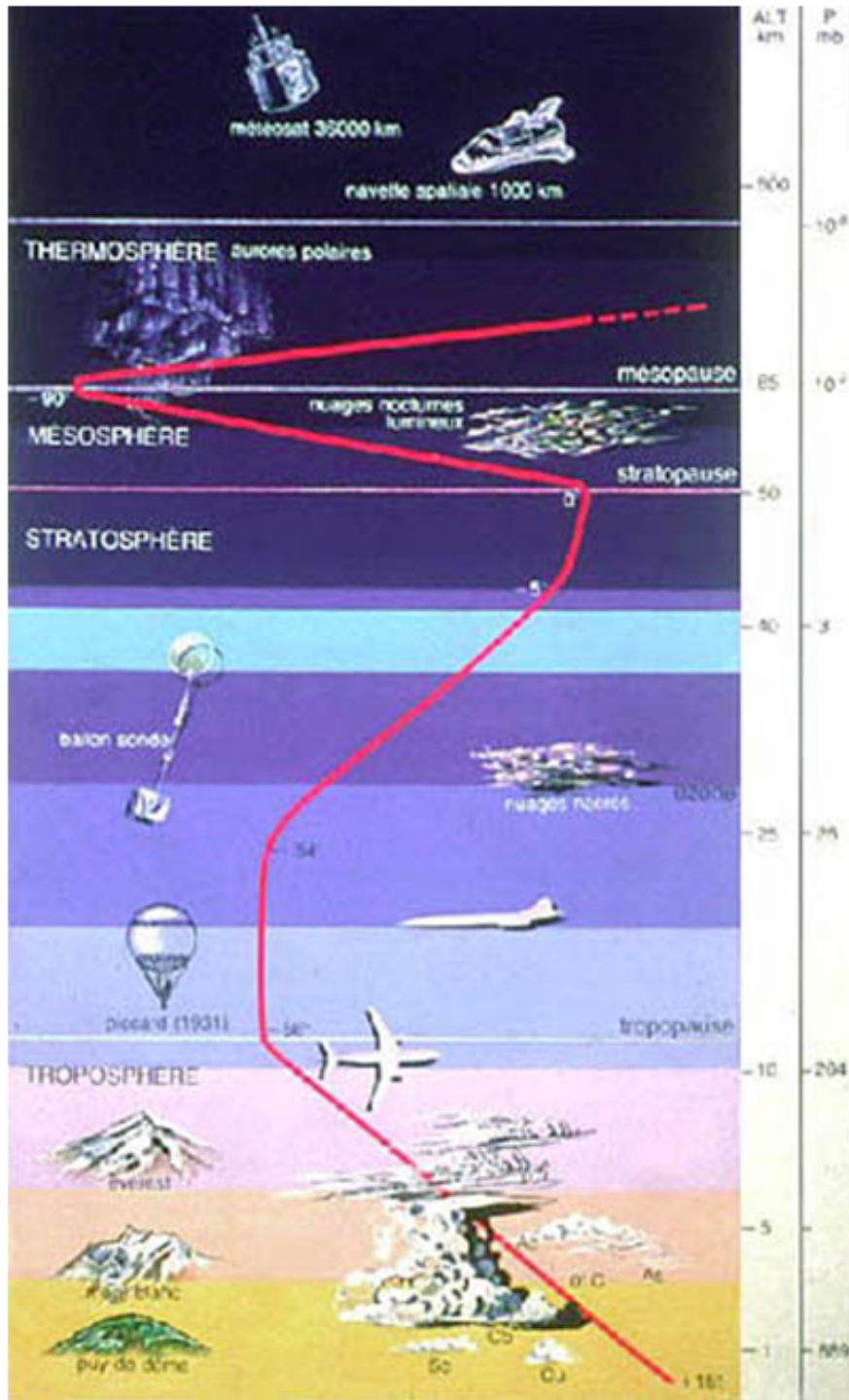
# Lorentz Profiles

← increasing Absorber



increasing Broadener →





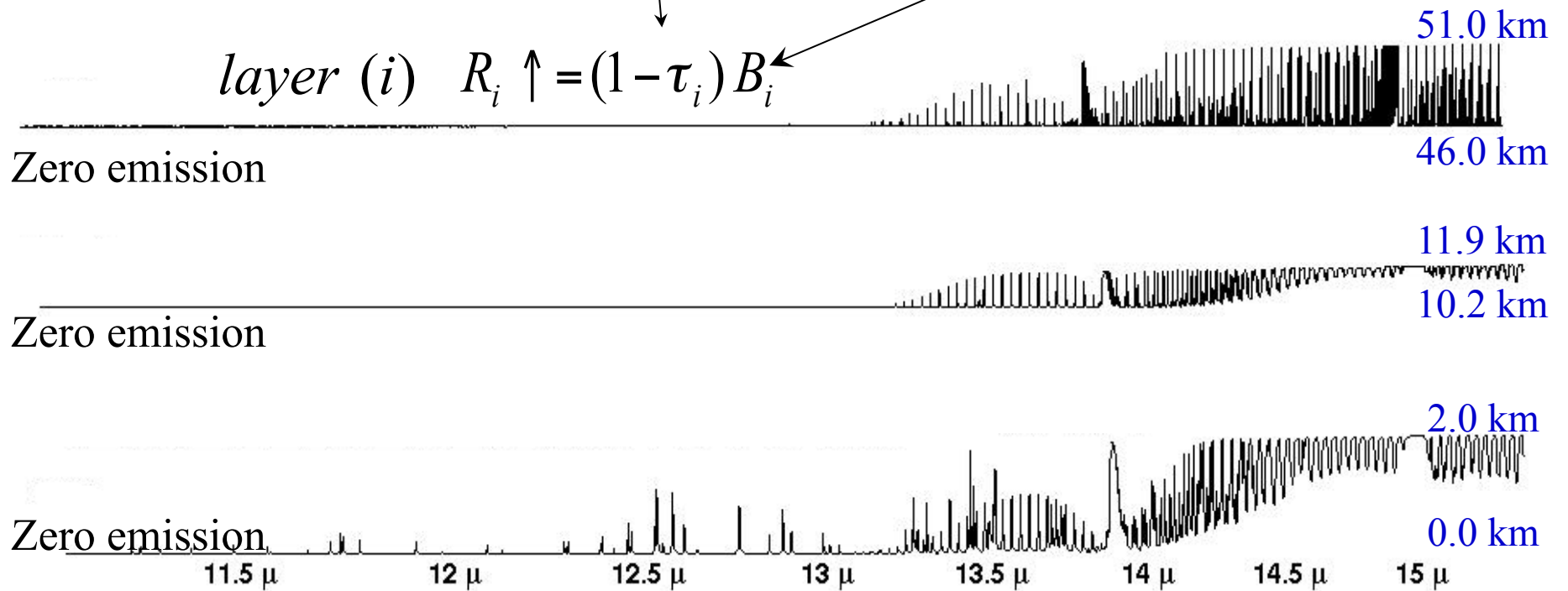
## Layer Atmospheric Transmission and Emission

Transmission of this layer =  $\tau_i$

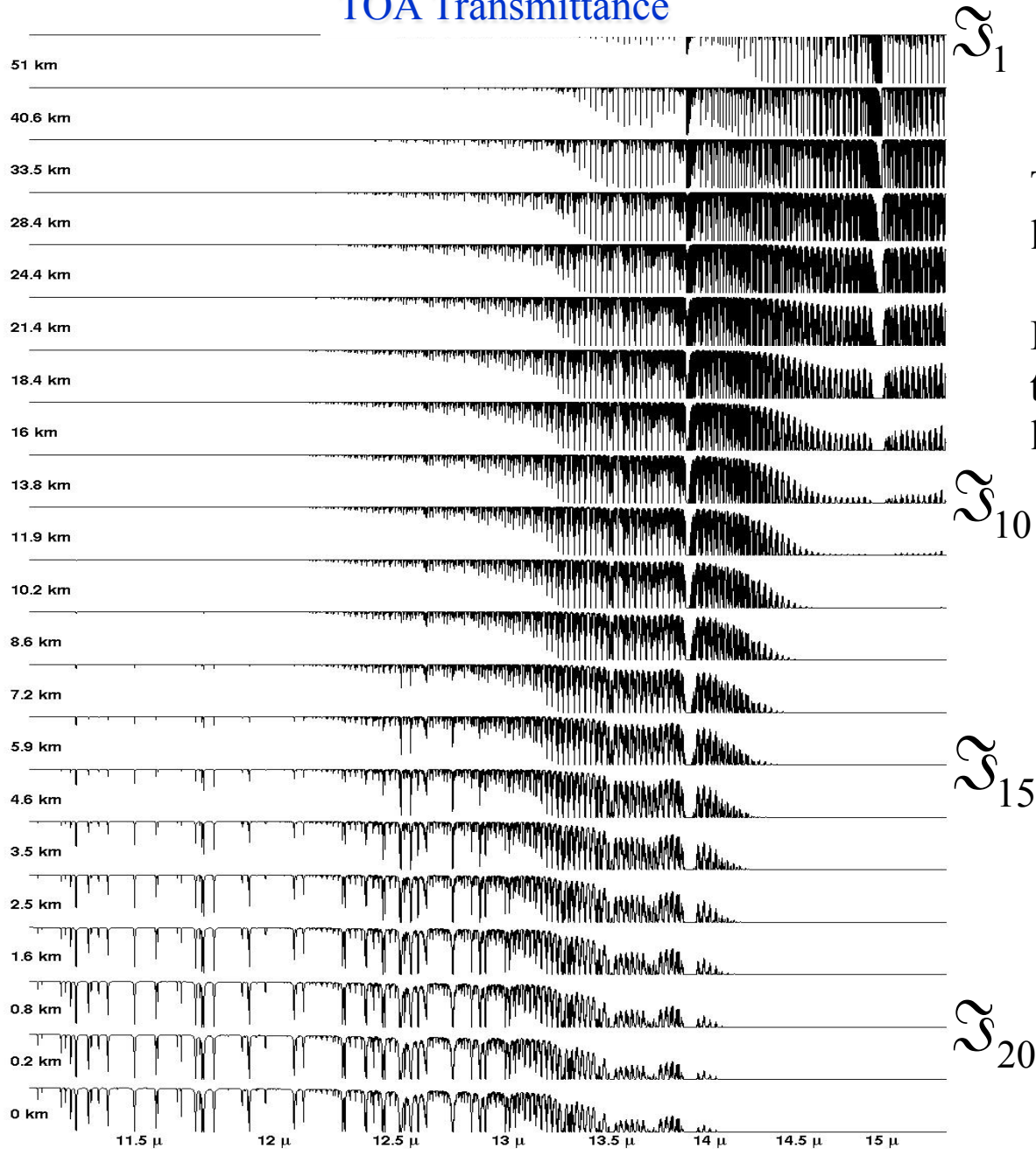
Emission from this layer =  $R_i$

Plank's function of this layer temperature

$$\text{layer } (i) \quad R_i \uparrow = (1 - \tau_i) B_i$$

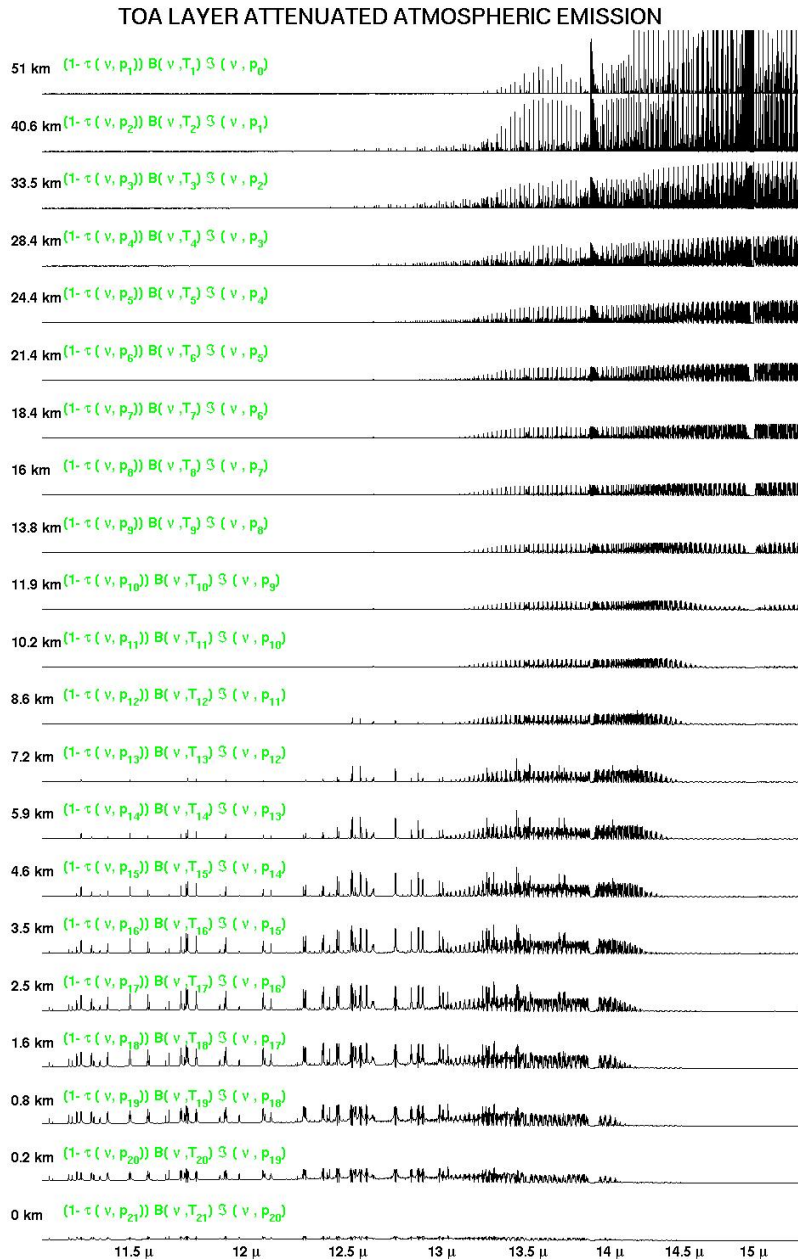


## TOA Transmittance



Total transmittance  $\mathcal{S}$  from a layer at  $z$  altitude to the TOA.

Is the transmission of that layer, times the transmission of all layers above



TOA layer attenuated atmospheric Emission:

This is the emission that is received at the satellite from each layer after attenuation through next (upper) layers.

$$R_i = (1 - \tau_i) B_i \mathfrak{S}_{(i-1)}$$

$$R_{22} = (1 - \tau_{22}) B_{22} \mathfrak{S}_{21}$$

Total emission received by the satellite from all layers of the atmosphere:

Equals the summation of the attenuated emission from each layer :

$$R \uparrow = \sum_{i=1}^n (1 - \tau_i(\nu, z_i)) B_i(\nu, Ts_i) \mathfrak{S}_i(\nu, z_i)$$

*where*

*( $Ts_i$ ) is surface temperature*

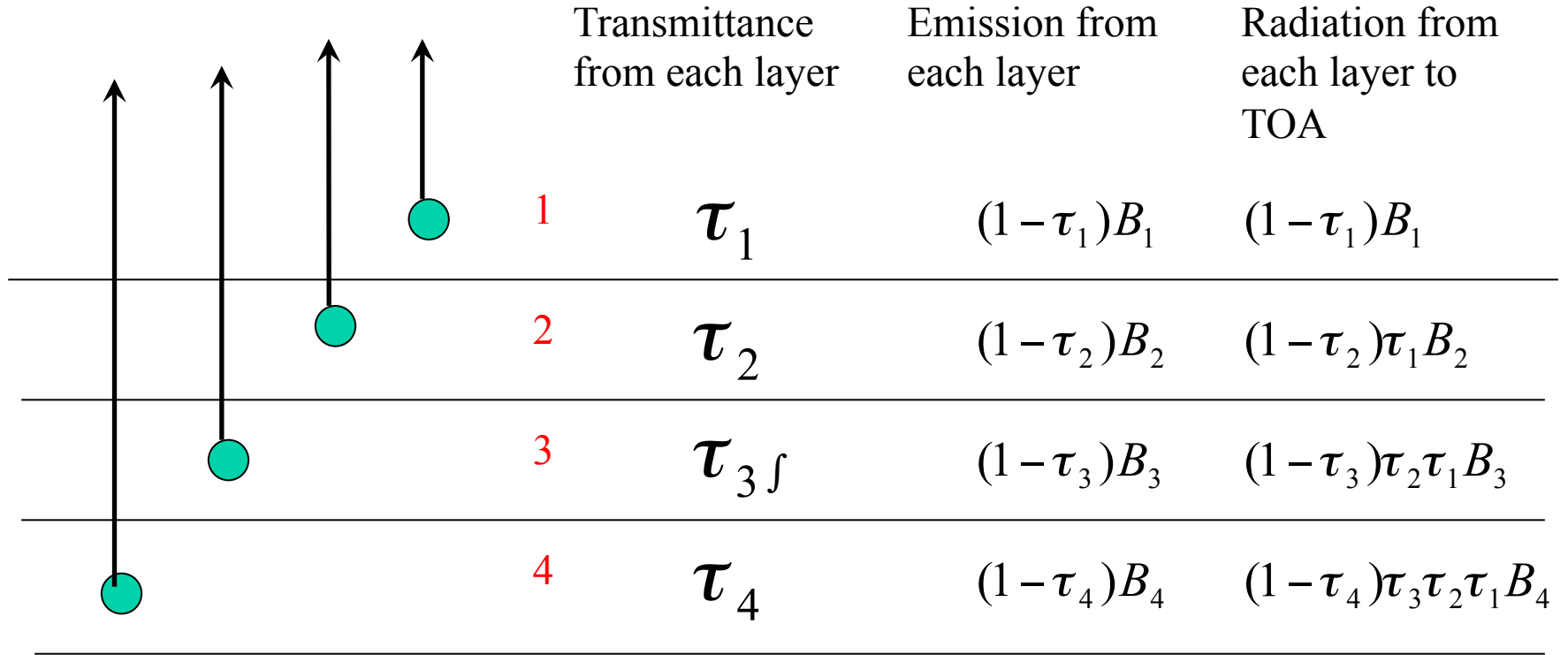
*$\nu$  = frequency (spectral band)*

*$n$  = number of layers*

This is equivalent to:

$$R \uparrow = \sum B_i (\mathfrak{S}_{i-1} - \mathfrak{S}_i)$$

Example of the summation of attenuated emission from 4 layers:

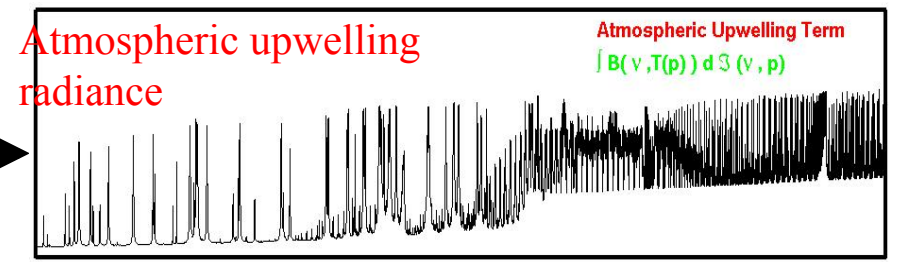
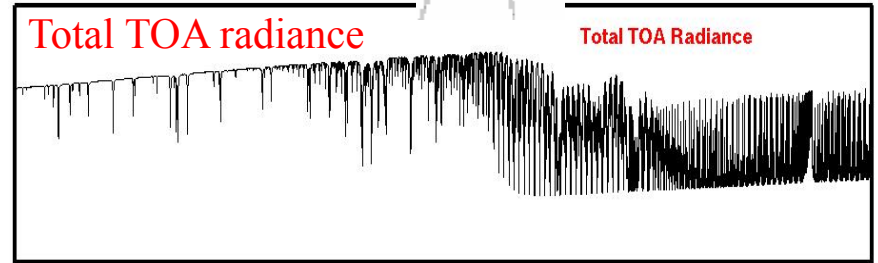
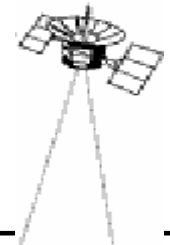
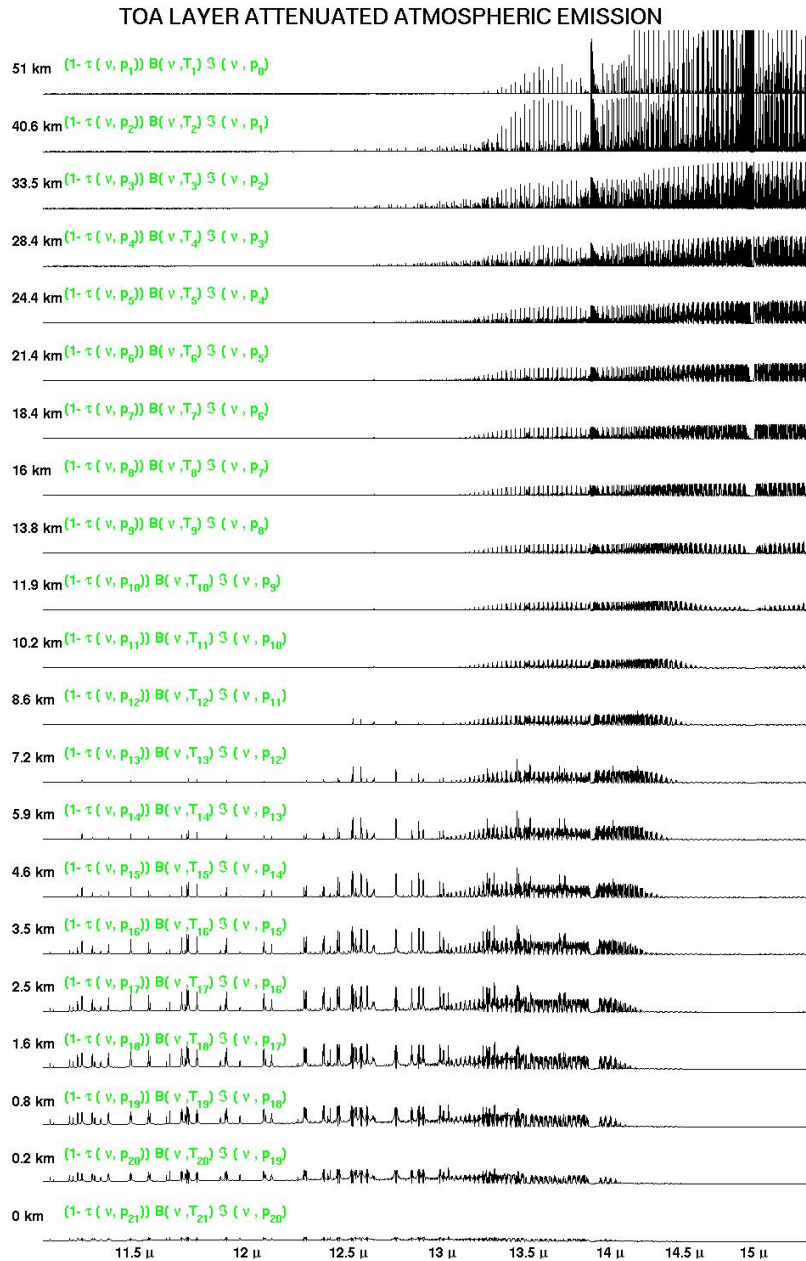


Example of decomposition of one term

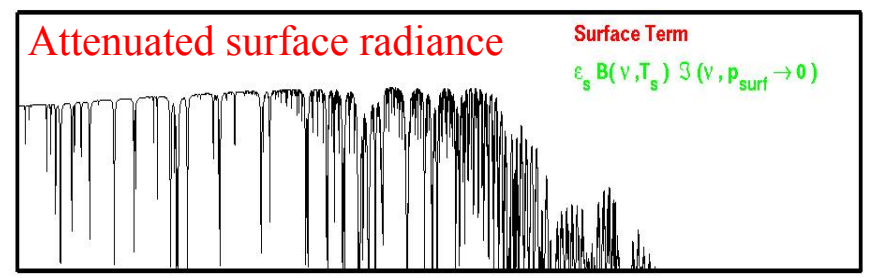
$$(1 - \tau_3)\tau_2 \tau_1 B_3 = (\tau_2 \tau_1 - \tau_3 \tau_2 \tau_1) B_3 = (\mathfrak{S}_2 - \mathfrak{S}_3) B_3$$

Hence, the radiance at the TOA integrated over the atmospheric column is:

$$R \uparrow = \sum_1^n (\mathfrak{S}_{i-1}(\nu, p) - \mathfrak{S}_i(\nu, p)) B_i(\nu, p) \equiv \int B_i d\mathfrak{S}_i \equiv \int B_i \frac{d\mathfrak{S}_i}{dp} dp$$

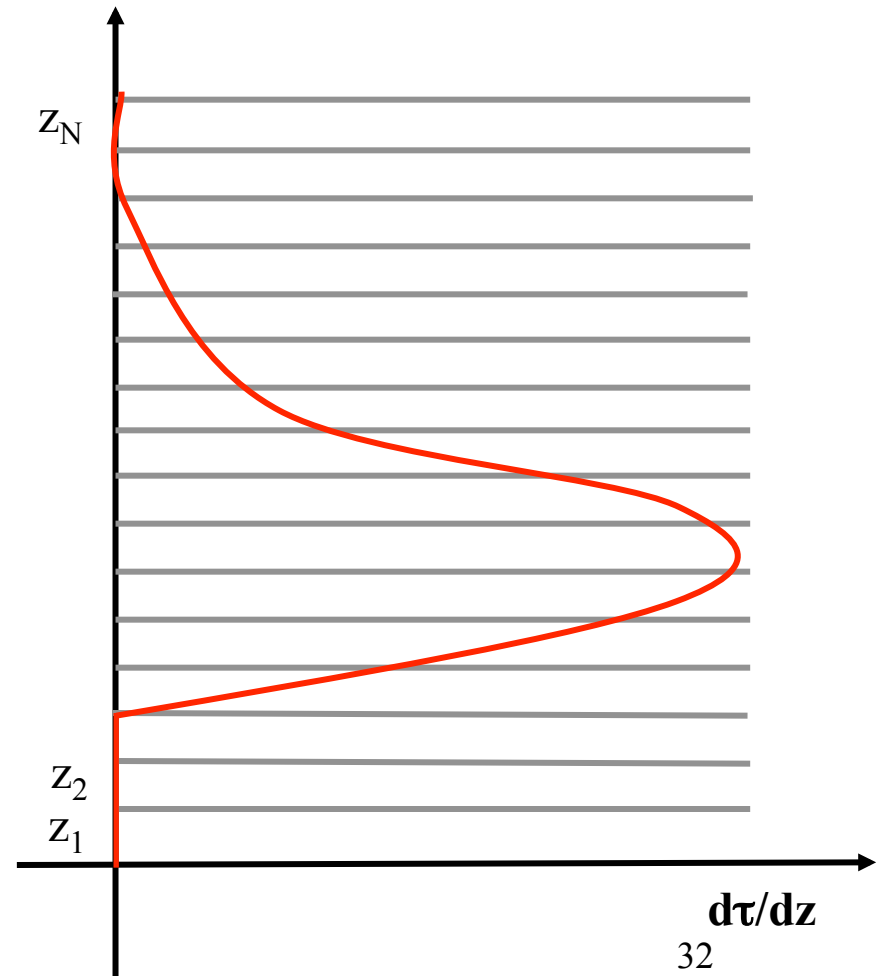
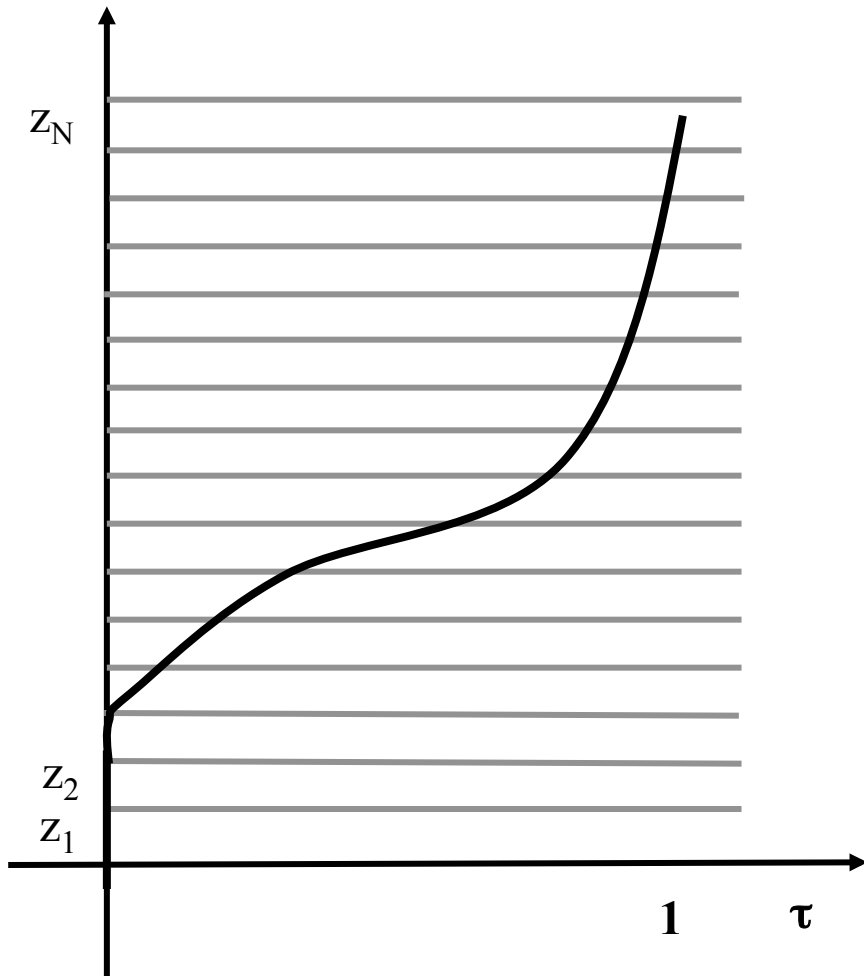


$$R_{\uparrow} = \int B(v, T_p) \mathfrak{S}_s(v, z_p) = \int B(v, T_p) \frac{d\mathfrak{S}_s(v, z_p)}{dp} dp$$

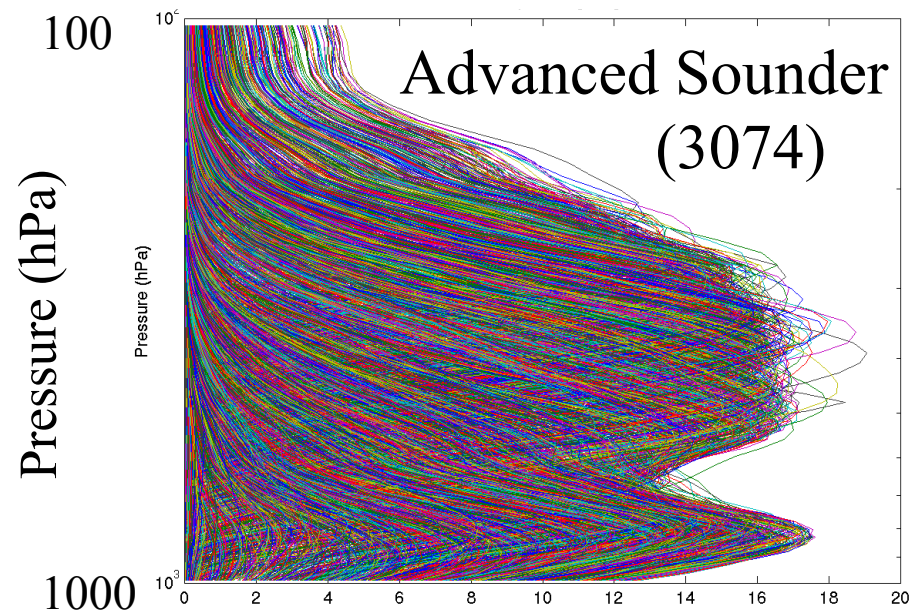
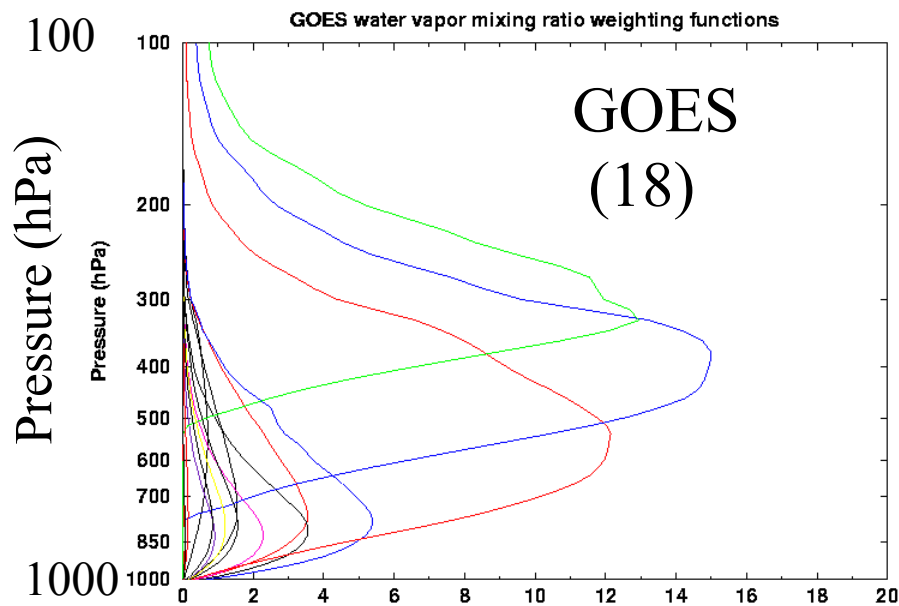


$$R_s = \epsilon_s B(v, T_s) \mathfrak{S}_s(v, z_s)$$

# Weighting Functions



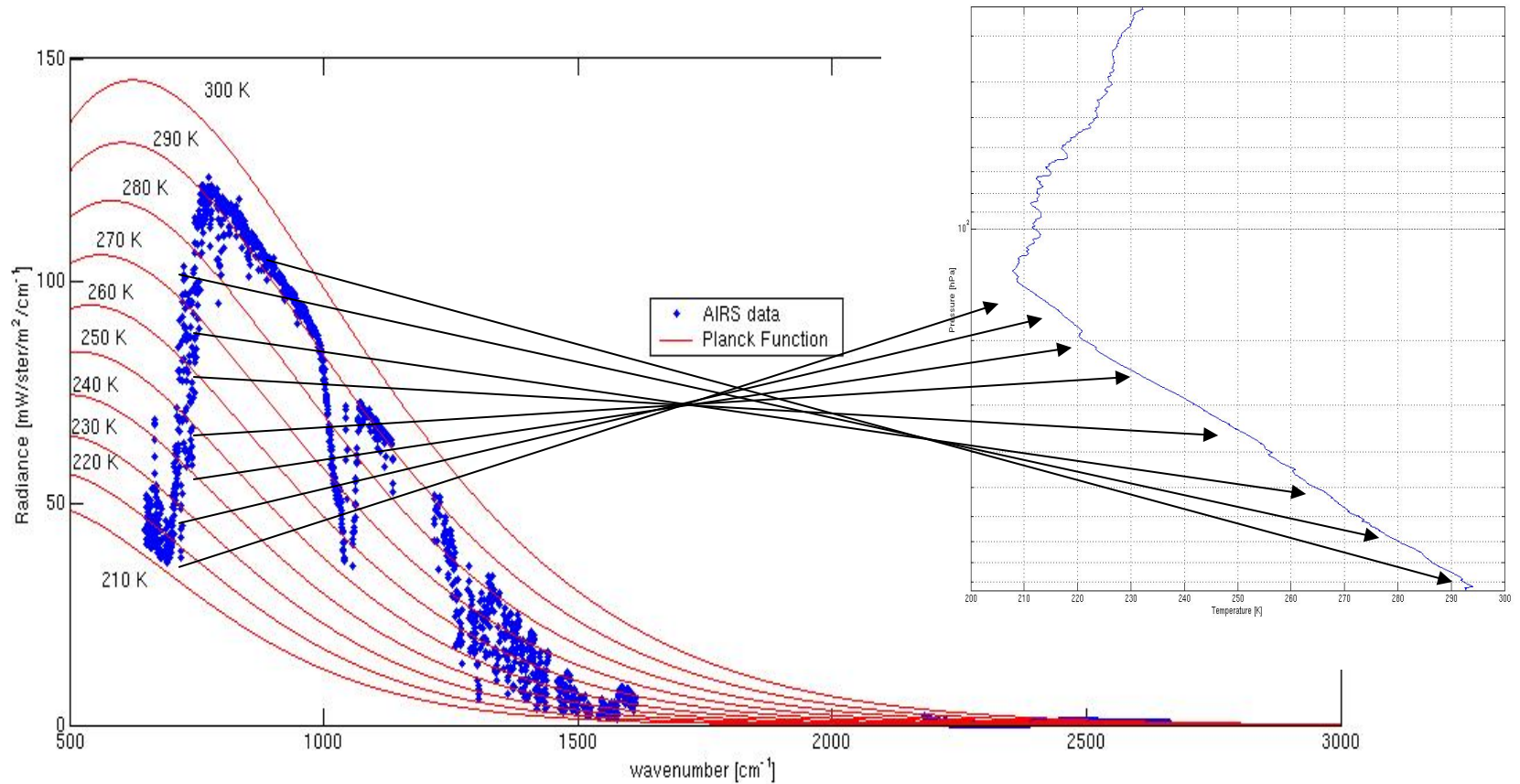




## Moisture Weighting Functions

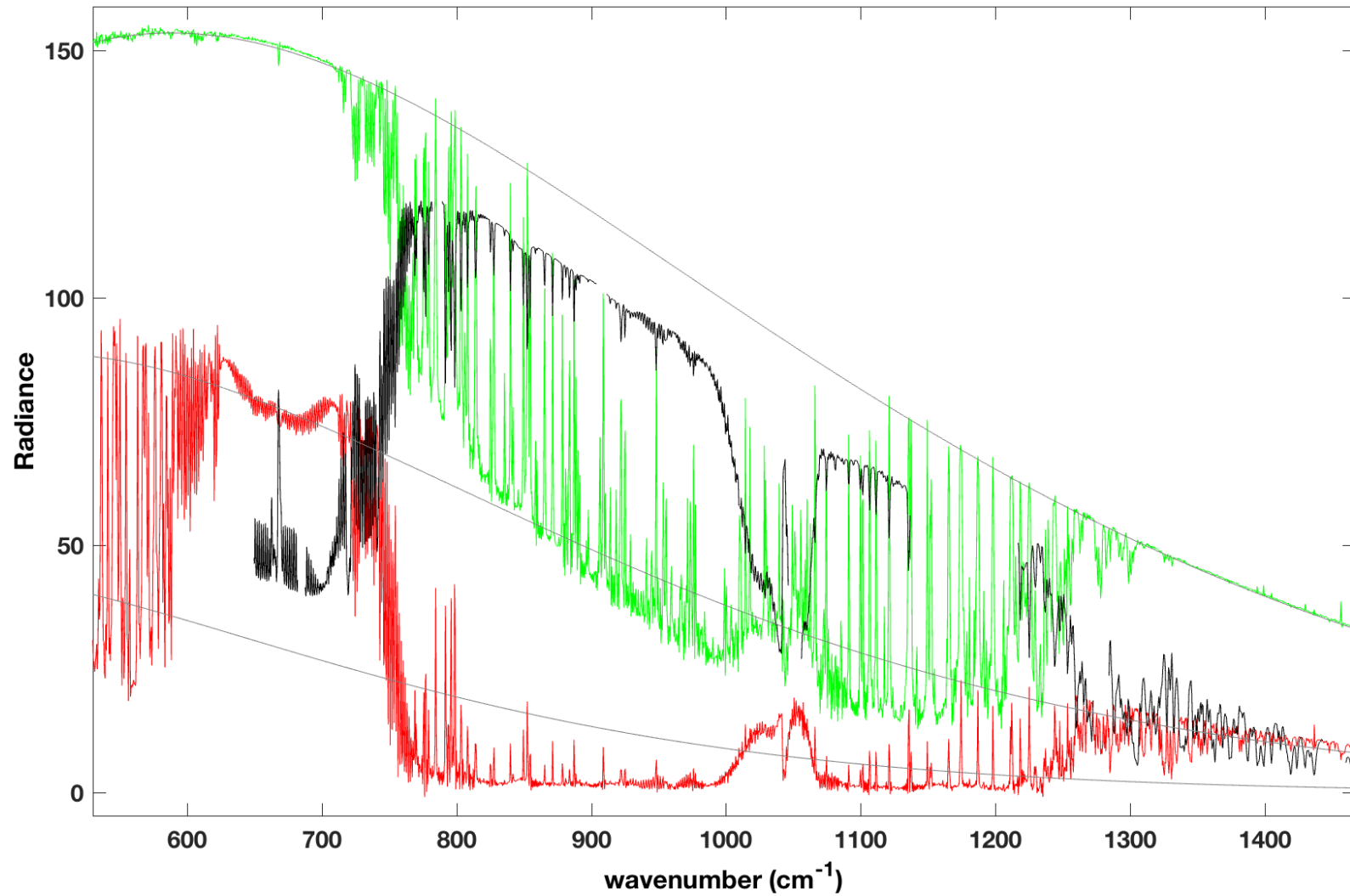
**High spectral resolution advanced sounder will have **more and sharper weighting functions** compared to current GOES sounder. Retrievals will have better vertical resolution.**

# High Spectral Resolution

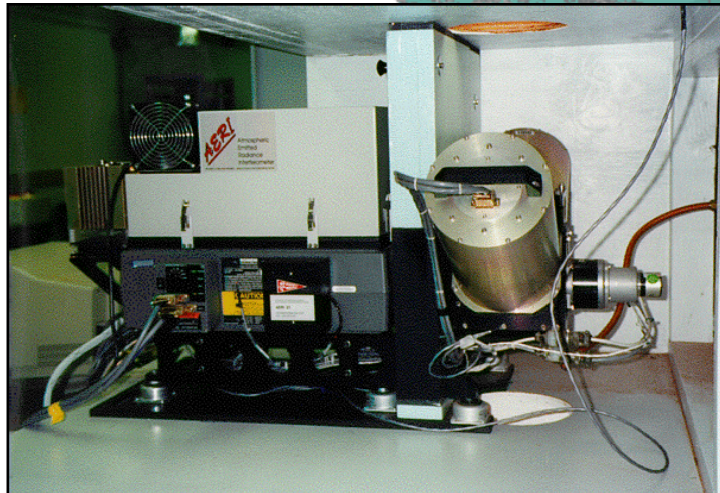
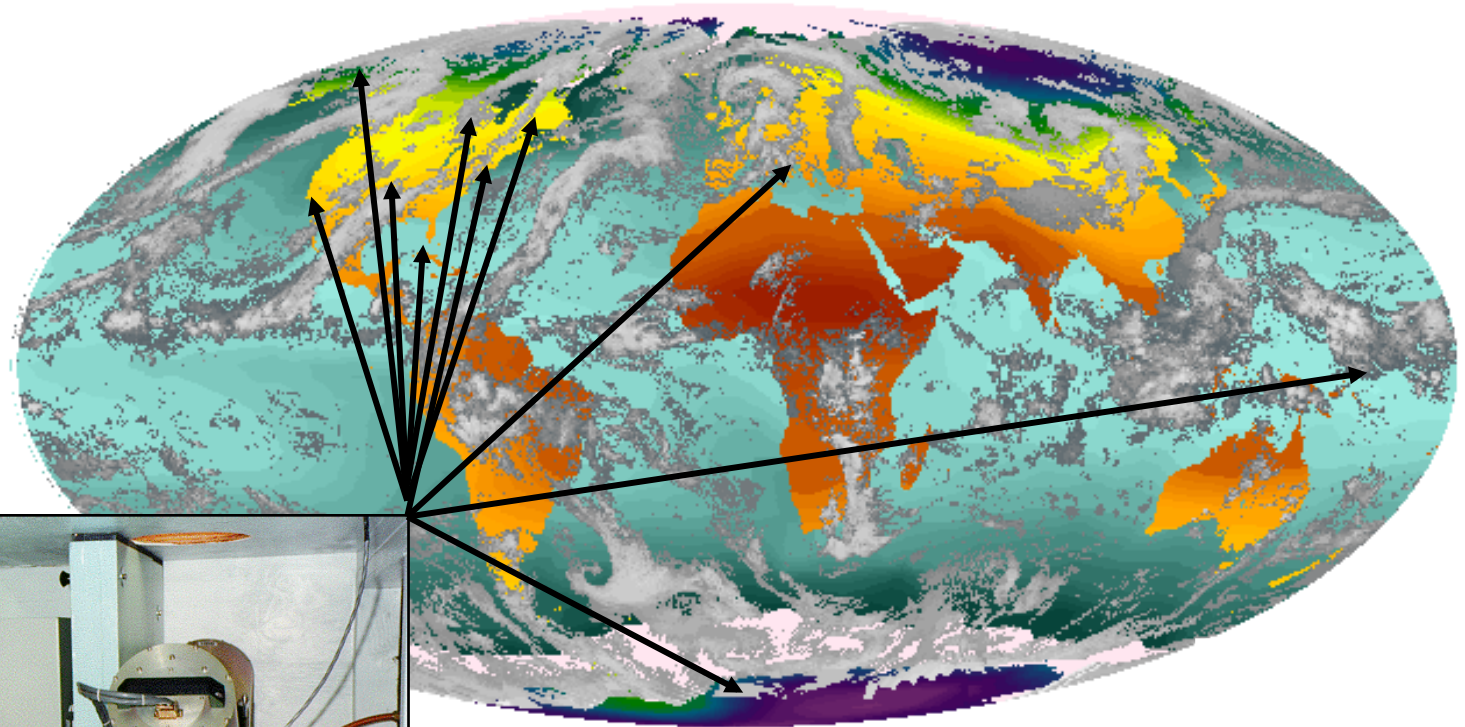


Sampling over rotational bands

So we know what the black curve is now. What are the green and red?



# AERI SYSTEMS AROUND THE WORLD



**UW AERI - 2 (AERIBAGO, SSEC)**

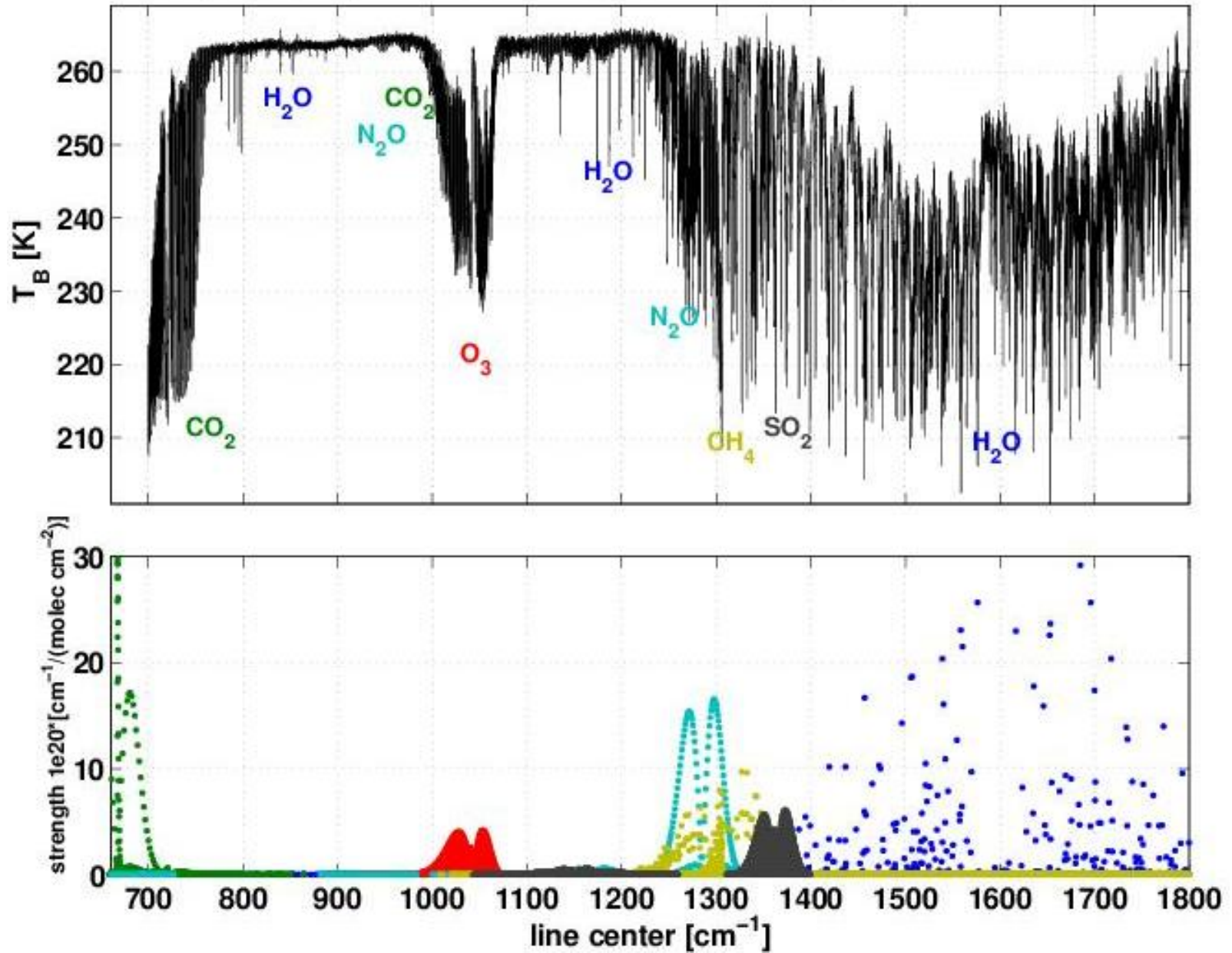
**DOE AERI - 7 (Kansas/Oklahoma, Alaska, S. Pacific)**

**U-Miami M-AERI - 3 (Florida)**

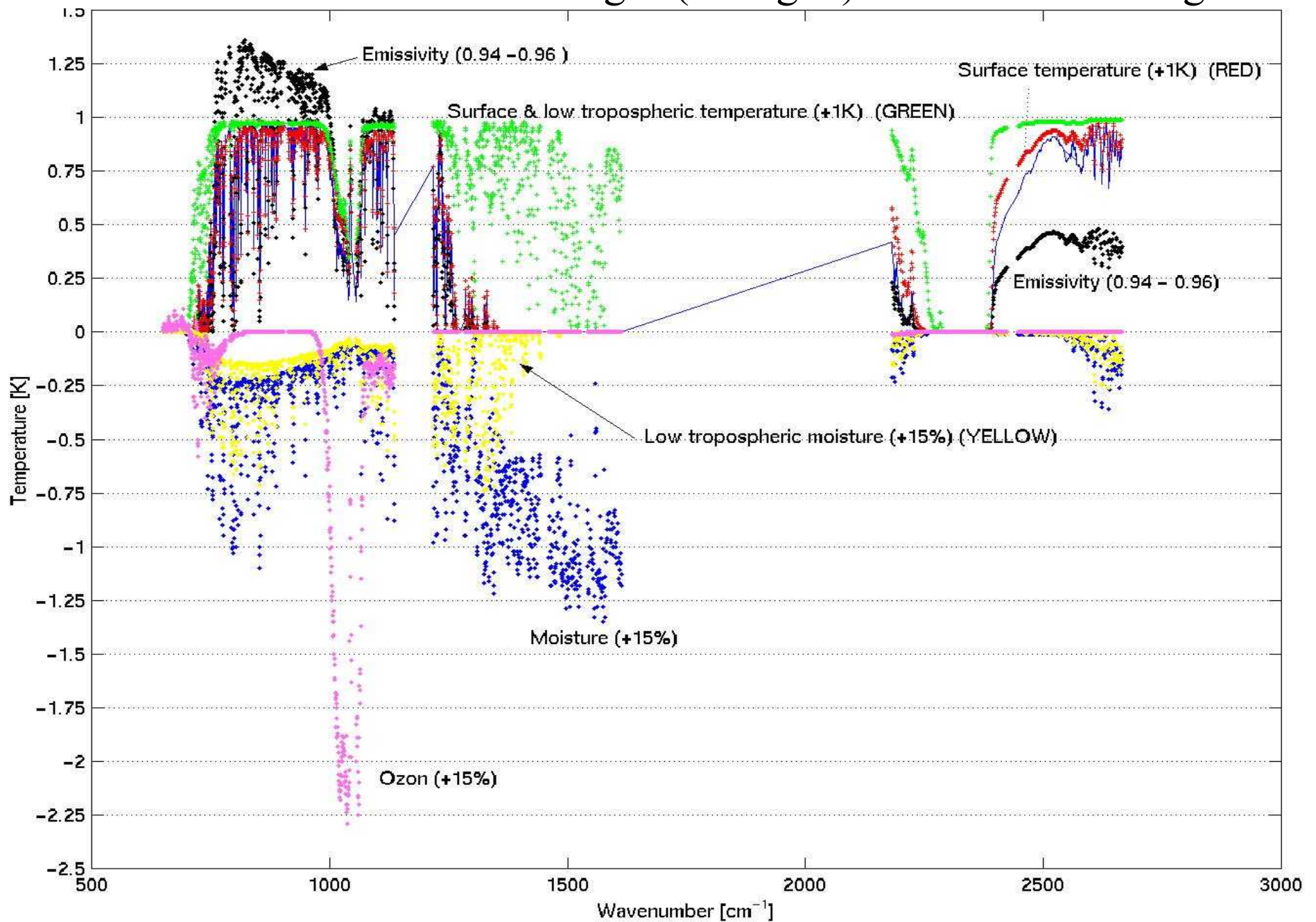
**Bomem AERI - 4 (Italy, California, Maryland, Canada)**

**U Idaho P-AERI - 1 (Antarctica)**

IMG spectrum (WINCE, 970128 over Nebraska) and HITRAN database

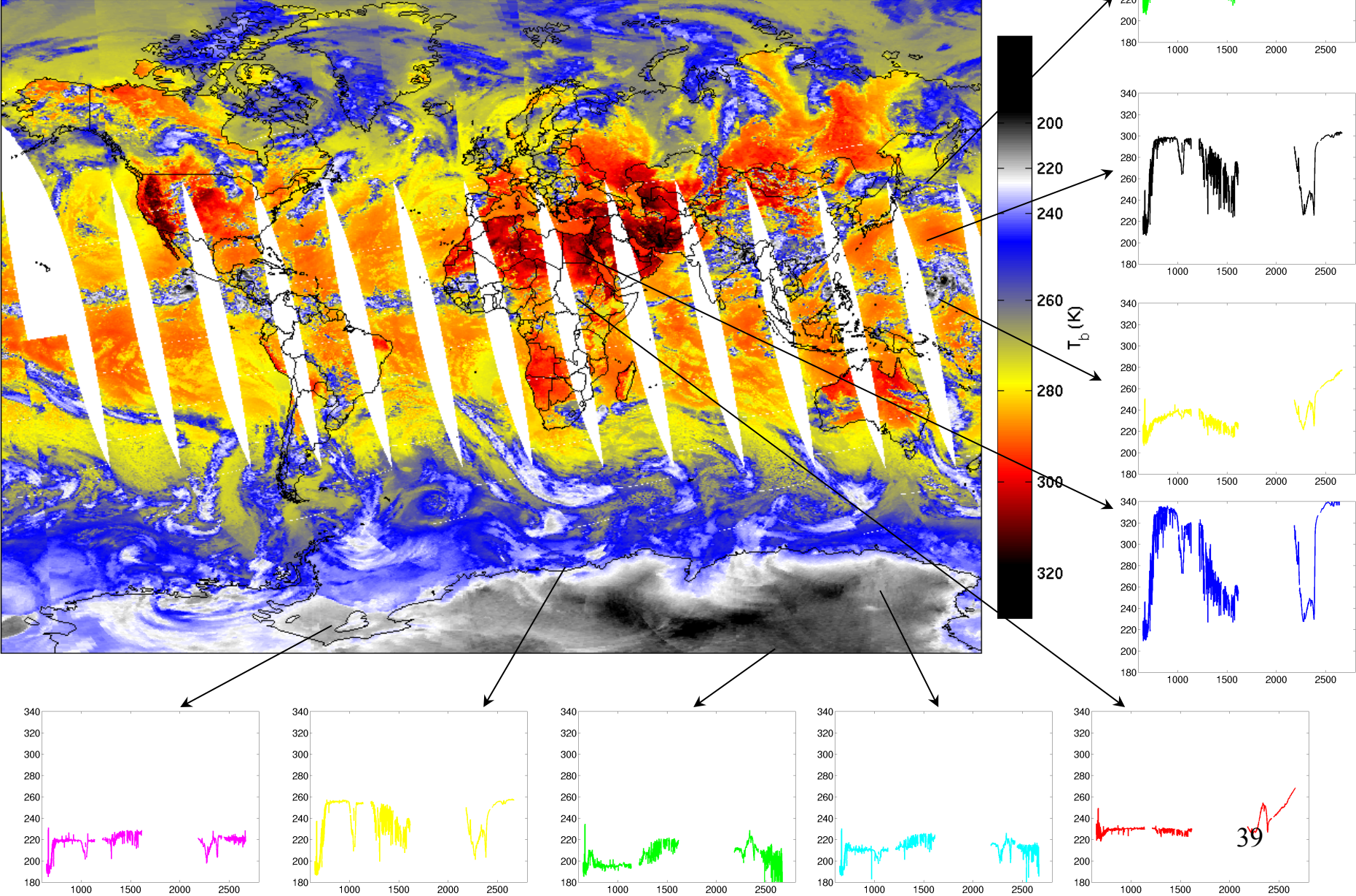


# AIRS TOA radiance changes (in deg K) to atm & sfc changes 38

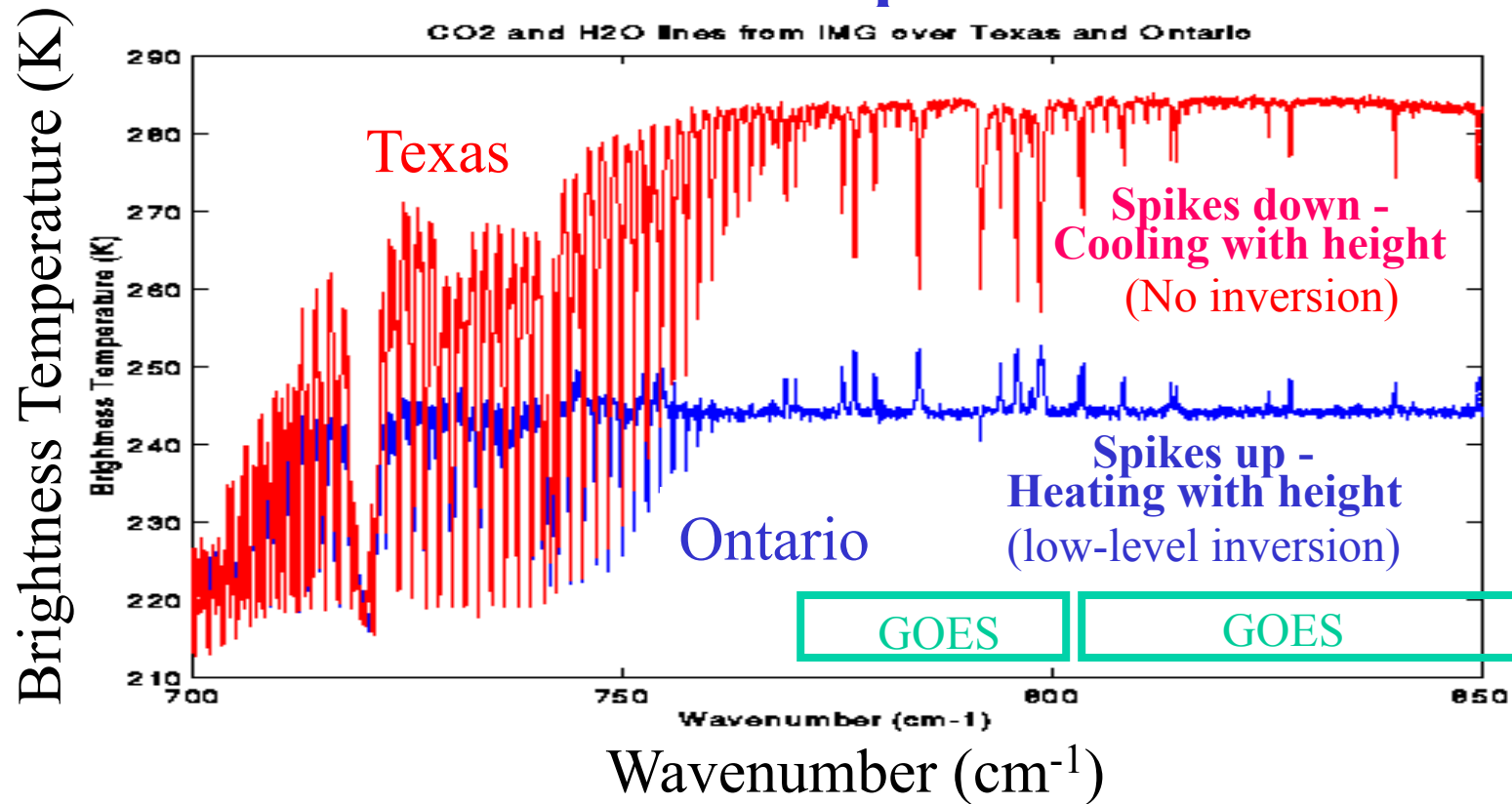


# AIRS Spectra from around the Globe

20-July-2002 Ascending LW\_Window



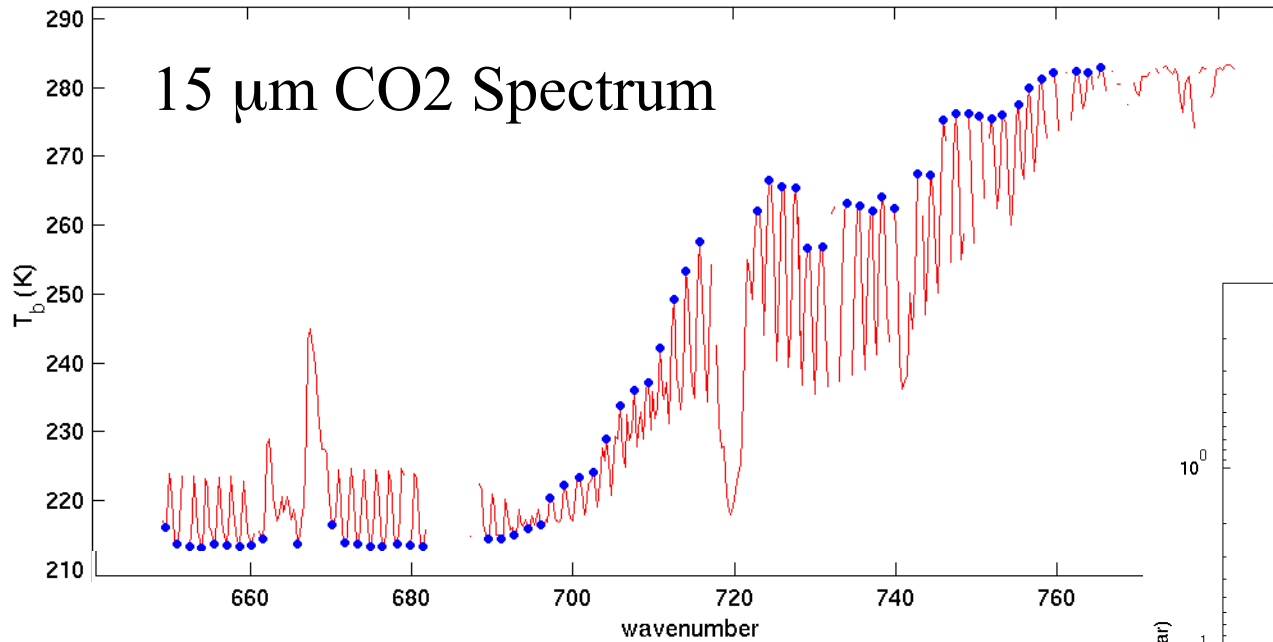
# Resolving absorption features in atmospheric windows enables detection of temperature inversions



**Detection of inversions is critical for severe weather forecasting. Combined with improved low-level moisture depiction, key ingredients for night-time severe storm development can be monitored.**

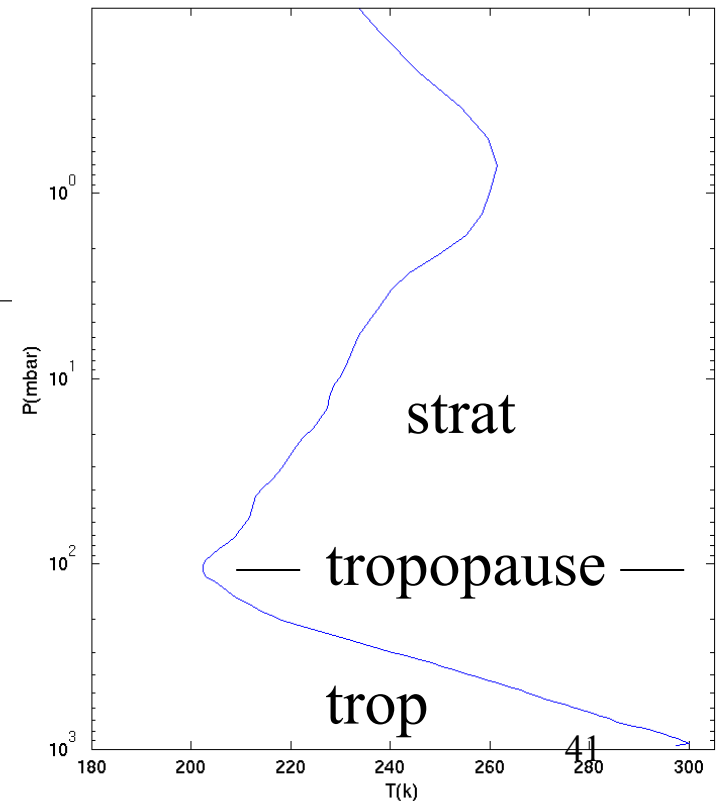


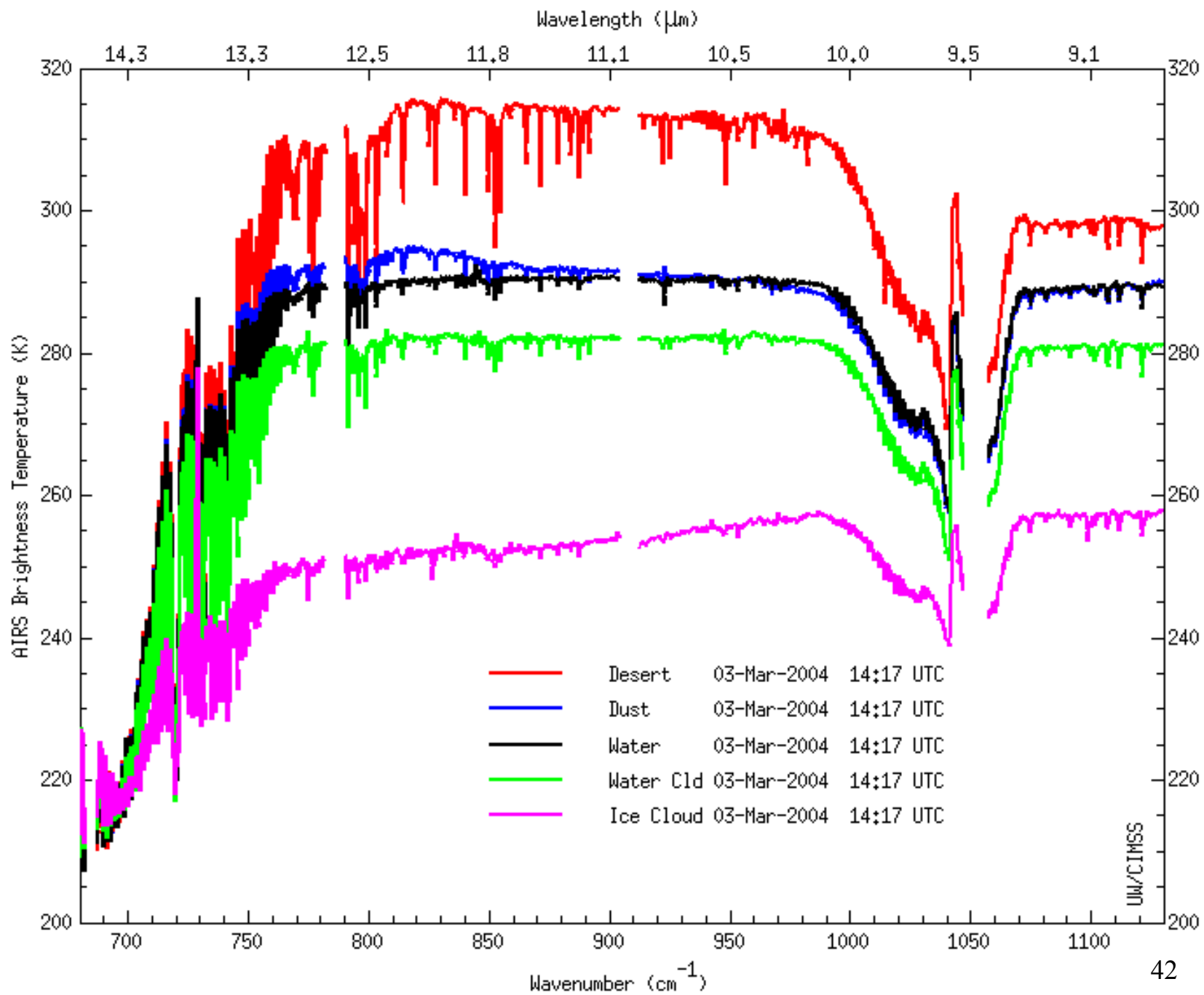
# Twisted Ribbon formed by CO<sub>2</sub> spectrum: Tropopause inversion causes On-line & off-line patterns to cross



**Blue between-line  $T_b$   
warmer for tropospheric channels,  
colder for stratospheric channels**

Signature not available at low resolution

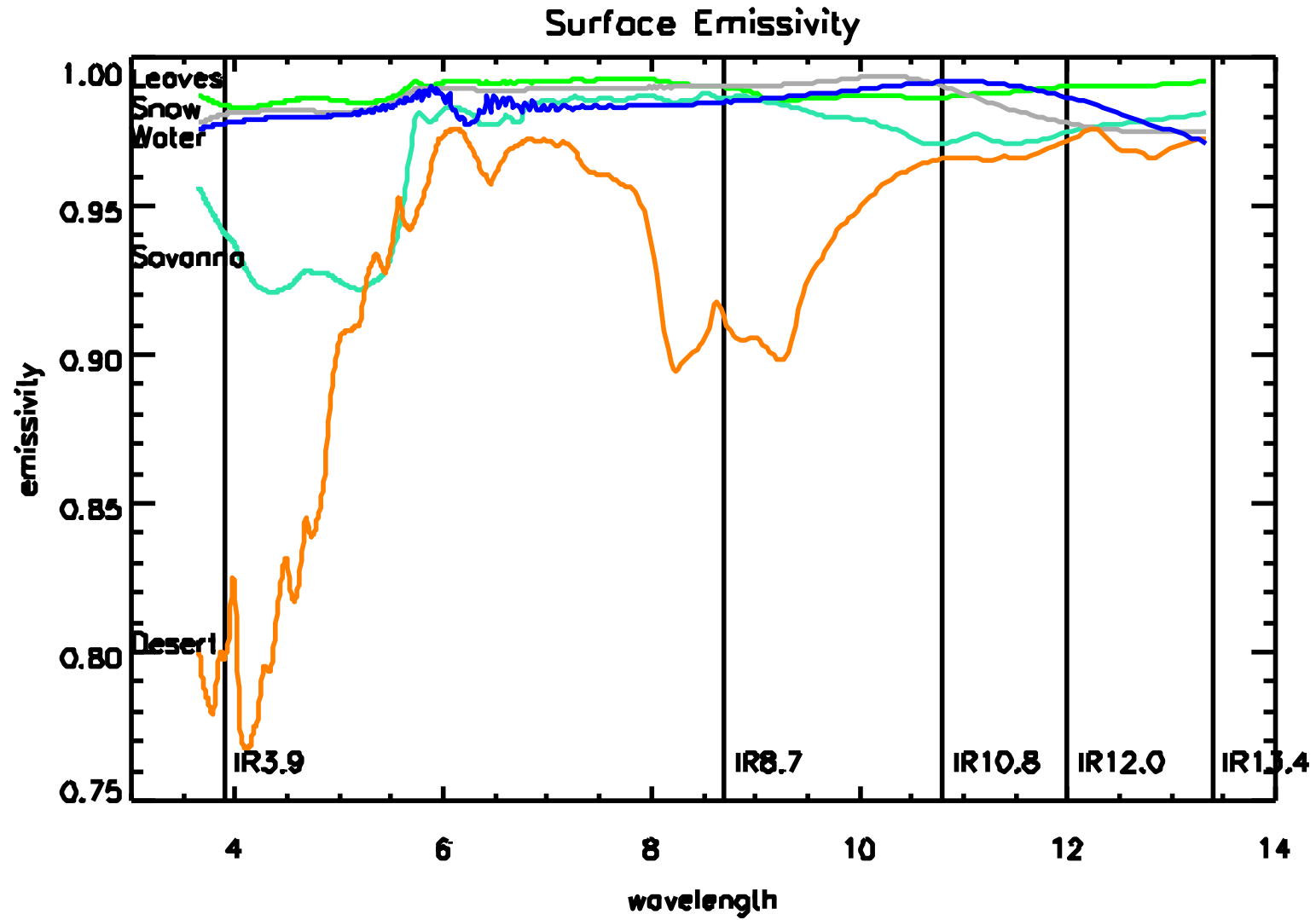




2500

1000

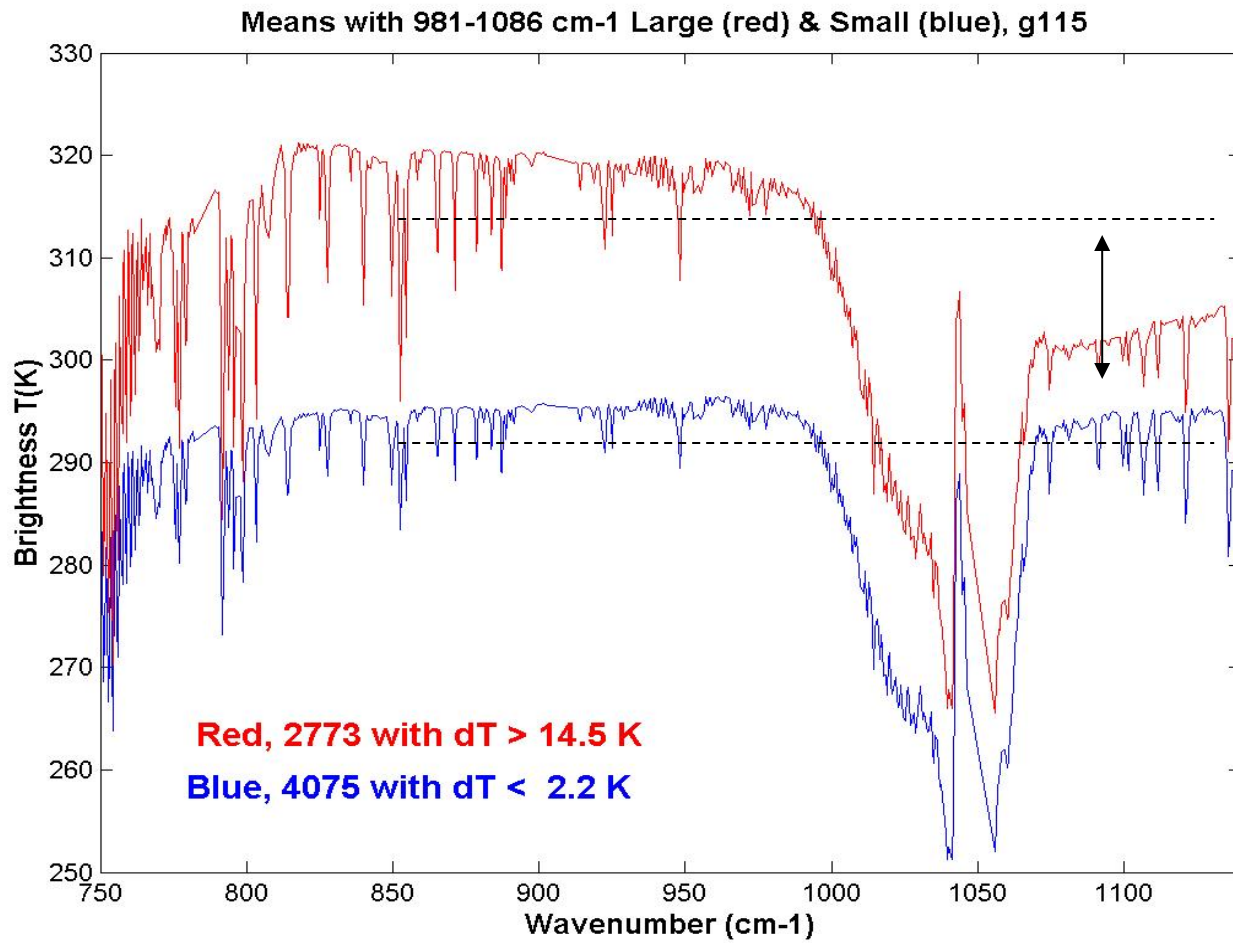
715 cm-1



# Inferring surface properties with AIRS high spectral resolution data

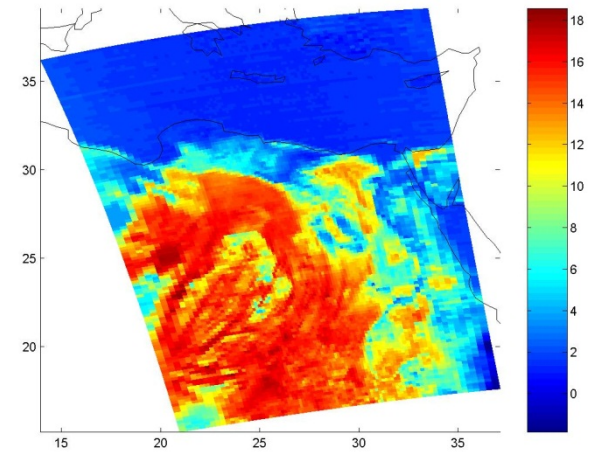
## Barren region detection if $T_{1086} < T_{981}$

### Barren vs Water/Vegetated

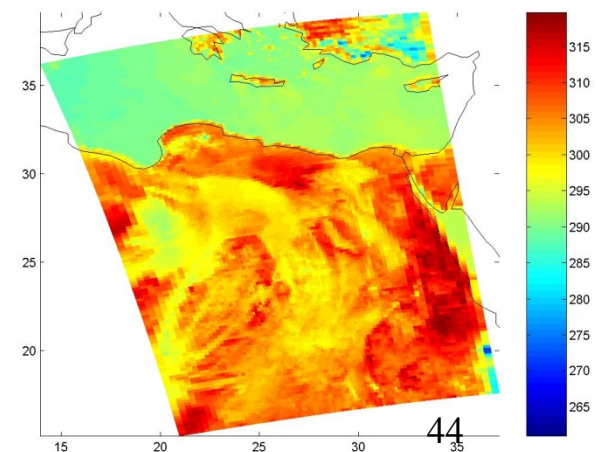


AIRS data from 14 June 2002

$T(981 \text{ cm}^{-1}) - T(1086 \text{ cm}^{-1})$



$T(1086 \text{ cm}^{-1})$

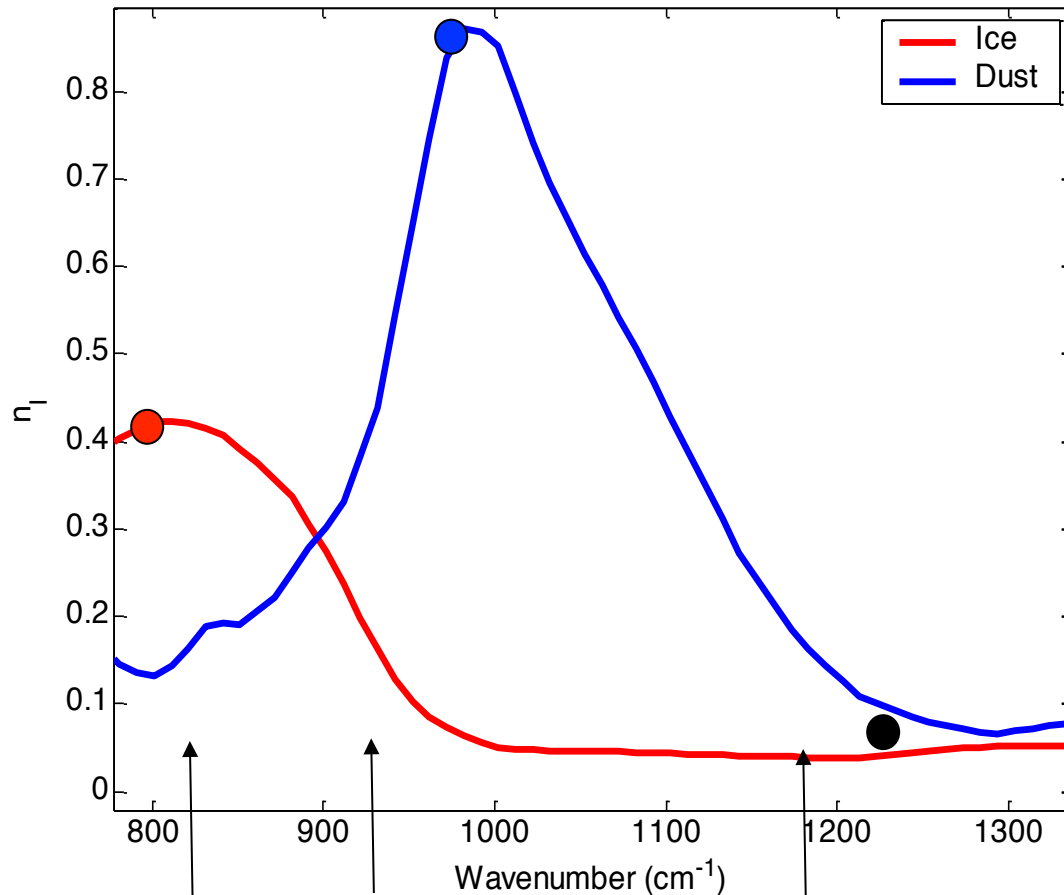


44  
from Tobin et al.

CrIS and IASI Obs of Calbuco  
volcano eruption on 4/23/2015 @  
0509 UTC

# Dust and Cirrus Signals

Imaginary Index of Refraction of Ice and Dust



- Both ice and silicate absorption small in 1200 cm<sup>-1</sup> window

- In the 800-1000 cm<sup>-1</sup> atmospheric window:

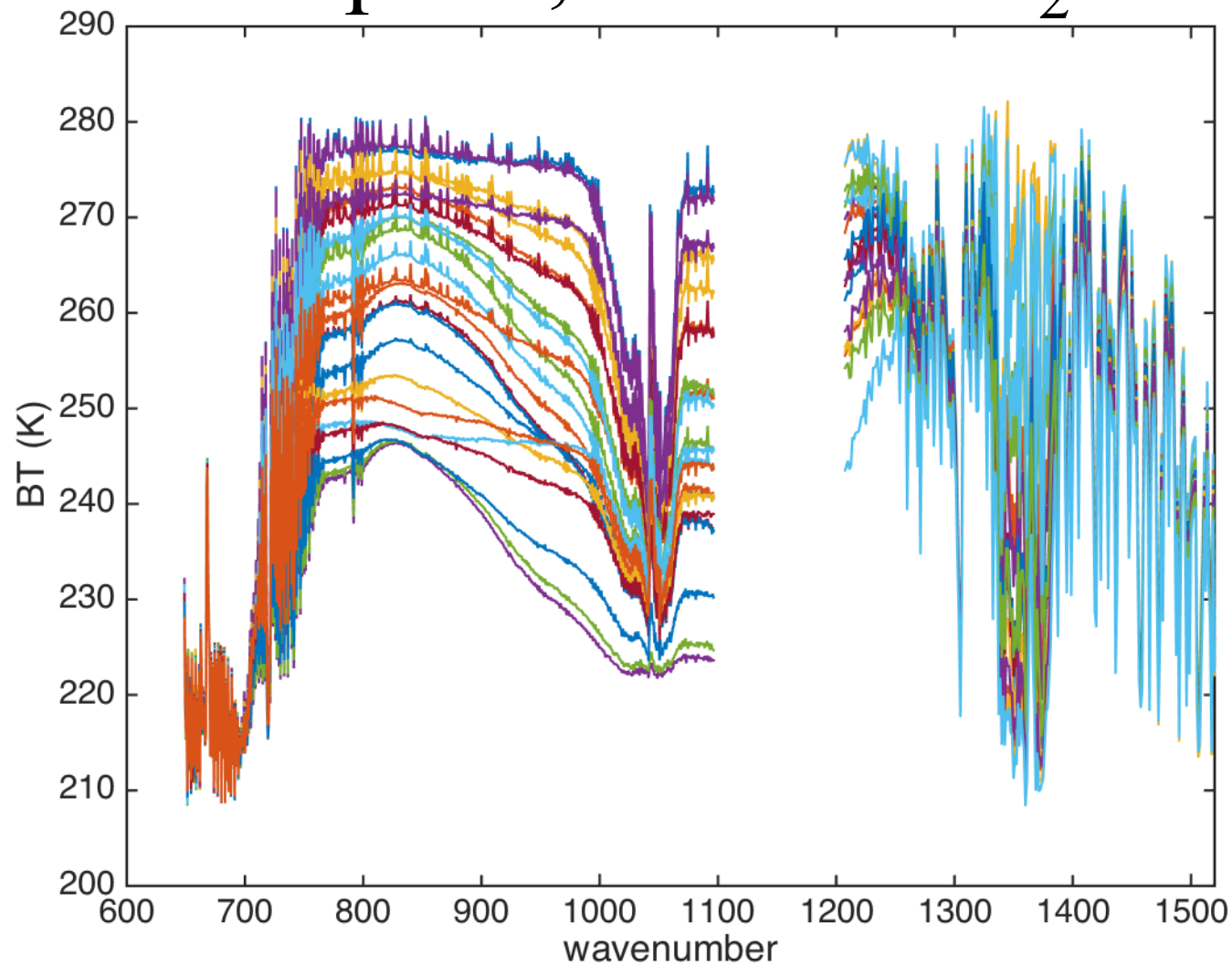
  - Silicate index *increases*

  - Ice index *decreases*

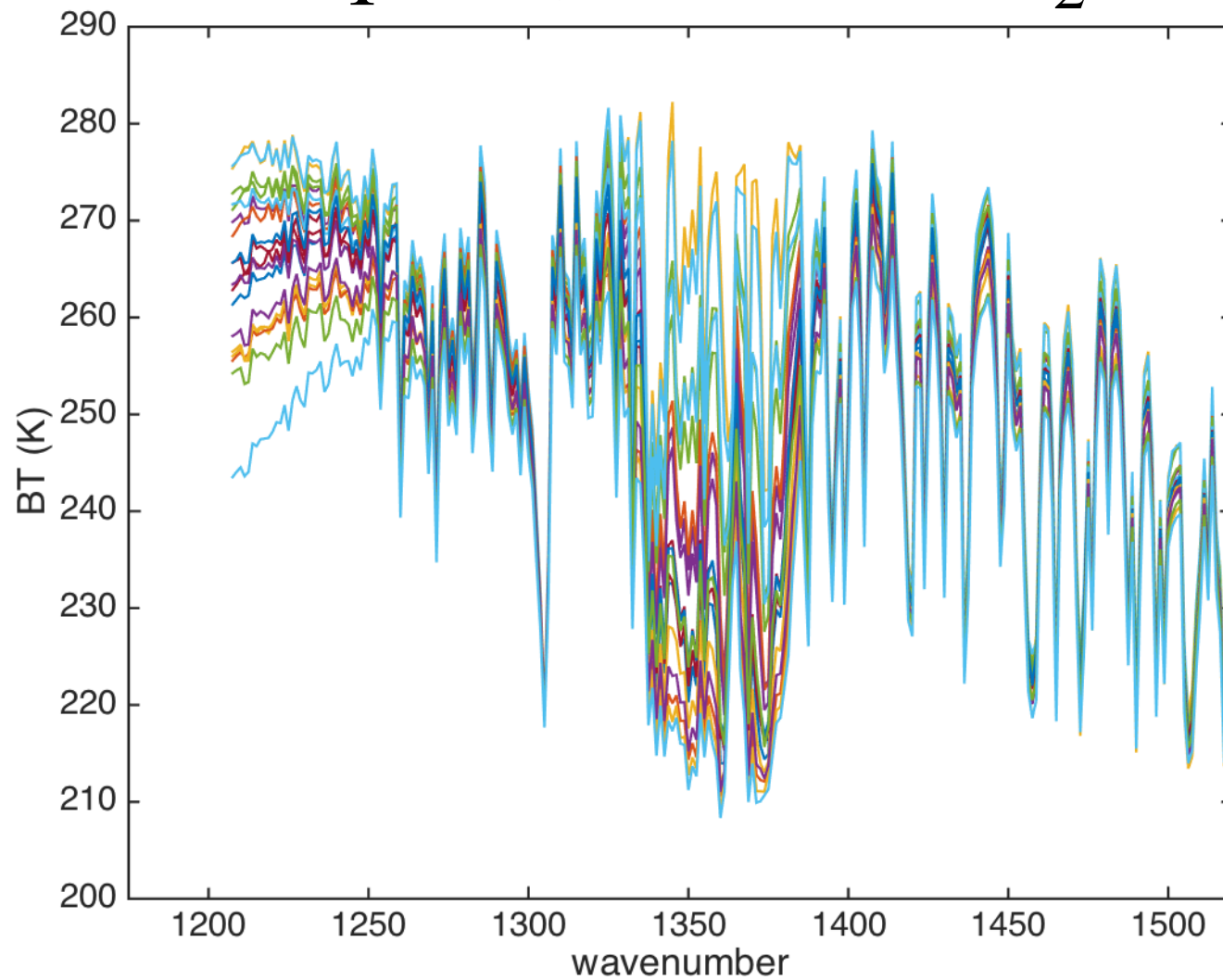
  - with wavenumber

Volz, F.E. : Infrared optical constant of ammonium sulphate, Sahara Dust, volcanic pumice and flash, Appl Optics **12** 564-658 (1973)

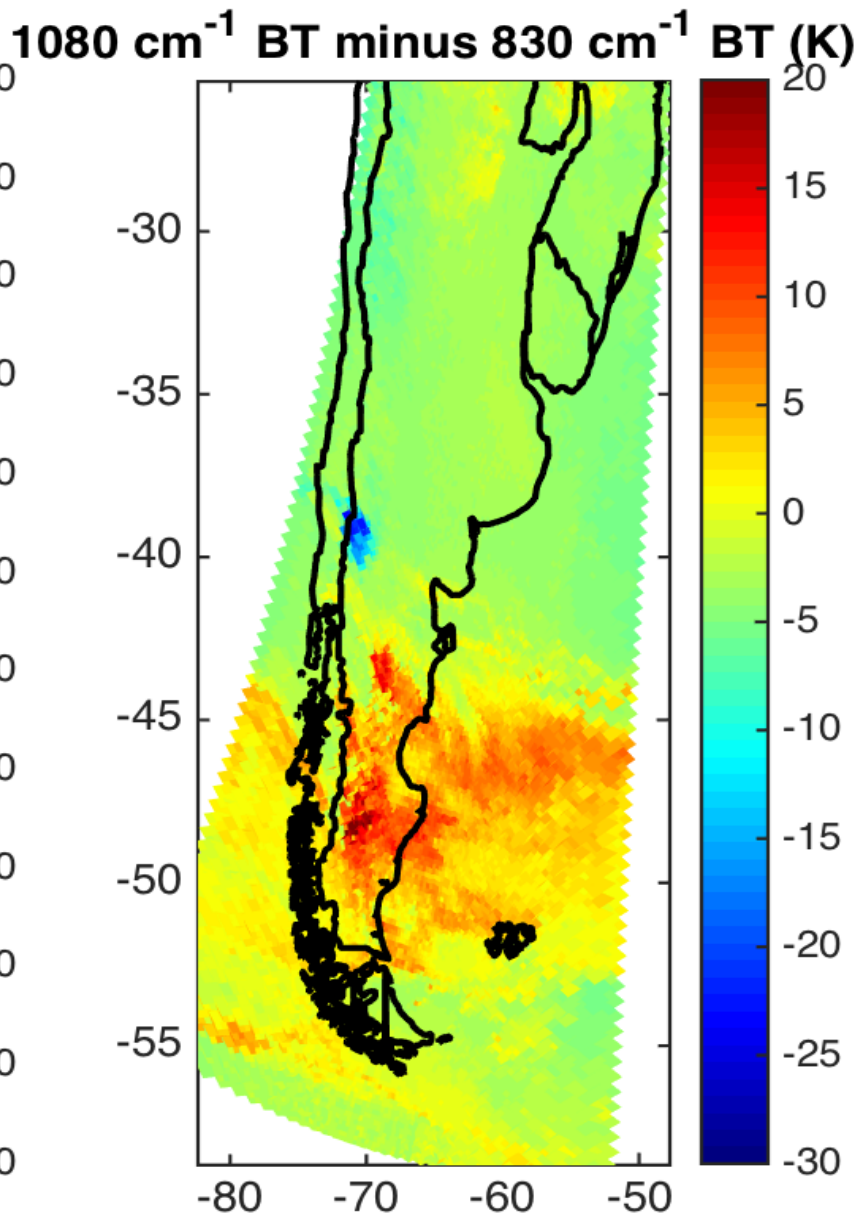
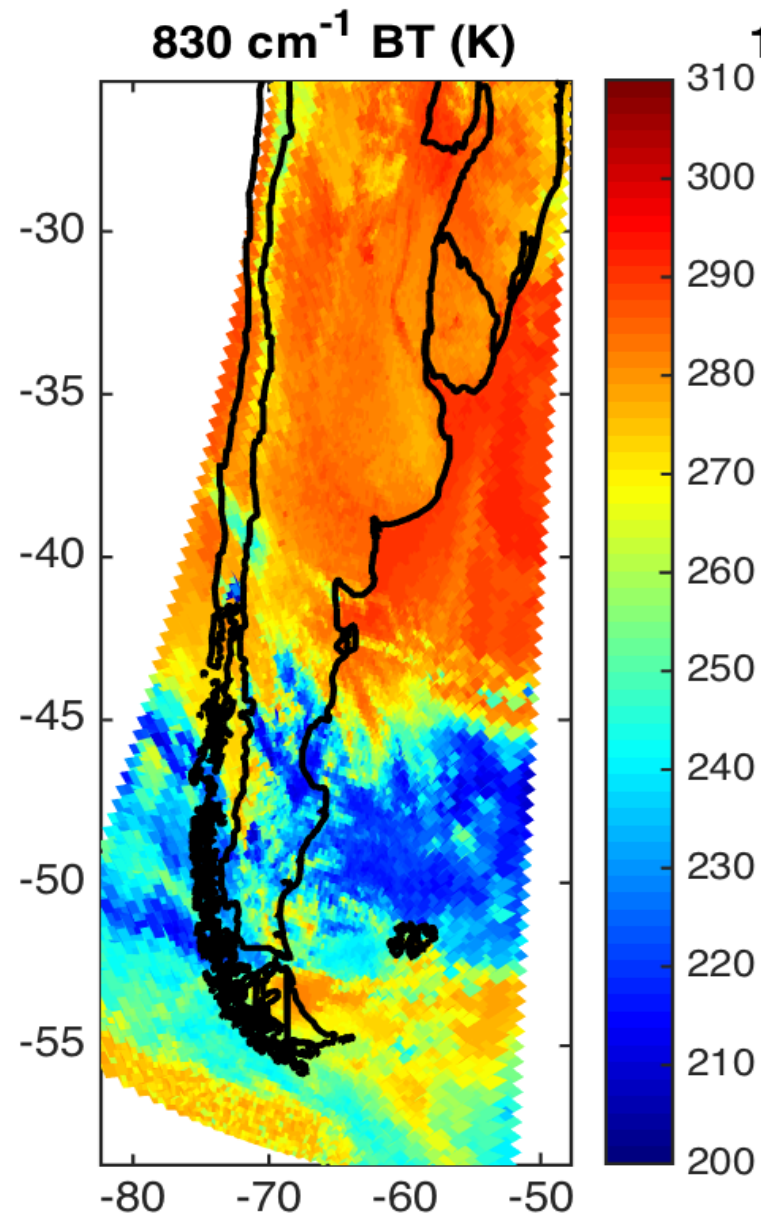
# Example spectra of plume north of eruption, ash and SO<sub>2</sub>



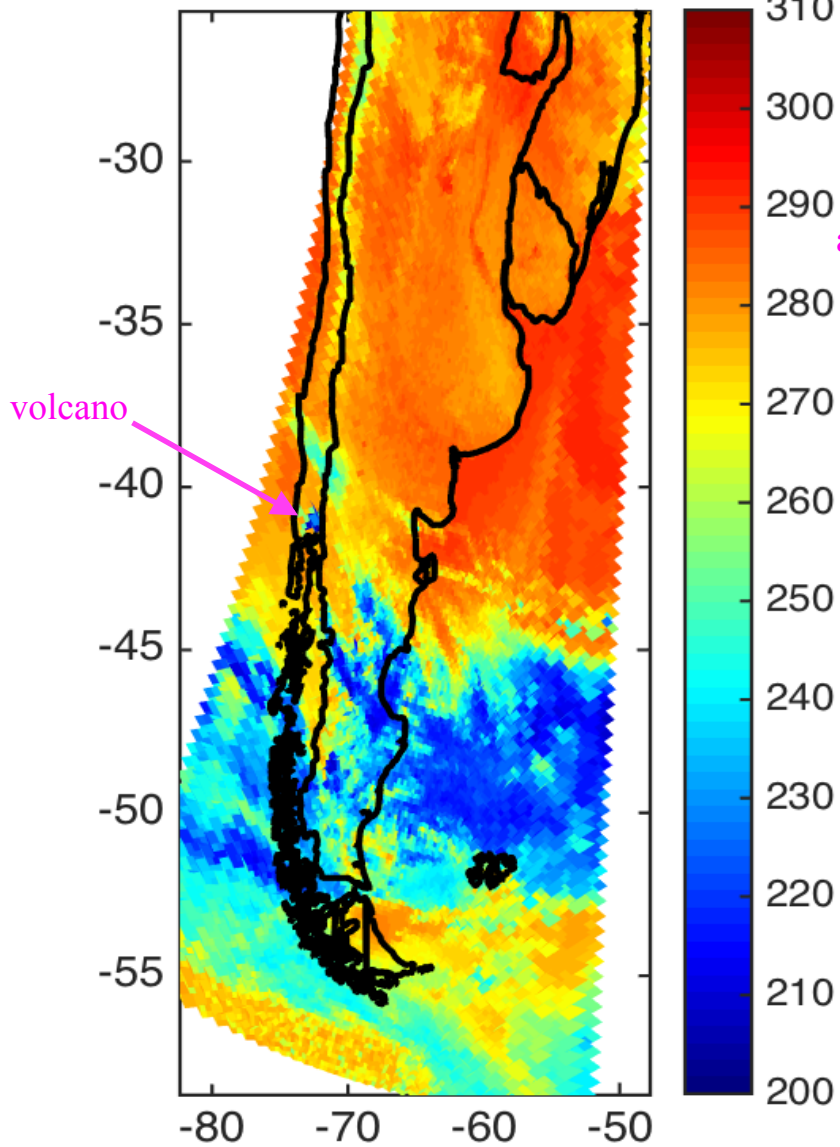
# Example spectra of plume north of eruption, ash and SO<sub>2</sub>



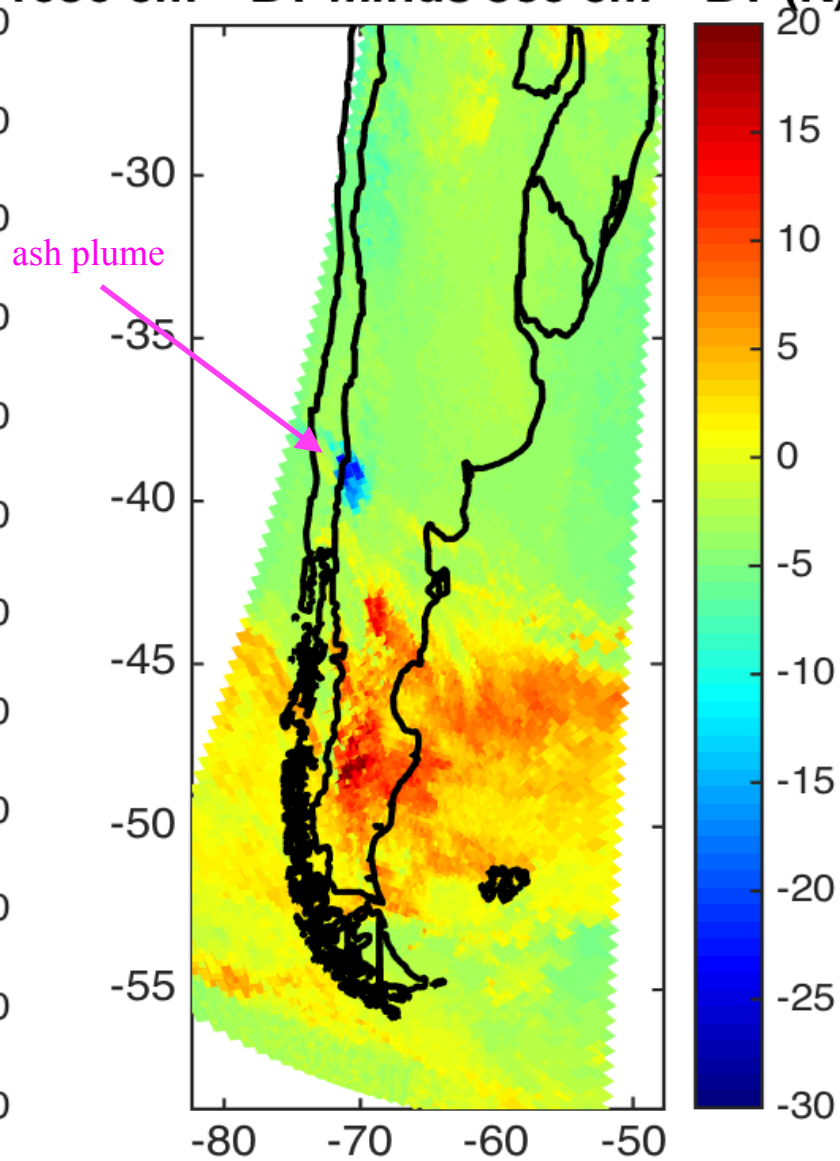




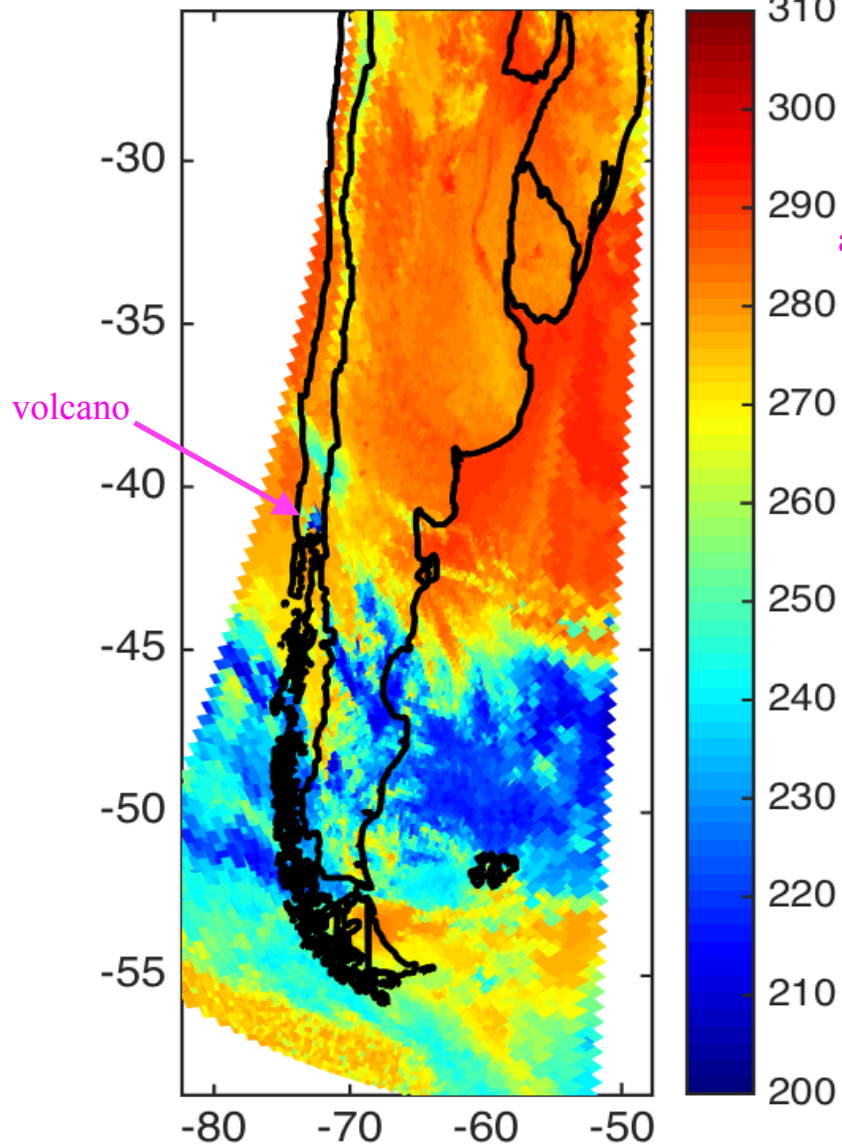
830  $\text{cm}^{-1}$  BT (K)



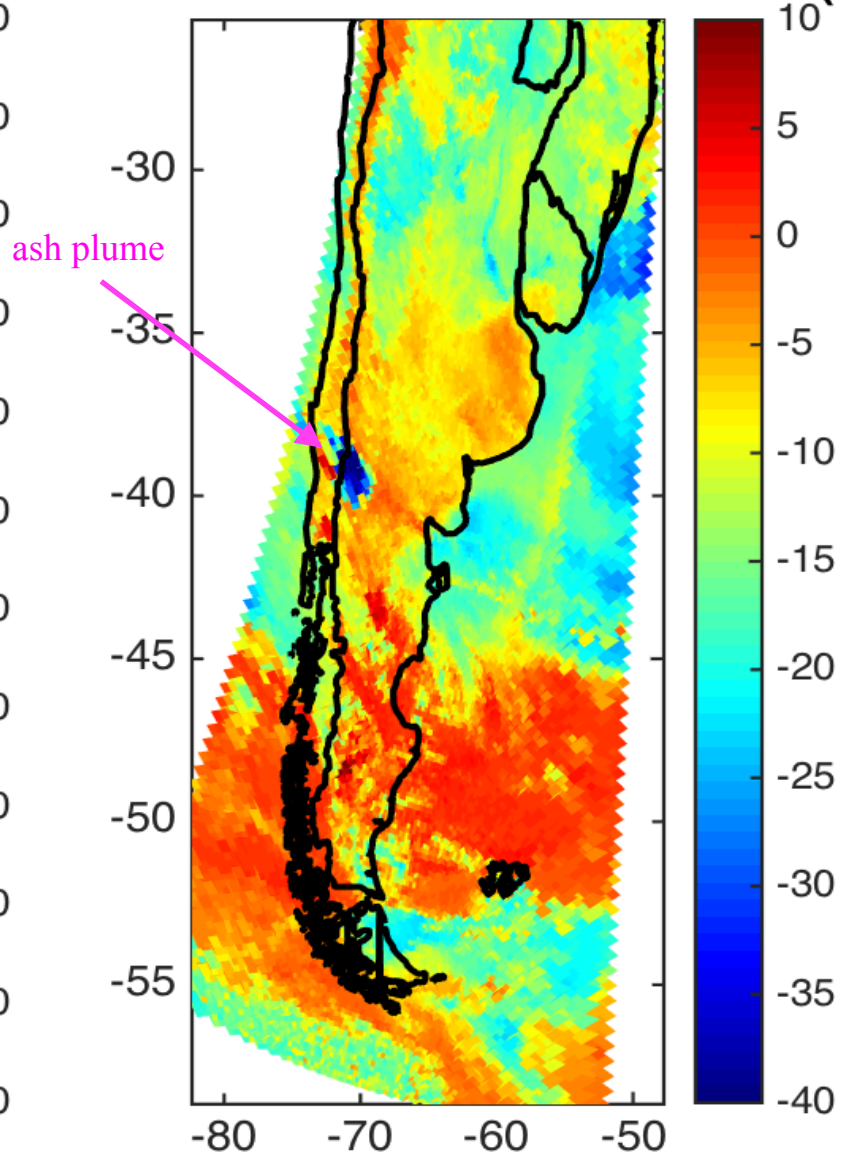
1080  $\text{cm}^{-1}$  BT minus 830  $\text{cm}^{-1}$  BT (K)



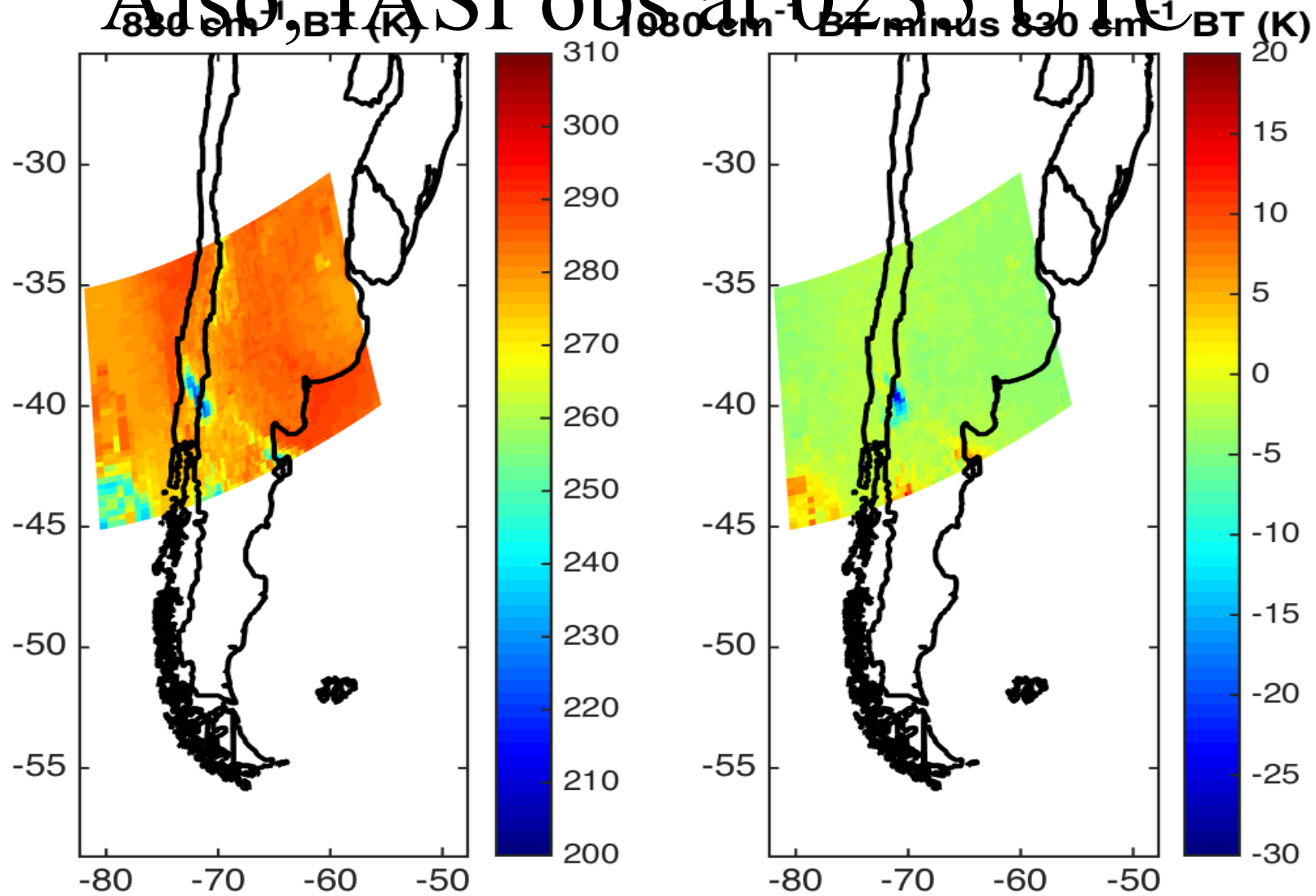
830  $\text{cm}^{-1}$  BT (K)



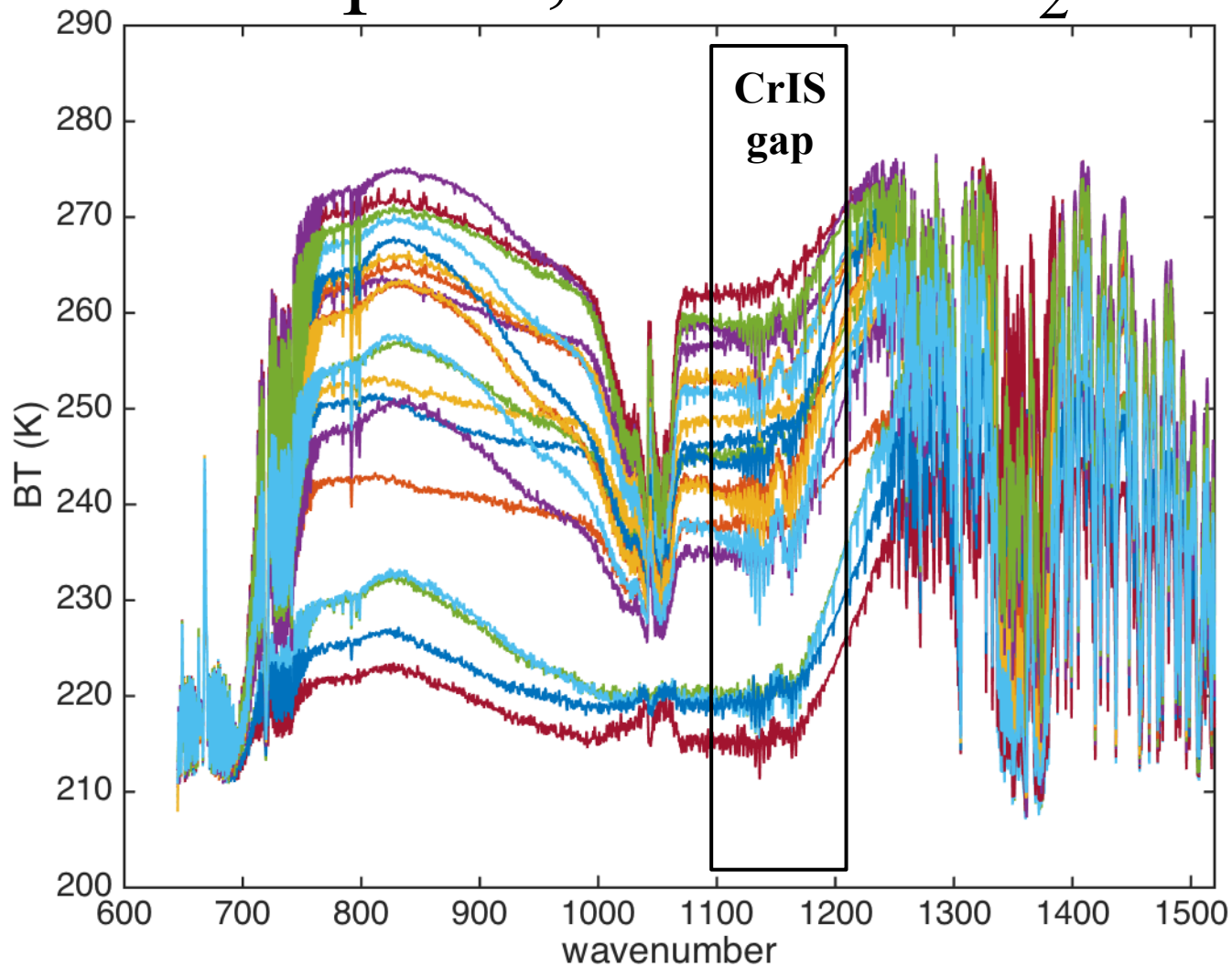
1371.25  $\text{cm}^{-1}$  BT minus 830  $\text{cm}^{-1}$  BT (K)

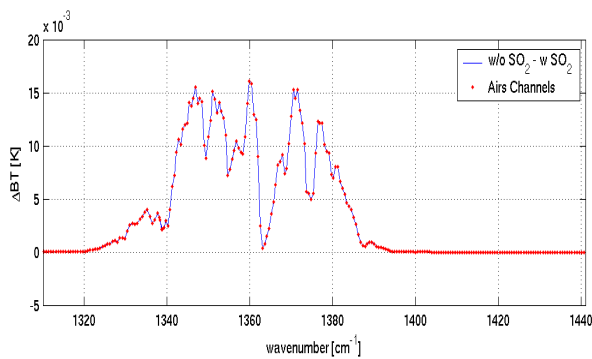


# Also, IASI obs at 0235 UTC



# Example spectra of plume north of eruption, ash and SO<sub>2</sub>





# Mt Etna volcanic plume

SO<sub>2</sub> (left) from 1284-1345  
Ash (right) from 832-900

