



Using Airborne High Spectral Resolution Lidar Data to Evaluate Combined Active/Passive Retrievals of Aerosol Extinction Profiles

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CALIPSO Project

See Burton et al. (2009) submitted to JGR



Background and Motivation



Aerosol Backscatter and Extinction Retrievals

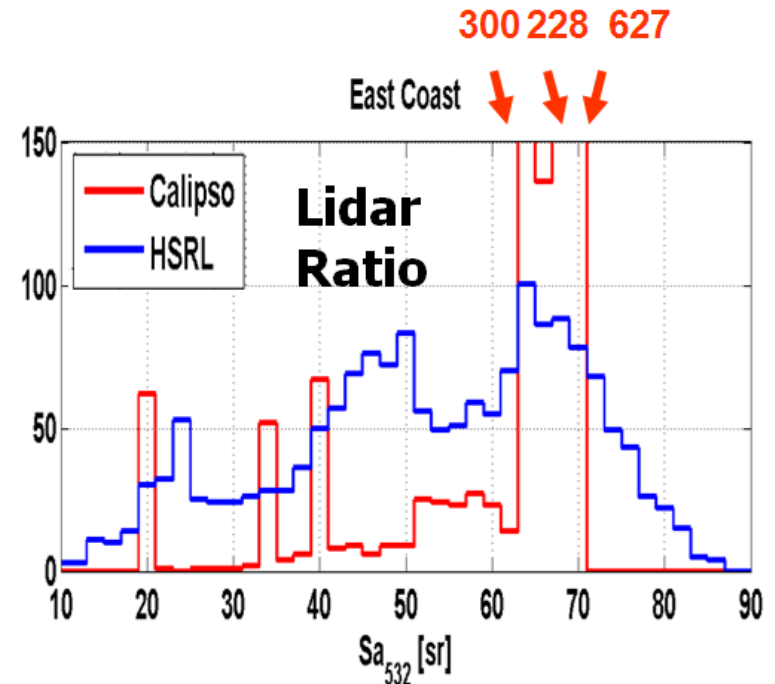
- CALIOP retrievals of aerosol backscatter and extinction profiles rely on accurately specifying the extinction/backscatter ("lidar") ratio
- CALIOP algorithms use location, altitude, integrated backscatter, depolarization to infer a specific aerosol type which is assigned a specific lidar ratio

Problems

- Inferred aerosol type may be incorrect
- Lidar ratios assigned to aerosol types may be incorrect
- Aerosols are often mixtures of aerosol types so that real distribution of lidar ratio is continuous, not discrete

Result

- -20% bias, 43% rms differences between CALIOP and HSRL lidar ratios
- 6% (backscatter) and -17% (extinction) bias differences between CALIOP and HSRL
- Bias errors are systematic and remain after averaging



See Ray Rogers et al. poster

Objective and Methodology



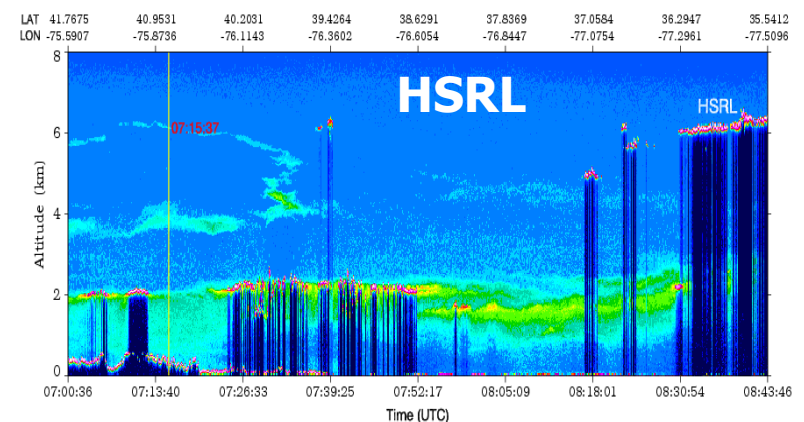
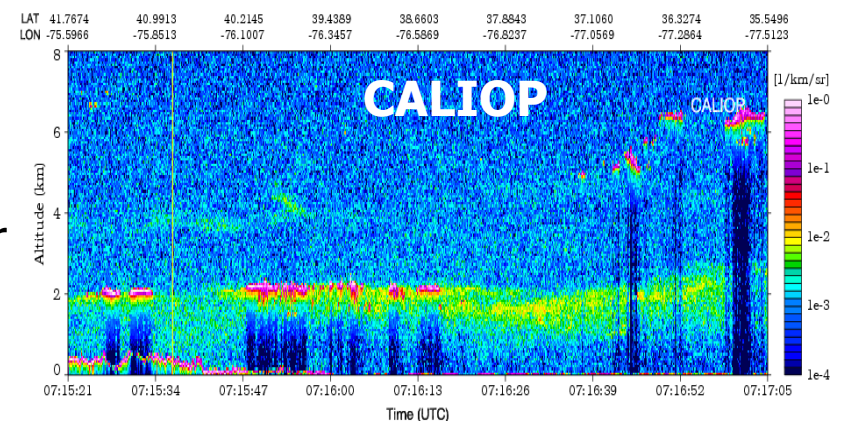
Objective

- Improve CALIPSO retrievals of aerosol backscattering and extinction using satellite (e.g. Aqua MODIS) measurements of aerosol optical thickness (AOT) as a constraint

Methodology

- Develop and test algorithm using attenuated backscatter data from NASA airborne High Spectral Resolution Lidar (HSRL) as a proxy for CALIOP data. HSRL data:
 - Provide high S/N, well calibrated backscatter data to test constrained retrievals
 - Examine vertical variability of lidar ratio
 - Evaluate satellite retrievals of AOT
 - Provide direct measurements of aerosol extinction to evaluate retrieval results
- Apply algorithms to combined CALIOP+Aqua MODIS data
- Evaluate resulting CALIOP+MODIS aerosol extinction profiles using HSRL as "truth"

532 nm attenuated backscatter



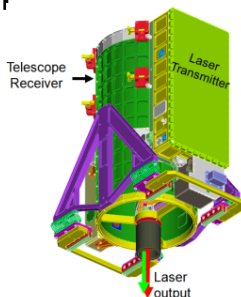


NASA Langley Airborne High Spectral Resolution Lidar (HSRL)



HSRL Technique:

- Relies on spectral separation of aerosol and molecular backscatter in lidar receiver
- Independently measures aerosol backscatter, extinction, and optical thickness
- Internally calibrated
- Provides **intensive** aerosol parameter to help determine aerosol type



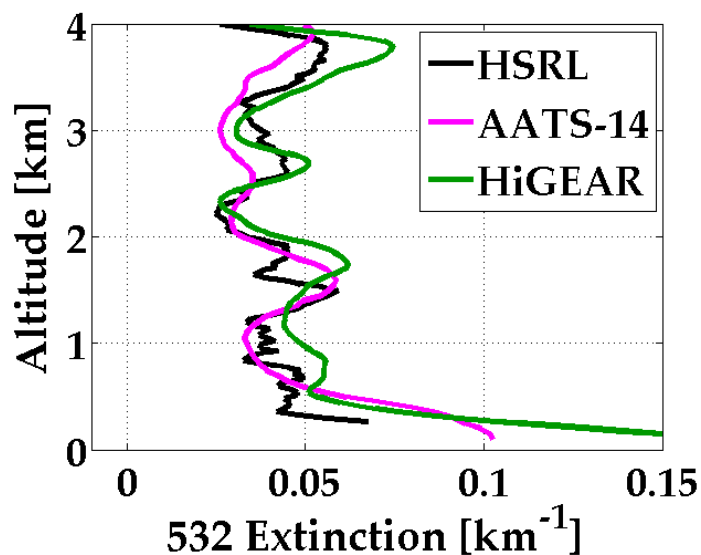
HSRL Aerosol Data Products:

- Scattering ratio (532 nm)
- Backscatter coefficient (532, 1064 nm)
- Extinction Coefficient (532 nm)
- **Backscatter Wavelength Dependence (532/1064 nm)**
- **Lidar ratio (532 nm)**
- **Depolarization (532, 1064 nm)**

For a description of system and technique, see Hair et al., *Appl. Optics*, 2008



Validation – aerosol extinction



AATS14 data from Jens Redemann
HiGEAR data from Tony Clarke



NASA Langley airborne High Spectral Resolution Lidar (HSRL) Field Campaigns

2006, 2007, 2008, 2009

ARCTAS 1 (NASA-DOE-NOAA)
April 1-20, 2008

ARCTAS 2 (NASA)
June 25 – July 14, 2008

CHAPS (DOE-NASA)
June 3-29, 2007
RACORO (DOE)
June 3-26, 2009

CALIPSO/MODIS/CATZ (NASA)
January 17– Aug 11, 2007

CCVEX (NASA)
June 14 – Aug 10, 2006

San Joaquin Valley (EPA)
February 8-21, 2007

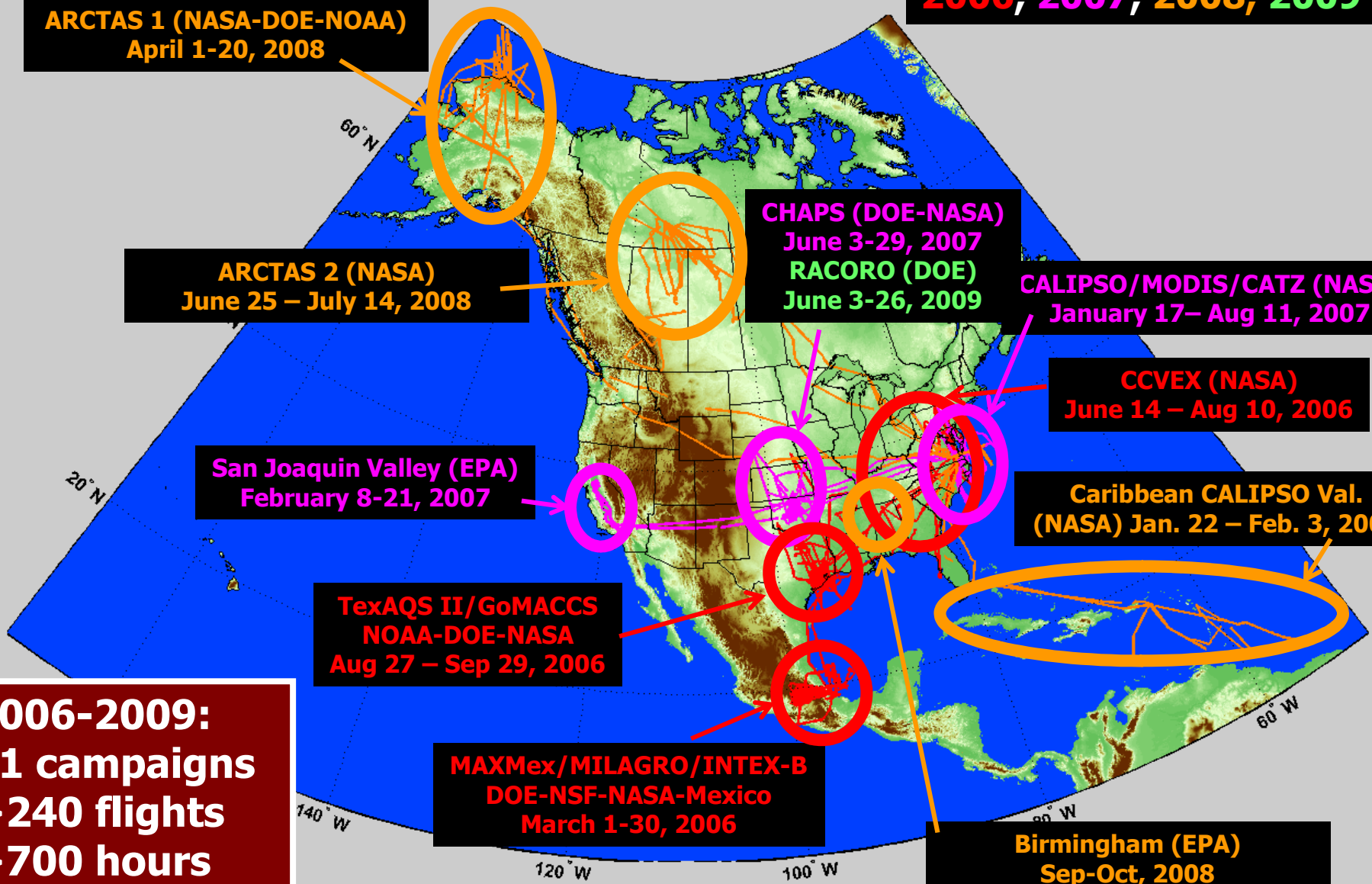
Caribbean CALIPSO Val.
(NASA) Jan. 22 – Feb. 3, 2008

TexAQS II/GoMACCS
NOAA-DOE-NASA
Aug 27 – Sep 29, 2006

2006-2009:
11 campaigns
>240 flights
>700 hours

MAXMex/MILAGRO/INTEX-B
DOE-NSF-NASA-Mexico
March 1-30, 2006

Birmingham (EPA)
Sep-Oct, 2008



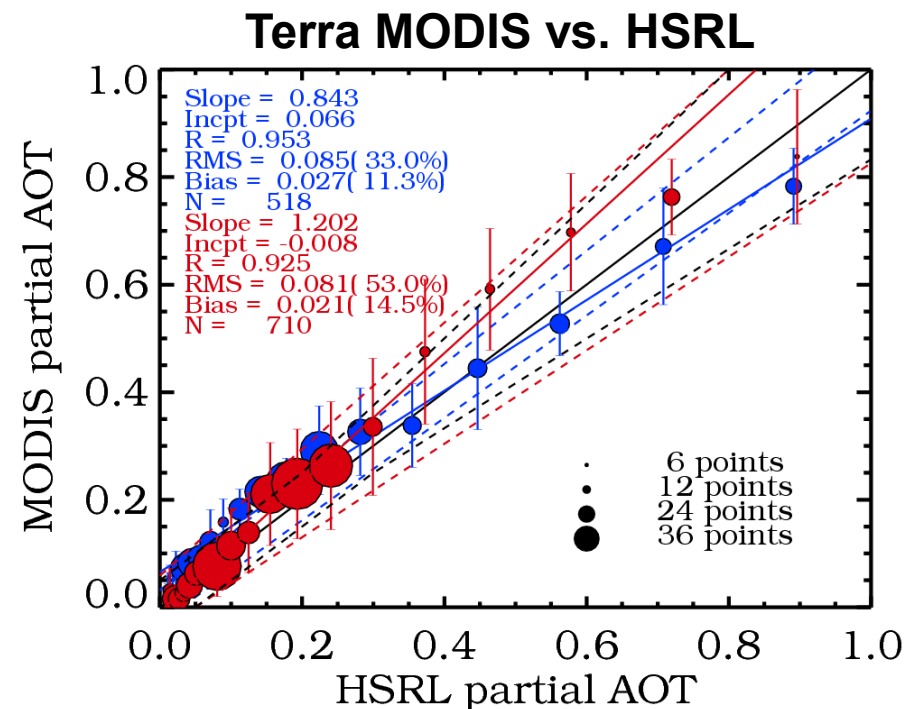
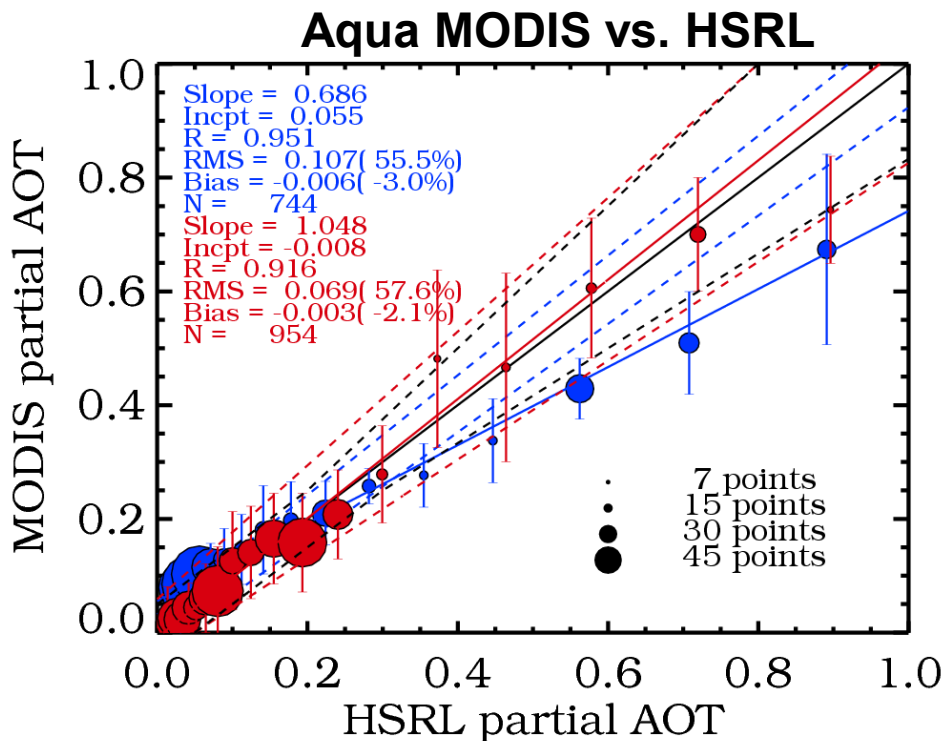


Are satellite AOT retrievals sufficiently accurate to use as a constraint?

Assessment of satellite AOT using HSRL AOT



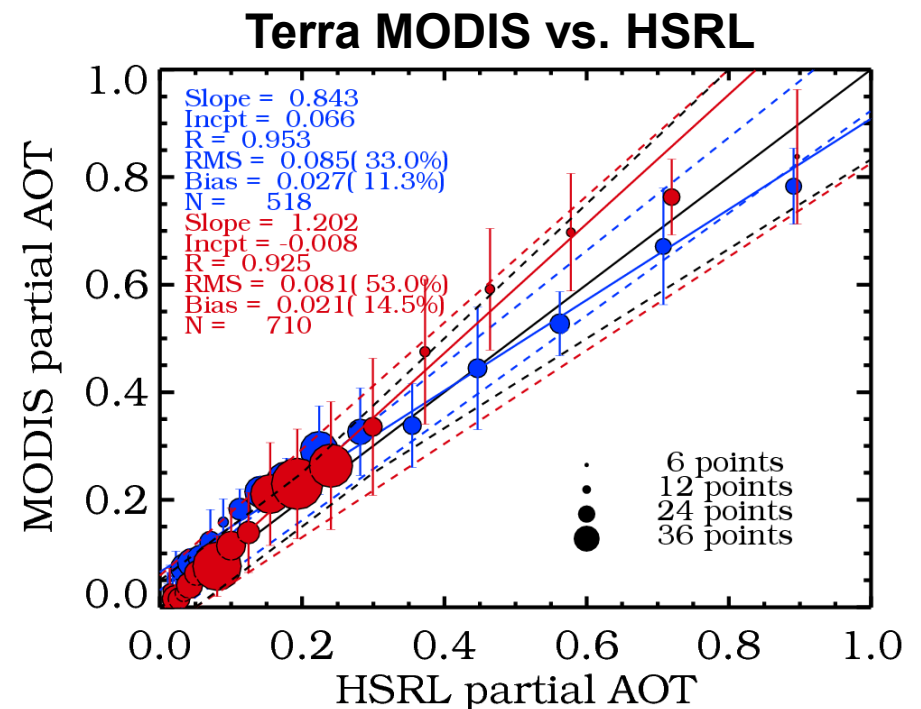
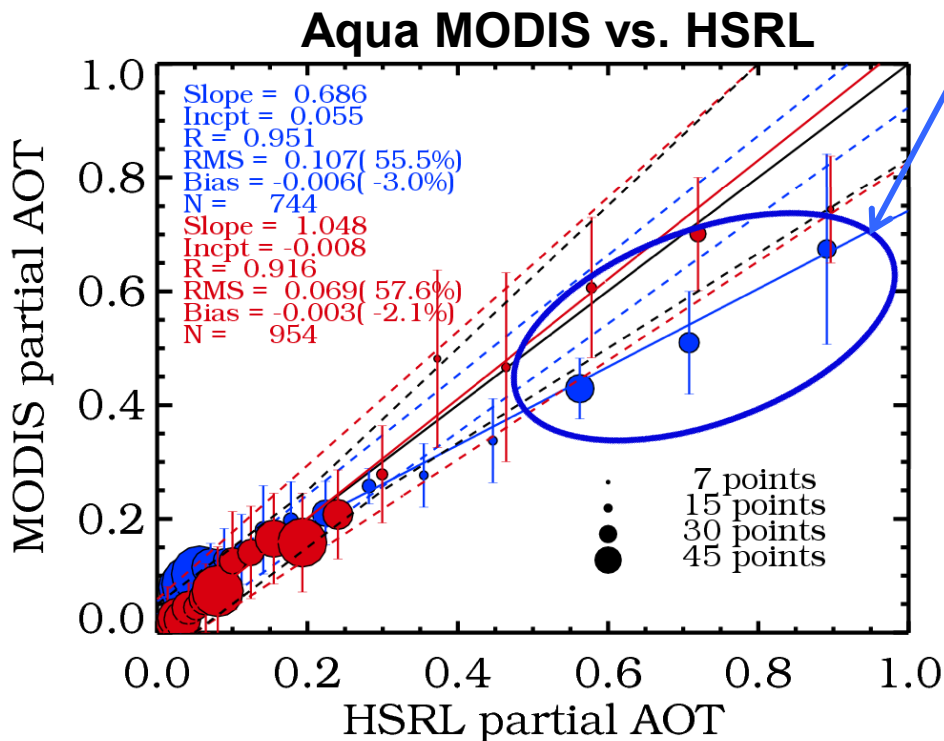
- Methodology – use HSRL AOT to evaluate satellite AOT
 - Mar 2006 – Feb 2008 cases
 - # HSRL flights: 64 Aqua MODIS, 64 Terra MODIS, 20 MISR
- Results
 - Land – at least 60% of cases had differences within $\pm 0.05 \pm 0.15$ AOT
 - Water - at least 50% of cases had differences within the larger of 0.05 or 20%
- Most satellite AOT values agree with HSRL AOT values within uncertainty estimates



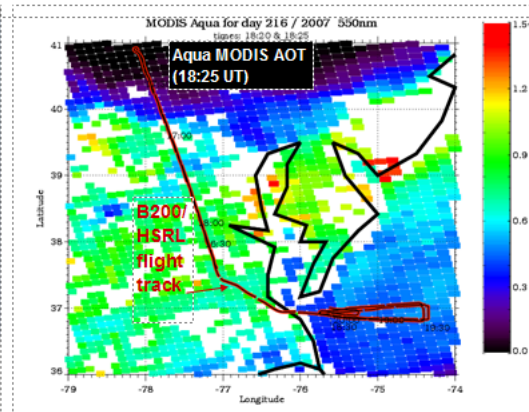
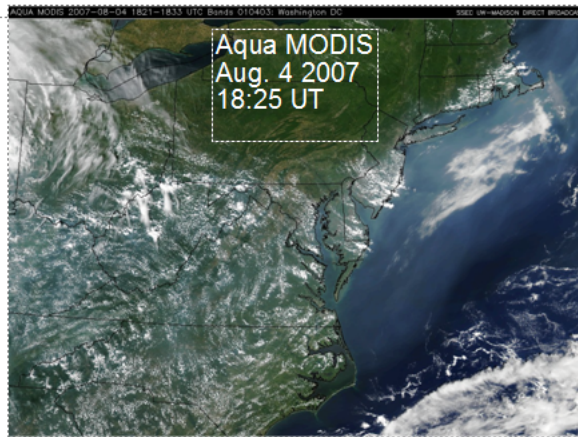
Assessment of satellite AOT using HSRL AOT



- **Methodology** – use HSRL AOT to evaluate satellite AOT
 - Mar 2006 – Feb 2008 cases
 - # HSRL flights: 64 Aqua MODIS, 64 Terra MODIS, 20 MISR
- **Results**
 - **Land** – at least 60% of cases had differences within $\pm 0.05 \pm 0.15$ AOT
 - **Water** - at least 50% of cases had differences within the larger of 0.05 or 20%
 - **Over water- Aqua MODIS** sometimes has large low bias



HSRL Measurements used to evaluate satellite retrievals of aerosol optical depth – August 4, 2007

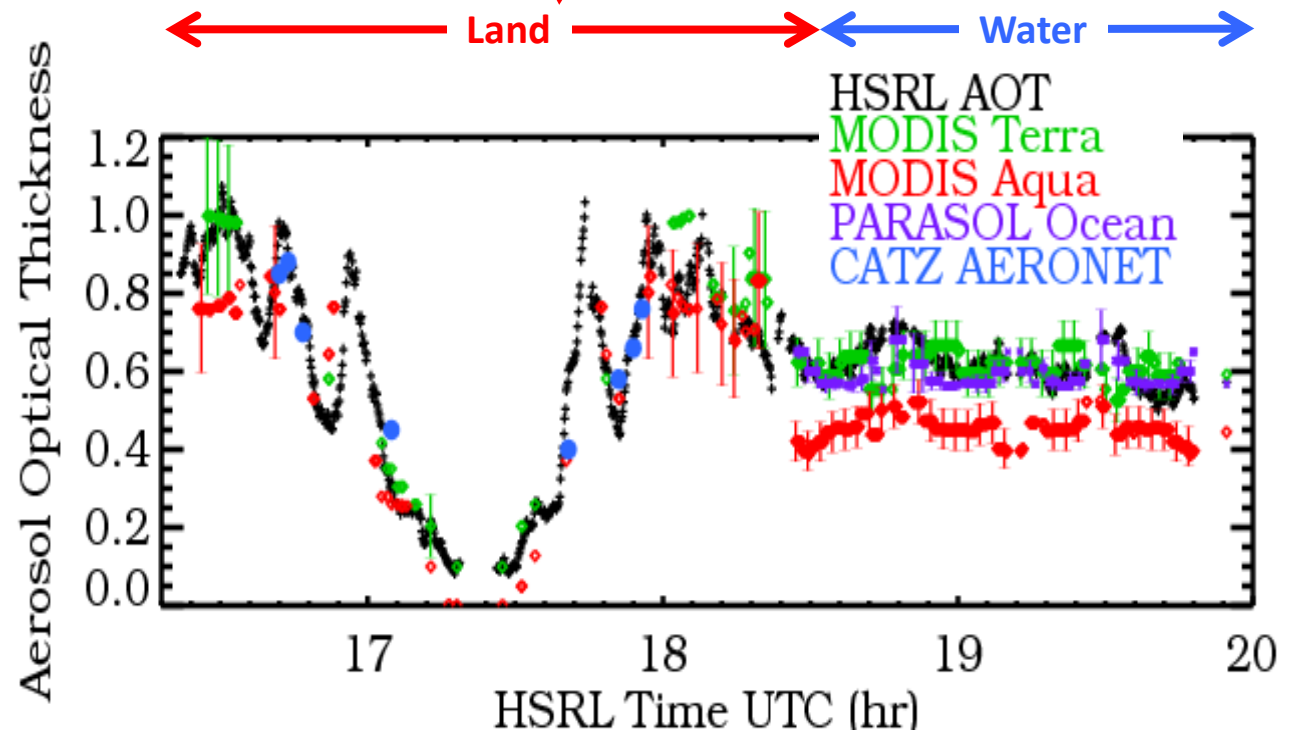
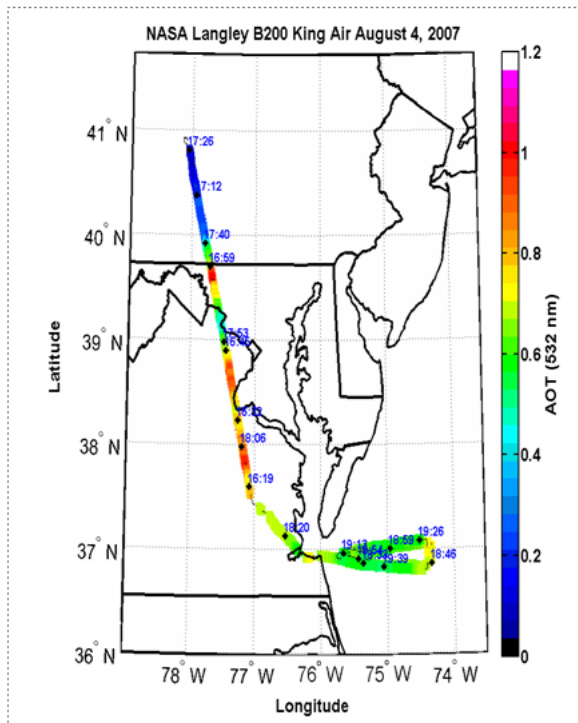


AOT Over land:

- Terra and Aqua MODIS, HSRL, and AERONET agree

AOT over water:

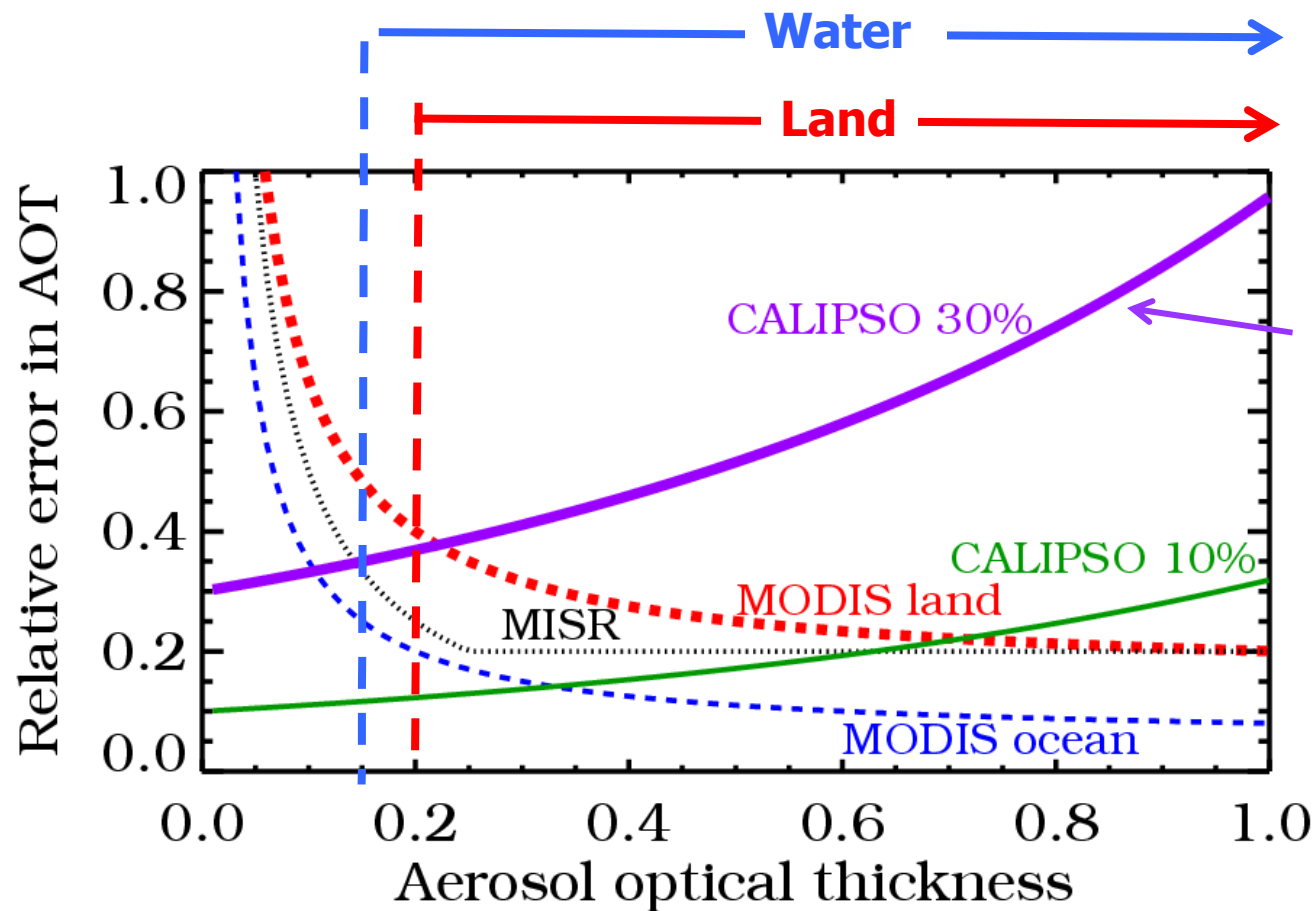
- Terra MODIS, PARASOL, and HSRL agree
- Aqua MODIS AOT about 0.2 (33%) low



Satellite AOT requirements for constrained retrievals



- At low AOT values, satellite retrievals of AOT have large relative uncertainties
MODIS AOT uncertainty = $(\pm 0.05 \pm 0.15 \text{ AOT})$ (Land)
 $(\pm 0.03 \pm 0.05 \text{ AOT})$ (Water) (Remer et al., 2005)
- Therefore, retrievals using satellite AOT as constraint are limited to higher AOT cases:
 $\text{AOT} > 0.2$ (land), $\text{AOT} > 0.15$ (water)

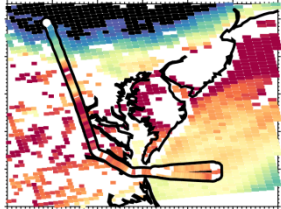


CALIOP error due to uncertainty in lidar ratio (Winker et al., 2009)

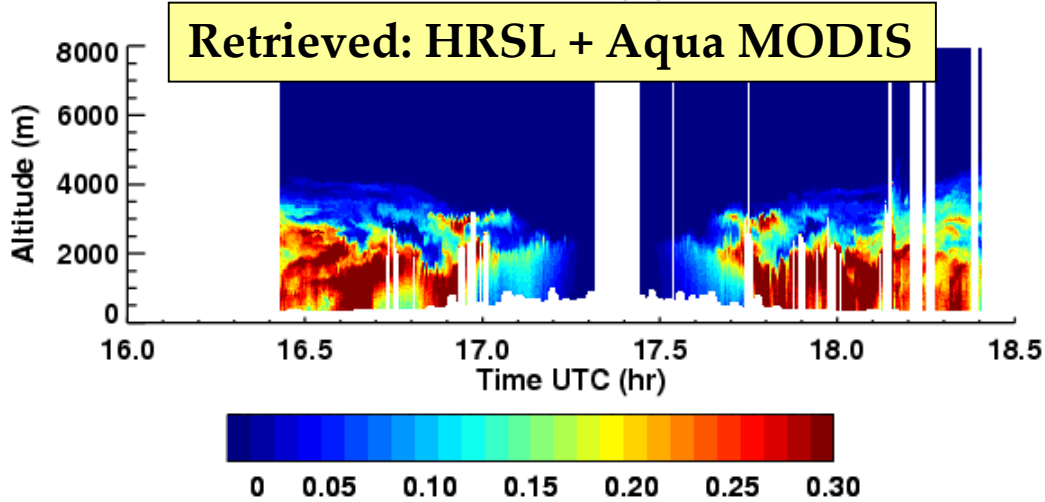
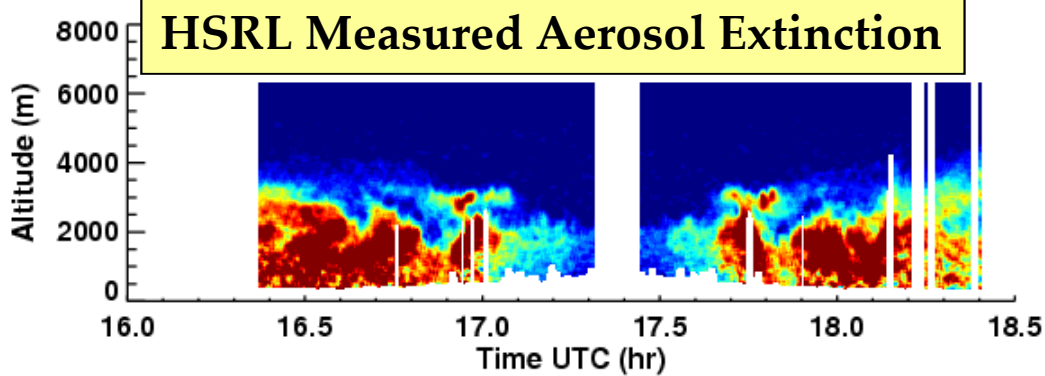


**Retrievals of aerosol extinction profiles using
HSRL attenuated backscatter profiles
constrained by satellite AOT**

Aerosol Extinction Retrieval Example

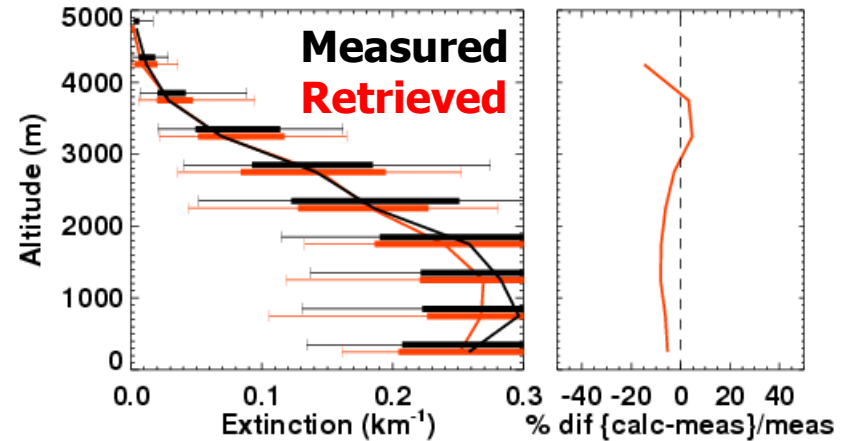


August 4, 2007, Land

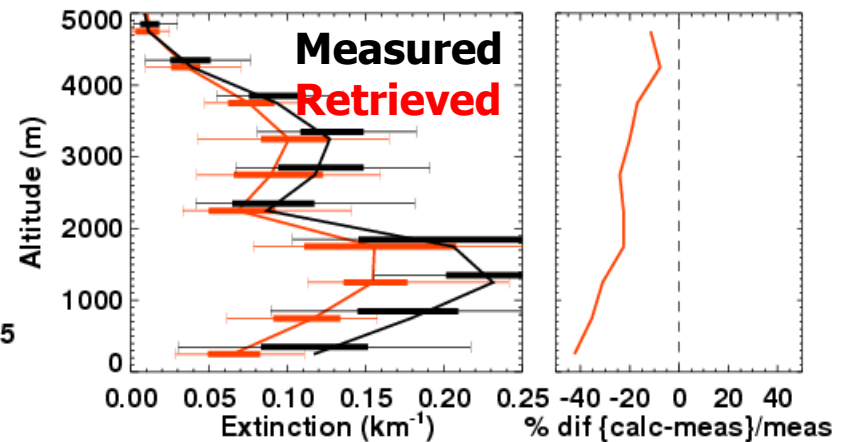


Extinction (km^{-1})

Median profile, Land



Median profile, Water



Constrained Retrieval using HSRL Backscatter – Results

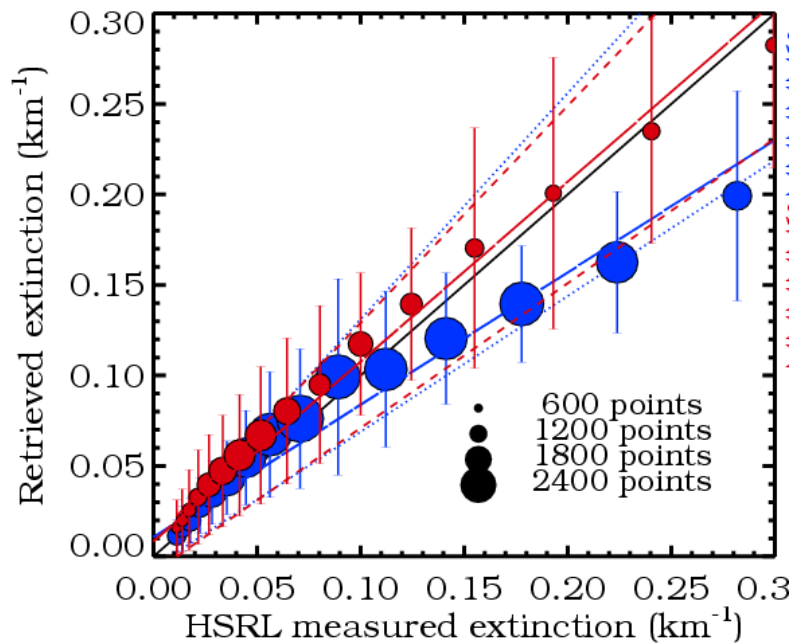


When compared to HSRL, two-thirds of retrievals are within
 $\sim \pm 0.01 \pm 20\%$

Aqua MODIS

$\pm 0.0061 \text{ km}^{-1} \pm 25\%$ (water)

$\pm 0.009 \text{ km}^{-1} \pm 20\%$ (land)



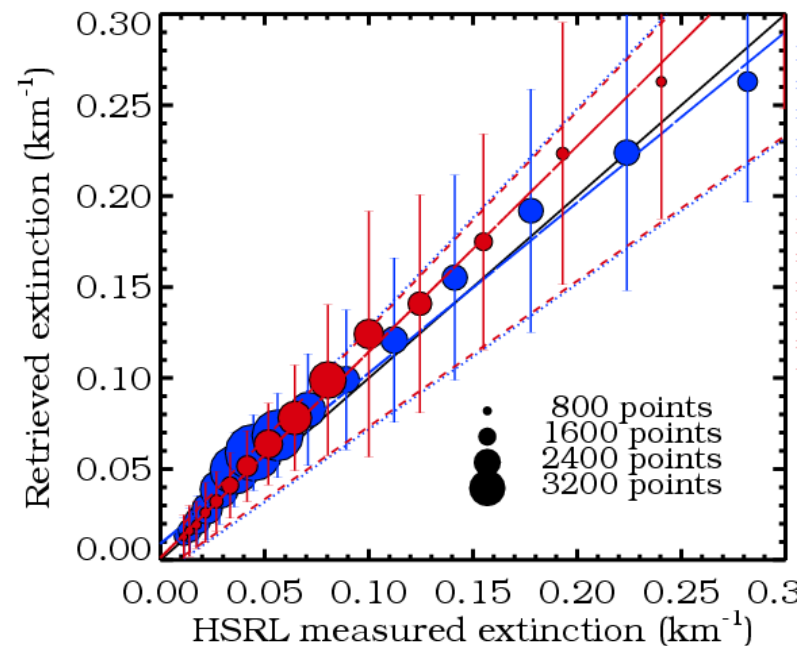
530 profiles from 44 flights

Results averaged to MODIS footprint

Terra MODIS

$\pm 0.0082 \text{ km}^{-1} \pm 20\%$ (water)

$\pm 0.0066 \text{ km}^{-1} \pm 20\%$ (land)



613 profiles from 39 flights

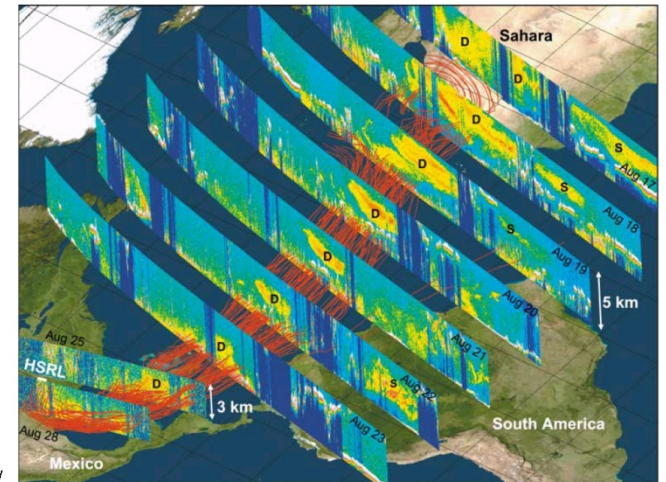
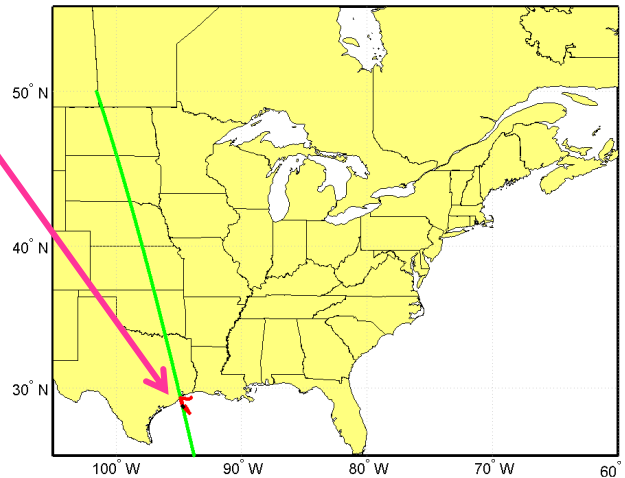


**Retrievals of aerosol extinction profiles using
CALIOP attenuated backscatter profiles
constrained by satellite AOT**

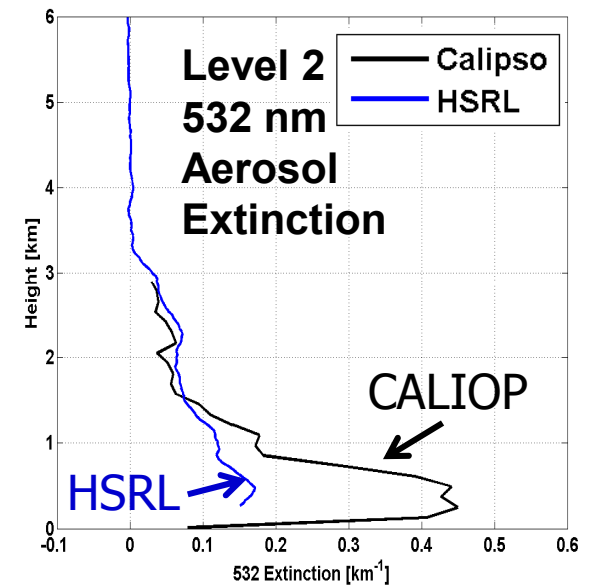
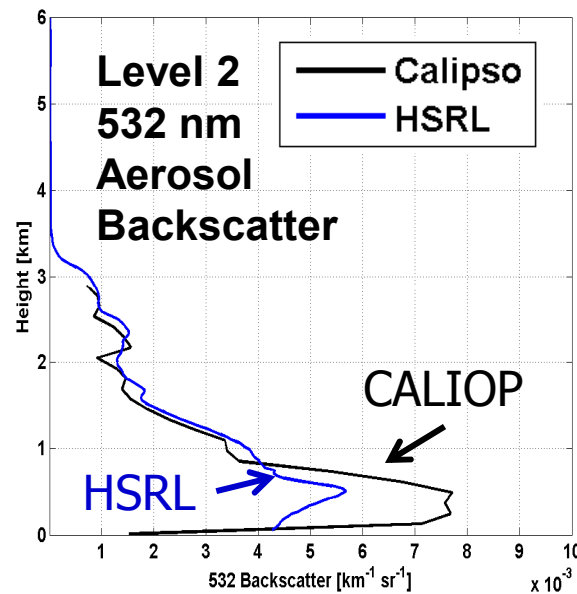
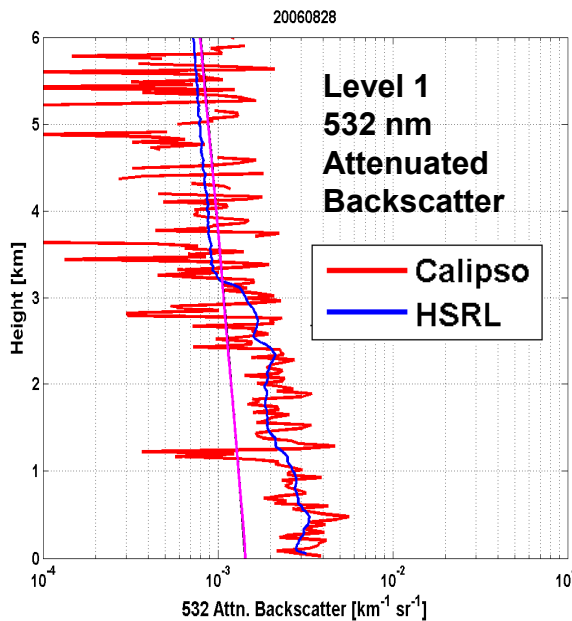
Constrained Retrieval using CALIOP Backscatter Example – August 28, 2006



- HSRL flight near Houston observed Saharan dust (Liu et al., JGR, 2007)
- HSRL and CALIOP profiles
 - CALIOP Level 1 attenuated backscatter profile in good agreement with HSRL
 - CALIOP Level 2 backscatter and extinction profiles significantly larger than profiles measured by HSRL



Liu et al. JGR, 2007

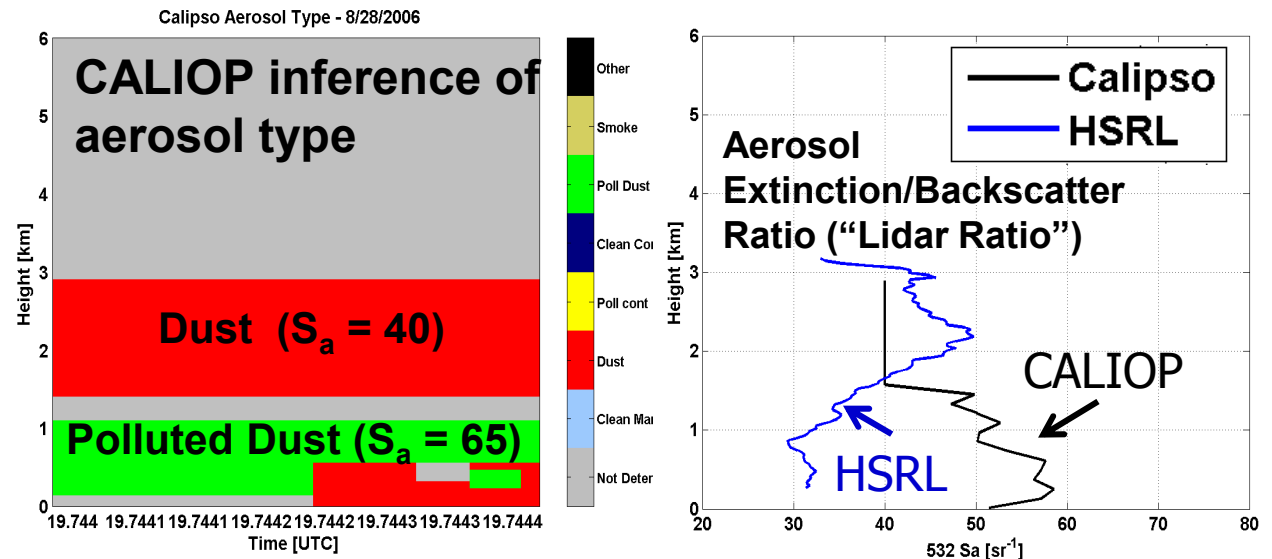


Constrained Retrieval using CALIOP Backscatter Example – August 28, 2006

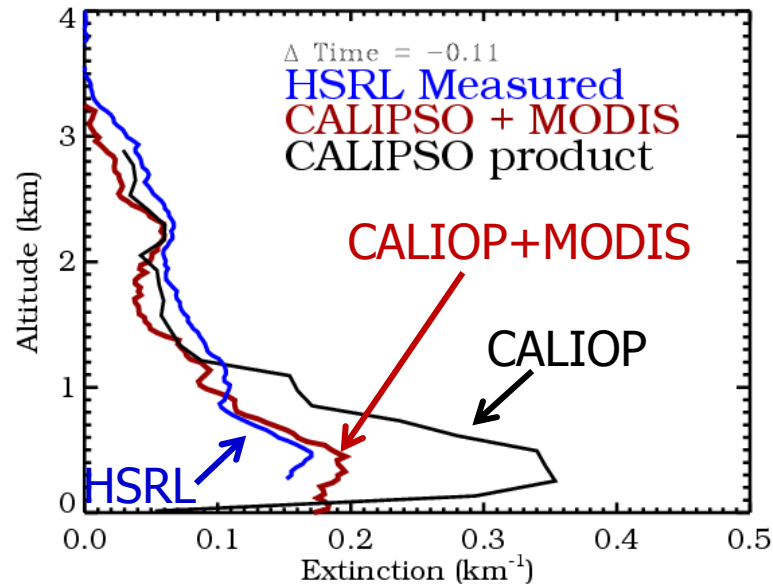


– CALIOP overestimates aerosol backscatter and extinction in the lowest layer due to incorrect assignment of aerosol extinction/backscatter (“lidar”) ratio

- CALIOP chooses “polluted dust” ($S_a = 65$)
- HSRL data indicate mixture of dust and maritime ($S_a \sim 30-40$)



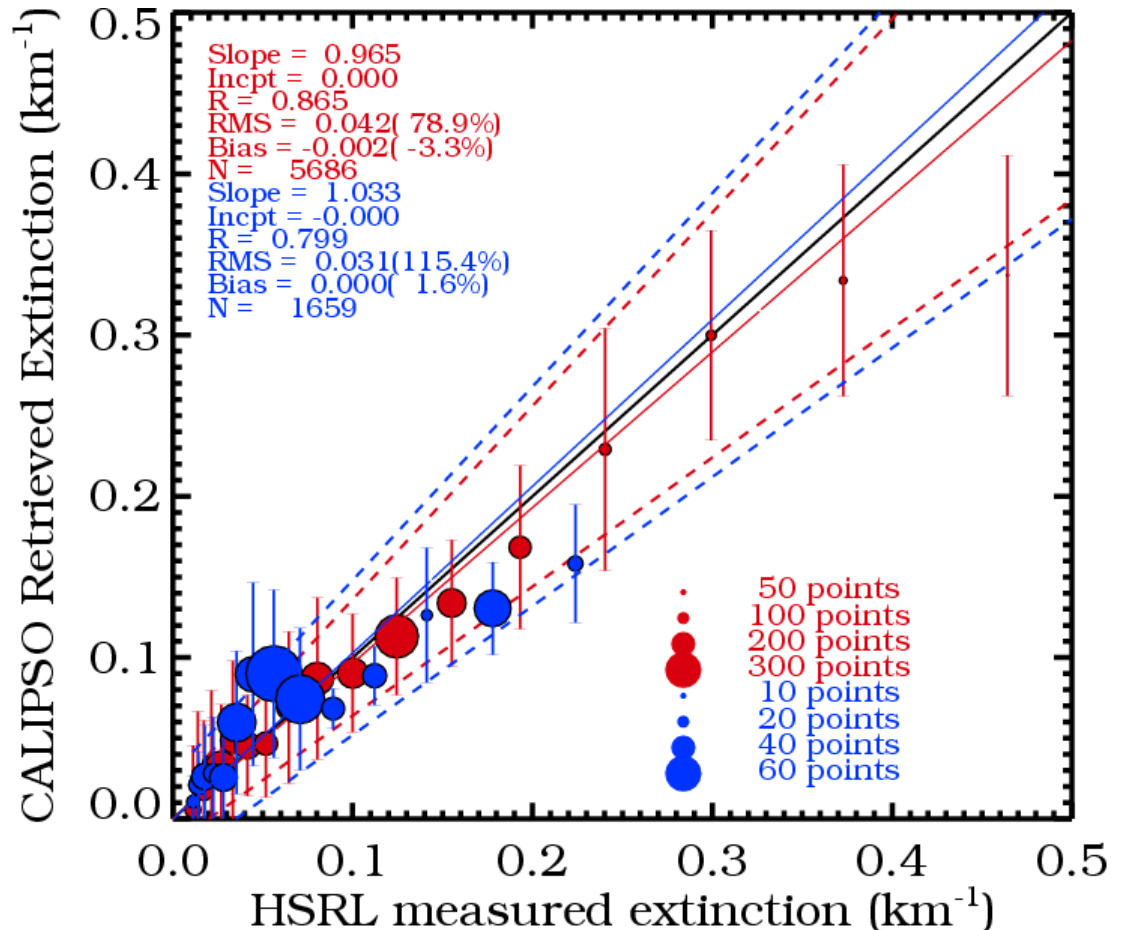
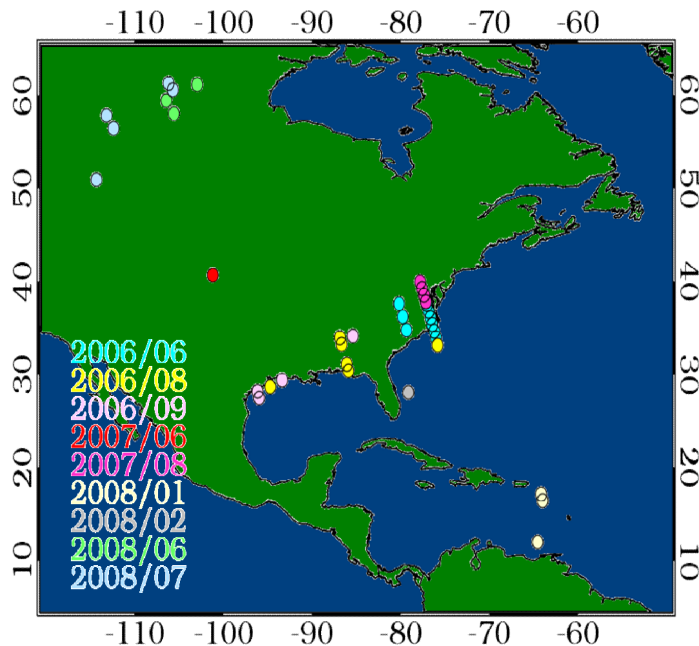
**CALIOP+MODIS
retrieval produced
aerosol extinction
profile in much better
agreement with HSRL**



CALIPSO + MODIS Retrieval



- Extinction retrieved via CALIOP+MODIS evaluated using HSRL
- 18 flight dates, **28 profiles over land**, **9 profiles over water**
- 80 km resolution
- Agreement with HSRL for two-thirds of points:
 $\pm 0.016 \text{ km}^{-1} \pm 20\%$ (land)
 $\pm 0.028 \text{ km}^{-1} \pm 20\%$ (water)





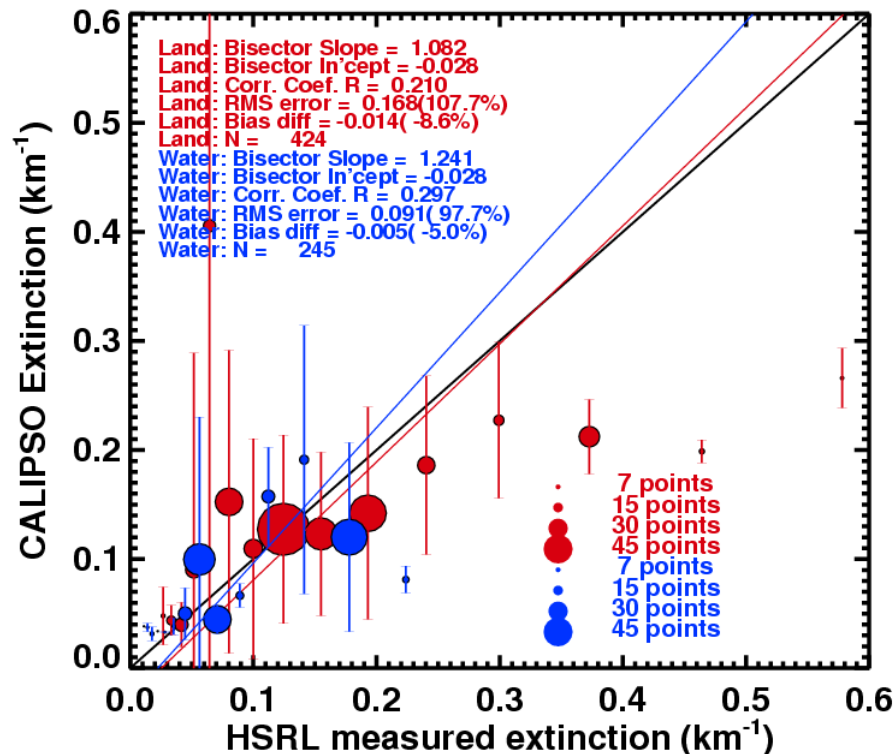
**How does the CALIOP+MODIS technique
compare with CALIOP provisional
(Version 2) retrievals?**

CALIPSO + MODIS Retrieval

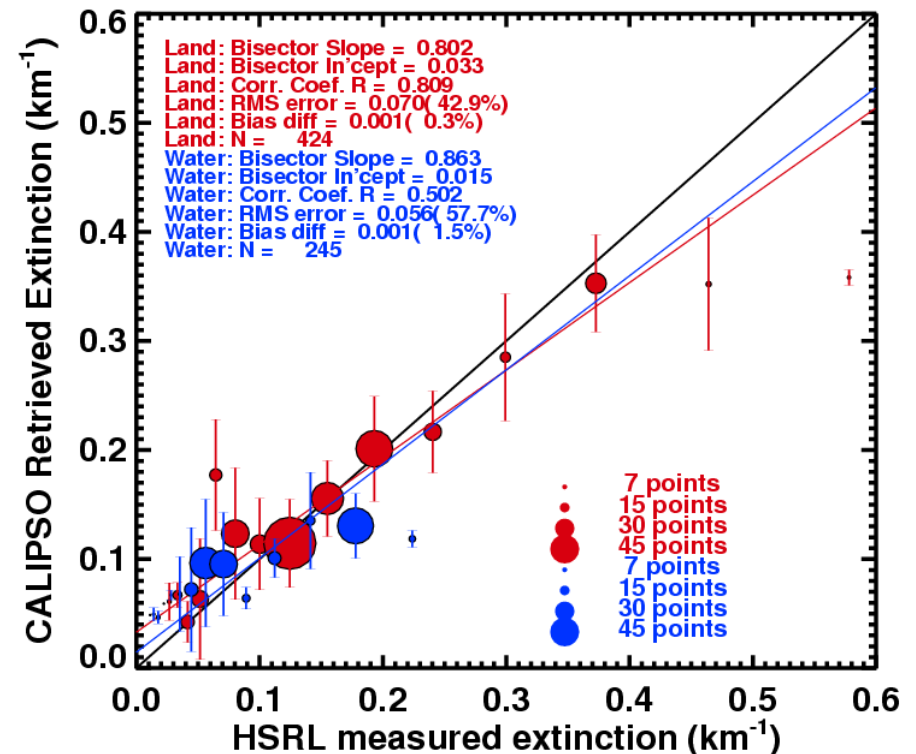


- 12 flights, **13 profiles over land**, **5 over water**, 80 km resolution
- When compared to corresponding CALIOP provisional level two extinction profiles using HSRL measured extinction as truth, constrained (CALIOP+MODIS) retrievals produced
 - rms differences 60% smaller over land (0.07 vs. 0.17 km^{-1})
 - smaller bias differences
 - higher correlation coefficients (r increased from 0.21 to 0.81 over land)

CALIOP V2 Provisional Product



CALIOP+MODIS AOT



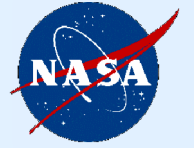


What's next?

Ongoing and Future Retrieval Studies



- Evaluate CALIOP+MODIS retrievals using CALIOP version 3 products (Ferrare, Burton et al.)
- Continue work on advanced CALIOP retrievals
 - CALIOP+MODIS+PARASOL over land (Ferrare, Burton et al.)
 - extinction, lidar ratio, fine mode fraction
 - CALIOP+MODIS over water (Remer, Borda, Martins et al.)
 - extinction, lidar ratio, fine mode fraction
 - CRAM, E-CRAM (Hostetler, Ferrare, Reagan, McPherson et al.)
 - extinction profiles without reliance on additional satellite data
 - CALIOP+APS (Glory) (Hostetler, Ferrare, Cairns et al.)
 - extinction profiles using more accurate AOT and additional column constraints



Thank You !

Questions ?

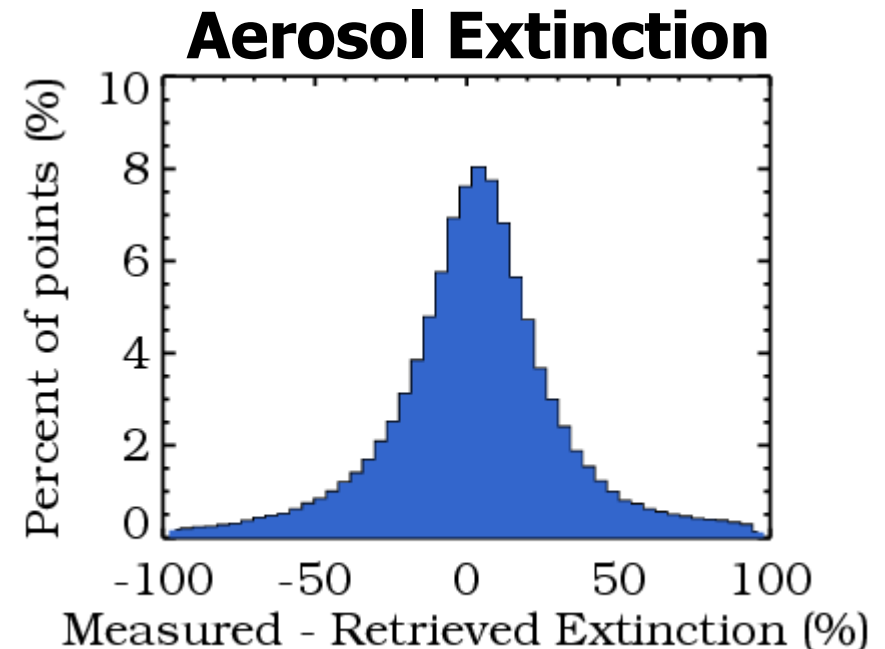
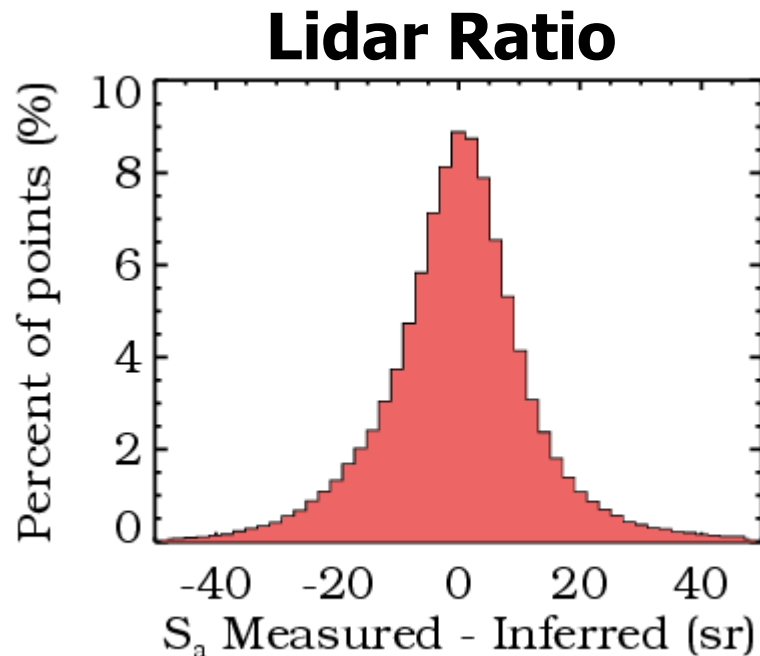


How much does the lidar ratio vary with altitude?

Vertical Variability of Lidar Ratio



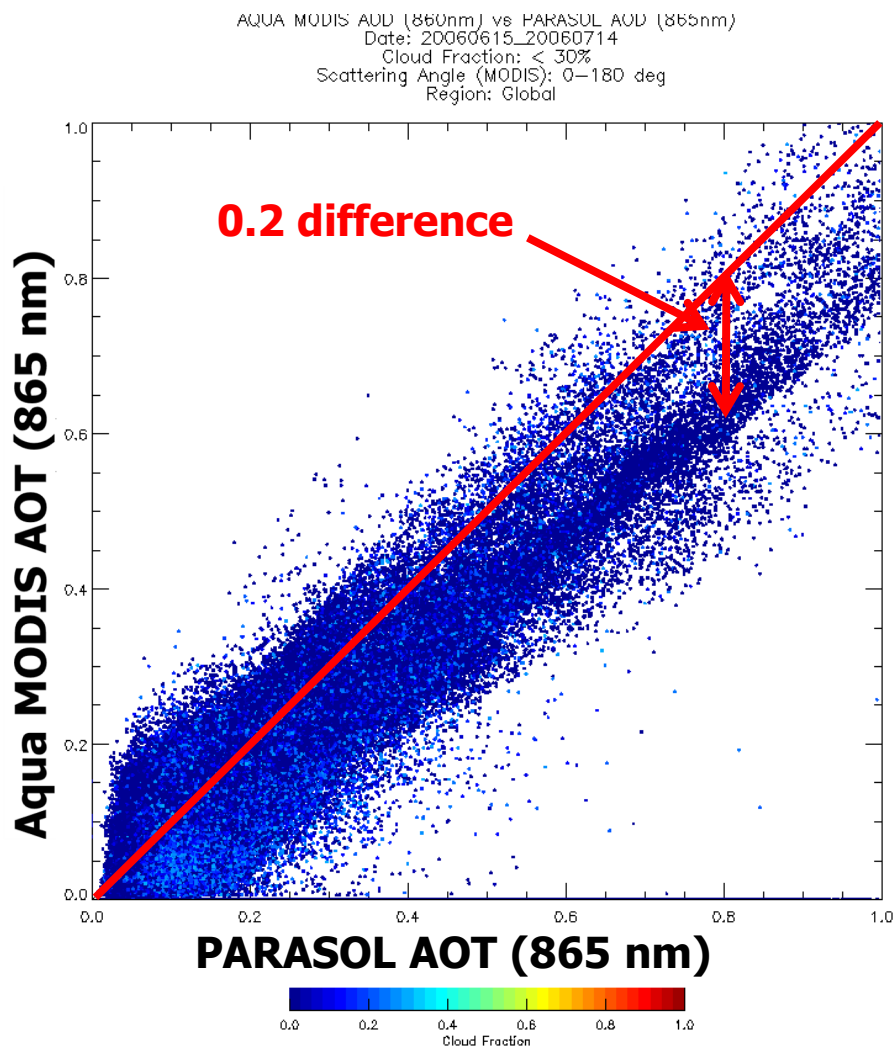
Vertical variability of the lidar ratio (S_a) is examined using HSRL data acquired during field campaigns from 2006 and 2007



- Assumed-constant lidar ratio compares well with altitude dependent HSRL measurements: **65% of data points are within 10 sr**
- Retrieved extinction using inferred lidar ratio: **60% of points within 20%**



MODIS vs. PARASOL AOT



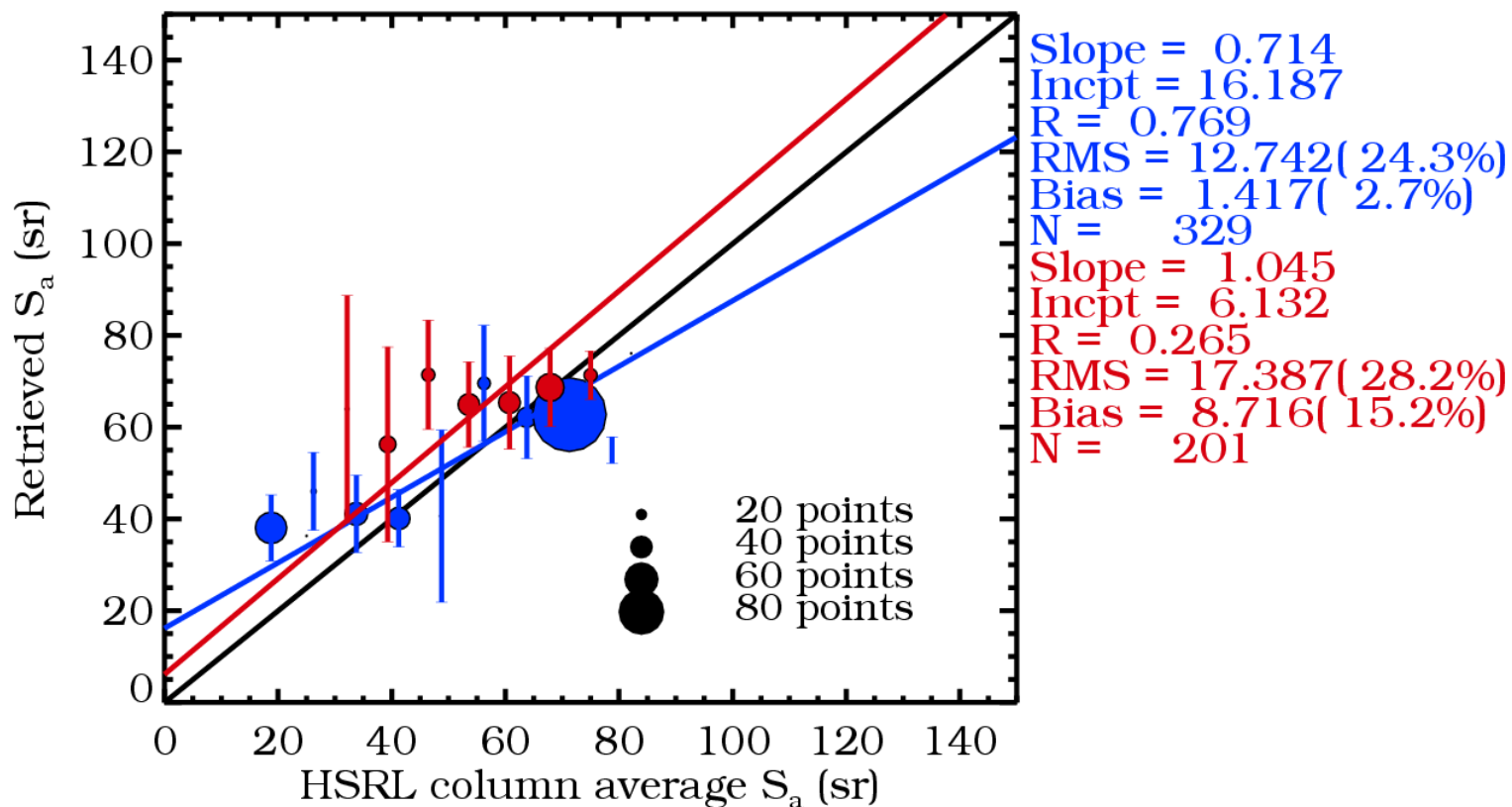
Comparison of MODIS AOT (860 nm) vs. PARASOL AOT (865 nm)

- Global data
- June 15, 2006 – July 14, 2006
- Cloud fraction < 30%

Aqua MODIS AOT is often considerably lower than PARASOL AOT



Retrieved Lidar Ratio



- Abscissa is the equivalent constant-altitude lidar ratio calculated from measured extinction and backscatter column totals
- Lidar ratio from retrieval shows less variability than measurement
- Overall, agreement is reasonable, slope is 0.714 for water and 1.045 for land